ASI Chain of Custody and Claims Working Group – Call 1

22 May 2025

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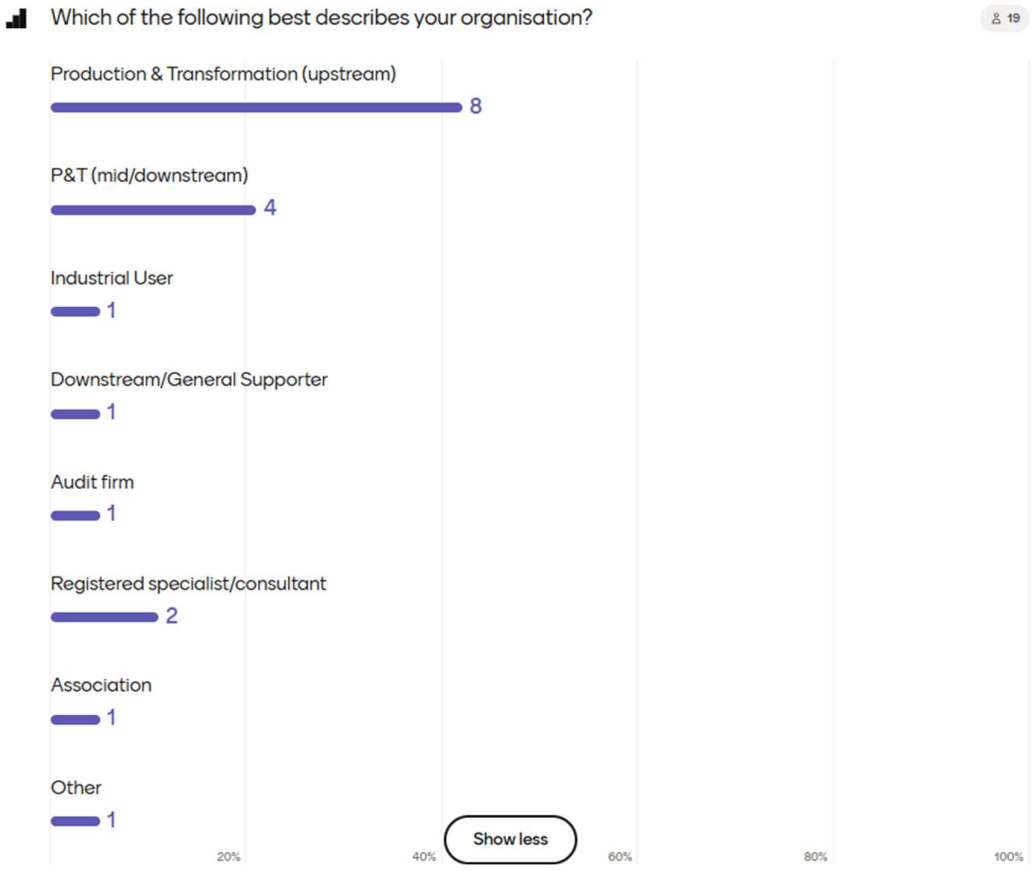
Agenda

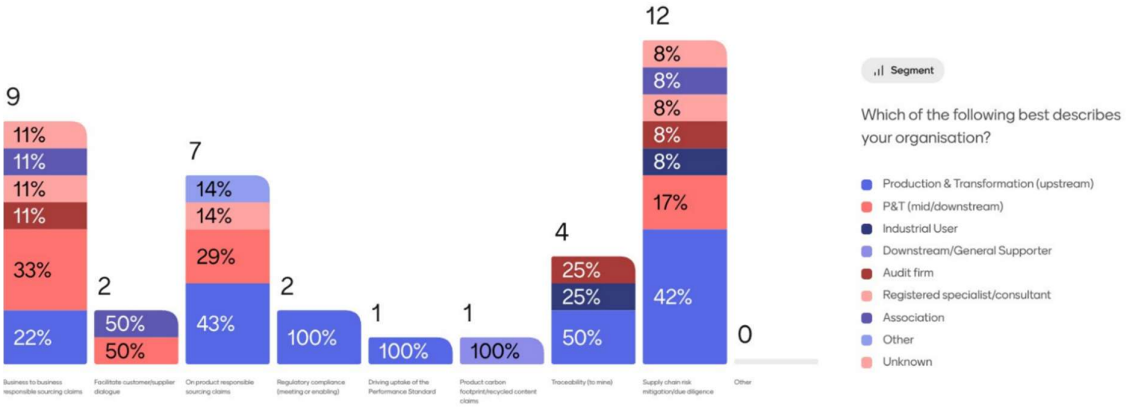
- Welcome and introduction
- Overview of the ASI Standard Revision
- Content areas:
    - Provide context on the current ASI CoC Standard
    - Recap from recent ASI Board and Standard Committee discussions
    - Categories of claims/ information flow
- Close and next steps

1. Welcome and process overview

- ASI gave an overview on the standard revision process and timeline, and the role of the Working Groups to provide input on the draft revised standards during 2025.
- ASI gathered initial input from participants on their type of organisation, and their views on the objectives of ASI's current chain of custody.



