

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

BALL BEVERAGE PACKAGING SOUTH AMERICA (BPSA)

CERTIFICATE
NUMBER

154

ASI
STANDARD

PERFORMANCE
STANDARD
(V2 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI
ACCREDITED
AUDITOR

DNV
BUSINESS
ASSURANCE
SERVICES UK
LTD.

DATE OF ISSUE

25 OCTOBER 2022

DATE OF EXPIRY

24 OCTOBER 2025

CERTIFIED SINCE

8 NOVEMBER 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', written over a white background.

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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Regional managing activities conducted at the headquarters in Sao Jose dos Campos (Brazil). Manufacturing of beverage can bodies at the Argentina (Argentina), Aguas Claras (Brazil), Brasilia (Brazil), Chile (Chile), Extrema (Brazil), Frutal (Brazil), Jacarei (Brazil) and Santa Cruz (Brazil) facilities.

Manufacturing of beverage can ends at the Manaus (Brazil) facility.

Manufacturing of beverage can bodies and ends at the Paraguay Can Bodies and Can Ends (Paraguay), Pouso Alegre (Brazil), Recife Can Bodies and Can Ends (Brazil) and Tres Rios (Brazil) facilities.

SUMMARY AUDIT REPORT

PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Ball Corporation
ENTITY NAME	Ball Beverage Packaging South America (BPSA)
CERTIFICATION SCOPE	<p>Regional managing activities conducted at the headquarters in Sao Jose dos Campos (Brazil).</p> <p>Manufacturing of beverage can bodies at the Argentina (Argentina), Aguas Claras (Brazil), Brasilia (Brazil), Chile (Chile), Extrema (Brazil), Frutal (Brazil), Jacarei (Brazil) and Santa Cruz (Brazil) facilities.</p> <p>Manufacturing of beverage can ends at the Manaus (Brazil) facility.</p> <p>Manufacturing of beverage can bodies and ends at the Paraguay Can Bodies and Can Ends (Paraguay), Pouso Alegre (Brazil), Recife Can Bodies and Can Ends (Brazil) and Tres Rios (Brazil) facilities.</p>
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Material Conversion (Production and Transformation)
ASI STANDARD	<ul style="list-style-type: none">• Performance Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (19 July – 13 August 2021)• Surveillance Audit (11 – 23 August 2022)
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">• 19 July – 13 August 2021 (Initial Certification Audit)• 11 – 23 August 2022 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 1 September 2021 (Initial Certification Audit)• 17 September 2022 (Surveillance Audit)

AUDIT SCOPE

Initial Certification Audit (19 July – 13 August 2021)

The audit scope covers Ball Beverage Packaging South America (BPSA) at headquarters in Sao Jose dos Campos (Brazil) with virtual site tours of Aguas Claras (Brazil), Brasilia (Brazil), Extrema (Brazil) and Recife Can Bodies (Brazil) for beverage can bodies manufacturing and Recife Can Ends (Brazil) for beverage can ends manufacturing.

The ASI multi-site sampling approach was undertaken to include the Argentina (Argentina), Chile (Chile), Frutal (Brazil), Jacarei (Brazil), Manaus (Brazil), Paraguay Can Bodies and Can Ends (Paraguay), Pouso Alegre (Brazil), Santa Cruz (Brazil) and Tres Rios (Brazil) facilities.

The supply chain activities included in the audit scope:

- Material Conversion (Production and Transformation)

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

At the time of the Audit (July - August 2021), access to the site was not possible, due to COVID-19 related travel restrictions. The Audit has been undertaken as a 'desktop' exercise, in accordance with ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4), and included a remote review of relevant documentation and Webex Video Conferencing to provide a site tour and enable interviews.

Surveillance Audit (11 – 23 August 2022)

The audit scope covers Ball Beverage Packaging South America (BPSA) at Recife (Brazil) for the manufacture of can bodies and lids and the Águas Claras (Brazil), Brasilia (Brazil) and Extrema (Brazil) facilities for the manufacture of beverage can bodies.

The Entity uses the ASI multi-site sampling approach and the Argentina (Argentina), Chile (Chile), Frutal (Brazil), Jacarei (Brazil), Manaus (Brazil), Paraguay Can Bodies and Can Ends (Paraguay), Pouso Alegre (Brazil), Santa Cruz (Brazil) and Tres Rios (Brazil) facilities were not included in the audit scope.

The supply chain activities included in the audit scope:

- Material Conversion (Production and Transformation)

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT
OUTCOME

- Full Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

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- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 25 October 2022 – 24 October 2025

NEXT AUDIT TYPE Re-Certification Audit

NEXT AUDIT DUE DATE 24 October 2025

CERTIFICATE NUMBER 154

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has implemented systems to maintain knowledge and compliance with Applicable Law to its business in the social, economic/governance and environmental spheres. Evidenced the Global Corporate Compliance Policy to ensure legal compliance in all areas.
1.2 Anti-Corruption	Conformance	The Entity acts against Corruption in all its forms, including Extortion and Bribery, and maintains a Corporate Anti-Corruption Policy that describes compliance with anti-corruption laws in Ball's operating countries and prohibits all forms of bribery and corruption. For more information, access Ball's Supplier Guiding Principles available at: https://www.ball.com/na/vision/sustainability/product-stewardship/supply-chain/responsible-sourcing-framework
1.3 Code of Conduct	Conformance	The Entity has implemented a Code of Conduct that includes relevant principles for environmental, social and governance performance. For more information, access Ball's Business Ethics Code of Conduct at: https://www.ball.com/codeofconduct
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	The Entity is committed to the good management of its environmental aspects and social and governance processes through the implementation and maintenance of its various Policies. Evidenced that all global Policies are developed at the Denver headquarters and undergo periodic review, with regions and plants adding Policies and/or other documentation that apply at the local level.
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	The Entity's Global Senior Leadership has endorsed Ball's Policies and procedures, as well as in South America with the local Integrated Management System Policy, which is regularly reviewed during the annual Critical Analysis and Risk Assessment.
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	The Entity frequently communicates its Policies and engagement initiatives through various internal communication channels, including email, bulletin boards, events, Ball Connect. The Entity also communicates externally its main policies, including the Human Rights Policy, Prohibition of Discrimination,

