

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Taishan City Kam Kiu Aluminium Extrusion Co., Ltd

CERTIFICATE NUMBER

304

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

CHINA QUALITY
MARK
CERTIFICATION
GROUP

DATE OF ISSUE

31 JANUARY 2024

DATE OF EXPIRY

30 JANUARY 2027

CERTIFIED SINCE

31 JANUARY 2024

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Ho', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Taishan City Kam Kiu Aluminium Extrusion Co., Ltd is located on Shiqiao Industrial Zone, Dajiang Country, Taishan City, China. The Entity mainly manufacture construction and industrial Aluminium profiles and grille.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Kam Kiu Aluminium Products Group Limited
ENTITY NAME	Taishan City Kam Kiu Aluminium Extrusion Co., Ltd
CERTIFICATION SCOPE	Taishan City Kam Kiu Aluminium Extrusion Co., Ltd is located on Shiqiao Industrial Zone, Dajiang Country, Taishan City, China. The Entity mainly manufacture construction and industrial Aluminium profiles and grille.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (4 – 5 March 2023)Surveillance Audit (1– 2 July 2025)
AUDIT FIRM	China Quality Mark Certification Group
AUDIT DATE	<ul style="list-style-type: none">4 – 5 March 2023 (Initial Certification Audit)1– 2 July 2025 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">5 July 2023 (Initial Certification Audit)11 August 2025 (Surveillance Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (4 – 5 March 2023)</u></p> <p>The Audit Scope included the activities involved in the production of Aluminium alloy industrial profiles, building profiles and Aluminium grates at Taishan City Kam Kiu Aluminium Extrusion Co., Ltd.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse <p>All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p> <p><u>Surveillance Audit (1– 2 July 2025)</u></p> <p>The Audit Scope included the activities involved in the production of Aluminium alloy industrial profiles, building profiles and Aluminium grates at Taishan City Kam Kiu Aluminium Extrusion Co., Ltd.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthouses

-
- Post-Casthouse

All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

AUDIT OUTCOME Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD 31 January 2024 – 30 January 2027

NEXT AUDIT TYPE Re-Certification Audit

NEXT AUDIT DUE DATE 30 January 2027

CERTIFICATE NUMBER 304



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Taishan City Kam Kiu Aluminium Extrusion Co., Ltd. (the 'Entity') is a manufacturer of Aluminium extruded profiles located in Shiqiao Industrial Zone, Dajiang Town, Taishan City, Guangdong Province, China. The Entity's products are used in a wide range of applications including consumer electronics, automotive, aerospace and marine applications, renewable energy systems and in construction projects.

The Entity has more than 30 years of production experience with the main activities including Aluminium rod casting, Aluminium extrusion, surface treatment and precision machining activities. The main Stakeholders include the Chinese government, corporate employees, the local environmental and social security agencies.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	Medium
RISKS	High
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity is an ASI member in the Production and Transformation class and undertakes to fulfill its membership obligations.
1.2 CoC Management System	Conformance	The Entity has established a Management System to manage all applicable requirements of the ASI Chain of Custody Standard. The Entity's CoC management activities are also integrated into other Management System activities, such as the ASI Performance Management System, Quality Management System, Environmental Management System and Social Responsibility Management System.
1.3 CoC Management System Monitoring	Conformance	The Entity reviews the CoC Management System annually, based on the ASI Chain of Custody Standard requirements and on practical implementation experience, and addresses potential non-conformities and areas for improvement.
1.4 Management Representative	Conformance	The Entity has designated a manager as the Management Representative responsible for the CoC Management System, and who has assigned responsibilities and powers.
1.5 Communications and Training	Conformance	The Entity has formulated an annual ASI training plan, organised various departments to conduct training on relevant requirements, job responsibilities, and procedures, and maintains relevant training records.
1.6 Records Management	Conformance	Records of the implementation of the CoC Management System are maintained by each relevant department. Records can be reviewed and traced when necessary and are retained for a minimum of five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity submits relevant information on the calculation of CoC Materials for the previous year to the ASI Secretariat prior to 30 June each year. The Entity's 2024 CoC Annual Report includes the Input and Output Quantities of CoC Materials and Non-CoC Materials.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity submits relevant information on the calculation of CoC Materials for the previous year to the ASI Secretariat prior to 30 June each year. The Entity's 2024 CoC Annual Report includes the Input and Output Quantities of Eligible Scrap.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity submits relevant information on the calculation of CoC Materials for the previous year to the ASI Secretariat prior to 30 June each year. The Entity's 2024 CoC Annual Report includes the Inflow and Outflow of Non-CoC Materials.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity submits relevant information on the calculation of CoC Materials for the previous year to the ASI Secretariat prior to 30 June each year. The Entity's 2024 CoC Annual Report includes the Positive Balance carried over.

