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# ASI CERTIFICATION PERFORMANCE STANDARD

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PRESENTED TO

# CROWN MEXICO – CROWN HOLDINGS INC.

CERTIFICATE  
NUMBER

173

ASI  
STANDARD

PERFORMANCE  
STANDARD  
(V2 2017)

CERTIFICATION  
LEVEL

FULL  
CERTIFICATION

ASI  
ACCREDITED  
AUDITOR

DNV  
BUSINESS  
ASSURANCE  
SERVICES UK  
LTD.

DATE OF ISSUE

19 JANUARY 2022

DATE OF EXPIRY

18 JANUARY 2025

CERTIFIED SINCE

19 JANUARY 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', written over a horizontal line.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued  
conformance with the applicable ASI Standard  
and can be verified at*

**[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)**

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CERTIFICATION SCOPE

Manufacturing of Aluminium Can Bodies at production facilities Crown Ensenada (Ensenada B.C), Crown Guadalajara (Guadalajara, Jal.), Crown Toluca (Toluca, Edo.) and Crown Latas Monterrey (Juarez, N.L.); of Aluminium Can Ends and ROPP closures at production facility Crown Cierres Monterrey (Monterrey, N.L.); and Headquarters at Crown Cierres Monterrey (Monterrey, N.L.) in Mexico.

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# SUMMARY AUDIT REPORT PERFORMANCE STANDARD

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## OVERVIEW

MEMBER NAME	Crown Holdings, Inc.
ENTITY NAME	Crown Mexico - Crown Holdings, Inc.
CERTIFICATION SCOPE	Manufacturing of Aluminium Can Bodies at production facilities Crown Ensenada (Ensenada B.C), Crown Guadalajara (Guadalajara, Jal.), Crown Toluca (Toluca, Edo.) and Crown Latas Monterrey (Juarez, N.L.); of Aluminium Can Ends and ROPP closures at production facility Crown Cierres Monterrey (Monterrey, N.L.); and Headquarters at Crown Cierres Monterrey (Monterrey, N.L.) in Mexico.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Material Conversion (Production and Transformation)</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Performance Standard V2</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none"><li>24 November – 3 December 2021</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>23 December 2021</li></ul>
AUDIT SCOPE	<p>The audit scope includes the manufacturing of Aluminium Can Bodies at Crown Latas Monterrey, and Aluminium Can Ends and ROPP closures at Crown Cierres Monterrey and Headquarters at Crown Cierres Monterrey in Mexico.</p> <p>The ASI multi-site sampling approach was undertaken to include the Crown Ensenada, Crown Guadalajara and Crown Toluca facilities in Mexico.</p> <p>The supply chain activities included in the audit scope:</p> <ul style="list-style-type: none"><li>Material Conversion (Production and Transformation)</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>

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AUDIT  
METHODOLOGY  
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

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CERTIFICATION  
PERIOD

19 January 2022 – 18 January 2025

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NEXT AUDIT  
TYPE

Re-Certification Audit

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NEXT AUDIT  
DUE DATE

18 January 2025

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CERTIFICATE  
NUMBER

173

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## SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has systems in place to maintain knowledge and ensure compliance with Applicable Law, with legal compliance requirements assessed by an external third party, and legal requirements applicable to each facility are monitored through the System.
1.2 Anti-Corruption	Conformance	The Entity works against Corruption in all its forms, including Extortion and Bribery, in accordance with Applicable Law and current international standards through implementation of the Anti-Corruption Policy. For more information, access the following links: <a href="https://www.crowncork.com/sites/default/files/Corporate_Governance_Guidelines_FINAL_07.23.20.pdf">https://www.crowncork.com/sites/default/files/Corporate_Governance_Guidelines_FINAL_07.23.20.pdf</a> <a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a>
1.3 Code of Conduct	Conformance	The Entity has implemented a Code of Conduct including relevant principles for environmental, social and governance performance, available on the Entity's website: <a href="https://www.crowncork.com/sites/default/files/Spanish-%28LA%29%202021_0.pdf">https://www.crowncork.com/sites/default/files/Spanish-%28LA%29%202021_0.pdf</a> Crown Holdings Inc. also has a direct reporting channel for violations and other complaints: <a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a>
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	The Entity implements the Policies consistent with environmental, social and governance practices: <a href="https://www.crowncork.com/sites/default/files/Spanish-%28LA%29%202021_0.pdf">https://www.crowncork.com/sites/default/files/Spanish-%28LA%29%202021_0.pdf</a> For more information access the link: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a>
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	The Entity implements the Policies consistent with the environmental, social and governance practices of the ASI Performance Standard: <a href="https://www.crowncork.com/sites/default/files/Spanish-%28LA%29%202021_0.pdf">https://www.crowncork.com/sites/default/files/Spanish-%28LA%29%202021_0.pdf</a> Policies are endorsed and regularly reviewed by the Entity's President. For more information access the link: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a>