CRITERION	RATING	COMMENT
		<p>Harassment & Retaliation Policy and Respect at Work Policy:</p> <p>https://www.ball.com/getattachment/f0c0d139-1f06-451a-91f0-f23f49a3c367/Human-Rights-GP-03-012-003-1.pdf</p> <p>https://www.ball.com/getattachment/3dbae886-d10f-473c-bbdc-016466152e98/CP-02-016-006-Prohibition-of-Discrimination-Harassment-Retaliation.pdf</p> <p>https://www.ball.com/getattachment/87f48b9e-b5ef-44b5-81e1-fb8dd6222c0c/HR-Respect-in-the-Workplace-Policy.pdf</p>
2.2 Leadership	Conformance	<p>The Entity has appointed the Vice President of Procurement as the senior leadership role with overall authority to ensure compliance with the requirements of the ASI Performance Standards. Meetings were held with senior management and leaders to ensure engagement and alignment with the Certification.</p>
2.3a Environmental and Social Management Systems (environmental)	Conformance	<p>The Entity has maintained a multi-site certification for all factories in accordance with ISO 14001:2015, and has documented and implemented integrated Environmental, Social and Quality Management Systems.</p>
2.3b Environmental and Social Management Systems (social)	Conformance	<p>The Entity maintains a structured Social Responsibility System, being evaluated by accredited bodies to carry out audits in the SMETA social protocol (Sedex Members Ethical Trade Audit) requested by clients to assess social performance.</p>
2.4 Responsible Sourcing	Conformance	<p>The Entity requires its suppliers comply with Ball's Supplier Guiding Principles. The principles were developed with input from key stakeholders, including customers and investors, and requires suppliers to comply with and demonstrate them. The principles cover legal, labour, human rights, environment, health and safety, antitrust, bribery and anti-corruption, tax compliance, sanctions and compliance practices. For more information access Ball's Supplier Guiding Principles at:</p> <p>https://www.ball.com/getattachment/9199e3dd-aa92-49a8-ae40-63ea9fe3c6d2/Supplier-Guiding-Principles-and-other-exhibits-April2021.pdf</p>
2.5 Impact Assessments	Conformance	<p>The Entity has conducted environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis, for New Projects or Major Changes to existing facilities, in accordance with the procedures of the Human Resources, Corporate Communications and EHS teams. For the scenario of building a new plant, for example, specific and</p>

CRITERION	RATING	COMMENT
		<p>multidisciplinary forums were created to assess all aspects and impacts of Ball's operations, in addition to seeking opportunities for internal improvement and interaction with the communities where we conduct our business. Market surveys are carried out to define the appropriate salary range, simulation of quotas for people with disabilities, women, and apprentices, among others, and goals, indicators and action plans are established to address possible difficulties. These and other aspects are included in Ball BPSA's Change Management tool to ensure their assessment and implementation for other New Projects.</p>
2.6 Emergency Response Plan	Conformance	<p>The Entity has a Global Emergency Response Policy and has developed its Crisis Management Plan accordingly. In addition, it has a specific Emergency Response Plan for each plant, which aim to identify, assess, avoid and mitigate impacts on key stakeholders. The program includes employee orientation or instruction and specific emergency response training.</p>
2.7 Mergers and Acquisitions	Conformance	<p>The Entity has a globally administered process to become familiar with the social, environmental and governance aspects of a potential acquisition that is treated confidentially and personalized for each merger and/or acquisition. Ball follows all Applicable Laws, locally and globally.</p> <p>Evidenced that after the Board has defined the continuity of the process, a specialized external consultancy is hired to assist the company in the Due Diligence process, forming a multidisciplinary internal team to assess all aspects and risks relevant to the business and report to Ball for review prior to acquisition. During the project, in addition to the usual legal issues, environmental and social responsibility issues are analyzed, as well as to define the actions to be taken by the company, ensuring that there will be no risks to Ball's operations or to stakeholders, such as violations of Human Rights or environmental or community liabilities in general.</p>
2.8 Closure, Decommissioning and Divestment	Conformance	<p>The Entity analyses environmental, social and governance issues in the plant closure / decommissioning planning processes, in accordance with all applicable legislation, in collaboration with the Legal, EHS and HR teams. Evidenced that specialized consultants are hired to assess the local collective agreement, collective agreements in force at the time of the facts, among other relevant processes and documents to ensure the Entity leaves the place,</p>