CRITERION	RATING	COMMENT
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity submits relevant information on the calculation of CoC Materials for the previous year to the ASI Secretariat prior to 30 June each year. The Entity's 2024 CoC Annual Report includes the Positive Balance used.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity submits relevant information on the calculation of CoC Materials for the previous year to the ASI Secretariat prior to 30 June each year. The Entity's 2024 CoC Annual Report includes the Internal Overdraw.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity submits relevant information on the calculation of CoC Materials for the previous year to the ASI Secretariat prior to 30 June each year. The Entity's 2024 CoC Annual Report includes the Intra-Entity Flows of CoC Materials.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as Outsourcing Contractors do not take Custody of the Entity's CoC Material.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as Outsourcing Contractors do not take Custody of the Entity's CoC Material.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, as Outsourcing Contractors do not take Custody of the Entity's CoC Material.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, as Outsourcing Contractors do not take Custody of the Entity's CoC Material.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as Outsourcing Contractors do not take Custody of the Entity's CoC Material.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, as Outsourcing Contractors do not take Custody of the Entity's CoC Material.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, as Outsourcing Contractors do not take Custody of the Entity's CoC Material.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity has established a system to ensure the receipt and production of ASI Aluminium. ASI Aluminium is only produced by the facility within the Entity's CoC Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity is ASI Performance Standard certified, Certificate 136.
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity conducts Due Diligence on suppliers and purchases Pre-Consumer Scrap. However, the Entity does not yet account for this material as Eligible Scrap.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity has established the ASI CoC Recycled Aluminium Management Program that accounts for Scrap that is assessed as Post-Consumer Scrap following supplier Due Diligence. To date, the Entity has not purchased Post-Consumer Scrap from outside sources, nor does it use Post-Consumer Scrap.
4.2c Eligible Scrap (Dross)	Conformance	The Entity has established the ASI CoC Recycled Aluminium Management Program that ensures if Eligible Scrap is recovered from Aluminium Dross and other Aluminium-containing wastes, the suppliers should undergo Due Diligence.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity has established an ASI Management Manual, which details the requirements for supplier records including recording the supplier's identity, responsible person and business location in the Supplier ASI Performance Questionnaire.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	The Entity has detailed the requirements for management of supplier records in the ASI Management Manual. The Purchasing and Finance Departments are responsible for maintaining transaction and financial records, and they do so by monitoring purchase orders and purchase payment vouchers.

