ASI CERTIFICATION **PERFORMANCE STANDARD**

PRESENTED TO

Bocar

CERTIFICATE NUMBER	ASI STANDARD	CERTIFICATION LEVEL	ASI ACCREDITED AUDITING FIRM
527	**PERFORMANCE STANDARD (V3.1 2023)**	**PROVISIONAL CERTIFICATION**	**DQS CFS**
	DATE OF ISSUE	DATE OF EXPIRY	CERTIFIED SINCE
	18 AUGUST 2026	**17 AUGUST 2027**	**18 AUGUST 2026**

AUTHORISED BY

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@Aluminium-stewardship.org

*Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at **www.Aluminium-stewardship.org***

CERTIFICATION SCOPE

Manufacture of Aluminium parts for the automotive industry at Bocar facilities, including AUMA S.A. de C.V., in Chihuahua, AUMA Saltillo S.A. de C.V. (AUSAL), in Saltillo, and Bocar Lerma S.A. de C.V., in Lerma (Mexico).

* Provisional Certification is valid for the period of one year, during which the company can address the non-conformances assessed and subsequently seek full certification.

AUDIT REPORT **PERFORMANCE STANDARD**

OVERVIEW

MEMBER NAME	Bocar Group
ENTITY NAME	Bocar
CERTIFICATION SCOPE	Manufacture of Aluminium parts for the automotive industry at Bocar facilities, including AUMA S.A. de C.V., in Chihuahua, AUMA Saltillo S.A. de C.V. (AUSAL), in Saltillo, and Bocar Lerma S.A. de C.V., in Lerma (Mexico).
SUPPLY CHAIN ACTIVITIES	• Aluminium Re-melting/Refining • Casthouses • Material Conversion
ASI STANDARD	• Performance Standard V3.1
AUDIT TYPE	• Initial Certification Audit
AUDIT FIRM	DQS CFS
AUDIT DATE	• 31 March 2025 – 26 April 2025
AUDIT REPORT SUBMISSION	• 23 December 2025
AUDIT SCOPE	The Audit Scope includes the manufacture of Aluminium parts for the automotive industry at Bocar facilities, including AUMA S.A. de C.V., in Chihuahua, AUMA Saltillo S.A. de C.V. (AUSAL), in Saltillo, and Bocar Lerma S.A. de C.V., in Lerma (Mexico). Supply chain activities included in the Audit Scope: • Aluminium Re-melting/Refining • Casthouses • Material Conversion All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.
AUDIT OUTCOME	• Provisional Certification
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that: ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.

	☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	18 August 2026 – 17 August 2027
NEXT AUDIT TYPE	Surveillance Audit
NEXT AUDIT DATE	17 March 2027
CERTIFICATE NUMBER	527
	If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: https://Aluminium-stewardship.ethicspoint.com/ EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations. Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Bocar (the "Entity") is a dedicated manufacturer of aluminium components for the automotive industry, utilising high-pressure die casting, machining, and assembly processes to produce components for engine, powertrain, structural, and electric mobility applications. The primary destination of the Entity's automotive products is the North American market. General and administrative services are provided by the corporate headquarters located in Mexico City.

The Entity consists of three production facilities in Mexico out of a total of nine Bocar sites.

AUMA S.A. de C.V. is located in Chihuahua, Mexico, and manufactures high-pressure die casting (HPDC) automotive Aluminium parts using melting, die casting, finishing, machining, and assembly processes. The facility employs approximately 1,081 people, including 439 women.

AUMA Saltillo S.A. de C.V. is located in Saltillo, Coahuila, Mexico, and manufactures high-pressure die casting (HPDC) automotive Aluminium parts using melting, die casting, finishing, machining, and assembly processes. The facility employs approximately 840 people, including 409 women.