CRITERION	RATING	COMMENT
		equipment and employees in the best possible condition, free from liability, and with all necessary and/or appropriate referrals and socio-environmental agreements.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Entity publicly discloses its governance approach and its material environmental, social and economic impacts, through publication of the Sustainability Report every 2 years in accordance with the Global Reporting Initiative (GRI) Standard and detailing progress towards sustainability goals. For more information see: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports
3.2 Non-compliance and liabilities	Conformance	The Entity has defined the disclosure of fines, judgments, penalties and non-monetary sanctions for non-compliance with applicable legislation with specific thresholds each, e.g. for environmental non-compliance, a value above US\$10,000, as final judgment, was defined, as appointed in the GRI (Global Reporting Initiative) report.
3.3a Payments to governments (legal and contractual)	Conformance	The Entity has only made payments to governments on a legal and/or contractual basis, in accordance with Policies and the Code of Conduct. Evidenced that payments are made by the Global Business Services (GBS) team after several internal approvals and documentation verification that follow the Competency Policy. Taxes are paid to the government on a legal basis and the entire payment stream is verified internally. For more information access the link: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports
3.3b Payments to governments (disclosure - bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	The Entity has implemented accessible, transparent, understandable and gender-sensitive and culturally-sensitive grievance resolution mechanisms, adequate to handle the grievances, complaints and requests for information from interested parties related to its operations. Evidenced that interested parties can forward complaints, grievances and other requests to: http://www.ballcompliancehotline.com

CRITERION	RATING	COMMENT
		Email: comunidades@ball.com Available at the Entity's website: https://www.ball.com/our-company/contact
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	The Entity has assessed the life cycle impacts of its main product lines in conjunction with specialized consultants and also using internal tools to identify opportunities. In 2020, a Life Cycle Assessment (LCA) comparative analysis was published and reviewed by peers, for Aluminium beverage cans, PET and glass bottles, as well as carton packaging for beverages, in Brazil, Europe and the United States. The study was conducted independently by Sphera Consulting and the information, assumptions, system limits and LCA results are public and properly referenced when communicated externally.
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	The Entity has assessed the life cycle impacts of its main product lines in conjunction with specialized consultants and also using internal tools to identify opportunities in our operations. In 2020, a Life Cycle Assessment (LCA) comparative analysis was published and reviewed by peers, for Aluminium beverage cans, PET and glass bottles, as well as carton packaging for beverages, in Brazil, Europe and the United States. The study was conducted independently by Sphera Consulting and the information, assumptions, system limits and LCA results are public and properly referenced when communicated externally.
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	The Entity has published a LCA for Aluminium beverage cans, PET and glass bottles, as well as carton packaging for beverages, in Brazil, Europe and the United States. The information, assumptions, system limits and LCA results are public and properly referenced when communicated externally: https://www.ball.com/sustainability/real-circularity/life-cycle-assessment For more information access the link: https://www.ball.com/goals
4.2 Product design	Conformance	The Entity has defined the goal of producing 80% of global beverage cans with weight-optimized STARcan dome designs. That, combined with Ball Aerosol lightweight ReAl® alloy goal, Ball will save more than 320,000 metric tonnes of greenhouse gas emissions during the first five years of the 2020-2030 goal period.

CRITERION	RATING	COMMENT
		<p>Evidenced the Entity's goal of 30% energy efficiency improvement (electricity and natural gas) in can manufacturing (2020-2030): https://www.ball.com/goals For more information access 'Vision for a Perfect Circle' at the link: https://www.ball.com/realcircularity</p>
4.3a Aluminium Process Scrap (targets)	Conformance	<p>The Entity allocates 100% of its scrap for recycling and seeks to minimize the generation of Aluminium Process Scrap from its own operation through improvement projects and initiatives with the Engineering and Lean teams. The generated scrap is sent to duly qualified suppliers for recycling and manufacturing of new aluminium products. In addition, each plant has its own segregation processes driven by supplier specifications as well as aluminium availability and recycling requirements. Evidenced that the sale of scrap is part of the contractual obligations for most of the metal supplies that purchase aluminium scrap from Ball and provide the Entity their own scrap segregation specifications for aluminium scrap. https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p>
4.3b Aluminium Process Scrap (alloy separation)	Conformance	<p>The Entity allocates 100% of its scrap for recycling and seeks to minimize the generation of Aluminium Process Scrap from its own operations. The Entity's plants that produce beverage cans and ends segregate Aluminium scrap by type, and hence, by alloys, before being sent for recycling.</p>
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	<p>The Entity has a strategy to increase recycling rates in countries where rates are low through projects, activities and specific targets suitable for the market. For countries where recycling is already consolidated, the Entity works with projects to maintain this volume and engage consumers about conscious consumption. For more information access the link: #VADELATA and #QUIEROLATA Communication Campaigns for Recycling and Conscious Consumption at: https://www.instagram.com/quierolata</p>
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	<p>The Entity has implemented campaigns aimed at collecting and recycling Aluminium cans, including developing donation actions that benefit the community surrounding the factories. The Entity has a strategy to increase recycling rates in countries where rates are low through projects, activities and specific targets suitable for the market.</p>

CRITERION	RATING	COMMENT
		<p>For countries where recycling is already consolidated, the Entity works with projects to maintain this volume and engage consumers about conscientious consumption.</p> <p>For more information, access the links: https://www.ball.com/sustainability/real-circularity/recycling and the #VADELATA and #QUIEROLATA Communication Campaigns for Recycling and Conscious Consumption at: https://www.instagram.com/quierolata/</p>
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	<p>The Entity is committed to reducing its Greenhouse Gas (GHG) Emissions through various initiatives to mitigate its impact on the global climate. The Entity has disclosed its GHG Emission reduction target together with its Science-Based Targets initiative (SBTi).</p> <p>The Corporate Sustainability team is responsible for disclosing GHG Emissions data annually through the Carbon Disclosure Project (CDP) report and via the Ball data centre. Further information is available in the Sustainability Report: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p>
5.2 GHG emissions reductions	Conformance	<p>The Entity has developed and launched its GHG Emissions reduction target aligned to the 1.5°C global warming limit and approved by the Science Based Target initiative (SBTi). Ball has committed to achieving a 55% absolute reduction in GHG emissions (Scope 1 and 2), based on the year 2017, by 2030. In addition, Ball is committed to reducing the absolute GHG emissions in its value chain by 16% – from mining, refining, smelting, smelting and rolling, to ball manufacturing, logistics and recycling.</p> <p>For more information, access the Sustainability Report: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p>
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		