What do you think was/is the main purpose of ASI's Chain of Custody framework (underpinned by the COC Standard?) - CHOOSE UP TO 3



2. Overview of Current COC

- ASI provided background on the current ASI CoC Standard and its main objective. The Standard allows to track equivalent volumes of ASI (CoC) and non-ASI material across the supply chain using mass balance coc model.
    - The Standard is based on a group mass balance model allowing a lot of flexibility for Entities to define their 'group CoC' – across multiple sites, defined by region or business unit (site level).
    - ASI and non-ASI materials can be mixed during the production process across the group, as long as the total volumes are reconciled within a defined period.
    - ASI CoC Material is a certified material status that can start in two points in the supply chain: at Bauxite mines for primary aluminium, and at recyclers where the secondary aluminium re-enters the system. This includes following materials: ASI Bauxite, ASI Alumina, ASI Aluminium, Eligible Scrap. Group mass balance CoC model does not allow traceability at the product level, nor does it provide a guarantee of a physical ASI (CoC) material present within a specific product or shipment.

- Do we have statistics in terms of which scope has been chosen by certified Entities and position in the value chain?
    - **Action**: ***ASI to interrogate elementAl for information on this by the next meeting.***
    - Based on anecdotal, upstream often have integrated producers, at larger multi-national level usually regional or global in scope. Midstream e.g. rolling mills – highly variable, some individual facilities, some business-wide.
- Participants were asked for feedback on the impact organisations ability to CoC Certify & source/ supply CoC Material, and about the main pain points/ challenges of the current COC model. Main pain points cited were material accounting complexity as well as securing demand for ASI Aluminium.
- It was clarified that while product level traceability has been deemed out of scope currently for the COC revision, potential approaches around supply chain mapping (or origin information) will be considered.
    - It was noted by one participant that a transparent value chain would be a major help downstream as Tier 1 supplier are not sharing their suppliers name's so they are unable to track back.
- Currently only 3 ASI members are making on product claims (though more of their customers are able to leverage those claims). It was discussed whether ASI can do more to promote use of logo downstream, for example taking more of an FSC type approach where the logo follows the packaging not drinks company. ASI clarified that currently, non-certified customers of CoC certified Entities are able to make claims or put logos on products (refer to ASI Claims Guide for more information).
- Participants were asked what types of claims they would like to see.

Rate the following (where applicable) in terms of their impact on your organisation's ability to CoC Certify and to Source/Supply CoC Material

