

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

ALUMINIUM DUNKERQUE

CERTIFICATE
NUMBER

163

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

GUTCERT
(AFNOR
GROUP)

DATE OF ISSUE

25 NOVEMBER 2021

DATE OF EXPIRY

24 NOVEMBER 2024

CERTIFIED SINCE

25 NOVEMBER 2021

AUTHORISED BY

A handwritten signature in white ink, appearing to be 'J. H.', written over a dark background.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

The production and sale of aluminium by electrolysis of alumina in the form of rolling slabs and ingots for remelting at Aluminium Dunkerque (France) site.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME	Aluminium Dunkerque SAS
ENTITY NAME	Aluminium Dunkerque
CERTIFICATION SCOPE	The production and sale of aluminium by electrolysis of alumina in the form of rolling slabs and ingots for remelting at Aluminium Dunkerque (France) site.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium SmeltingCasthouses
ASI STANDARD	<ul style="list-style-type: none">Chain of Custody Standard V1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	GUTcert (AFNOR Group)
AUDIT DATE	18 – 22 October 2021
AUDIT REPORT SUBMISSION	4 November 2021
AUDIT SCOPE	<p>The audit scope covered the Aluminium Dunkerque facility including the smelter and the casthouse based in Dunkerque. The production and sale of aluminium by electrolysis of alumina in the form of rolling slabs and ingots for remelting at Dunkerque site.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">Aluminium SmeltingCasthouses <p>All applicable criteria in the ASI Chain of Custody Standard were included in the audit scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

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- ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD	25 November 2021 – 24 November 2024
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DUE DATE	24 May 2023
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CERTIFICATION NUMBER	163
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SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	<p>The Entity is an ASI Member committed to complying with ASI's membership obligations and the ASI Complaints Mechanism, see: https://aluminium-stewardship.org/about-asi/asi-members/liberty-aluminium</p> <p>The Entity is in the Production and Transformation class and is also certified to the ASI Performance Standard.</p>
1.2 Management system	Conformance	<p>The Entity has implemented a Management System that addresses all applicable requirements of the ASI Chain of Custody Standard. Responsibilities and processes are well defined in the Management System. Resources are provided to ensure its implementation.</p>
1.3 Management system reviews	Conformance	<p>The Entity performs an annual management review including the ASI Chain of Custody Standard requirements, to review and improve the Management System and identify areas of non-conformance. The first review was performed in September 2021.</p>
1.4 Management representative	Conformance	<p>The Entity has nominated a Management Representative to have overall responsibility and authority for the Entity's conformance with all applicable requirements of the ASI Chain of Custody Standard.</p>
1.5 Training	Conformance	<p>The Entity has established and implemented communications and training measures that make relevant personnel aware of and competent in their responsibilities under the ASI Chain of Custody Standard.</p> <p>Adequate training is provided to relevant personnel. Interviews during the audit confirmed awareness and competence in the requirements for relevant personnel.</p>
1.6 Record keeping	Conformance	<p>The Entity has implemented a procedure to maintain up-to-date records covering all applicable requirements of the ASI Chain of Custody Standard. According to this procedure, records are kept for a minimum of five years. All records requested during the audit were made available.</p>
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	<p>The Entity has implemented a procedure to periodically report information to the ASI Secretariat, within three months after the end of</p>

CRITERION	RATING	COMMENT
		<p>each calendar year. Reporting of Input and Output Quantities of CoC Materials over the calendar year is included within the procedure.</p> <p>As there had been no sourcing or transfer of CoC Material at the time of the Certification Audit, the effectiveness of this communication will be assessed at the next Surveillance Audit.</p>
1.7b Reporting to ASI (Input Percentage)	Conformance	<p>The Entity has implemented a procedure to periodically report information to the ASI Secretariat, within three months after the end of each calendar year. Reporting of Input Percentage over the calendar year is included within the procedure.</p> <p>As there had been no sourcing or transfer of CoC Material at the time of the Certification Audit, the effectiveness of this communication will be assessed at the next Surveillance Audit.</p>
1.7c Reporting to ASI (Positive Balance)	Conformance	<p>The Entity has implemented a procedure to periodically report information to the ASI Secretariat, within three months after the end of each calendar year. Reporting of the maximum Positive Balance in the calendar year is carried over to the subsequent Material Accounting Period.</p> <p>As there had been no sourcing or transfer of CoC Material at the time of the Certification Audit, the effectiveness of this communication will be assessed at the next Surveillance Audit.</p>
1.7d Reporting to ASI (Internal Overdraw)	Conformance	<p>The Entity has implemented a procedure to periodically report information to the ASI Secretariat, within three months after the end of each calendar year. Reporting of maximum Internal Overdraw within the calendar year and the percentage of Input Quantity of CoC Material this represents is defined.</p> <p>As there had been no sourcing or transfer of CoC Material at the time of the Certification Audit, the effectiveness of this communication will be assessed at the next Surveillance Audit.</p>
1.7e Reporting to ASI (Eligible Scrap)	Not Applicable	<p>This Criterion is not applicable, as the Entity is currently not engaged in Aluminium Re-melting/Refining activities.</p>
1.7f Reporting to ASI (ASI Credits from Casthouses)	Not Applicable	<p>This Criterion is not applicable as the Entity does not intend to use the Market Credit System for the time being.</p>
1.7g Reporting to ASI (ASI Credits purchased)	Not Applicable	<p>This Criterion is not applicable as the Entity is not a Post-Casthouse Entity and does not intend to use the Market Credit System.</p>