CRITERION	RATING	COMMENT
6.1 Emissions to Air	Conformance	<p>The Entity quantifies and reports emissions to the atmosphere that have adverse effects on human beings or the environment and implement plans to minimize these adverse impacts, with targets and plans to minimize the emissions of these components according to the strategy in the region and / or in the most critical plants. The Entity periodically quantifies and reports atmospheric emissions through the control of VOCs (Volatile Organic Compounds) resulting from operations.</p> <p>For more information, access the links: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative https://www.ball.com/na/vision/sustainability/our-approach/goals</p>
6.2 Discharges to Water	Conformance	<p>The Entity quantifies and reports Discharges to Water that have adverse effects on human beings or the environment and that it implements plans to minimize these adverse impacts. The majority of water consumption at the plants is from operations and, to reduce the impact, the Entity established new projects to install machinery with better environmental performance and cutting-edge technologies to reduce consumption and generate effluent water.</p> <p>For more information, access the links: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative https://www.ball.com/na/vision/sustainability/our-approach/goals</p>
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	<p>The Entity has implemented a Crisis Management Plan, Emergency Response Plan and Environmental Impact and Assessment report at each plant, which includes risk assessment for the main risk areas of operations where leaks can contaminate the air, water and / or soil. Stakeholder communication and management plans, compliance controls and a local monitoring program are implemented to prevent and detect Spills and Leakages.</p>
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	<p>The Entity has implemented a Crisis Management Plan, Emergency Response Plan and Environmental Impact and Assessment report at each plant, which includes risk assessment for the main risk areas of operations where leaks can contaminate the air, water</p>

CRITERION	RATING	COMMENT
		and / or soil. The containment of tanks to prevent leaks is part of mandatory and legal requirements, which are constantly reviewed by the Entity. Stakeholder communication and management plans, compliance controls and a local monitoring program are implemented to prevent and detect Spills and Leakages. All of these procedures follow the guidelines established by Ball's Global Emergency Response Plan.
6.4a Reporting of Spills (immediate disclosure)	Conformance	The Entity has Stakeholder communication and management plans, compliance controls and a local monitoring program to prevent and detect Spills and Leakages. These procedures follow the guidelines established by Ball's Global Emergency Response Plan. In the case of any event and/or Spill, the Entity has a reporting hierarchy to communicate the volume, type and potential impact immediately after an incident to all Stakeholders.
6.4b Reporting of Spills (regular reporting)	Conformance	The Entity has Stakeholder communication and management plans, compliance controls and a local monitoring program to prevent and detect Spills and Leakages. These procedures follow the guidelines established by Ball's Global Emergency Response Plan. In the case of any event and/or Spill, the Entity has a reporting hierarchy to communicate the volume, type and potential impact immediately after an incident to all Stakeholders. These incidents are publicly reported in accordance with local law and, when significant (above \$10,000 or equivalent when converted from local currency), in Ball's GRI Content Index.
6.5a Waste management and reporting (strategy)	Conformance	<p>The Entity has implemented a waste management strategy that is designed in accordance with the Waste Mitigation Hierarchy, which aims to reduce waste generation and increase recycling rates in countries where this is not satisfactory.</p> <p>The Entity has defined waste generation reduction goals, following action plans in accordance with the Waste Mitigation Hierarchy and solid waste management plans of each plant.</p> <p>This information is monitored internally, classified into types of waste and disposal methods, and publicly disclosed in the Sustainability Report and GRI Content Index. For more information, access the links:</p> <p>https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p> <p>https://www.ball.com/data-center</p>

CRITERION	RATING	COMMENT
		https://www.ball.com/na/vision/sustainability/our-approach/goals
6.5b Waste management and reporting (disclosure)	Conformance	The Entity has implemented a waste management strategy and waste management information is monitored internally, classified into types of waste and disposal methods, and publicly disclosed in the Sustainability Report and GRI Content Index. For more information, access the links: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/data-center https://www.ball.com/na/vision/sustainability/our-approach/goals
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (state of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8b Dross (recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.8c Dross (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Conformance	<p>The Entity is committed to responsibly collecting, using and managing the water resources of its Area of Influence, identifying and mapping its abstraction and use of water (by source and types), ensuring compliance and monitoring of local legislation, conditions and allocations granted, in addition to developing initiatives and projects for the management and reduction of water consumption.</p> <p>For more information, access the links: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/our-approach/goals https://www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative</p>
7.1b Water assessment (risk assessment)	Conformance	<p>The Entity uses the tools developed by the World Business Council for Sustainable Development (WBCSD) and the World Resources Institute (WRI) to map its facilities in relation to the stress areas of watersheds. Ball's Corporate Sustainability team conducts a high-level water risk analysis each year, covering all Ball locations, including the Entity. The Entity uses to assess areas of water stress and make better water-related decisions is the Aqueduct (WRI). The Entity has additionally developed an assessment of local water-related risks in the hydrographic basins for each plant, using the WWF Water Risk Filter tool. The result was low risk for most of the plants and, in general, given the history and nature of Ball's industrial activity, there is no major risk of water supply or watershed compromise.</p> <p>For more information, access the links: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/our-approach/goals https://www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative</p>
7.2a Water management (management plans)	Conformance	The Entity is committed to ensuring compliance and monitoring of local legislation, conditions and allocations granted, and to developing initiatives and projects for the management and reduction of water consumption.

