

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

SHANDONG NANSHAN ALUMINIUM

CERTIFICATE
NUMBER

18

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI
ACCREDITED
AUDITOR

DNV GL

DATE OF ISSUE

15 FEBRUARY 2019

DATE OF EXPIRY

14 FEBRUARY 2022

CERTIFIED SINCE

15 FEBRUARY 2019

AUTHORISED BY

A handwritten signature in white ink on a dark grey background.

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ACN 606 661 125, Australia
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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Yantai Donghai Aluminium Foil Co., Ltd. is a subsidiary of Shandong Nanshan Aluminium Co. Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China. The main products cover high-precision aluminium and aluminium alloy foils.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

| | |
|-------------------------------|--|
| MEMBER NAME | Shandong Nanshan Aluminium Co. Ltd. |
| ENTITY NAME | Yantai Donghai Aluminium Foil Co., Ltd. |
| CERTIFICATION SCOPE | Yantai Donghai Aluminium Foil Co., Ltd. is a subsidiary of Shandong Nanshan Aluminium Co. Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China. The main products cover high-precision aluminium and aluminium alloy foils. |
| SUPPLY CHAIN ACTIVITIES | <ul style="list-style-type: none">• Post-Casthouse |
| ASI STANDARD | <ul style="list-style-type: none">• Chain of Custody Standard V1 |
| AUDIT TYPE | <ul style="list-style-type: none">• Certification Audit |
| AUDIT FIRM | DNV GL |
| AUDIT DATE | 28 – 30 January 2019 |
| AUDIT REPORT SUBMISSION | 2 February 2019 |
| AUDIT SCOPE | <p>The audit scope covered the Yantai Donghai Aluminum Foil Co., Ltd. a subsidiary of Shandong Nanshan Aluminium Co. Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China, for the production and sale of high-precision aluminium and aluminium alloy foil.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Post-casthouse (this includes Semi-Fabricators, Material Conversion, and other Manufacturing or sale of products containing aluminium) <p>Relevant criteria from the ASI Chain of Custody Standard were included in the audit scope.</p> |
| AUDIT OUTCOME | <ul style="list-style-type: none">• Certification |
| AUDIT METHODOLOGY DECLARATION | <p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. |

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- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

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| CERTIFICATION PERIOD | 15 February 2019 – 14 February 2022 |
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| NEXT AUDIT TYPE | Surveillance Audit |
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| NEXT AUDIT DUE DATE | 15 August 2020 |
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| CERTIFICATE NUMBER | 18 |
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SUMMARY OF FINDINGS

| CRITERION | RATING | COMMENT |
|--|----------------|--|
| 1 MANAGEMENT SYSTEM AND RESPONSIBILITIES | | |
| 1.1 ASI membership | Conformance | Donghai Aluminium Foil is in the Production and Transformation category. Its parent company: Shandong Nanshan Aluminium Co., Ltd. holds an ASI Membership. Shandong Nanshan Aluminium Co., Ltd (parent company of the Entity) is an active ASI member. https://aluminium-stewardship.org/about-asi/asi-members/shandong-nanshan-aluminium-co-ltd/ . |
| 1.2 Management system | Conformance | Donghai Aluminium Foil has an ASI CoC management system against ASI Chain of Custody Standard. |
| 1.3 Management system reviews | Conformance | Donghai Aluminium Foil established a process to periodically review the Management System to assess the effectiveness of the ASI CoC management and address potential areas of non-conformance/improvement. |
| 1.4 Management representative | Conformance | The Entity nominates General Engineer as the ASI Management Representative to have overall responsibility of the implementation of ASI CoC and for the conformance with all applicable requirements of the CoC Standard. |
| 1.5 Training | Conformance | Donghai Aluminium Foil delivers the training courses to relevant personnel to raise awareness of ASI CoC requirements and makes them know the implementation procedures. |
| 1.6 Record keeping | Conformance | Donghai Aluminium Foil maintains up-to-date records (Management System) covering all applicable requirements of the CoC Standard including the retention requirement of ASI records. |
| 1.7a Reporting to ASI (Inputs and Outputs) | Not Applicable | Not applicable for first Certification Audit |
| 1.7b Reporting to ASI (Input Percentage) | Not Applicable | Not applicable for first Certification Audit |
| 1.7c Reporting to ASI (Positive Balance) | Not Applicable | Not applicable for first Certification Audit. |
| 1.7d Reporting to ASI (Internal Overdraw) | Not Applicable | Not applicable for first Certification Audit. |
| 1.7e Reporting to ASI (Eligible Scrap) | Not Applicable | Not applicable. |