CRITERION	RATING	COMMENT
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	No Outsourcing Contractors are included within the Entity's Certification Scope.
2.2a Control of CoC Material	Not Applicable	No Outsourcing Contractors are included within the Entity's Certification Scope.
2.2b No further outsourcing	Not Applicable	No Outsourcing Contractors are included within the Entity's Certification Scope.
2.2c Risk assessment	Not Applicable	No Outsourcing Contractors are included within the Entity's Certification Scope.
2.3 Output Quantity	Not Applicable	No Outsourcing Contractors are included within the Entity's Certification Scope.
2.4 Verification and record-keeping	Not Applicable	No Outsourcing Contractors are included within the Entity's Certification Scope.
2.5 Error management	Not Applicable	No Outsourcing Contractors are included within the Entity's Certification Scope.
3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL		
3.1a CoC Certification Scope - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Performance Standard - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a CoC Certification Scope - Aluminium Smelting	Conformance	ASI Liquid Metal is produced from the Entity's Smelter which is in the Entity's CoC Certification Scope and certified against the ASI Performance Standard.
3.3b ASI Performance Standard - Aluminium Smelting	Conformance	ASI Liquid Metal is produced from the Entity's Smelter which is in the Entity's CoC Certification Scope and certified against the ASI Performance standard, see: https://www.aluminiumdunkerque.fr/certifications
4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL		
4.1a CoC Certification Scope - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
4.1b ASI Performance Standard - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Pre-Consumer Scrap and Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Supplier records	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Cash payments	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope - Casthouses	Conformance	ASI Aluminium is produced from Casthouses of the Entity, which is within the Entity's Chain of Custody Certification Scope.
5.1b ASI Performance Standard - Casthouses	Conformance	ASI Aluminium is produced from Casthouses of the Entity, which is within the Entity's ASI Performance Standard Certification Scope, see: https://www.aluminiumdunkerque.fr/certifications
5.2 Casthouse Products	Conformance	The Material Accounting System of the Entity has systems in place to ensure a unique identification system of slabs/ingots, supported by the ERP (enterprise resource planning) and production systems, and can be linked to the Input Quantity of CoC Material for the Material Accounting Period.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope - Post-Casthouse	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1b ASI Performance Standard - Post-Casthouse	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1c Sourcing ASI Aluminium	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible sourcing policy (anti-corruption)	Conformance	The Entity has implemented a responsible sourcing policy covering environmental, social and governance issues, including a Code of Conduct, a Purchasing Policy and a Sustainable Procurement Charter. The Purchasing Policy and the Sustainable Procurement Charter are available in English and French at: https://www.aluminiumdunkerque.fr/fournisseurs

CRITERION	RATING	COMMENT
		<p>These documents address anti-corruption, responsible sourcing, human rights due diligence and conflict-affected and high-risk areas, among other issues.</p> <p>The Entity has communicated its Code of Conduct and Sustainable Procurement Charter to suppliers of Non-CoC Material.</p>
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	<p>The Entity has implemented a responsible sourcing policy covering environmental, social and governance issues, including a Code of Conduct, a Purchasing Policy and a Sustainable Procurement Charter. The Purchasing Policy and the Sustainable Procurement Charter are available in English and French at: https://www.aluminiumdunkerque.fr/fournisseurs</p> <p>These documents address anti-corruption, responsible sourcing, human rights due diligence and conflict-affected and high-risk areas, among other issues.</p> <p>The Entity has communicated its Code of Conduct and Sustainable Procurement Charter to suppliers of Non-CoC Material.</p>
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	<p>The Entity has implemented a responsible sourcing policy covering environmental, social and governance issues, including a Code of Conduct, a Purchasing Policy and a Sustainable Procurement Charter. The Purchasing Policy and the Sustainable Procurement Charter are available in English and French at: https://www.aluminiumdunkerque.fr/fournisseurs</p> <p>These documents address anti-corruption, responsible sourcing, human rights due diligence and conflict-affected and high-risk areas, among other issues.</p> <p>The Entity has communicated its Code of Conduct and Sustainable Procurement Charter to suppliers of Non-CoC Material.</p>
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	<p>The Entity has implemented a responsible sourcing policy covering environmental, social and governance issues, including a Code of Conduct, a Purchasing Policy and a Sustainable Procurement Charter. The Purchasing Policy and the Sustainable Procurement Charter are available in English and French at: https://www.aluminiumdunkerque.fr/fournisseurs</p> <p>These documents address anti-corruption, responsible sourcing, human rights due diligence and conflict-affected and high-risk areas, among other issues.</p>