Bocar Lerma S.A. de C.V. is co-located with the operational headquarters in Lerma, State of Mexico, Mexico, and manufactures high-pressure die casting (HPDC) automotive Aluminium parts using melting, die casting, finishing, machining, and assembly processes. The Lerma facility employs approximately 1,110 people, including 350 women.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Low	MEDIUM
RISKS	Medium	Medium	Low	MEDIUM
PERFORMANCE	Medium	Medium	Low	MEDIUM
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has implemented a legal Compliance framework through established procedures and processes, and by implementing corrective and preventive measures as required. Site-specific legal requirements matrices that identify all Applicable Law, including environmental permit requirements, are maintained. Compliance is regularly assessed, and the Entity is implementing corrective actions for identified minor non-conformities, with defined timelines and follow-up mechanisms.
1.2 Anti-Corruption	Conformance	The Entity has developed and implemented Policies, systems, procedures, and processes to address anti-Corruption and anti-Bribery requirements. The Anti-Corruption and Anti-Bribery Policy define the roles and responsibilities for the Entity's Ethics Committee, compliance functions, employees, and third parties, and is supported by procurement controls and donation guidelines designed to prevent unethical practices. The Anti-Corruption and Anti-Bribery Policies are listed in the Entity's Code of Integrity, available at: https://www.bocar.com/fileadmin/data/footer/pdf/bocar-corporate-integrity-code-of-integrity-en.pdf
1.3a-e Code of Conduct	Conformance	The Entity has developed and implemented Policies, systems, procedures, and processes to address business integrity, Human Rights, and ethical conduct across its operations. The Entity has established a Corporate Integrity Code, which defines commitments related to Human Rights, corporate responsibility, sustainability, ethical conduct, and data protection, and includes accessible reporting channels for internal concerns. The Code of Integrity is publicly available at: https://www.bocar.com/fileadmin/data/footer/pdf/bocar-corporate-integrity-code-of-integrity-en.pdf
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has established both an Environmental, Occupational Health and Safety Policy and a Sustainability Policy, which address issues related to social responsibility, Human Rights, Materiality, governance, the environment, Occupational Health and Safety, and sustainability objectives. The Policies are communicated via email, noticeboards, folders, staff inductions, the intranet and via the Entity's website: https://www.bocar.com/en/corporate/
2.2a-c Leadership	Conformance	The Entity demonstrates leadership and commitment to Environmental, Social, and Governance (ESG) performance across its businesses. Leadership responsibilities are defined for monitoring compliance and performance indicators, supported by the implementation of Policies and the Code of Integrity across all organisational levels. Governance mechanisms, including a Sustainability Committee which meets regularly, have been established.
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity has implemented an Environmental Management System, which is ISO 14001 certified. Additionally, the Entity demonstrates its commitment to environmental management through the 'ESR

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		Distinction', a local recognition for Corporate Social Responsibility that addresses environmental issues.
2.3b Environmental and Social Management Systems - Social	Conformance	The Entity has implemented Management Systems that are certified to ISO 14001 and ISO 45001 to address environmental and Occupational Health and Safety aspects. The commitment to social responsibility and sustainability is further demonstrated through recognised external frameworks, including the 'ESR Distinction' and an ECOVADIS assessment. The ESR Distinction is available at: https://esr.cemefi.org/publicacion, Details of the ECOVADIS assessment are available at: https://www.ecovadis-survey.com/app/#/my-performance/score-details?themeName=ENV
2.4a-e Responsible Sourcing	Minor Non-Conformance	The Entity has established procurement procedures, which incorporate supplier evaluation criteria, sustainability questionnaires, and Due Diligence mechanisms, including supplier audits. The Entity also maintains a Conflict Minerals Policy aligned with the Dodd-Frank Act, supported by the use of the CMRT tool and supplier registration under the Responsible Minerals Initiative, demonstrating controls to prevent sourcing from Conflict-Affected and High-Risk Areas (CAHRAs). The Entity's Responsible Source Policy, however, is not publicly available. Additionally, at the Saltillo site, the Due Diligence process has not been effectively implemented.
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity has developed site-specific Environmental Impact Assessments and supporting documentation, including studies defining the sites' Areas of Influence and evaluating environmental impacts, as well as safety release mechanisms for New Projects.
2.6a-h Human Rights Impact Assessment	Conformance	The Entity has developed and implemented Human Rights Impact Assessments across its business. The assessments identify key Human Rights risks, including the rights to a healthy environment, health, access to information and participation, non-discrimination, and access to grievance mechanisms, and are supported by Human Rights Protection Plans that address communication, monitoring, Labour inclusion, and community engagement.
2.7a-f Emergency Response Plan	Conformance	The Entity has developed and implemented emergency preparedness and response systems across its operations, and it has developed both site-specific Emergency Plans and Internal Civil Protection Programs that identify a wide range of potential emergency scenarios, including fires, explosions, gas leaks, chemical spills, natural events, and operational incidents. Emergency drills are undertaken and evaluated. Emergency Plans are available upon request.
2.8a-d Suspended Operations	Conformance	The Entity has developed and implemented Business Continuity Plans across its operations. These Plans define activation criteria, roles and responsibilities, risk evaluation methodologies, and recovery strategies to ensure operational resilience. The Entity conducts risk-based assessments and establishes recovery scenarios for critical events. Governance structures, including Crisis Committees and designated Business Continuity Leaders, are in place to manage response and recovery phases. The Plans include operational controls for shutdown,

