
ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

HYDRO EXTRUSION HOOGEZAND B.V.

CERTIFICATE
NUMBER

10

ASI
STANDARD

PERFORMANCE
STANDARD
(V2 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI
ACCREDITED
AUDITOR

GUTCERT
(AFNOR
GROUP)

DATE OF ISSUE

9 NOVEMBER 2018

DATE OF EXPIRY

8 NOVEMBER 2021

CERTIFIED SINCE

9 NOVEMBER 2018

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. de Vries', written over a horizontal line.

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CERTIFICATION SCOPE

Hydro Extrusion Hoogezand B.V. (Netherlands)
which produces aluminium profiles as well as
anodized and painted components.

*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

SUMMARY AUDIT REPORT

PERFORMANCE

STANDARD

OVERVIEW

MEMBER NAME	Norsk Hydro
ENTITY NAME	Hydro Extrusion Hoogezand B.V.
CERTIFICATION SCOPE	Hydro Extrusion Hoogezand B.V. (Netherlands) which produces aluminium profiles as well as anodized and painted components.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Semi-Fabrication• Material Conversion (Production and Transformation)
ASI STANDARD	<ul style="list-style-type: none">• Performance Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Certification Audit
AUDIT FIRM	GUTcert (AFNOR Group)
AUDIT DATE	11 September 2018, 17 – 19 October 2018
AUDIT REPORT SUBMISSION	30 October 2018
AUDIT SCOPE	<p>The audit scope included all production lines: Extrusion, anodizing, powder coating and thermal break at the Hydro facility in Hoogezand, Netherlands.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Semi-fabrication <p>All relevant criteria in the ASI Performance Standard were included in the audit scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">• Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION
PERIOD

9 November 2018 – 8 November 2021

NEXT AUDIT
TYPE

Recertification Audit

NEXT AUDIT
DUE DATE

8 November 2021

CERTIFICATION
NUMBER

10

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	Even though the system could be improved, the Entity has implemented processes that ensure compliance with applicable laws and regulations.
1.2 Anti-Corruption	Conformance	The Entity has established adequate anti-corruption measures.
1.3 Code of Conduct	Conformance	The Entity has implemented a written organisational code of conduct that includes relevant principles for environmental, social and governance performance.
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	The Entity has established policies that are consistent with the requirements of this Standard.
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	The Entity has endorsement and support from senior management in order to provide sufficient resources for regular review of policies.
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	The Entity communicates its policies internally and externally which conform to the requirement. The internal communication with the staff could be improved.
2.2 Leadership	Conformance	The Entity has appointed a Senior Manager as ASI Manager.
2.3a Environmental and Social Management Systems (environmental)	Conformance	The Entity is ISO 14001:2015 certified.
2.3b Environmental and Social Management Systems (social)	Conformance	The Entity has implemented a documented system that fits its size.
2.4 Responsible Sourcing	Conformance	Responsible sourcing is part of the general purchasing processes.
2.5 Impact Assessments	Conformance	The Entity conducts regular impact assessments for its major projects that conform to this requirement even though the methodology could be improved.
2.6 Emergency Response Plan	Conformance	The Entity is ISO 14001:2015 certified and has a well implemented emergency response plan.

CRITERION	RATING	COMMENT
2.7 Mergers and Acquisitions	Conformance	The Entity's HQ has implemented an adequate procedure for mergers and acquisitions.
2.8 Closure, Decommissioning and Divestment	Conformance	The Entity's HQ has implemented an adequate procedure for decommissioning.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Entity adequately communicates its governance approach through its annual global report. The report follows the GRI guidelines and is externally verified by KPMG. A copy of the 2017 Sustainability Report can be found via the following link: https://www.hydro.com/en/press-room/Archive/2018/norsk-hydro-annual-reporting-2017/
3.2 Non-compliance and liabilities	Minor Non-Conformance	Even though no failure to comply with applicable law have been issued in recent years, the Entity must implement a procedure to ensure that any potential compliance failures are publicly reported.
3.3a Payments to governments (legal and contractual)	Conformance	The Entity complies with the strict local legislation and has implemented policies and procedures to conform to this requirement.
3.3b Payments to governments (disclosure – bauxite mining)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	The Entity is certified ISO 14001:2015.
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	The Entity has gathered relevant life cycle impact data that is still being consolidated and structured as intelligible information.
4.1b Environmental Life Cycle Assessment (cradle to gate)	Minor Non-Conformance	While there has been no request for LCA information from customers or the public, there is no procedure in place to communicate LCA data for the three major product lines upon receiving such a request.
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	Comprehensive LCA data is available. A copy of the 2018 Life-Cycle inventory report from the European Aluminium Industry can be found via the following link: https://www.european-

