

ASI Data Publication Policy

This Policy was adopted on 16 January 2020.

Purpose of the Policy

The Aluminium Stewardship Initiative (ASI) aims to publish data on its program wherever possible and appropriate, to support transparency, accountability and stakeholder value.

This policy summarises the use, distribution and format of data that ASI publishes.

Terms and Conditions for access to ASI Data

Where relevant, ASI will develop Terms and Conditions for the use of published data, including through Creative Commons licenses.

Other relevant ASI Policies that apply, as applicable:

- ASI Privacy Policy
- ASI Confidentiality Policy
- ASI Membership Recruitment and Information Management Policy
- ASI Antitrust Compliance Policy
- ASI elementAI System Users Policy

ASI Data in the Public Domain

The following information is made available on the ASI website:

<i>Data Type</i>	<i>Format</i>	<i>Published since</i>	<i>Status/Future Plans</i>
ASI Members	Searchable list available by membership class or full listing, with count. Each member also has a unique page with additional information (including access to Certifications where applicable). Data includes: <ul style="list-style-type: none"> • Member Name • Date of joining ASI • Membership Class • Logo • Link to their own website • Description of organisation • Quote from organisation spokesperson as to why they joined ASI • Links to ASI Certifications, where applicable • Additional information, where applicable, such as links to media information 	March 2015 Updated with every new member who joins.	No current development plans.

<i>Data Type</i>	<i>Format</i>	<i>Published since</i>	<i>Status/Future Plans</i>
ASI Certifications	<p>Certificate and Public Summary Reports (PDFs). Data includes:</p> <ul style="list-style-type: none"> • Member Name • Entity Name • Certification Scope • Supply Chain Activities • ASI Standard • Audit Type • Audit Firm • Audit Date • Audit Report Submission • Audit Scope • Audit Outcome • Audit Methodology Declaration • Certification Period • Next Audit Type • Next Audit Date • Certificate Number • Conformance Rating and Comment (Headline Summary) for each and every applicable criteria in the Standard. <p>Searchable by:</p> <ul style="list-style-type: none"> • Map of Certifications • List of ASI Certified Members 	<p>April 2018</p> <p>Updated with every new ASI Certification issued.</p>	<p>A 'Public Dashboard' is currently in development in elementAI, ASI's Assurance Platform, to make this already published data available in a more user-friendly format. This will mean the data can be filtered, searched, aggregated and exported by interested stakeholders. A link will be made available on the ASI website as soon as it is launched.</p>
ASI Accredited Audit Firms	<p>List on the ASI website, one for each ASI Standard (Performance and Chain of Custody). Data includes:</p> <ul style="list-style-type: none"> • Accredited Audit Firm • Logo • Contact name, telephone and email (one or more) • Organisation website • ASI Standards accredited to audit • Sectors/supply chain activities accredited to audit • Geographic scope 	<p>2017</p> <p>Updated with every new Audit Firm accredited.</p>	<p>Plan to improve the searching and filtering tools.</p>
ASI Registered Specialists	<p>List on the ASI website. Data includes:</p> <ul style="list-style-type: none"> • Name • Title • Organisation • Biography including areas of expertise • Location • Contact email, telephone (and Skype) 	<p>2018</p> <p>Updated with every new Registered Specialist added.</p>	<p>Plan to improve the searching and filtering tools.</p>

<i>Data Type</i>	<i>Format</i>	<i>Published since</i>	<i>Status/Future Plans</i>
Monitoring and Evaluation (M&E) Program	<p>M&E Dashboard page on the ASI website.</p> <p>Data includes:</p> <ul style="list-style-type: none"> • Number of ASI members • Number of ASI Performance Standard Certifications issued • Number of ASI Chain of Custody Standard Certification issued • Number of countries where certified facilities operate • Percentage of ASI Performance Standard Certifications that leverage one or more external certifications • Number of ASI Accredited Auditing Firms, and number of countries in which they operate • Number of individual auditors trained in ASI's educationAI program • Number of members and stakeholders trained in ASI's educationAI program • Number of people working in ASI Performance Standard Certified facilities • Number of Board, Standards Committee and IPAF meetings held 	<p>2019</p> <p>Updated monthly.</p>	<p>Plan to move to a live data model with a 'Public Dashboard' for elementAI.</p>
	<p>Collaborations page on the ASI website (covers Current and Previous).</p> <p>Data includes:</p> <ul style="list-style-type: none"> • Date of collaboration • Description of collaboration • Link to partner website • Links to collaboration outcomes, where available 	<p>2017</p>	
	<p>ASI Library – Articles and Case Studies relating to ASI.</p> <p>Data includes:</p> <ul style="list-style-type: none"> • Article title • Publisher • Date • Link to article 	<p>2016</p>	
educationAI	<p>Three categories of webinars:</p> <ul style="list-style-type: none"> • fundamentAI, • inspirationAI • conversational <p>Data includes name and organisation of the presenter/s.</p>	<p>2017</p>	<p>In addition the publicly available resources on the ASI website, user learning streams have been developed on Thinkific.</p>
ASI Complaints Mechanism	<p>The Complaints Mechanism (and one-page summaries, translations) are available on the ASI website. No formal complaints processes have been raised or undertaken at time of writing.</p>	<p>2016</p> <p>Updated as required.</p>	<p>Develop a format to share status and progress of submitted complaints, triggered by first to be received.</p>

<i>Data Type</i>	<i>Format</i>	<i>Published since</i>	<i>Status/Future Plans</i>
Governance	<p>Current Board and Standards Committee members are listed on the ASI website.</p> <p>Data includes:</p> <ul style="list-style-type: none"> • Name • Title • Organisation • Membership class / Independent / IPAF • Biographical information <p>Standards Committee meeting minutes are archived back to April 2016 (the inception of the Committee).</p> <p>Indigenous Peoples Advisory Forum (IPAF) meeting reports are published since 2016, plus the report of an earlier workshop held in 2015.</p> <p>A summary of Board meeting minutes are published from the June 2019 meeting (following the 2019 Governance Review).</p> <p>ASI Secretariat members are listed.</p> <p>Data includes:</p> <ul style="list-style-type: none"> • Name • Title • Biography • Contact information 	2016	No current development plans.
Newsletters and media releases	<p>Newsletters and media releases are archived back to April 2015.</p> <p>Searchable through the website's general search tool, and listed by date (month/year).</p>	2015	No current development plans.

Revision history

Version 1 – adopted 16 January 2020