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		start-up, and restoration activities, as well as coordination with suppliers and contractors.
2.9a-b Mergers and Acquisitions	Minor Non-Conformance	The Entity has established a Procedure for Mergers and Acquisitions of Industrial Plants, which is applicable across its Business. The procedure defines governance through a dedicated Mergers and Acquisitions Committee and outlines phases including initial evaluation, Due Diligence, valuation and negotiation, closing, and operational integration, with assigned responsibilities across legal, financial, technical, compliance, and Human Rights functions. No merger or acquisition projects were identified for 2024–2025. However, the procedure does not explicitly assess the historical ESG impacts of potential targets, nor does it fully define post-acquisition mechanisms for Indigenous Peoples or vulnerable communities. Although a Consultation Plan has been established, further development is required to ensure comprehensive Due Diligence and Stakeholder engagement.
2.10a-b Closure, Decommissioning and Divestment	Minor Non-Conformance	The Entity has partially addressed closure, decommissioning and divestment considerations across its operations. It has not, however, fully established a structured, implemented approach to closure planning, including defined responsibilities, resource allocation, and site rehabilitation measures, indicating gaps in alignment with the requirements.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity has developed a Sustainability Report 2024, covering performance in 2023 and addressing governance, environmental, social, and community-related topics. The Sustainability Report is available at: https://www.bocar.com/newsroom/news/detail/bocar-releases-2024-sustainability-report The Entity has identified Material topics through a structured Materiality assessment that is aligned with the relevant Sustainable Development Goals (SDGs) and a CEERO (Circular Economy and business design) framework, addressing CO_2 reduction, engagement, ethics and governance, resource management, and product portfolio optimisation. The Entity reports environmental performance indicators, including Greenhouse Gas (GHG) emissions, waste management, water consumption, and the use of recycled Aluminium, as well as social indicators such as workforce gender distribution and commitments to increase female representation in leadership roles.
3.2 Non-compliance and Liabilities	Conformance	The Entity has implemented Policies, systems, procedures, and processes to manage legal Compliance and reporting obligations across its business. The Entity maintains both the Procedure for Information Requirements and Legal Reports and the Integrity Code, which define mechanisms for identifying, reporting, and managing compliance and ethical conduct. The Code is available at: https://www.bocar.com/fileadmin/data/footer/pdf/bocar-corporate-integrity-code-of-integrity-en.pdf No fines or legal proceedings were identified across the Facilities.
3.3a-c Payments to Governments	Conformance	The Entity maintains an Integrity Code, which defines criteria for gifts, travel, hospitality, donations, sponsorships, interactions with

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		government officials, facilitation payments, political contributions, and anti–money laundering practices. The Code is available at: https://www.bocar.com/fileadmin/data/footer/pdf/bocar-corporate-integrity-code-of-integrity-en.pdf These commitments are reinforced by the Entity's Sustainability Report 2024, which establishes requirements for managing and disclosing payments to government-related entities, thereby ensuring transparency and mitigating risks in third-party transactions. https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pdf
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has established a Complaints Procedure, which defines the receipt, management, and follow-up of complaints through the 'Bocar Te Escucha' channel, allowing both anonymous and identified reporting. The procedure is aligned with the Integrity Code. There are multiple reporting channels, including a toll-free line, website page (www.tipsanonimos.com/bocarteescucha), email (bocarteescucha@tipsanonimos.com), postal service, and fax, ensuring broad stakeholder access. Governance is provided by an Ethics Committee composed of senior management, supported by a Forensic Auditor responsible for investigations and follow-up. Additionally, the Entity has implemented a Supplier Code of Ethics that sets expectations for suppliers and contractors regarding legal compliance, anti-Corruption, Human Rights, non-Discrimination, and sustainability. Outcomes of complaints and follow-up actions are disclosed in the Entity's Sustainability Report.
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has developed a Life Cycle Assessment (LCA) that considers its major Product lines. The methodology is defined and integrates the evaluation of the environmental footprint, supply chain life cycle, and environmental impact inventory across core and support processes, supported by the Entity's Environmental Impact Matrix. While the LCA framework consistently evaluates impacts under the Entity's control, impacts where it has influence, but not direct control is not currently included, indicating an opportunity to further strengthen alignment with life cycle thinking principles.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	The Entity has developed an LCA across its operations. The methodology is defined and integrates the evaluation of environmental footprint, supply chain life cycle, and environmental impact inventory across core and support processes, supported by the Entity's Environmental Impact Matrix. However, the scope of the Entity's LCA does not address a cradle-to-gate approach.
4.2 Product Design	Not Applicable	This Criterion is not applicable to the Entity as it does not perform Product design activities. However, it has implemented processes to integrate life-cycle considerations and CO2-reduction objectives into its operations.