| CRITERION | RATING | COMMENT |
|--|----------------|---|
| 1.7f Reporting to ASI (ASI Credits from Casthouses) | Not Applicable | Not applicable. |
| 1.7g Reporting to ASI (ASI Credits purchased) | Not Applicable | Not applicable for first Certification Audit. |
| 2 OUTSOURCING CONTRACTORS | | |
| 2.1 Outsourcing Contractors in CoC Certification Scope | Not Applicable | Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing. |
| 2.2a Control of CoC Material | Not Applicable | Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing. |
| 2.2b No further outsourcing | Not Applicable | Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing. |
| 2.2c Risk assessment | Not Applicable | Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing. |
| 2.3 Output Quantity | Not Applicable | Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing. |
| 2.4 Verification and record-keeping | Not Applicable | Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing. |
| 2.5 Error management | Not Applicable | Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing. |
| 3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL | | |
| 3.1a CoC Certification Scope – Bauxite Mining | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 3.1b ASI Performance Standard – Bauxite Mining | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 3.2a CoC Certification Scope – Alumina Refining | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 3.2b ASI Performance Standard – Alumina Refining | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 3.3a CoC Certification Scope – Aluminium Smelting | Not Applicable | This criterion is not applicable to the Entity's certification scope. |

| CRITERION | RATING | COMMENT |
|--|----------------|--|
| 3.3b ASI Performance Standard – Aluminium Smelting | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL | | |
| 4.1a CoC Certification Scope – Aluminium Re-Melting/Refining | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 4.1b ASI Performance Standard – Aluminium Re-Melting/Refining | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 4.2a Pre-Consumer Scrap and Dross | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 4.2b Post-Consumer Scrap | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 4.3a Supplier records | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 4.3b Cash payments | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM | | |
| 5.1a CoC Certification Scope – Casthouses | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 5.1b ASI Performance Standard – Casthouses | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 5.2 Casthouse Products | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM | | |
| 6.1a CoC Certification Scope – Post-Casthouse | Conformance | Donghai Aluminium Foil has systems in place to ensure that it is itself producing ASI Aluminium only from an Entity and/or Facility/ies. |
| 6.1b ASI Performance Standard – Post-Casthouse | Conformance | Donghai Aluminium Foil is certified to the ASI Performance Standard Certificate. The certificate number is 17. |
| 6.1c Sourcing ASI Aluminium | Conformance | The Entity's operating procedures and strategic plans with future ASI certified suppliers will secure the supply and production of ASI CoC materials. |
| 7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL | | |
| 7.1a Responsible sourcing policy (anti-corruption) | Conformance | Donghai Aluminium Foil has the anti-corruption policy, covering the ASI CoC criteria of anti-corruption. All major next tier suppliers receive communication about the |