CRITERION	RATING	COMMENT
		<p>Ball's Corporate Sustainability team conducts a high-level water risk analysis each year, covering all Ball locations, including the Entity. The Entity uses the World Resources Institute (WRI) Aqueduct tool to assess areas of water stress and to make better water-related decisions.</p> <p>The Entity has additionally developed an assessment of local water-related risks in the hydrographic basins for each plant, using the WWF Water Risk Filter tool. The result was low risk for the Entity and there is no major risk of water supply or watershed compromise. For more information, access the links: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/our-approach/goals https://www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative</p>
7.2b Water management (monitoring)	Conformance	<p>The Entity is committed to ensuring compliance and monitoring of local legislation, conditions and allocations granted, and to developing initiatives and projects for the management and reduction of water consumption.</p> <p>Ball's Corporate Sustainability team conducts a high-level water risk analysis each year, covering all Ball locations, including the Entity. The Entity uses the World Resources Institute (WRI) Aqueduct tool to assess areas of water stress and to make better water-related decisions.</p> <p>The Entity has additionally developed an assessment of local water-related risks in the hydrographic basins for each plant, using the WWF Water Risk Filter tool. The result was low risk for the Entity and there is no major risk of water supply or watershed compromise. For more information, access the links: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/our-approach/goals https://www.ball.com/na/vision/sustainability/reporting-hub/global-reporting-initiative</p>
7.3 Disclosure of water usage and risks	Conformance	<p>The Entity has publicly disclosed its water usage in absolute terms and per 1000 cans produced in the Ball Sustainability Report and Data Center: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p> <p>As part of the Sustainability Report, Ball also reports the results of the latest annual global water risk analysis. Ball uses the WRI Aqueduct tool and no</p>

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		water-related risks were identified in the analysis conducted for the Entity's plants and therefore these data are not disclosed, but are available to interested parties upon request.
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	<p>The Entity has assessed the risks and materiality of impacts on biodiversity of land use and activities in the Entity's Area of Influence using the Integrated Biodiversity Assessment Tool (IBAT) and the Geospatial Data Management System (SIGEO) tool. As a result of the assessment, it was determined none of the Entity's Facilities are located in nationally protected areas.</p> <p>For more information access the links: https://www.ball.com/sustainability/product-stewardship/resource-efficiency https://www.ball.com/getattachment/2bcb7dc7-423a-447d-b275-5488d487f2ed/One_Page_Biodiversidade_BallBPSA_ENG.pdf?lang=en-001</p>
8.2a Biodiversity management (biodiversity action plans)	Conformance	<p>The Entity has implemented a Biodiversity Checklist at each Facility to carry out a qualitative survey regarding the permeable area, fauna, flora and biodiversity management, to encourage application of the Biodiversity Mitigation Hierarchy. and to establish action plans and measure effectiveness.</p> <p>The Entity has assessed the risks and materiality of impacts on biodiversity of land use and activities in the Entity's Area of Influence using IBAT (Integrated Biodiversity Assessment Tool), as well as the Geospatial Data Management System (SIGEO) tool. As a result of the assessment, it was determined none of the Entity's Facilities are located in nationally protected areas.</p>
8.2b Biodiversity management (consultation and mitigation hierarchy)	Conformance	<p>The Entity has implemented a Biodiversity Checklist at each Facility to carry out a qualitative survey regarding the permeable area, fauna, flora and biodiversity management, to encourage application of the Biodiversity Mitigation Hierarchy. and to establish action plans and measure effectiveness. The Entity has developed and implemented action plans to provide benefits to biodiversity and assessed the risks and materiality of impacts on biodiversity of land use and activities in the Entity's Area of Influence using IBAT.</p> <p>For more information access the link: https://www.ball.com/getattachment/2bcb7dc7-423a-447d-b275-5488d487f2ed/One_Page_Biodiversidade_BallBPSA_ENG.pdf?lang=en-001</p>

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		5488d487f2ed/One_Page_Biodiversidade_BallBPSA_ENG.pdf?lang=en-001
8.2c Biodiversity management (reporting)	Conformance	The Entity has assessed the risks and materiality of impacts on biodiversity of land use and activities in the Entity's Area of Influence using IBAT and the Geospatial Data Management System (SIGEO) tool. As a result of the assessment, it was determined none of the Ball facilities in South America are located in nationally protected areas. For more information access the biodiversity section at link: https://www.ball.com/sustainability/product-stewardship/resource-efficiency
8.3 Alien Species	Conformance	The Entity proactively prevents the accidental or deliberate introduction of exotic species that may have significant adverse impacts on fauna and flora by monitoring biodiversity in its Area of Influence through IBAT.. For new projects, the change management tool and checklist are used to assess impacts on biodiversity. For more information access the biodiversity section at link: https://www.ball.com/sustainability/product-stewardship/resource-efficiency
8.4a Commitment to “No Go” in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4b Commitment to “No Go” in World Heritage properties (existing mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The Entity has defined its commitment to respecting Human Rights through a Corporate Human Rights Policy: https://www.ball.com/getattachment/f0c0d139-1f06-451a-91f0-f23f49a3c367/Human-Rights-GP-03-012-003-1.pdf
9.1b Human Rights Due Diligence (process)	Conformance	The Entity has defined a Human Rights Due Diligence process that seeks to identify, prevent, mitigate and