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4.3a-b Aluminium Process Scrap	Conformance	The Entity has implemented controls for the use, recycling, and management of Aluminium Scrap across its operations. At the Chihuahua and Saltillo sites, the Entity applies its 'T Aluminium and Ferrous Casting Requirements', which define allowable percentages for remelted Aluminium for different Product categories. Material sourcing and consumption are monitored through procurement records, including supplier traceability and alloy specifications. At the Lerma site, the Entity has implemented a structured Scrap-reduction programme, supported by defined roadmaps and monitoring mechanisms. The site recycles 100% of generated Aluminium Scrap through controlled processes, reintegrating materials into production. Scrap performance is monitored, and operations are supported by regulatory authorisation for Scrap recovery.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Minor Non-Conformance	The Entity has implemented a standardised approach to Aluminium recycling and supplier engagement. It applies homogeneous controls to ensure that Aluminium Scrap used in production is internally generated, restricts the use of external Scrap, and maintains defined reintegration rates within its own processes. The Entity requires its suppliers to undertake a sustainability self-assessment, which addresses, among other criteria, resource consumption, to provide the Entity with data on suppliers' Aluminium recovery rates. Currently, 92% of the Aluminium used in the Entity's production is Recycled Aluminium. However, the Entity has not publicly disclosed its recycling strategy.
4.4d Collection and Recycling of Products at End of Life	Minor Non-Conformance	The Entity requires its suppliers to undertake a sustainability self-assessment that addresses, among other criteria, resource consumption, and to provide the Entity with data on suppliers' Aluminium recovery rates. The Entity, however, has not described how it collaborates with local collection and recycling of its products at the end of life.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	The Entity has implemented systems and processes to quantify, monitor, and report its GHG emissions and energy use. The Entity reports emissions under Scopes 1, 2, and 3, with defined long-term reduction targets toward 2030, and complies with legal requirements through the Annual Operation Certificate (COA) for each site. Site-specific emissions are quantified and monitored. Emissions sources, energy consumption, and GHG categories are tracked, and data is third-party verified. Energy and GHG emissions data are available in the Entity's Sustainability Report at: https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pdf https://drive.google.com/drive/folders/1o40h-uBK2TS_xxhfR39VJw1zqK3xqisE However, the Entity has not publicly disclosed its energy use by source.
5.2a Aluminium Smelter GHG Emissions Intensity –	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

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Started production after 2020		
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	The Entity has developed and implemented GHG emissions reduction strategies across Scopes 1, 2, and 3 that are aligned with the ASI method and the Science Based Targets initiative (SBTi) methodology. By 2030, we aim for a 46.2% reduction in scopes 1 and 2 emissions compared to the 2019 baseline. For Scope 3, the goal is a 42% reduction compared to the 2021 baseline. The Entity has defined strategic initiatives to support emissions reduction, including a target to achieve 60% renewable electricity consumption by 2028 and the implementation of projects to improve energy efficiency and address Scope 3 emissions from purchased goods and services.
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Minor Non-Conformance	The Entity's GHG emissions reduction plans include operational efficiencies, technological improvements, increased use of renewable energy, and supplier-related initiatives, with reduction targets of 45% for Scope 1, a 60% contribution from 'clean' energy for Scope 2, and significant Scope 3 reductions driven by Aluminium innovation and procurement strategies. The GHG Emissions Reduction Plan is available in the Sustainability Report, pages 20-29: https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pdf However, the Entity has not demonstrated an intermediate target setting.
5.4 GHG Emissions Management	Conformance	The Entity has developed and implemented a structured carbon management system to monitor and reduce GHG emissions across its operations. It implements scope-specific reduction strategies with actions supported by defined performance indicators and Group-level monitoring systems.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	The Entity has implemented systems and processes to assess and monitor Emissions to Air. At the Chihuahua site, atmospheric emissions assessments conducted by an external provider confirm emissions from stationary sources are within maximum permissible limits, with annual monitoring supporting regulatory compliance. The Saltillo site has conducted noise emissions studies and dispersion modelling to evaluate environmental impacts beyond the Facility boundary, identify critical equipment, and validate compliance with applicable limits. The Lerma site has established methodologies to define the Area of Influence and assess environmental impacts through tools such as the Leopold Matrix, addressing air quality, noise, and odour impacts,