CRITERION	RATING	COMMENT
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established the ASI Management Manual, which defines that ASI Aluminium must be produced using only Eligible Scrap and Aluminium ingots purchased from ASI CoC Certified Entities. ASI Aluminium is produced only at the Entity's in-house foundry.
5.1b ASI Aluminium (Performance Standard)	Conformance	ASI Aluminium is produced only by the Entity's in-house foundry. The Entity is certified to the ASI Performance Standards. At present, the Entity does not purchase ASI Aluminium from external suppliers.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity's ASI Management Manual defines that if ASI Aluminium is purchased from a trader or other Entity, an investigation is required to confirm that the ASI Aluminium comes from an ASI CoC-Certified Entity. Products must be accompanied by a CoC Document. At present, the Entity does not purchase ASI Aluminium from external suppliers.
5.2 Unique Identification	Conformance	The Entity has established a Material Accounting System. Each batch of Aluminium rods is marked with a production batch number and circulated. The product flow of Aluminium rods indicates that ASI Aluminium Products correspond to the material accounting results. All the Aluminium rods are transferred to the internal extrusion workshop for production. At present, there are no external sales.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's Post-Casthouse facility is included in the CoC Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity's Post-Casthouse facility is included in the ASI Performance Standard Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has established a CoC Management System to ensure that all the Aluminium rods produced in its casting workshop are ASI Aluminium. The Entity does not purchase Aluminium rods from other manufacturers or traders.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has established an Anti-Bribery Management Procedure and Procurement Control Procedure that addresses the conduct of investigation and evaluation of suppliers prior to procurement. The Entity's Supplier ASI Performance Questionnaire includes anti-Bribery clauses.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has established a Procurement Control Procedure that addresses the conduct of investigation and evaluation of suppliers prior to procurement. The procurement contract includes the anti-Bribery, Human Rights, environmental and other requirements of the ASI Performance Standard. The Entity's Supplier ASI Performance Questionnaire includes responsible procurement clauses.

CRITERION	RATING	COMMENT
7.1c Responsible Sourcing Policy (Human Rights Due Diligence)	Conformance	The Entity has established a Procurement Control Procedure that addresses the conduct of investigation and evaluation of suppliers prior to procurement. The procurement contract includes the anti-Bribery, Human Rights, environmental and other requirements of the ASI Performance Standard. The Entity's Supplier ASI Performance Questionnaire includes a Human Rights Due Diligence clause.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has established a Procurement Control Procedure that addresses the conduct of investigation and evaluation of suppliers prior to procurement. The procurement contract includes the anti-Bribery, Human Rights, environmental and other requirements of the ASI Performance Standard. The Entity has no suppliers in Conflict-Affected and High-Risk Areas.
7.2 Risk Assessment and Mitigation	Conformance	The Entity has established a Supplier Investigation Procedure to assess the risk of supplier non-compliance in terms of quality, environment, Occupational Health and Safety, and social responsibility requirements. Where non-compliance is found, a rectification order is issued to the supplier and the rectification actions are tracked. The 2024 annual supplier investigation records confirmed there were no non-compliance risks
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a Complaint Resolution Mechanism to collect, process and respond to all complaints of all relevant parties.

8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	The Entity has established a Mass Balance System and management procedures that ensures the recording of Input and Output Quantities of CoC and Non-CoC Materials and Eligible Scrap within the Entity's Certification Scope. The System also records the internal flow of CoC Materials and Non-CoC Materials between supply chain activities.
8.2 Material Accounting Period	Conformance	The Entity has established a Material Accounting Period of 12 months.
8.3 Input and Inflow Quantities	Conformance	The Entity has established a Material Accounting System that ensures the quantity of each CoC Material and Eligible Scrap Input and the quantity of Non-CoC Material and Recyclable Scrap Material Inflow during the Material Accounting Period are recorded. The Inflow of Eligible Scrap and Recyclable Scrap should be assessed based on the Aluminium content.
8.4 Output Quantities of CoC Material	Conformance	During the Material Accounting Period, the Input Percentage of CoC Material = $(\text{Input Quantity of CoC Material}) / (\text{Input Quantity of CoC Material} + \text{Input Quantity of Non-CoC Material})$. The Output Quantity of CoC Material is calculated according to the Input Percentage.
8.5 Indivisibility of CoC Material	Conformance	The Output Quantity is defined in the Entity's ASI Management Manual. The Quantity of CoC Material shall be specified as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's ASI Management Manual specifies that the same percentage is used to determine the Output of ASI Aluminium during