CRITERION	RATING	COMMENT
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	The Entity implements the Policies consistent with the environmental, social and governance practices, endorsed by the Entity's President and are included in the corporate document control system and available externally via the Entity's website: <a href="https://www.crowncork.com/sites/default/files/Spanish-%28LA%29%202021_0.pdf">https://www.crowncork.com/sites/default/files/Spanish-%28LA%29%202021_0.pdf</a> For more information access the link: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a>
2.2 Leadership	Conformance	The Entity has appointed a team of Managers as representatives of the ASI Performance Standard Certification.
2.3a Environmental and Social Management Systems (environmental)	Conformance	The Entity is ISO 14001:2015 certified.
2.3b Environmental and Social Management Systems (social)	Conformance	The Entity implements a documented Social Management System.
2.4 Responsible Sourcing	Conformance	The Entity implements a Responsible Purchasing Policy and a Code of Conduct for Suppliers covering environmental, social and governance issues. For more information access the following links: <a href="https://www.crowncork.com/sites/default/files/responsible-ethical-sourcing-policy.pdf">https://www.crowncork.com/sites/default/files/responsible-ethical-sourcing-policy.pdf</a> <a href="https://www.crowncork.com/sites/default/files/code-conduct-spain-latin-america.pdf">https://www.crowncork.com/sites/default/files/code-conduct-spain-latin-america.pdf</a>
2.5 Impact Assessments	Conformance	The Entity implements the Human Rights and Labour Rights Due Diligence, for environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis and for new projects or major changes to existing facilities. A Site Evaluation Checklist is used to support social impact assessments.
2.6 Emergency Response Plan	Conformance	The Entity implements site-specific Emergency Response Plans developed in collaboration with potentially affected stakeholder groups such as Communities, Workers and their representatives and relevant agencies.
2.7 Mergers and Acquisitions	Conformance	The Entity implements the Global Mergers and Acquisitions Policy, assessing the environmental, social and governance impacts and for new projects, mergers and acquisitions or major changes to existing facilities. A Site Evaluation Checklist is used to support social impact assessments.

CRITERION	RATING	COMMENT
2.8 Closure, Decommissioning and Divestment	Conformance	The Entity implements the Closure Decommissioning and Divestment Policy, assessing the environmental, social and governance impacts in the closure, decommissioning and divestment planning process.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Entity publicly discloses its governance approach and its material environmental, social and economic impacts through the Sustainability Report: <a href="https://www.crowncork.com/sustainability/sustainability-reporting">https://www.crowncork.com/sustainability/sustainability-reporting</a>
3.2 Non-compliance and liabilities	Conformance	The Entity includes in its public financial report information on fines, judgments, penalties and significant non-monetary sanctions for non-compliance to Applicable Legislation for Governance, Social, Safety and Environmental issues. For more information access the Link, page 69: <a href="https://www.crowncork.com/investors/financial-reports">https://www.crowncork.com/investors/financial-reports</a>
3.3a Payments to governments (legal and contractual)	Conformance	The Entity pays taxes in an adequate manner, as evidenced by the debt clearance certificates issued by the federal, state and municipal government of each unit.
3.3b Payments to governments (disclosure - bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	The Entity has defined accessible, transparent, understandable, gender-sensitive and culturally-appropriate grievance resolving mechanisms, suitable for handling complaints and requests from interested parties related to its operation, using the form provided in the administrative office of each facility: <a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a>
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	The Entity assesses the life cycle impacts of its main product lines for which Aluminium is used. The Entity works with industry body CANAFEM (National Chamber of Metal Packaging Manufacturers) to evaluate the environmental impacts of their products. Through the Life Cycle and Sustainable Design Analysis Centre (CADIS), the Entity and CANAFEM prepared a Life Cycle Assessment in 2013 for Aluminium Beverage Cans using ISO 14040: 2006 methodology. Additionally, Crown Mexico has LCAs