CRITERION	RATING	COMMENT
		The Entity has communicated its Code of Conduct and Sustainable Procurement Charter to suppliers of Non-CoC Material.
7.2 Risk assessment	Conformance	The Entity has assessed and documented the risks of non-compliance with its responsible sourcing policy for its Non-CoC Material suppliers. There is no current need for a risk mitigation plan.
7.3 Complaints mechanism	Conformance	The Entity has established an appropriate Complaints Resolution Mechanism through various procedures and tools (such as intranet, website). External parties can raise their concerns about non-compliance with the responsible sourcing policy through the Contact page of the Entity's website: https://www.aluminiumdunkerque.fr/contact-aluminium-dunkerque
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has built upon its ERP (Enterprise Resource Planning) software to implement a Material Accounting System, with specific instructions to record Input and Output Quantity of CoC and Non-CoC Material, by mass. As there had been no sourcing or transfer of CoC Material at the time of this Certification Audit, only simulations of the Material Accounting System were performed before and during the audit. Whilst the results were satisfactory, the effectiveness of this Material Accounting System will be assessed at the next Surveillance Audit.
8.2a Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2b Pre-Consumer Scrap (total)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3 Material Accounting Period	Conformance	The Entity has defined a Material Accounting Period of twelve months (from 01/01 to 31/12), as defined in the general CoC procedure.
8.4 Input Percentage	Conformance	As defined in the general CoC procedure, the Entity calculates and records the Input Percentage using the appropriate formula for the given Material Accounting Period. Units for both the numerator and the denominator are the same.
8.5 Input Percentage (Aluminium Re-Melting/Refining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.6 Output Quantity determination	Conformance	As defined in the general CoC procedure and confirmed by the Material Accounting System, the Entity uses the Input Percentage for the Material Accounting Period to determine the Output Quantity of CoC Material, by mass.
8.7 Output Quantity designation	Conformance	As defined in the general CoC procedure, the Entity has established that the Output Quantity of CoC Material is designated as 100% CoC Material.
8.8 Output Quantity - Pre-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity as it does not want to designate Pre-Consumer Scrap as Eligible Scrap.
8.9 Outputs not exceed Inputs	Conformance	The Entity has implemented a procedure to check that the total output of CoC Material does not proportionally exceed the Input Percentage as applied to total input of CoC Material over the Material Accounting Period.
8.10a Internal Overdraws (not exceed 20%)	Conformance	The Entity's Material Accounting System is designed to ensure Internal Overdraws do not exceed 20% of total Input Quantity of CoC Material within the Material Accounting Period, in the case of a force majeure situation. A specific rule developed for the Material Accounting System software prevents the Overdraw from exceeding 20%.
8.10b Internal Overdraws (not exceed affected amount)	Conformance	In a force majeure situation, the Entity has defined that the ASI responsible employee would ensure that the Internal Overdraw does not exceed the amount of CoC Material affected by the force majeure situation.
8.10c Internal Overdraws (period to make up)	Conformance	The Entity's Material Accounting System is designed to ensure that, in a force majeure situation, the Internal Overdraw would be made up within the subsequent Material Accounting Period.
8.11a Positive Balance (carry over)	Conformance	The Entity's Material Accounting System is designed to ensure that any carry over of a Positive Balance is clearly identified.
8.11b Positive Balance (expiry)	Conformance	The Entity's Material Accounting System is designed to ensure that any Positive Balance of output CoC Material at the end of the Material Accounting Period would be carried over to the subsequent period and that any carry over would expire at the end of that period if not drawn down. It is defined in the general CoC procedure and clearly integrated within the Material Accounting System of the Entity.