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		supported by monitoring programmes and preventive maintenance controls. The Entity's Emissions to Air are available in the Sustainability Report, page 29: https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pdf
6.2a-g Discharges to Water	Conformance	The Entity has implemented systems and processes to manage water consumption, wastewater treatment, and water-related risks across water performance and reduction initiatives are available in the Sustainability Report, pages 33-35: https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pd The Chihuahua site maintains a water balance, legal groundwater extraction permits, and wastewater discharge controls, supported by treatment systems, monitoring equipment, and projects to increase water reuse and reduce consumption. At the Saltillo site, wastewater is treated through biological and physicochemical processes, with monitoring aligned with standards. The Lerma site has conducted water risk assessments and operates wastewater treatment systems, supported by compliance studies and improvement actions to enhance water quality management.
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has implemented systems, procedures, and controls to identify and prevent Spills and Leakages across its Facilities. At the Chihuahua site, risks are identified and controlled through procedures and the Internal Civil Protection Program, supported by personnel training and Spill containment measures. The Saltillo site applies the 'Control of Leaks and Consumables' instruction and has established preventive and corrective actions for hazardous material leaks within its Area of Influence. At the Lerma site, chemical spill prevention and response are managed through operational controls supported by detection, ensuring compliance with equipment and hazardous material transport requirements.
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has established emergency communication mechanisms, including coordination with external authorities and specialised organisations such as Civil Protection, Fire Departments, and the Red Cross, ensuring timely response and mitigation. The Entity complies with regulatory requirements by formally reporting Spills and Leakages to the relevant state authorities and disclosure platforms (SEMARNAT). Additionally, environmental incidents and related information are publicly disclosed in the Sustainability Report, page 72: https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pd
6.5a-c Waste Management and Reporting	Conformance	The Entity has implemented the Identification of Hazardous and Special Handling Waste Procedure that defines risk identification, legal requirements, and controls for waste generation, handling, transportation, recycling, and final disposal. It monitors waste streams through defined indicators, including waste sent to landfill, and applies operational controls such as pre-shipment verification for Hazardous Waste transportation. Waste reduction initiatives are implemented, including projects with external providers to minimise sludge disposal

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		and improve resource efficiency. Supplier requirements for waste management are established, ensuring compliance with legal authorisations. At the Lerma site, additional controls include environmental risk assessments for waste storage areas, leak prevention mechanisms, and certified environmental management practices, supported by waste management plans and regulatory authorisations. Hazardous and Non-Hazardous Waste data are available in the Sustainability Report: https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pd
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity's management of Aluminium slag and fusion-related waste at the Chihuahua site was reviewed. The Entity has implemented a Waste Management Plan and various procedures and operational instructions to ensure proper identification, handling storage, recovery, and final disposition, including co-processing, re-melting, and disposal in sanitary landfills. Measures to reduce slag generation, such as the preventive maintenance of melting furnaces, are implemented. The Entity uses an authorised provider for treatment of Dross.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity has developed and implemented systems and processes to monitor, control, and reduce water consumption. The Entity maintains monthly water balances through logbooks that record input, output, and treatment flows, enabling the identification of high-consumption processes and supporting decision-making. The Entity has established a structured Water Strategy based on cooling systems optimisation, reverse osmosis efficiency, and leak detection and control, that is supported by operational monitoring, maintenance, and inspection programmes. Water performance and targets are disclosed in the Sustainability Report, available at: https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pdf
7.2a-e Water Management	Conformance	The Entity has implemented systems and processes to identify, assess, and manage water-related environmental impacts and risks, including defining water sourcing, use, and discharge points, along with associated risks, such as groundwater extraction, industrial consumption, wastewater discharge, and potential losses from Spills or Leakages. The Entity has identified risks related to aquifer overexploitation and potential impacts on surrounding communities and Biodiversity, and has established mitigation measures, including monitoring discharge points, secondary containment systems, and implementing leak detection and emergency controls. Water-related risks, mitigation strategies, and performance are communicated in the Sustainability Report, available at:

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		https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pdf
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Minor Non-Conformance	The Entity has undertaken an Environmental Impact Study – Biodiversity Component, which evaluated impacts within a five kilometre area of each site. It has identified site-specific impacts and risks, including loss of vegetation, disturbance to fauna, habitat fragmentation, and the potential introduction of exotic species. These impacts vary in intensity, persistence, and reversibility, with higher-risk conditions identified particularly at the Lerma site due to alterations to the biological corridor and material transportation routes. The Entity has defined mitigation measures and action plans to address these impacts. However, additional information on the surroundings of each Facility to determine its Biodiversity and cultural environment is required. Additionally, there is no clarity on the outcome of the risk assessment, including the determined risk levels.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as it has not identified situations in which the Entity contributes to harmful impacts on the local Ecosystem Services. However, the Entity has undertaken an Environmental Impact Study that addresses vegetation, fauna, habitat fragmentation, exotic species, and ecological conditions.
8.2a-g Biodiversity Management	Minor Non-Conformance	The Entity has developed a Biodiversity and Ecosystem Services Management Plan that defines objectives and actions, including vegetative barriers, revegetation, invasive species control, environmental training, ecological zoning, and vegetation and fauna monitoring, with responsibilities assigned to site Environmental Coordinators. The Entity supports biodiversity management through Environmental Impact Authorisations and Preventive Environmental Impact Reports, ensuring alignment with regulatory conditions applicable to each site. Additional tools include thematic mapping, flora and fauna inventories aligned with national standards, and site-specific controls such as management of pollinator species. The Plan establishes periodic review cycles and allows integration of external specialists when required. However, the implementation of management controls is currently limited to site boundaries and does not extend to the broader areas of influence where impacts have been identified. Additionally, no evidence of the execution of the defined actions was observed. Also, the Biodiversity Action Plan is not publicly available.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the Environmental Impact Study – Biodiversity Component did not identify any Priority Ecosystem Services.
8.4 Alien Species	Conformance	The Entity has implemented processes to identify risks associated with exotic, invasive, and protected species. The Environmental Impact Study – Biodiversity Component defines areas of influence and identifies species interacting with operations. Site-specific biodiversity risks, including invasive fauna and flora and protected species that require specific management considerations, have been identified. It maintains controls over logistics and material flows through

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		compliance with national customs requirements and Customs Trade Partnership Against Terrorism (CTPAT) certification, to prevent the introduction or spread of invasive species.
8.5a-b Commitment to 'No Go' in World Heritage Properties	Conformance	The Entity has confirmed that it does not conduct operations, expansion projects, or any activities within or near World Heritage Sites. Consequently, there are no actual or potential environmental, cultural, or social impacts associated with World Heritage properties resulting from the organisation's activities.
8.6a-d Protected Areas	Conformance	The Entity has determined that there are no Protected Areas within its Areas of Influence nor any impacted by its current operations.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Minor Non-Conformance	The Entity maintains both a Human Rights Policy and a Justice, Equity, Diversity and Inclusion (JEDI) Policy, which are supported by governance structures, including the Administrative Committee and the JEDI Sub-Committee. The Entity reinforces these commitments through recruitment and selection practices that promote gender representation, psychosocial risk assessments, training on the Code of Integrity, which aligns with the Universal Declaration of Human Rights, and formal Grievance Mechanisms. The Code of Integrity is publicly available at: https://www.bocar.com/fileadmin/data/footer/pdf/bocar-corporate-integrity-code-of-integrity-en.pdf The Entity has established targets for increasing women's representation in leadership positions and monitors diversity indicators and workplace conditions. However, the Entity has not publicly disclosed its Human Rights Policy, and the Due Diligence document needs to indicate when it was conducted to comply with the 5-year update requirement. Also, the Human Rights Assessment has not fully aligned with internationally recognised Human Rights frameworks. Additionally, integration with outcomes from the Integrity Program and updated gender-related assessments is limited, indicating gaps in ensuring a fully integrated and up-to-date approach to Human Rights risk management.
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has implemented the JEDI Policy, which defines commitments to non-Discrimination, inclusion, and equal opportunities, supported by structured governance and implementation tools. The Entity has deployed programmes such as 'Juntas por tu empresa' to provide legal, psychological, and social support; the 'I Am Safety' application for employee well-being and emergency assistance; and talent development initiatives such as 'Grow Talent'. These are complemented by site-level activities, including training sessions, dialogue forums, and recognition