CRITERION	RATING	COMMENT
		<p>matrices evaluating each of their products as well as a periodic monitoring of each of the environmental aspects impacted in each activity described in the matrices.</p> <p>For more information access the link:  <a href="https://www.crowncork.com/sustainability/sustainability-metal-packaging">https://www.crowncork.com/sustainability/sustainability-metal-packaging</a></p>
<p>4.1b Environmental Life Cycle Assessment (cradle to gate)</p>	<p>Conformance</p>	<p>The Entity assesses the life cycle impacts of its main product lines for which Aluminium is used. The Entity works with industry body CANAFEM (National Chamber of Metal Packaging Manufacturers) to evaluate the environmental impacts of their products. Through the Life Cycle and Sustainable Design Analysis Centre (CADIS), the Entity and CANAFEM prepared a Life Cycle Assessment in 2013 for Aluminium Beverage Cans using ISO 14040: 2006 methodology. Additionally, Crown Mexico has LCAs matrices evaluating each of their products as well as a periodic monitoring of each of the environmental aspects impacted in each activity described in the matrices.</p> <p>For more information access the link:  <a href="https://www.crowncork.com/sustainability/sustainability-metal-packaging">https://www.crowncork.com/sustainability/sustainability-metal-packaging</a></p> <p>The Entity globally participates in LCAs of the metal packaging industry sector via Metal Packaging Europe.</p> <p>For more information access the following links:  <a href="https://metalpackagingeurope.org/pa/information-hub">https://metalpackagingeurope.org/pa/information-hub</a>  <a href="https://www.metalpackagingeurope.org/sites/default/files/2017-12/Metal%20Packaging%20Europe_Long%20Executive%20Summary_November%202017_v5-FINAL.pdf">https://www.metalpackagingeurope.org/sites/default/files/2017-12/Metal%20Packaging%20Europe_Long%20Executive%20Summary_November%202017_v5-FINAL.pdf</a></p>
<p>4.1c Environmental Life Cycle Assessment (public communication)</p>	<p>Conformance</p>	<p>The Entity assesses the life cycle impacts of its main product lines for which Aluminium is used. The Entity globally communicates the advantages of metal packaging and examples of continuous improvement on its website:  <a href="https://www.crowncork.com/sustainability/sustainability-metal-packaging">https://www.crowncork.com/sustainability/sustainability-metal-packaging</a></p>
<p>4.2 Product design</p>	<p>Conformance</p>	<p>The Entity includes an “Optimum Circularity’ pillar in its Twentyby30 sustainability program with targets to reduce packaging material use via 10% weight reduction across their Aluminium Cans portfolio by 2030, and decrease the lifecycle footprint of products and processes through eco-design and manufacturing innovation by allocating at least 50% of its R&amp;D</p>

CRITERION	RATING	COMMENT
		<p>technology developments towards reducing its products and manufacturing footprint.</p> <p>For more information access the link:  <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a></p>
4.3a Aluminium Process Scrap (targets)	Conformance	<p>The Entity collects all Aluminium Process Scrap at each facility and 100% of the scrap is sent for recycling. The operation is supported by a long term contract with a regular aluminium supplier. Process Scrap is minimized throughout the Can manufacturing process and spoiling is a headline Key Performance Indicator (KPI) .</p>
4.3b Aluminium Process Scrap (alloy separation)	Conformance	<p>The Entity collects all the Aluminium Process Scrap at each facility and sends it for recycling and, when applicable, the proper separation of Aluminium alloys is undertaken.</p>
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	<p>The Entity is a member of the industry body CANAFEM (National Chamber of Metal Packaging Manufacturers), and supports their activities to improve recycling rates . CANAFEM aim to achieve 97% recycling of Aluminium products in Mexican territory by the end of 2022.</p> <p>For more information, access the ink:  <a href="https://canafem.org.mx">https://canafem.org.mx</a></p>
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	<p>The Entity collects all the Aluminium Process Scrap at each facility and sends it for recycling and, when applicable, the proper separation of Aluminium alloys is undertaken. The Entity is a member of the industry body CANAFEM (National Chamber of Metal Packaging Manufacturers), and supports their activities to improve recycling rates . CANAFEM aim to achieve 97% recycling of Aluminium products in Mexican territory by the end of 2022.</p> <p>For more information, access the ink:  <a href="https://canafem.org.mx">https://canafem.org.mx</a></p>
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	<p>The Entity records and publicly discloses material GHG emissions by source (Scope 1 and Scope 2), and energy use by source annually on the website and in the Sustainability Report.</p> <p>The Entity maintains an inventory with the emissions estimates as requested by the Mexican Law of General Climate Change.</p> <p>For more information access the link:  <a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a></p>