CRITERION	RATING	COMMENT
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	The Entity has defined in its general CoC procedure and specific instructions, that every shipment will be accompanied by a specific CoC Document. The template of this CoC Document is available. As there had been no transfer of CoC Material at the time of the Certification Audit, the effectiveness of the CoC Documentation will be assessed at the next Surveillance Audit.
9.2a Date of issue	Conformance	The Entity has included the date of issue of the CoC Document, in its CoC Document template.
9.2b Reference number	Conformance	The Entity has included a reference number in the CoC Document template, that can be linked to the Entity's Material Accounting System.
9.2c Issuing Entity	Conformance	The Entity has included the identity, address and CoC Certification number of the Entity issuing the CoC Document in the CoC Document template.
9.2d Receiving customer	Conformance	The Entity has included the identity, address and CoC Certification number (if any) of the customer receiving the CoC Material in the CoC Document template.
9.2e Responsible employee	Conformance	The Entity has included the name of the responsible employee of the Entity who can verify information in the CoC Document in its CoC Document template.
9.2f Conformance statement	Conformance	The Entity has included the statement "the information provided in the CoC Document is in conformance with the ASI CoC Standard".in its CoC Document template.
9.2g Type of CoC Material	Conformance	The Entity has included in its CoC Document template, the type of CoC Material in the shipment.
9.2h Mass of CoC Material	Conformance	The Entity has included the mass of CoC Material in the shipment in its CoC Document template..
9.2i Mass of total material	Conformance	The Entity has included the mass of total Material in the shipment in its CoC Document template..
9.3a Sustainability Data (optional)	Conformance	The Entity has decided to include the average intensity of GHG emissions of its production of ASI Aluminium in its CoC Document template..
9.3b Sustainability Data (passing on)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.3c Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4 Supplementary Information (optional)	Conformance	Supplementary Information on the Entity's GHG emissions have been included in the CoC Document.
9.5 Response to verification requests	Conformance	The Entity has defined the responsibilities and procedures to respond to requests for verification of information in CoC Documents issued by the Entity.
9.6 Error management	Conformance	The Entity has defined procedures, including a specific requalification procedure, to handle errors discovered after CoC Material has been shipped. Any error is analysed to identify causes and improvement actions.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Conformance	The Entity has a procedure to verify that all the required information in received CoC Documents has been included. As there had been no sourcing of CoC Material at the time of the Certification Audit, the effectiveness of this verification will be assessed at the next Surveillance Audit.
10.2 Verify consistency with shipments	Conformance	The Entity has a procedure to verify the consistency of received CoC Documents with the accompanying CoC Material prior to recording information in the Material Accounting System. As there had been no sourcing of CoC Material at the time of the Certification Audit, the effectiveness of this practice will be assessed at the next Surveillance Audit.
10.3 Verify supplier CoC Certification status	Conformance	The Entity has defined in the general CoC procedure, a six monthly check of the validity and scope of the supplier's ASI Chain of Custody Certification (for any changes that might affect the status of the supplied CoC Material).
10.4 Error management	Conformance	The Entity has defined procedures to handle errors discovered after CoC Material has been received. Any error is analysed to identify causes and improvement actions.
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System – allocation	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.1b Link to Casthouse Products	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.

CRITERION	RATING	COMMENT
11.1c No double counting	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.1d No Positive Balance for ASI Credits	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.2a Date of issue	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.2b Reference number	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit.
11.2c Issuing Entity	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.2d Receiving Entity	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.2e Conformance statement	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.2f ASI Credits statement	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit.
11.2g Quantity	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.3a CoC Certification Scope – purchasing ASI Credits	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.3b Material Accounting System – purchasing	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.3c Expiry	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.3d No re-trading	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.3e No allocation to physical products	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.3f Verify supplier CoC Certification status	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
11.3g Five years maximum for ASI Credits purchasing	Not Applicable	This Criterion is not applicable as the Entity does not intend to use the Market Credit System.
12 CLAIMS AND COMMUNICATIONS		
12.1a ASI Claims Guide	Conformance	The Entity has implemented a procedure for claims related to CoC Material consistent with the ASI Claims Guide.

CRITERION	RATING	COMMENT
		As there had been no claim regarding CoC Material at the time of the Certification Audit, the effectiveness of the procedure will be assessed at the next Surveillance Audit.
12.1b Verifiable evidence	Conformance	<p>The Entity has implemented a procedure for claims related to CoC Material consistent with the ASI Claims Guide. During the interviews, relevant employees demonstrated awareness that all ASI-related claims have to be supported by verifiable evidence.</p> <p>As there had been no claim regarding CoC Material at the time of the Certification Audit, the effectiveness of the procedure will be assessed at the next Surveillance Audit.</p>
12.1c Employee training	Conformance	<p>The Entity has implemented a procedure for claims related to CoC Material consistent with the ASI Claims Guide. As demonstrated by the interviews, relevant employees are all trained to understand and communicate the claims and/or representations.</p> <p>As there had been no claim regarding CoC Material at the time of the Certification Audit, the effectiveness of the procedure will be assessed at the next Surveillance Audit.</p>

Document Control and Version History

Revision	Date	Notes
0	25 November 2021	Issued - Full Certification.