CRITERION	RATING	COMMENT
		aluminium.eu/resource-hub/environmental-profile-report-2018/
4.2 Product design	Conformance	There is enough data that can be used to create more customer and public information.
4.3a Aluminium Process Scrap (targets)	Conformance	Scrap is controlled tightly by the Entity.
4.3b Aluminium Process Scrap (alloy separation)	Conformance	Material separation and some technical developments will enable a recycling of 100% scrap.
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	The Entity has an aluminium recycling strategy with goals and timelines in place, that could be improved to create new customer offers.
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	The Entity has an aluminium recycling strategy with goals and timelines that could be improved together with customers.
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	Even though the methodology could be improved, GHG emissions are calculated annually according to the requirement. A copy of the 2017 Sustainability Report can be found via the following link: https://www.hydro.com/en/press-room/Archive/2018/norsk-hydro-annual-reporting-2017/
5.2 GHG emissions reductions	Conformance	The Entity publishes GHG emissions reduction targets to the local authorities.
5.3a Aluminium Smelting (management system)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		
6.1 Emissions to Air	Conformance	Emissions to the air are under control and below compliance limits. Note CO ₂ emissions are captured in section 5.
6.2 Discharges to Water	Conformance	Waste water is cleaned to set standards by producing a valuable chemical used in other water treatment processes.

CRITERION	RATING	COMMENT
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	Spills and leakages to water, air and ground are withheld today by technical means; the historical ground contamination is controlled.
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	Spills and leakages to water, air and ground are withheld today by technical means; the historical ground contamination is controlled.
6.4a Reporting of Spills (immediate disclosure)	Conformance	Spills and leakages to water, air and ground are withheld today by technical means; the historical ground contamination is controlled.
6.4b Reporting of Spills (regular reporting)	Conformance	Spills and leakages to water, air and ground are regularly controlled and reported to the local authorities.
6.5a Waste management and reporting (strategy)	Minor Non-Conformance	Even though the Entity has developed a waste management strategy, it is not fully implemented yet.
6.5b Waste management and reporting (disclosure)	Conformance	The Entity reports on waste management annually to the Government. Information is available upon request to the local government.
6.6a Bauxite Residue (storage construction)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.6e Bauxite Residue (start of the art technologies)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This criterion is not applicable to the Entity's certification scope.

CRITERION	RATING	COMMENT
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.8a Dross (recovery)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.8b Dross (recycling)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
6.8c Dross (review of alternatives)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Conformance	The Entity tracks its water usage.
7.1b Water assessment (risk assessment)	Conformance	The Entity regularly assesses the water-related risks.
7.2a Water management (management plans)	Conformance	The Entity has a water management plan in place which is regularly reviewed.
7.2b Water management (monitoring)	Conformance	The Entity has a water management plan in place which is regularly reviewed.
7.3 Disclosure of water usage and risks	Conformance	The Entity reports its water use annually to the government. Information is available upon request to the local government.
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	The Entity implemented an environmental impact assessment that covers biodiversity issues.
8.2a Biodiversity management (biodiversity action plans)	Conformance	Even though no material impact has been identified, the Entity has implemented preventive actions regarding biodiversity risks.
8.2b Biodiversity management (consultation and mitigation hierarchy)	Conformance	Even though no material impact has been identified, the Entity has procedures to report any Biodiversity Action Plan to the local authority.
8.2c Biodiversity management (reporting)	Conformance	Even though no material impact has been identified, the Entity shall improve its procedures to report any achieved biodiversity outcomes. A copy of the 2017 Sustainability Report can be found via the following link: https://www.hydro.com/en/press-

CRITERION	RATING	COMMENT
		room/Archive/2018/norsk-hydro-annual-reporting-2017/
8.3 Alien Species	Conformance	The Entity takes preventive actions to prevent the introduction of alien species.
8.4a Commitment to “No Go” in World Heritage properties (exploration and new mines)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
8.4b Commitment to “No Go” in World Heritage properties (existing operations)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This criterion is not applicable to the Entity's certification scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The Entity has implemented policies with commitment to respect human rights.
9.1b Human Rights Due Diligence (process)	Conformance	The Entity has implemented a Human Rights Due Diligence process. A copy of the 2017 "Human rights impact mapping of Hydro" can be found via the following link: https://www.humanrights.dk/publications/human-rights-impact-mapping-hydro
9.1c Human Rights Due Diligence (remediation)	Conformance	The Entity has not identified any contributed adverse Human Rights impacts but has the process to cover this at a group level. A copy of the 2017 "Human rights impact mapping of Hydro" can be found via the following link: https://www.humanrights.dk/publications/human-rights-impact-mapping-hydro
9.2 Women's Rights	Conformance	The Entity has implemented policies and tools to ensure women's rights are respected at anytime.
9.3 Indigenous Peoples	Not Applicable	There is no known indigenous people living near the Entity.
9.4 Free, Prior, and Informed Consent (FPIC)	Not Applicable	There is no known indigenous people living near the Entity.
9.5 Cultural and sacred heritage	Not Applicable	There is no known Cultural or sacred heritage near the Entity.