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		programmes aimed at strengthening women's participation and empowerment. The Entity has established strategic objectives under its CEERO framework, including a target of 20% of women in leadership positions by 2030, and has identified JEDI as a Material topic in its Sustainability Report 2024. At the time of the Audit, no women held managerial positions, highlighting an opportunity to improve gender representation targets.
9.3a-i Indigenous Peoples	Conformance	The Entity has conducted a Human Rights Impact Assessment that considered a five kilometre Area of Influence for each site. There are no Indigenous Peoples or vulnerable groups located within the Entity's Area of Influence, and no direct or indirect adverse Human Rights impacts were identified.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples or vulnerable groups located within the Entity's Area of Influence and no New Projects, acquisitions, facility construction, or significant operational changes are planned.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples or vulnerable groups located within the Entity's Area of Influence and no New Projects, acquisitions, facility construction, or significant operational changes are planned.
9.5a Cultural and Sacred Heritage – Identification	Minor Non-Conformance	The Entity has verified the presence of UNESCO World Heritage Sites and has found that the Paquimé Archaeological Zone is located approximately 300 km from the Chihuahua site. The Teotihuacan Archaeological and Pre-Hispanic Zone is approximately 120 km from the Lerma site, and no World Heritage Sites are located near the Saltillo site. The Entity, however, has not demonstrated that it has identified whether it has an impact on the cultural and sacred heritage.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples or vulnerable groups located within the Entity's Area of Influence and no New Projects, acquisitions, facility construction, or significant operational changes are planned.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as no New Projects, acquisitions, facility construction, or significant operational changes have occurred or been planned since the Entity joined ASI.
9.7a-h Affected Populations and Organisations	Conformance	The Entity has established a governance framework that includes Policies addressing Human Rights, equity, diversity, inclusion, and ethical conduct. The Policy to Respect Human Rights establishes commitments to respect, protect and promote Human Rights, including those of Indigenous Peoples and Vulnerable Groups.

CRITERION	RATING	COMMENT
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	The Entity maintains formal procedures that define controls for supplier Due Diligence, traceability, and restriction of conflict minerals. The Entity implements tools such as the Conflict Minerals Reporting Template (CMRT) and integrates requirements from the International Material Data System (IMDS) and customer-specific obligations, supported by supplier declarations and verification records. The evidence reviewed demonstrates the consistent application of these controls, including documentation of supplier compliance and alignment with responsible sourcing practices. Additionally, the Entity sources from ASI Certified suppliers.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity maintains formal procedures that define responsibilities for supplier Due Diligence, traceability, and compliance with conflict minerals requirements. The Entity implements reporting tools, including the CMRT and integrates customer-specific requirements through IMDS and supplier declarations.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Conformance	The Entity maintains formal procedures that define responsibilities for supplier Due Diligence, traceability, and compliance with conflict minerals requirements. The Entity implements reporting tools, including the CMRT and integrates customer-specific requirements through IMDS and supplier declarations. In addition, the Entity sources materials from ASI-certified Entities.
9.8d Conflict-Affected and High-Risk Areas - Audit of Due Diligence	Conformance	The Entity's Due Diligence practices were audited as part of this ASI Performance Standard Initial Certification Audit, which fulfils the requirement of this Criterion.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Minor Non-Conformance	The Entity has reported on its supply chain management in the Sustainability Report, pages 23-24. https://www.bocar.com/fileadmin/data/pdf/00-company/bocar-sustainability-report-2024-high.pdf There is, however, no public disclosure of the outcome of the CAHRAs Due Diligence assessment within its Aluminium supply chain, nor the actions taken to respond to risks.
9.9 Security practice	Conformance	The Entity has established security governance frameworks, including formal contracts and defined roles for security personnel responsible for site access control. Security practices are supported by training programmes covering the Integrity Code, Human Rights, supply chain security, and transport-related controls, ensuring alignment with the Voluntary Principles on Security and Human Rights.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Conformance	The Entity has developed and implemented Policies, systems, and processes to ensure the effective exercise of Freedom of Association and Collective Bargaining. It maintains a Human Rights Policy that recognises and protects these rights and is supported by commitments outlined in the Integrity Code to prevent Discrimination based on Union affiliation. A formal Union transition process was undertaken in October 2024, validated by external authorities, ensuring employee participation and representation. Freedom of Association is communicated to