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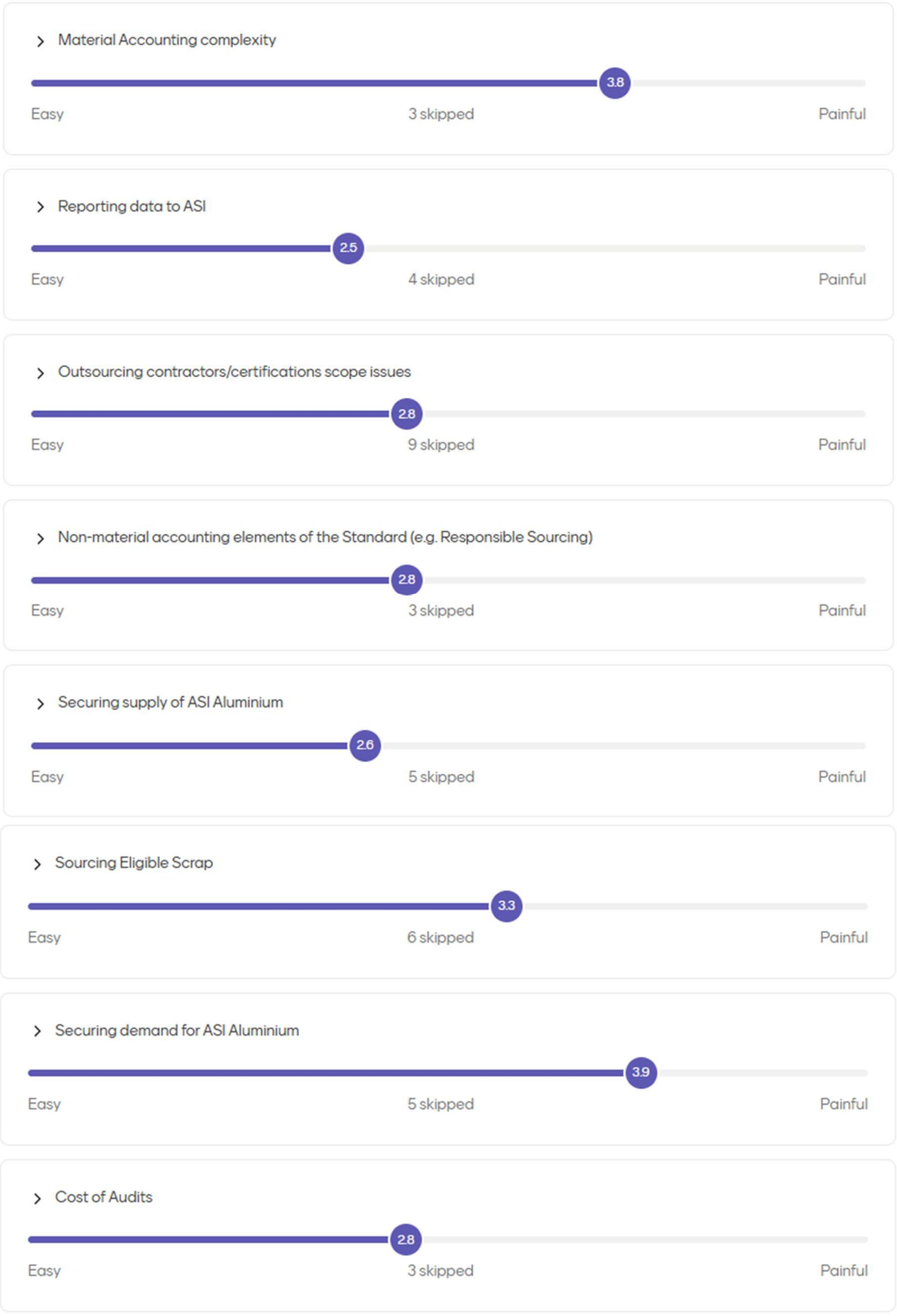

Any other pain points?

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- Use of logo should be explored.
- None at this time.
- The flowsheet proposed by ASI has some issues, for annual reporting
- Complexity for end-users to understand the system (Performance, CoC, mass-balance, etc...)
- Unfamaliar of customers with ASI.
- Recycled content and CO2 standards are key to end-users and would great traction for ASI if covered, but at the same time would be extremely if not impossible to put in place.
- ASI logo not widely recognized (yet!)
- As downstream beign on mass balance for our company it's a huge pain point
- The lack of need in products with ASI logo on the market. Lack of ASI materials demand on the whole.
- No Physical traceability is a pain point. Especially as current CoC doesn't provide an answer to some legislations (UFLPA), which makes it hard to use it as a risk mitigation measure
- No central IT Tool for reporting is a pain point. Every entity has to develop their own IT tools. Better to find a central solution and pay a fee for using it
- there is no benefit for the industrial user sector (which does not process aluminum) to undergo certification in order to use the logo

What types of claims around Chain of Custody (ASI Aluminium) would you like to see?

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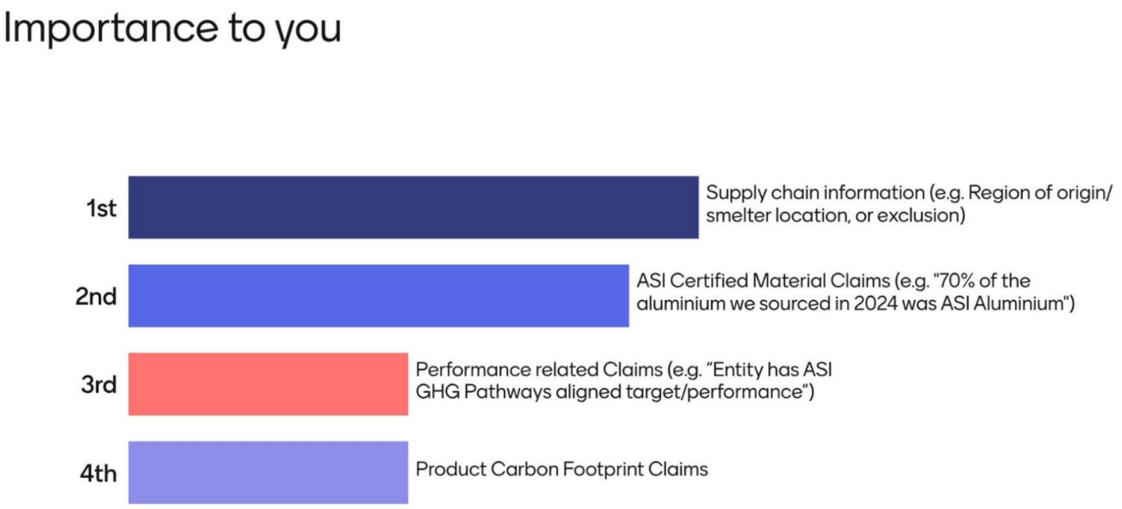
- To drive more value for users/members greater clarity between assurance based claims to marketing based claims
- Climate / GHG related claims when applying ASI Aluminium
- Some kind of guarantee/confidence that what is not CoC is still "clean"
- Responsible production and sourcing
- ASI pure or ASI 100%. ASI mix
- Robust understanding of what the added value is - even if mass balance.
- Modify CoC model from mass balance to controlled blending if not segregated