CRITERION	RATING	COMMENT
9.6a Resettlements (avoid or minimise)	Conformance	The Entity has implemented a procedure at a group level that covers impacts of any resettlements.
9.6b Resettlements (where unavoidable)	Conformance	The Entity has implemented a procedure at a group level that covers impacts of any resettlements.
9.7a Local Communities (rights and interests)	Conformance	The Entity demonstrates a trustworthy relationship with the local communities.
9.7b Local Communities (impacts)	Conformance	The Entity is preventing effectively any impact on the local communities.
9.7c Local Communities (livelihoods)	Conformance	The Entity has been supported local charities as part of their normal general commitments and should implement a monitoring of these actions.
9.8 Conflict-Affected and High-Risk Areas	Conformance	The Entity has strict procedures to ensure it doesn't contribute in any way to Human Rights abuses in High-Risk Areas.
9.9 Security practice	Conformance	The Entity has implemented security practices that respect Human Rights.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Conformance	The Entity respects the freedom of association without interference.
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Conformance	The Entity respects the freedom of collective bargaining.
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Conformance	The Entity respects the local rights of association and workers.
10.2a Child Labour (minimum age)	Conformance	The Entity has a strictly control over any potential child labour. Entity's policies and local are in place to avoid hire of child labour.
10.2b Child Labour (hazardous)	Conformance	The Entity has a strictly control over any potential child labour. Entity's policies and local are in place to avoid hire of child labour.
10.2c Child Labour (worst forms)	Conformance	The Entity has a strictly control over any potential child labour. Entity's policies and local are in place to avoid hire of child labour.
10.3a Forced Labour (human trafficking)	Conformance	The Entity has a strictly control over any potential forced labour. Entity's policies and

CRITERION	RATING	COMMENT
		local laws are in place to avoid hire of forced labour.
10.3b Forced Labour (deposits, fees, advances)	Conformance	The Entity has a strictly control over any potential forced labour. Entity's policies and local laws are in place to avoid hire of forced labour.
10.3c Forced Labour (migrant workers)	Conformance	The Entity has a strictly control over any potential forced labour. Entity's policies and local laws are in place to avoid hire of forced labour.
10.3d Forced Labour (debt bondage)	Conformance	The Entity has a strictly control over any potential forced labour. Entity's policies and local laws are in place to avoid hire of forced labour.
10.3e Forced Labour (freedom of movement)	Conformance	The Entity has a strictly control over any potential forced labour. Entity's policies and local laws are in place to avoid hire of forced labour.
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	The Entity has a strictly control over any potential forced labour. Entity's policies and local laws are in place to avoid hire of forced labour.
10.3g Forced Labour (freedom to terminate employment)	Conformance	The Entity has a strictly control over any potential forced labour. Entity's policies and local laws are in place to avoid hire of forced labour.
10.4 Non-Discrimination	Conformance	The Entity has laws, policies and controls in place to avoid discrimination practices in the workplace.
10.5 Communication and engagement	Conformance	The Entity has implemented an open communication and is regularly improving it.
10.6 Disciplinary practices	Conformance	The Entity has laws, policies and controls in place to avoid unreasonable practices in the workplace.
10.7a Remuneration (living wage)	Conformance	The Entity has laws, policies and controls in place to ensure a fair remuneration.
10.7b Remuneration (method of payment)	Conformance	The Entity has laws, policies and controls in place to ensure a fair remuneration.
10.8 Working Time	Conformance	The Entity has laws, policies and controls in place to ensure fair working times.

CRITERION	RATING	COMMENT
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	The Entity is OHSAS 18001:2007 certified.
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity is OHSAS 18001:2007 certified.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Entity is OHSAS 18001:2007 certified.
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity is OHSAS 18001:2007 certified.
11.2 OH&S Management System	Conformance	The Entity is OHSAS 18001:2007 certified.
11.3 Employee engagement on health and safety	Conformance	The Entity is OHSAS 18001:2007 certified.
11.4 OH&S performance	Conformance	The Entity is OHSAS 18001:2007 certified.

Document Control and Version History

Revision	Date	Notes
0	9 November 2018	Issued
1	14 June 2019	Entity name correction