CRITERION	RATING	COMMENT
		employees through induction processes, training programmes, and awareness activities.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity as Freedom of Association and the right to Collective Bargain is not restricted in Mexico.
10.2a Child Labour	Conformance	The Entity has implemented Policies, systems, and processes to prevent Child Labour. The Entity maintains an Integrity Code which explicitly prohibits Child Labour within its operations and supply chain. It applies robust recruitment controls, ensuring age verification through official documentation. No employees under 18 years are engaged in operations at the Entity.
10.3a-c Forced Labour	Minor Non-Conformance	The Entity has implemented a Talent Attraction Policy and a Recruitment Procedure that ensure transparent communication of employment conditions and explicitly prohibit the retention of personal documents. It has established working conditions, including defined shift schedules formalised through Collective Bargaining Agreements, and voluntary employee loan mechanisms are managed without the condition of employment retention. The Entity addresses Forced Labour risks through its Human Rights Policy. The Entity has, however, not published a Modern Slavery Statement.
10.4a-c Non-Discrimination	Conformance	The Entity has developed and implemented Policies, systems, and processes to ensure equal treatment, non-Discrimination, and fair remuneration. The Entity maintains a Sustainability Policy which aligns with international Human Rights principles and establishes commitments to equality, non-Discrimination, and equal pay. The Entity applies structured salary frameworks based on skills, competencies, and job classification, with no gender-based wage gaps. It has established mechanisms, such as the 'Bocar te Escucha, hotline, to report Discrimination or unfair practices, which is supported by the Integrity Committee.
10.5 Communication and engagement	Conformance	The Entity has developed and implemented Policies, systems, and processes to ensure the effective communication and awareness of corporate requirements. The Entity communicates through multiple channels, including induction processes, visual materials, operational meetings, safety walks, and internal communications, ensuring accessibility to employees and contractors. Operational procedures related to OH&S, environmental management, and business continuity are also integrated into daily activities.
10.6a-g Violence and Harassment	Conformance	The Entity maintains both a Code of Integrity and Sustainability Policy, which explicitly prohibits sexual Harassment, workplace violence, retaliation, and discriminatory conduct, and establishes disciplinary measures up to and including termination. The Code is available at: https://www.bocar.com/fileadmin/data/footer/pdf/bocar-corporate-integrity-code-of-integrity-en.pdf The Entity has implemented a Psychosocial Risk Factors Policy and formal Grievance Mechanisms to identify, investigate, and manage complaints. These mechanisms include structured investigations, involvement of management and worker representatives, and

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		oversight by the Ethics Committee. Training on these topics was conducted. The Audit confirmed that cases of Harassment had been reported, particularly in uncontrolled-access areas. The complaints were formally addressed through established mechanisms and corrective actions implemented.
10.7a-d Remuneration	Conformance	The Entity has implemented a Compensation Administration Policy, which defines structured salary categories, progression criteria, and internal equity controls. It ensures transparency in recruitment by communicating salary ranges to candidates and provides all legally mandated benefits, including leave, Overtime compensation, savings funds, and statutory bonuses. Salary structures are governed through Collective Bargaining Agreements and competency-based evaluations, ensuring consistency across roles and departments. Implementation was verified through employee and contractor interviews, confirming consistent application of salary frameworks and benefits. No gender-based wage gaps were identified, with remuneration aligned to job classification and competencies.
10.8a-c Working Time	Conformance	The Entity has developed and implemented Policies, systems, and processes to ensure compliance with working hours, Overtime, and rest periods in alignment with the applicable labour laws. The Entity maintains a defined working schedule of 48 hours per week, with Overtime limited to a maximum of nine hours per week and a total threshold of 56 hours, as established in the Collective Labour Agreement. Overtime compensation and working conditions are formally defined and communicated, including the provision of at least one rest day per week for all Workers, which aligns with the Mexican Federal Labour Law and is reinforced through internal communication mechanisms and labour agreements.
10.9a-b Informing Workers of Rights	Conformance	The Entity has developed and implemented Policies, systems, and processes to ensure the communication and understanding of labour rights. The Entity maintains both the Code of Integrity and Sustainability Policy, which defines employees' rights and the organisation's commitments to prevent adverse impacts. The Entity integrates labour rights communication into the recruitment process, ensuring that candidates are informed of their rights and employment conditions prior to hiring, and reinforces this information through induction and internal communication channels.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has implemented an Occupational Health and Safety (OH&S) Management System certified to ISO 45001, providing a framework for hazard identification, risk control, performance monitoring, and health surveillance.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	The Entity has implemented an OH&S Management System that is reviewed in accordance with its ISO 45001 certification. The Entity monitors OH&S performance through defined indicators across all sites, supported by accident analysis and health indicators. Hazard identification and risk assessments are conducted, with controls implemented for routine and non-routine activities, including chemical exposure and Hazardous Waste management.

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		Occupational health surveillance programmes include medical monitoring, audiometry, spirometry, and specific health follow-ups for identified risks. However, the Entity has not publicly disclosed its leading and lagging indicators nor a comparative analysis of performance with peer businesses and leading practices.
11.2 Employee engagement on Health and Safety	Conformance	The Entity maintains a formal OH&S Committee, composed of Worker and management representatives, with documented inspection activities and meeting records. Additional Worker support is provided through an employee assistance programme that offers medical, nutritional, and financial counselling services. The Entity also implements psychosocial well-being initiatives, including on-site psychology support campaigns, which help identify and manage workplace risks.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	18 August 2026	Initial Certification Audit - Provisional Certification (due to delays with Audit reporting)