CRITERION	RATING	COMMENT
5.2 GHG emissions reductions	Conformance	The Entity records and publicly discloses material GHG emission reduction targets by source (Scope 1 and Scope 2), and energy use by source annually the website and in the Sustainability Report. For more information access the link: <a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a>
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		
6.1 Emissions to Air	Conformance	The Entity quantifies and reports Emissions to Air that have adverse effects on humans and the environment in accordance with the conditions of the environmental licenses for each facility. In addition, all facilities control energy consumption (electricity, LPG and natural gas), and have annual reduction targets. The Entity's Twentyby30 sustainability program includes a goal to reduce VOC emissions by 10% per unit of production, scope 1 and 2 by 50%, and scope 3 by 16% by 2030. For more information access the link: <a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a>
6.2 Discharges to Water	Conformance	The Entity quantifies and reports Discharges to Water that have adverse effects on humans and the environment in accordance with the conditions of the environmental licenses of each facility. All manufacturing facilities are certified to ISO 14001. The Entity's facilities have local environmental licenses for discharges to water and must demonstrate compliance through monitoring and reporting. In addition, all facilities control energy consumption (electricity, LPG and natural gas), and have annual reduction targets. The Entity's Twentyby30 sustainability program includes water management goals, including reducing water use by 20% by 2025. For more information access the link: <a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a>

CRITERION	RATING	COMMENT
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	The Entity carries out an assessment of the main risk areas of operations where Spills and Leakage can contaminate the air, water and/or the soil through Emergency Situation Assessments, and periodically performs simulated efficiency tests according to the Emergency Response Plan. The Entity's production facilities are certified to ISO 14001.
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	The Entity carries out an assessment of the main risk areas of operations where Spills and Leakage can contaminate the air, water and/or the soil through Emergency Situations Assessments, and periodically performs simulated efficiency tests according to the Emergency Response Plan. The Entity's production facilities are certified to ISO 14001. For more information access the link: <a href="https://www.crowncork.com/sustainability/sustainability-reporting">https://www.crowncork.com/sustainability/sustainability-reporting</a>
6.4a Reporting of Spills (immediate disclosure)	Conformance	The Entity carries out an assessment of the main risk areas of operations where Spills and Leakage can contaminate the air, water and/or the soil through Emergency Situations Assessments, and periodically performs simulated efficiency tests according to the Emergency Response Plan. The Entity's production facilities are certified to ISO 14001. The Entity has an external communication plan defined for the reporting of Spills. For more information access the link: <a href="https://www.crowncork.com/sustainability/sustainability-reporting">https://www.crowncork.com/sustainability/sustainability-reporting</a>
6.4b Reporting of Spills (regular reporting)	Conformance	The Entity carries out an assessment of the main risk areas of operations where Spills and Leakage can contaminate the air, water and/or the soil through Emergency Situations Assessments, and periodically performs simulated efficiency tests according to the Emergency Response Plan. The Entity's production facilities are certified to ISO 14001. The Entity has an external communication plan defined for the reporting of Spills and annually reports in the Sustainability Report: <a href="https://www.crowncork.com/sustainability/sustainability-reporting">https://www.crowncork.com/sustainability/sustainability-reporting</a>
6.5a Waste management and reporting (strategy)	Conformance	The Entity implements a waste management strategy through the Twentyby30 sustainability program that is designed in accordance with the Waste Mitigation Hierarchy which includes a goal to send zero waste to landfill by 2030. All production facilities achieved the goal for 2020.