| CRITERION | RATING | COMMENT |
|--|-------------|---|
| | | <p>policy, and the Commitment Letters of Responsible Purchasing are signed by the suppliers.</p> <p>The anti-corruption policy is published and accessible via: http://www.nanshanqhj.com/newsdetails?id=490&cid=235</p> |
| 7.1b Responsible sourcing policy (responsible sourcing) | Conformance | <p>Donghai Aluminium Foil has the responsible purchasing policy, covering the environmental, social and governance aspects for the supplier. All major next tier suppliers receive communication about the policy, and the Commitment Letters of Responsible Purchasing are signed by the suppliers.</p> <p>The responsible purchasing policy is published and accessible via: http://www.nanshanqhj.com/newsdetails?id=490&cid=235</p> |
| 7.1c Responsible sourcing policy (human rights due diligence) | Conformance | <p>Donghai Aluminium Foil has the responsible purchasing policy, covering the ASI CoC criteria of Human Rights Due Diligence. All major next tier suppliers receive communication about the policy, and the Commitment Letters of Responsible Purchasing are signed by the suppliers.</p> <p>The responsible purchasing policy is published and accessible via: http://www.nanshanqhj.com/newsdetails?id=490&cid=235</p> |
| 7.1d Responsible sourcing policy (conflict affected and high risk areas) | Conformance | <p>Donghai Aluminium Foil has the mechanism to manage the minerals from conflict affected and high-risk area, covering the ASI CoC criteria of conflict affected and high-risk areas. The relevant training course are provided to employees. All major next tier suppliers receive communication about the policy, and the Commitment Letters of Non-Conflict-Mineral are signed by the suppliers.</p> <p>The Non-Conflict-Mineral policy is published and accessible via: http://www.nanshanqhj.com/newsdetails?id=490&cid=235</p> |
| 7.2 Risk assessment | Conformance | <p>Donghai Aluminium Foil undertakes risk assessments of its suppliers and completes risk mitigation efforts where relevant. Donghai Aluminium Foil also conducts second party audits of its major next tier suppliers.</p> |
| 7.3 Complaints mechanism | Conformance | <p>Donghai Aluminium Foil has a Whistleblower/Complaint channel to enable employees and external stakeholders to report anonymously potential misconducts. The channel is provided to all stakeholders.</p> |
| 8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM | | |
| 8.1 Material Accounting System | Conformance | <p>Donghai Aluminium Foil establishes a Material Accounting System that can record Input Quantity and</p> |

| CRITERION | RATING | COMMENT |
|--|----------------|---|
| | | Output Quantity of CoC Material and Non-CoC Material, by mass which will conform to ASI CoC requirement. |
| 8.2a Post-Consumer Scrap | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 8.2b Pre-Consumer Scrap (total) | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 8.2c Pre-Consumer Scrap (Eligible Scrap) | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 8.3 Material Accounting Period | Conformance | The Material Accounting Period is defined as 12 months, in accordance with Donghai Aluminium Foil 's financial year. |
| 8.4 Input Percentage | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.5 Input Percentage (Aluminium Re-Melting and Refining) | Not Applicable | This criterion is not applicable to the Entity's certification scope. |
| 8.6 Output Quantity determination | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.7 Output Quantity designation | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.8 Output Quantity – Pre-Consumer Scrap | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.9 Outputs not exceed inputs | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.10a Internal Overdraws (not exceed 20%) | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of |

| CRITERION | RATING | COMMENT |
|---|-------------|---|
| | | Custody Standard requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.10b Internal Overdraws (not exceed affected amount) | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.10c Internal Overdraws (period to make up) | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.11a Positive Balance (carry over) | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 8.11b Positive Balance (expiry) | Conformance | Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9 ISSUING COC DOCUMENTS | | |
| 9.1 Shipments and transfers | Conformance | Donghai Aluminium Foil has a system that can produce the CoC documents to each shipment and transfer, ensuring that these are controlled, issued and stored as per ASI Chain of Custody Standard requirements. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.2a Date of issue | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the date of issue is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.2b Reference number | Conformance | In Chapter 9.5 of the Entity's ASI CoC Management Manual, the reference number of the CoC document is defined. |

