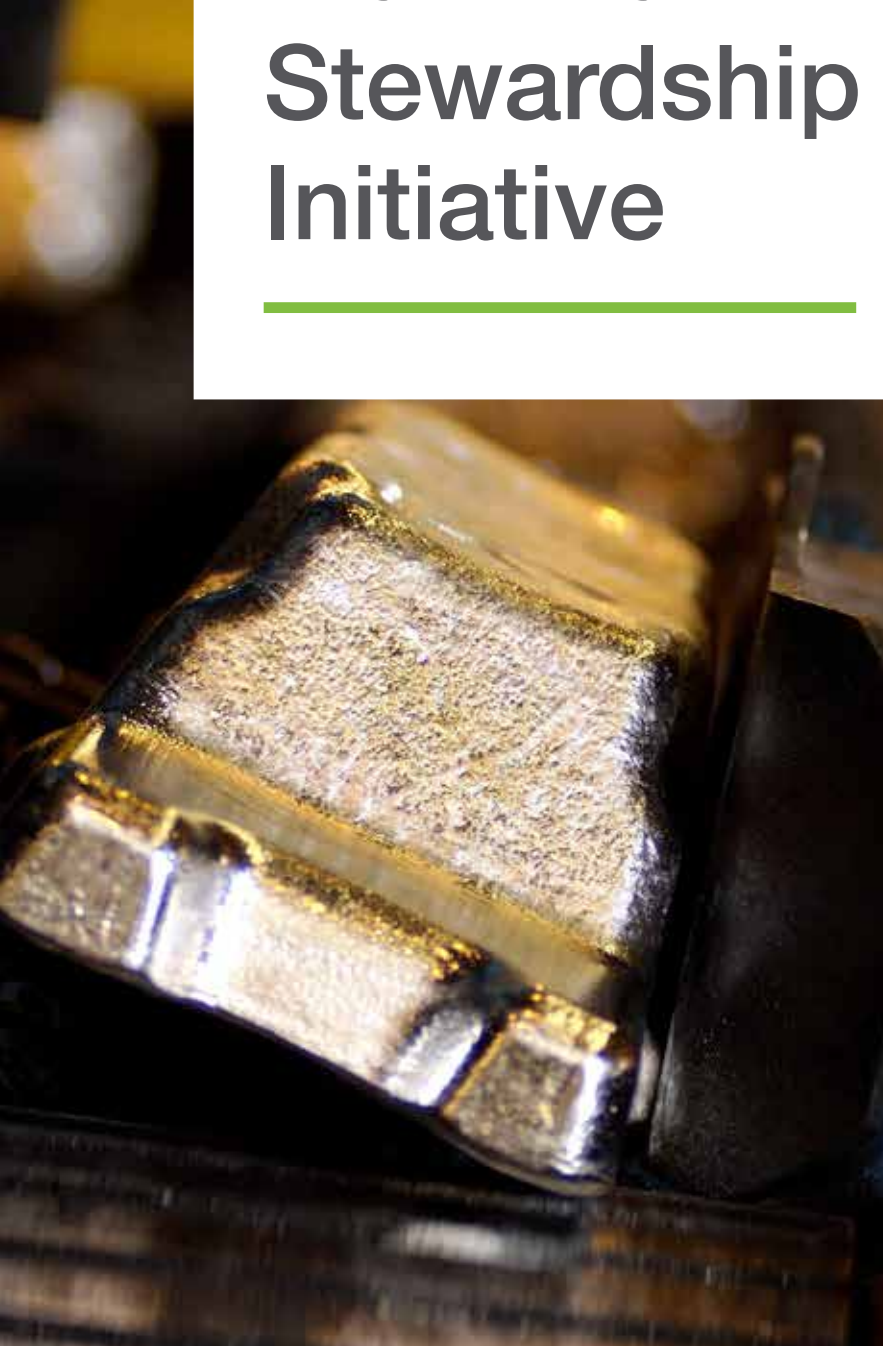


Aluminium Stewardship Initiative

**2017
PROGRESS
REPORT**





ABOUT ASI

The Aluminium Stewardship Initiative (ASI) is a global standards setting and certification organisation. It is the result of producers, users and stakeholders in the aluminium value chain coming together with a commitment to maximising the contribution of aluminium to a sustainable society.

This Progress Report highlights ASI's activities to date across its four strategic objectives: Effective Governance, Credible Program, Growing Membership and Financial Resilience.