CRITERION	RATING	COMMENT
		All production facilities are certified to ISO 14001. For more information access the link: <a href="https://www.crowncork.com/sites/default/files/20by30_brochure.pdf">https://www.crowncork.com/sites/default/files/20by30_brochure.pdf</a>
6.5b Waste management and reporting (disclosure)	Conformance	The Entity publicly discloses the amount of Hazardous and Non-Hazardous Waste generated in the Sustainability Report: <a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a>
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (start of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8b Dross (recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8c Dross (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Conformance	<p>The Entity maps the water withdrawal by source and type for each facility, and consumption complies with the authorization of the Environmental Agency.</p> <p>The consumption of water by facility and source (underground, surface or municipal concessionaires) is registered in the Corporate Resources Platform.</p>
7.1b Water assessment (risk assessment)	Conformance	<p>The Entity has a global sustainability program, 'Twentyby30', with the goal of replenishing watersheds with high scarcity risk with 100% of the water consumed in operations by 2030.</p> <p>The Entity's water extractions are within the licencing limits and in the case of water shortage, municipal water is used that is monitored in the Environmental Management System in order to ensure its compliance. The Entity is ISO 14001 certified.</p> <p>For more information access the following links:  <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a>  <a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a></p>
7.2a Water management (management plans)	Conformance	<p>Water consumption is a Key Performance Indicator (KPI) and the Entity targets a 5% reduction per annum at each facility.</p> <p>Water data is monitored monthly and presented in the Environmental Indicators that are linked to the objectives and goals of the company. The Entity has a water and wastewater committee, responsible for monitoring water consumption .</p> <p>For more information access the following links:  <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a>  <a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a></p>
7.2b Water management (monitoring)	Conformance	<p>Water consumption is a Key Performance Indicator (KPI) and the Entity targets a 5% reduction per annum at each facility.</p> <p>Water data is monitored monthly and presented in the Environmental Indicators that are linked to the objectives and goals of the company. The Entity has a water and wastewater committee, responsible for monitoring water consumption .</p> <p>For more information access the following links:  <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a>  <a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a></p>

CRITERION	RATING	COMMENT
7.3 Disclosure of water usage and risks	Conformance	<p>The Entity has a global sustainability program, 'Twentyby30', with the goals of replenishing watersheds with high scarcity risk with 100% of the water consumed in operations by 2030 and to reduce water use by 20% by 2025.</p> <p>The Entity's water extractions are within the licencing limits and in the case of water shortage, municipal water is used that is monitored in the Environmental Management System in order to ensure its compliance. The Entity is ISO 14001 certified.</p> <p>For more information access the following links:  <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a>  <a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a></p>
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	<p>The Entity assessed the risk and material impacts on biodiversity from the land use and activities in its Area of Influence through the Biodiversity Risk Assessment and Protection Plan, with a report issued in June 2021.</p> <p>The Entity identified no impacts on biodiversity from its operations.</p>
8.2a Biodiversity management (biodiversity action plans)	Conformance	<p>The Entity assessed the risks and material impacts on biodiversity from the land use and activities in its Area of Influence through the Integrated Biodiversity Assessment Tool (IBAT):  <a href="https://www.ibat-alliance.org/">https://www.ibat-alliance.org/</a></p> <p>Biodiversity prevention actions are included in the Biodiversity Risk Prevention and Assessment Plan.</p>
8.2b Biodiversity management (consultation and mitigation hierarchy)	Conformance	<p>The Entity assessed the risk and material impacts on biodiversity from the land use and activities in its Area of Influence through the Biodiversity Risk Assessment and Protection Plan.</p> <p>The Entity identified no impacts on biodiversity from its operations.</p>
8.2c Biodiversity management (reporting)	Conformance	<p>The Entity assessed the risk and material impacts on biodiversity from the land use and activities in its Area of Influence through the Biodiversity Risk Assessment and Protection Plan, with a report issued in June 2021.</p> <p>The Entity identified no impacts on biodiversity from its operations, however will include information on biodiversity in future sustainability reporting.</p>
8.3 Alien Species	Conformance	<p>The Entity prevents the accidental or deliberate introduction of Alien Species that may have</p>