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		<p>account for how it addresses its actual and potential impacts on Human Rights (based on the United Nations Guiding Principles on Business and Human Rights), and the established principles were endorsed in the Universal Declaration of Human Rights and the International Labour Organization (ILO), as well as observing the rights of Indigenous Peoples, among others, based on respect for the dignity of the individual, without distinction of any kind.</p>
<p>9.1c Human Rights Due Diligence (remediation)</p>	<p>Conformance</p>	<p>The Entity has defined a Human Rights Due Diligence process that seeks to identify, prevent, mitigate and account for how it addresses its actual and potential impacts on Human Rights, (based on the United Nations Guiding Principles on Business and Human Rights and the established principles endorsed in the Universal Declaration of Human Rights and the International Labor Organization), as well as observing the rights of Indigenous Peoples, among others, based on respect for the dignity of the individual, without distinction of any kind.</p> <p>The Human Rights Due Diligence process determined the Entity did not cause or contribute to adverse impacts on Human Rights, without any need of redress through legitimate processes in their Area of Influence.</p>
<p>9.2 Women's Rights</p>	<p>Conformance</p>	<p>The Entity has implemented Policies and processes to ensure respect for the rights and interests of women, in accordance with international standards, including the United Nations Convention on the Elimination of All Forms of Discrimination Against Women (CEDAW). Evidenced of the commitment to diversity and inclusion and the Entity is developing initiatives and global and regional goals to promote the inclusion of women, black people, the LGBTQI+ community and other under-represented groups.</p> <p>For more information access the links: https://www.ball.com/na/vision/sustainability/our-approach/goals https://www.ball.com/careers/diversity-inclusion</p>
<p>9.3 Indigenous Peoples</p>	<p>Conformance</p>	<p>The Entity has defined a Human Rights Due Diligence process that seeks to identify, prevent, mitigate and account for how it addresses its actual and potential impacts on Human Rights, as well as observing the rights of Indigenous Peoples, among others, based on respect for the dignity of the individual, without distinction of any kind.</p> <p>The Human Rights Due Diligence process determined the Entity did not cause or contribute to adverse</p>

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		impacts on Human Rights, without any need of redress through legitimate processes in their Area of Influence.
9.4 Free, Prior, and Informed Consent (FPIC)	Conformance	<p>The Entity has defined a Human Rights Due Diligence process that seeks to identify, prevent, mitigate and account for how it addresses its actual and potential impacts on Human Rights, as well as observing the rights of Indigenous Peoples, among others, based on respect for the dignity of the individual, without distinction of any kind.</p> <p>The Human Rights Due Diligence process determined the Entity did not cause or contribute to adverse impacts on Human Rights, without any need of redress through legitimate processes in their Area of Influence. Evidenced that the Entity in New Projects or Major Changes in existing projects did not have significant impacts on Indigenous Peoples culturally associated and living on the relevant lands. The Entity establishes that it avoids involuntary Resettlements and adverse and/or significant impacts on Human Rights and Indigenous Peoples. The Entity declares that it makes use of its Land and Property Policy, guided by the International Finance Corporation (IFC) Performance Standard for land acquisition - FPIC (Free, Prior, Informed, Consent).</p> <p>For more information access the Conflict Minerals Report: https://www.ball.com/sustainability/product-stewardship/responsible-sourcing</p>
9.5 Cultural and sacred heritage	Conformance	<p>The Entity has defined a Human Rights Due Diligence process that seeks to identify, prevent, mitigate and account for how it addresses its actual and potential impacts on Human Rights, as well as observing the rights of Indigenous Peoples, among others, based on respect for the dignity of the individual, without distinction of any kind.</p> <p>The Human Rights Due Diligence process determined the Entity did not identify it has caused or contributed to adverse impacts on Human Rights and that it cooperates to identify cultural or sacred heritage sites and values within the Entity's Area of Influence and take measures to avoid or remedy impacts.</p> <p>The Entity, in consultation with the affected Communities, shall cooperatively identify cultural or sacred heritage sites and values within the Entity's Area of Influence and take appropriate measures to avoid or remedy impacts, as well as to ensure continued rights of access to such places or values.</p> <p>For more information access the Conflict Minerals Report:</p>

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		<p>https://www.ball.com/sustainability/product-stewardship/responsible-sourcing https://www.ball.com/sustainability/sustainability-reporting/downloads</p>
9.6a Resettlements (avoid or minimise)	Conformance	<p>The Entity in New Projects or Major Changes in existing projects may not have significant impacts on Indigenous Peoples, poor and vulnerable, including women. The Entity establishes that it avoids involuntary Resettlements and adverse and/or significant impacts on Human Rights and the Indian people. The Entity declares that it makes use of its Land and Property Policy, guided by the International Finance Corporation (IFC) Performance Standard for land acquisition - FPIC (Free, Prior, Informed, Consent).</p> <p>For more information access the Conflict Minerals Report: https://www.ball.com/sustainability/product-stewardship/responsible-sourcing</p> <p>For more information access the Human Rights Policy: https://www.ball.com/getattachment/f0c0d139-1f06-451a-91f0-f23f49a3c367/Human-Rights-GP-03-012-003-1.pdf</p>
9.6b Resettlements (where unavoidable)	Conformance	<p>The Entity in New Projects or Major Changes in existing projects may not have significant impacts on Indigenous Peoples, poor and vulnerable, including women. The Entity establishes that it avoids involuntary Resettlements and adverse and/or significant impacts on Human Rights and the Indian people. The Entity declares that it makes use of its Land and Property Policy, guided by the International Finance Corporation (IFC) Performance Standard for land acquisition - FPIC (Free, Prior, Informed, Consent).</p> <p>For more information access the Conflict Minerals Report: https://www.ball.com/sustainability/product-stewardship/responsible-sourcing</p> <p>For more information access the Human Rights Policy: https://www.ball.com/getattachment/f0c0d139-1f06-451a-91f0-f23f49a3c367/Human-Rights-GP-03-012-003-1.pdf</p>
9.7a Local Communities (rights and interests)	Conformance	<p>The Entity respects the legal and customary rights and interests of the Local Communities and interacts with local authorities and communities in order to provide wellbeing, quality of life, access to education, training and engagement with employees and Local Communities.</p>