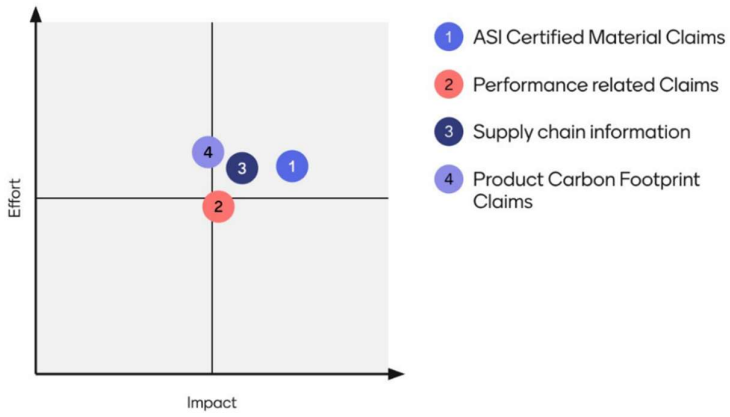
3. Opportunities for revision

- ASI provided further background on the opportunities for revision to Chain of Custody – starting with the 'end in mind' (e.g. the types of claims or information flow that will deliver value to Members).
- ASI introduced four main categories of claims/ information flow that are being explored:
    1. ASI material claims (based on current mass balance CoC, but potentially with some major streamlining)
    2. Performance claims
    3. Supply chain information/ due diligence support (e.g. supply chain mapping, origin information – but at supply chain level, not tied to specific product shipments)
    4. Allocated environmental quality claims (Product carbon footprint/ recycled content) etc)
- Participants were asked to rank priority areas for claims, with supply chain information and certified material claims ranked as top 2 priorities.



- Participants were asked to help identify where ASI should focus limited resources on these different categories of claims/
- ASI was asked for more data on current claims usage and the barriers for why eligible companies might not make claims:
    - No licensing fee charged currently for claims.
    - Relatively low use of aluminium in consumer facing products.
    - Most consumer facing is food and beverage e.g. can manufacturers and flexible packaging.
    - Globally packaging (flexible plus cans) makes up only around 10% of total aluminium semi product demand.
    - Some of these current users of on-product logos are driven by internal KPIs.

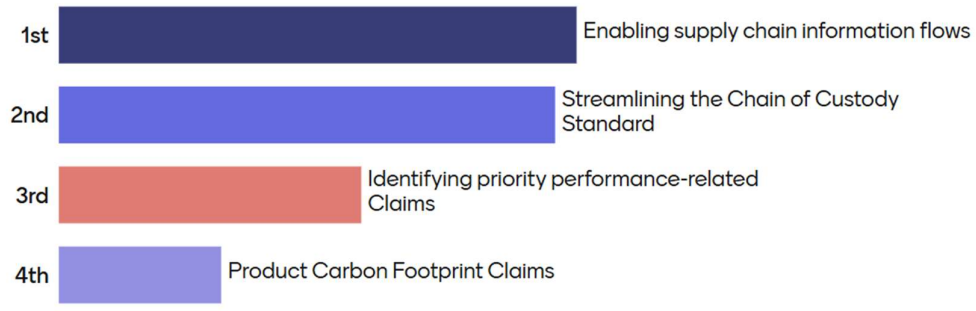
Where should we focus limited ASI resources to deliver value?



4. Next steps

- The next WG call is on 11 June. Participants were asked to vote for the priority areas to discuss next:

The next meeting should deep-dive on...



- **Action**: ***Next call to focus on supply chain information, with proposals shared in advance for:***
  - **PS criterion on information provision (push)**
  - **Enabling tool(s) for supply chain information (pull)**