

## Fulfilling ASI's requirements to become an ASI Accredited Auditor

ASI has three requirements that must be fulfilled for auditors to be granted ASI Accreditation: 1) an approved application to become an auditor, 2) completion of either the online modules or an in-person training session, and 3) achievement of a passing mark on the ASI auditors exam.

## 1. Application

Auditors must apply and be approved by ASI to become accredited. ASI accredits both the Certification Assessment Body as well as the individual auditors (i.e. ASI must approve both the organization and each individual). The ASI website provides a description of the application process and the application form: <a href="https://aluminium-stewardship.org/asi-standards/auditors-and-specialists/">https://aluminium-stewardship.org/asi-standards/auditors-and-specialists/</a>.

Auditors may apply to ASI before or after undergoing the training and completing the exam. However, an auditor <u>may not</u> conduct audits until all three steps outlined in this document are successfully completed.

Any questions related to the application process or the status of an application should be directed to Sam Brumale.

## 2. Learning

There are two pathways to completing the ASI learning modules: 1) in-person at an ASI Auditor Training event or 2) online via ASI's educationAl online learning portal for auditors. Information regarding both pathways can be accessed at the ASI website here: <a href="https://aluminium-stewardship.org/educational-asi-learning-centre/">https://aluminium-stewardship.org/educational-asi-learning-centre/</a>.

All mandatory training modules must be completed before an auditor is permitted to take the exam.

Any questions related to auditors training should be directed to **Krista West**.

## 3. Exam

Material in the exam will cover the topics, policies and procedures covered in the mandatory ASI webinars, as well as material in the ASI Assurance Manual, the Performance Standard, the Chain of Custody Standard and the Claims Guide.

The ASI Auditors Exam is hosted by ProctorU, a live online proctoring service that allows you to take your exam from the comfort of your home. ProctorU is available 24 hours a day/ 7 days a week from anywhere in the world. However, you will need to schedule your proctoring session at least 72 hours in advance to avoid any on-demand scheduling



fees. The current fee for each exam attempt is \$32US. You are permitted three attempts at the exam with a waiting period of 24 hours between attempts.

In order to use ProctorU, you will need a high-speed internet connection, a webcam (internal or external), a Windows or Apple Operating System, and a government issued photo identification.

In order to take the exam, you will need to implement the following steps:

- You will first need to create a ProctorU account. Start the process by clicking <a href="here">here</a>.
  after you create the ProctorU account, that you will receive an Account
  confirmation email from <a href="help@proctoru.com">help@proctoru.com</a> which will contain a link for you to click
  on to verify your ProctorU account. Once you have clicked on this verification link
  you will receive a Verified confirmation email with confirmation that your account
  has been verified.
- Download the ProctorU extension available at <a href="http://bit.ly/proctoruchrome">https://www.proctoru.com/firefox</a> (they recommend you use either Chrome or Firefox as your browser as they tend to be more reliable in creating a stable connection).
- ProctorU <u>highly</u> recommends that you visit <u>https://test-it-out.proctoru.com/</u> prior to your proctoring session to download the app and test your equipment, including downloading the app. Your system check cannot commence until you have downloaded the app.
- 4. At your scheduled exam time, ProctorU will support you with any technical difficulties the best they can, however, should they be unable to administer the exam due to technical difficulties you will be required to reschedule at your cost.
- 5. Additionally, please visit and review the test-taker resource center <u>here</u>.
- 6. Schedule your exam time directly with ProctorU through your account.

You are allowed to view any written or digital materials (within Adobe pdf or Microsoft Word) during the exam and additionally may use <a href="https://www.deepl.com/translator">https://www.bing.com/translator</a> for translation help if needed. You are not allowed pen and paper during the exam and no bathroom breaks during the exam are permitted.

At the time of your exam you should expect the startup process with the proctor to take about 10-15 minutes. This time will <u>not</u> affect your exam time. You will be given 90 minutes to complete the auditors exam, during which you will have to answer a series of multiple choice and short answer style questions. The questions are organized five per page over four pages, and you are able to go back and forth between pages as you wish. You will have the option to flag questions that you can review later, and you will be reminded of any flagged questions on the final page of your exam.



Once you have completed your exam you will immediately see the exam outcome. You will have at least an additional 30 minutes to review your exam results and to see which questions were marked incorrectly for your own personal learning. A mark of 75% is required to pass the exam and all exam results are immediately emailed to ASI. If you have passed the exam, you will be emailed a copy of your certificate, usually within 2-3 business days of completion.

Please feel free to direct any questions regarding ProctorU to the student support team via the live chat within your account. Any questions about the exam process itself can be directed to <a href="Krista West">Krista West</a>, ASI Director of Learning.

Once you have passed the ASI exam (even if you have not yet received your certificate) and your application has been approved by ASI, you may conduct ASI audits. Congratulations!