CREDIBLE PROGRAM

ASI's core focus is the establishment of an independent third-party certification program for the aluminium value chain. The certification program is on track to launch at the end of 2017 and will be based on two standards – the ASI Performance Standard and the ASI Chain of Custody Standard.

In 2016, key documentation for the certification program were drafted and reviewed with the ASI Standards Committee. A public consultation process was held for the draft Chain of Custody Standard that attracted more than 100 participants in live webinars and workshops. In 2017, ASI will consult further with stakeholders and test and finalise its standards and assurance model with participating members. The first ASI certifications are expected in 2018.

ASI will also build on its 'theory of change' to set out a monitoring and evaluation plan that will evaluate the impacts of its program. The desired outcomes are to support uptake of the standards, ensure sustainability and human rights principles are increasingly embedded in the value chain, and enhance the sustainability credentials of aluminium.

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Alcoa, Alcoa, Norsk Hydro, Ball, Schüco, Constellium.

EFFECTIVE GOVERNANCE

In 2016, ASI adopted its Constitution at its inaugural Annual General Meeting and a Governance Handbook which provides participants and stakeholders with a user-friendly guide to ASI's approach. These have set the framework for the establishment of ASI's governance as a non-profit, multi-stakeholder organisation with values of engagement, participation and consensus building.

ASI is governed by a Board of 8 people comprised of 6 elected representatives from Production and Transformation, Industrial Users and Civil Society members, plus 2 Independent Directors. The ASI Standards Committee is focused on the standards, assurance and impacts programs, and is comprised of up to 24 member and stakeholder representatives. Terms for all elected governance participants are for up to 2 years, and elections are held annually.

Another important part of ASI's governance is an Indigenous Peoples Advisory Forum, which liaises with both the Standards Committee and Board on matters relevant to indigenous peoples. ASI also draws in members and stakeholders for thematic Working Groups, whose current focus areas are greenhouse gas emissions, material stewardship and recycling, environmental impacts including from mining, and benchmarking and harmonisation.



GROWING MEMBERSHIP

In 2016, ASI almost doubled its membership overall, gaining new members in every membership class.

As of February 2017, ASI's 46 members were:

- **Production and Transformation:** Alcoa, Aleris, AMAG, Amcor, Ball, Constantia Flexibles, Constellium, Emirates Global Aluminium, Norsk Hydro, Novelis, Rio Tinto Aluminium, Sapa, Tetra Pak, UC Rusal
- **Industrial Users:** Arconic, AUDI, BMW, Coca-Cola European Partners, Jaguar Land Rover, Lavit, Nestlé Nespresso, Schüco
- **Downstream Supporters:** Apple
- **Civil Society:** Chimbo Foundation, Fauna and Flora International, Institute for Human Rights and Business, IUCN, Keep America Beautiful, Verité, WWF
- **Associations:** AIJF, Aluminium Association of Canada, ALFED, ABAL, Australian Aluminium Council, Aluminium Federation of South Africa, Can Manufacturers Institute, CII - Sohrabji Godrej Green Business Centre, Council for Aluminium in Building, European Aluminium, Global Aluminium Foil Roller Initiative (GLAFRI), Gulf Aluminium Council, IGORA, The Aluminum Association
- **General Supporters:** Energia Potior, Regain Materials

Members' support and engagement provide the foundation and impulse for ASI's mission-driven program. We invite organisations from all parts of the aluminium value chain – companies, civil society groups, associations and other supporting organisations – to join our efforts to build a resilient, credible and value-adding ASI program for all stakeholders.



FINANCIAL RESILIENCE

Membership fees support ASI's work to develop the certification program framework, support the participation of stakeholders in governance, and build a Secretariat that can support the ongoing implementation and oversight of the program as it scales up.

Members' fees vary depending on the type, size and purpose of the organisation, ranging in 2017 from US\$100 to a maximum of US\$25,000. Civil society organisations that serve on the ASI Standards Committee have their membership fee waived.

ASI is still a small organisation that is aiming to make a significant and lasting impact. We continue to seek engagement with all relevant aluminium value chain stakeholders from across the world.

Please contact us to find out how your organisation can get involved.

At the end of 2017, the ASI certification program will be launched. We sincerely thank all our current members for their support and contributions to the continued evolution of ASI. Working together, we can collaboratively foster responsible production, sourcing and stewardship of aluminium.

VISION

To maximise the contribution of aluminium to a sustainable society.

MISSION

To recognise and collaboratively foster responsible production, sourcing and stewardship of aluminium.

Contact Us

Visit the ASI website or contact us to find out more about our work in progress and how you can join us:

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