CRITERION	RATING	COMMENT
		the Material Accounting Period for the Entity's own scrap generated during the production process.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI Management Manual specifies that during the Material Accounting Period, the total Output CoC Materials and Eligible Scrap does not exceed the Input Percentage of CoC Materials and Eligible Scrap.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI Management Manual specifies that an Internal Overdraw shall not exceed 20% of the total amount. The Entity had no Internal Overdraw in 2024.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI Management Manual specifies that an Internal Overdraw shall not exceed 20% of the total amount of CoC Material affected by a Force Majeure situation. The Entity had no Internal Overdraw in 2024.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's ASI Management Manual specifies that an Internal Overdraw shall be made up within the subsequent Material Accounting Period. The Entity had no Internal Overdraw in 2024.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI Management Manual specifies that a Positive Balance of CoC Material can be carried over to the next Material Accounting Period, and it must identify and label the continuation of the Positive Balance in the Material Accounting System.
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI Management Manual specifies that a Positive Balance generated in one Material Accounting Period and carried forward to the subsequent Material Accounting Period, shall expire at the end of that Period is not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity's ASI Management Manual specifies that a CoC Document must accompany each shipment or transfer of CoC Material to a CoC Certified Entity or Trader.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include the date of issue of the CoC Document.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include a reference number that is linked to the Entity's Material Accounting System and can be traced and verified.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include the name, address and CoC Certification number of the Entity.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include the name and address of the customer receiving the CoC Material, and if the customer is a CoC Certified Entity, their CoC Certification number is required.

CRITERION	RATING	COMMENT
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include details of the Entity's responsible person who verifies the information in the CoC Document before it is sent to the customer. No CoC Documents have yet been issued.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include a confirmation statement that "the information provided in the CoC Documents meets the ASI CoC standards." No CoC Documents have yet been issued.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include the type of CoC Material in the shipment. No CoC Documents have yet been issued.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include the mass of CoC Material in the shipment. No CoC Documents have yet been issued.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include the mass of total Material in the shipment. No CoC Documents have yet been issued.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include appropriate Sustainability Data, such as the average carbon footprint of CoC Materials and accounting methods. There have been no shipments of CoC Material to other Entities.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include appropriate Sustainability Data, such as information supporting the source of Aluminium.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include the corresponding Sustainability Data, such as the recycled Aluminium content of CoC Materials, including Pre-Consumer Scrap and Post-Consumer Scrap.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity's ASI Management Manual specifies that CoC Documents shall include appropriate Sustainability Data such as the ASI Certification Status for the ASI Performance Standard of the Entity and/or Facility that issued the CoC Document.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity's ASI Management Manual specifies that if CoC Documents include Supplementary Information about the Entity or CoC Material, it must be supported by objective evidence.
9.5 Verification of Information	Conformance	The Entity's ASI Management Manual identifies that the Entity is responsible for responding to requests for the verification of information in CoC Documents and providing support to interested parties. The Entity has appointed a responsible employee for this task.
9.6 Error (Shipping)	Conformance	The Entity has an ISO 9001 certified Management System that includes a procedure to address errors, and where an error occurs, the root cause is analysed, and appropriate corrective actions are

CRITERION	RATING	COMMENT
		implemented to prevent a recurrence. To date, no CoC Material has been shipped.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has established a procedure to verify the required information in CoC Documents received.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has specified in the ASI Management Manual that when receiving CoC materials and documents, it should verify whether the received CoC Documents are consistent with the CoC Material or Eligible Scrap received prior to recording information in the Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has developed a procedure to verify the ASI CoC Certification Status of the vendor, which includes a periodic check of the ASI website.
10.4 Error (Reception)	Conformance	The Entity has implemented a Management System that includes procedures to address errors, and where an error occurs, analyses the causes of errors and implements relevant corrective actions to prevent a recurrence. To date, no errors have occurred.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's ASI CoC Management Manual states that all declarations should comply with the ASI Claims Guide and sets out the related responsibilities and authorities. To date, no claims have been made that violate the requirements of the ASI standard.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity's ASI CoC Management Manual includes documentation and record procedures that require all declarations are supported with verifiable evidence.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has provided training on the ASI Standards and ASI claims to employees. Employee training records are kept.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	31 January 2024	Initial Certification Audit – Full Certification
1	27 March 2026	Surveillance Audit