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		<p>The Entity has implemented social responsibility initiatives for the benefit of Local Communities, in line with the Ball's global Social Responsibility Guidelines and the Entity's Social Responsibility Policy. Projects are presented quarterly for evaluation by the Entity's executive committee and, after validation, are implemented together with specialized partners and often the support of employees.</p> <p>For more information access the links: https://www.ball.com/sustainability/our-approach https://alphalumen.org.br/projects/torneio-brasileiro-de-sustentabilidade/ https://www.ball.com/na/vision/sustainability/community/ball-foundation</p>
9.7b Local Communities (impacts)	Conformance	<p>The Entity takes appropriate measures to prevent and address any adverse impacts on the livelihoods of the Local Community resulting from its activities and interacts with local authorities and communities in order to provide wellbeing, quality of life, access to education, training and engagement with employees and Local Communities.</p>
9.7c Local Communities (livelihoods)	Conformance	<p>The Entity takes appropriate measures to prevent and address any adverse impacts on the livelihoods of the local community resulting from its activities and interacts with local authorities and communities in order to provide well-being, quality of life, access to education, training and engagement of its employees and population around the factories, always with the objective of positively impacting the community.</p> <p>The Entity has implemented social responsibility initiatives for the benefit of Local Communities, in line with the Ball's global Social Responsibility Guidelines and the Entity's Social Responsibility Policy. Projects are presented quarterly for evaluation by the Entity's executive committee and, after validation, are implemented together with specialized partners and often the support of employees. Examples of social projects include: Reading Corners, a library to encourage education and reading for children and young people; Sustainability Tournament, a championship that aims to encourage children and adolescents to seek solutions to improve recycling; donation campaigns linked to the global #PelaCausa program, such as the Covid Relief Taskforce that provided food baskets, hygiene kits and medical supplies to the community.</p>
9.8 Conflict-Affected and High-Risk Areas	Conformance	<p>The Entity is committed not to contribute to armed conflicts or Human Rights violations in any area,</p>

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		<p>including Conflict-Affected and High-Risk Areas. The Entity has implemented the Conflict Minerals Policy, which restricts business in areas of conflict, violence and human rights violations due to the extraction of precious metals, as well as other policies and the Supplier Guidance Principles to ensure that suppliers are not encouraging this type of practice in the value chain.</p> <p>For more information access the Ball Supplier Guiding Principles: www.ball.com/responsible-sourcing-framework</p>
9.9 Security practice	Conformance	<p>The Entity interacts with its security providers to respect Human Rights in accordance with its Policies, local legislation and internationally recognized standards and good practices.</p> <p>For more information access the Human Rights Policy: https://www.ball.com/getattachment/f0c0d139-1f06-451a-91f0-f23f49a3c367/Human-Rights-GP-03-012-003-1.pdf</p>
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Conformance	<p>The Entity respects the rights of Workers to freely associate in Labour Unions, in accordance with current legislation, ILO conventions and other collective agreements.</p> <p>For more information access the Human Rights Policy: https://www.ball.com/getattachment/f0c0d139-1f06-451a-91f0-f23f49a3c367/Human-Rights-GP-03-012-003-1.pdf</p> <p>For more information access the link: https://www.ball.com/sustainability/sustainability-reporting/downloads</p>
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Conformance	<p>The Entity respects the rights of Workers to freely associate in Labour Unions, in accordance with current legislation, ILO conventions and other collective agreements.</p> <p>For more information access the Human Rights Policy: https://www.ball.com/getattachment/f0c0d139-1f06-451a-91f0-f23f49a3c367/Human-Rights-GP-03-012-003-1.pdfFor more information access the Link: https://www.ball.com/sustainability/sustainability-reporting/downloads</p>
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Not Applicable	<p>This Criterion is not applicable as the Entity operates in countries where there are no restrictions on Freedom of Association and Collective Bargaining.</p>
10.2a Child Labour (minimum age)	Conformance	<p>The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws</p>

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		<p>related to Child Labour and Forced (or compulsory) Labour. It has the same expectation for companies in its supply chain.</p> <p>The hiring of Workers under 18 for the product manufacturing process or in any environment considered dangerous was not identified.</p> <p>To comply with the UK Modern Slavery Act 2015, Ball has globally submitted an annual Declaration on Slavery and Human Trafficking.:</p> <p>https://www.ball.com/getattachment/c36b87af-29c7-494d-b03e-3c906f333f96/Slavery-and-Human-Trafficking-Statement-July-2020.pdf</p> <p>For more information access the Ball Supplier Guiding Principles:</p> <p>www.ball.com/responsible-sourcing-framework</p> <p>For more information access the Ball Business Ethics Code of Conduct:</p> <p>https://www.ball.com/codeofconduct</p>
10.2b Child Labour (hazardous)	Conformance	<p>The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour. The hiring of Workers under 18 for the product manufacturing process or in any environment considered dangerous was not identified.</p> <p>To comply with the UK Modern Slavery Act 2015, Ball has globally submitted an annual Declaration on Slavery and Human Trafficking.</p>
10.2c Child Labour (worst forms)	Conformance	<p>The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour. The hiring of Workers under 18 for the product manufacturing process or in any environment considered dangerous was not identified.</p> <p>To comply with the UK Modern Slavery Act 2015, Ball has globally submitted an annual Declaration on Slavery and Human Trafficking.</p>
10.3a Forced Labour (human trafficking)	Conformance	<p>The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour. It has the same expectation for companies in its supply chain.</p> <p>The hiring of Workers under 18 for the product manufacturing process or in any environment considered dangerous was not identified.</p> <p>To comply with the UK Modern Slavery Act 2015, Ball has globally submitted an annual Declaration on</p>