| CRITERION | RATING | COMMENT |
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| | | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the reference number is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.2c Issuing Entity | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, The identity, address and CoC Certification number of the Entity are included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.2d Receiving customer | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the identity and address of the customer receiving the CoC Material, and if it is another CoC Certified Entity, their CoC Certification number are included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.2e Responsible employee | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the responsible employee who can verify information is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.2f Conformance statement | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the statement confirming that "The information provided in the CoC Document is in conformance with the ASI CoC Standard." is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.2g Type of CoC Material | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the type of CoC Material in the shipment is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.2h Mass of CoC Material | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, Mass of CoC Material in the shipment is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |

| CRITERION | RATING | COMMENT |
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| 9.2i Mass of total material | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, Mass of total Material in the shipment is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.3a Sustainability Data (optional) | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the optional sustainable data is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.3b Sustainability Data (passing on) | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the optional sustainable data is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.3c Post-Casthouse ASI Certification status | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the post-Casthouse ASI Certification status is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.4 Supplementary Information (optional) | Conformance | Donghai Aluminium Foil uses ASI CoC Document template in ASI CoC Standard, the complaint channel is included. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 9.5 Response to verification requests | Conformance | Donghai Aluminium Foil establishes the process and assigns the responsibility to response to the verification request. |
| 9.6 Error management | Conformance | Donghai Aluminium Foil will integrate error management with its current processes under the ISO 9001 certified management system which appeared to be robust to document errors, corrective actions and any potential preventive measures to meet ASI Chain of Custody criteria. |
| 10 Receiving CoC Documents | | |
| 10.1 Verify required information included | Conformance | At the time of the audit, effectiveness of the verification of received CoC documents could not be reviewed as no CoC material is available on the market. However, the process and responsibility are defined for the verification of the information on CoC Documents. |

| CRITERION | RATING | COMMENT |
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| 10.2 Verify consistency with shipments | Conformance | Donghai Aluminium Foil established processes and assigns the responsibility to verify the consistency with shipments. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 10.3 Verify supplier CoC Certification status | Conformance | Donghai Aluminium Foil establishes the process and assigns the responsibility to verify the supplier CoC certification status, take the action accordingly. |
| 10.4 Error management | Conformance | Donghai Aluminium Foil will integrate error management with its current processes under the ISO 9001 certified management system which appeared to be robust to document errors, corrective actions and any potential preventive measures to meet ASI Chain of Custody criteria. |

11 MARKET CREDITS SYSTEM: ASI CREDITS

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| 11.1a Material Accounting System – allocation | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.1b Link to Casthouse Products | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.1c No double counting | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.1d No Positive Balance for ASI Credits | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.2a Date of issue | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.2b Reference number | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.2c Issuing Entity | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.2d Receiving Entity | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.2e Conformance statement | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.2f ASI Credits statement | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.2g Quantity | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |

| CRITERION | RATING | COMMENT |
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| 11.3a CoC Certification Scope – purchasing ASI Credits | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.3b Material Accounting System – purchasing | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.3c Expiry | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.3d No re-trading | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.3e No allocation to physical products | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.3f Verify supplier CoC Certification status | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |
| 11.3g Five years maximum for ASI Credits purchasing | Not Applicable | Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system. |

12 CLAIMS AND COMMUNICATIONS

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| 12.1a ASI Claims Guide | Conformance | Donghai Aluminium Foil appointed roles and responsibilities to ensure that claims are made in a manner consistent with the ASI Claims Guide. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 12.1b Verifiable evidence | Conformance | Donghai Aluminium Foil appointed roles and responsibilities to ensure that claims are made in a manner consistent with the ASI Claims Guide. At the time of the audit, there were no examples of effective implementation of the system as no ASI CoC material are available on the market. |
| 12.1c Employee training | Conformance | Donghai Aluminium Foil provides the training courses on Claims and Communication to the relevant employees. |

Document Control and Version History

| Revision | Date | Notes |
|----------|------------------|---------------|
| 0 | 15 February 2019 | Issued |
| 1 | 28 August 2019 | Updated links |