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		<p>Slavery and Human Trafficking.:</p> <p>https://www.ball.com/getattachment/c36b87af-29c7-494d-b03e-3c906f333f96/Slavery-and-Human-Trafficking-Statement-July-2020.pdf</p> <p>For more information access the Ball Supplier Guiding Principles:</p> <p>www.ball.com/responsible-sourcing-framework</p> <p>For more information access the Ball Business Ethics Code of Conduct:</p> <p>https://www.ball.com/codeofconduct</p>
10.3b Forced Labour (deposits, fees, advances)	Conformance	The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour.
10.3c Forced Labour (migrant workers)	Conformance	The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour.
10.3d Forced Labour (debt bondage)	Conformance	The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour.
10.3e Forced Labour (freedom of movement)	Conformance	The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour.
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour.
10.3g Forced Labour (freedom to terminate employment)	Conformance	The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and laws related to Child Labour and Forced (or compulsory) Labour.
10.4 Non-Discrimination	Conformance	<p>The Entity ensures equal opportunities and does not tolerate Discrimination and harassment in the workplace based on factors such as race, ethnicity, colour, creed, religion, sex, age, nationality, marital status, sexual orientation, gender identity or expression, disability, information genetics, employment, veteran status or any other characteristic protected by applicable federal, state or local law.</p> <p>For more information access the Ball Business Ethics Code of Conduct:</p> <p>https://www.ball.com/codeofconduct</p>

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10.5 Communication and engagement	Conformance	<p>The Entity maintains open and direct communication with its employees and their representatives on working conditions and the resolution of labour problems and compensation, without any threat of reprisal, intimidation or harassment. The Entity has implemented a policy and procedure so employees can report potential Discrimination, harassment or retaliation to their supervisor, Human Resources Manager, any other manager or a member of the Compliance Alliance, call the Ball Compliance Hotline or use the Compliance Hotline website.</p> <p>For more information access the Ball Business Ethics Code of Conduct: https://www.ball.com/codeofconduct For more information access the link: www.ballcompliancehotline.com</p>
10.6 Disciplinary practices	Conformance	<p>The Entity has a Child and Forced Labour Policy that seeks to strictly comply with all regulations and legislation related to Child Labour and Forced or slave Labour and that it does not engage in and does not tolerate the use of corporal punishment, mental coercion or physical, harassment and gender-based violence, including sexual harassment or verbal abuse of workers.</p> <p>For more information access the Ball Business Ethics Code of Conduct: https://www.ball.com/codeofconduct</p>
10.7a Remuneration (living wage)	Conformance	<p>The Entity respects the rights of Workers to a minimum wage and ensures that salaries are paid monthly in accordance with relevant legislation, including not only the minimum wage laws, but also the norms provided for in collective labour agreements.</p> <p>Evidenced that the Entity has a local Remuneration Policy, as well as Profit Sharing, Remuneration and Benefits mechanisms that respect Workers' rights to a decent wage and ensure that wages paid for a normal working period always follow the Applicable Law and industry standards regarding working hours (including overtime), holidays and paid annual leave.</p>
10.7b Remuneration (method of payment)	Conformance	<p>The Entity respects the rights of Workers to a minimum wage and ensures that salaries are paid monthly in accordance with relevant legislation, including not only the minimum wage laws, but also the norms provided for in collective labour agreements.</p> <p>Evidenced that the Entity has a local Remuneration Policy, as well as Profit Sharing, Remuneration and Benefits mechanisms that respect Workers' rights to a decent wage and ensure that wages paid for a normal</p>

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		working period always follow the Applicable Law and industry standards regarding working hours (including overtime), holidays and paid annual leave.
10.8 Working Time	Conformance	Evidenced that the Entity respects the rights of Workers to a minimum wage and ensures that salaries are paid monthly in accordance with relevant legislation, including not only the minimum wage laws, but also the norms provided for in collective labour agreements.
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	The Entity has implemented an Occupational Health and Safety Policy that senior management has endorsed and supports through the provision of resources: https://www.ball.com/getattachment/3dd3f008-3441-4a2a-b13e-a51154373058/CP-03-013-003-COMPL-Environmental-Health-Safety.pdf
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity has implemented an Occupational Health and Safety Policy that senior management has endorsed and supports through the provision of resources and which is applied to all Workers and Visitors present in any area or activities under the control of the Entity.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Entity has implemented an Occupational Health and Safety Policy that senior management has endorsed and supports through the provision of resources and complies with international standards and ILO Conventions on Occupational Health and Safety, including, where relevant, Conventions 155 and 176 of the ILO.
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity has implemented an Integrated Management System Policy, including Occupational Health and Safety, which senior management has endorsed and supports through the provision of resources and which determines that Workers have the right to understand the dangers and safe practices of their work and the authority to refuse or stop unsafe work.
11.2 OH&S Management System	Conformance	The Entity has an ISO 45001:2018 certified Management System in accordance with applicable national and international standards.
11.3 Employee engagement on health and safety	Conformance	The Entity has provided Workers mechanisms, including a joint health and safety committee, by which they can raise, discuss and participate in the resolution

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		of Occupational Health and Safety issues with management.
11.4 OH&S performance	Conformance	The Entity has evaluated its Occupational Health and Safety performance using performance indicators, following best market practices. The Entity constantly manages and assesses OH&S risks and works to eliminate and/or minimize these risks based on their severity.

Document Control and Version History

Revision	Date	Notes
0	8 November 2021	Initial Certification Audit – Provisional Certification
1	25 October 2022	Surveillance Audit – Full Certification