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		significant adverse impacts on biodiversity in the its Area of Influence , evaluating the risk and material impacts on biodiversity arising from land use and activities via the Integrated Biodiversity Assessment Tool (IBAT). The analysis and management controls are available in the Biodiversity Risk and Risk Assessment Plan.
8.4a Commitment to “No Go” in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
8.4b Commitment to “No Go” in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	<p>The Entity has implemented and published a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights.</p> <p>This Policy is communicated to all employees by training and has been published on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
9.1b Human Rights Due Diligence (process)	Conformance	<p>The Entity has implemented the Human Rights Due Diligence process, with evaluation of the risks for Human Rights related issues. The key stakeholders were identified and considered as part of the Due Diligence. The principles of the Entity’s Human Rights Policy are based on the UN Universal Declaration of Human Rights, the Fundamental Principles and Rights at Work from the International Labour Organization (ILO), the United Nations Global Compact Guiding Principles and the national legislation.</p>
9.1c Human Rights Due Diligence (remediation)	Conformance	<p>The Entity has implemented the Human Rights Due Diligence process, with evaluation of the risks for Human Rights related issues. The key stakeholders were identified and considered as part of the Due Diligence.</p> <p>The principles of the Entity Human Rights Policy are based on the UN Universal Declaration of Human</p>

CRITERION	RATING	COMMENT
		<p>Rights, the Fundamental Principles and Rights at Work from the International Labour Organization (ILO), the United Nations Global Compact Guiding Principles and the national legislation.</p> <p>The report on the Entity's Human Rights and Labour Rights Diligence concludes that the Entity has not caused or contributed to adverse impacts on Human Rights in its Area of Influence.</p>
9.2 Women's Rights	Conformance	<p>The Entity has implemented Policies and processes to ensure respect for the rights and interests of women, in accordance with international standards. The Entity developed the 'Why Not' program and the corporate movement '#WeAreQueensWeAreCrown' for the inclusion of women in new jobs. The Entity promotes actions for the inclusion of women's work being disclosed on the Entity's website.</p> <p>For more information access the link:  <a href="https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance">https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance</a></p>
9.3 Indigenous Peoples	Conformance	<p>The Entity undertook an assessment of Indigenous Peoples and Political Quilombolas and their Area of Influence to ensure respect for the rights and interests of Indigenous Peoples, in accordance with international standards.</p> <p>The Entity implements its Human Rights and Labour Rights Diligence process for environmental, social, cultural and Human Rights Impact Assessments, including gender analysis and for new projects or major changes to existing facilities. A Site Evaluation Checklist was developed to support social impact assessments.</p> <p>The Entity is not located near lands, territories or resources of Indigenous People.</p> <p>For more information access the link:  <a href="https://www.gob.mx/cms/uploads/attachment/file/35735/cdi-regiones-indigenas-mexico.pdf">https://www.gob.mx/cms/uploads/attachment/file/35735/cdi-regiones-indigenas-mexico.pdf</a></p>
9.4 Free, Prior, and Informed Consent (FPIC)	Conformance	<p>The Entity undertook an assessment of Indigenous Peoples and Political Quilombolas and their Area of Influence to ensure respect for the rights and interests of Indigenous Peoples, in accordance with international standards.</p> <p>The Entity implements its Human Rights and Labour Rights Diligence process for environmental, social, cultural and Human Rights Impact Assessments, including gender analysis and for new projects or major changes to existing facilities. A Site Evaluation Checklist was developed to support social impact assessments.</p>

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		<p>The Entity is not located near lands, territories or resources of Indigenous People.</p> <p>For more information access the link:  <a href="https://www.gob.mx/cms/uploads/attachment/file/35735/cdi-regiones-indigenas-mexico.pdf">https://www.gob.mx/cms/uploads/attachment/file/35735/cdi-regiones-indigenas-mexico.pdf</a></p>
9.5 Cultural and sacred heritage	Conformance	<p>The Entity undertook an assessment to identify and preserve cultural or historical heritage in its Area of Influence to ensure respect for the rights and interests of the Community.</p> <p>The Entity implements its Human Rights and Labour Rights Diligence process for environmental, social, cultural and Human Rights Impact Assessments, including gender analysis and for new projects or major changes to existing facilities. A Site Evaluation Checklist was developed to support social impact assessments.</p>
9.6a Resettlements (avoid or minimise)	Conformance	<p>The Entity undertook an assessment of Indigenous Peoples and Political Quilombolas in its Area of Influence to ensure respect for the rights and interests of Indigenous Peoples, in accordance with international standards.</p> <p>The Entity implements its Human Rights and Labour Rights Diligence process for environmental, social, cultural and Human Rights Impact Assessments, including gender analysis and for new projects or major changes to existing facilities. A Site Evaluation Checklist was developed to support social impact assessments.</p>
9.6b Resettlements (where unavoidable)	Conformance	<p>The Entity implements its Human Rights and Labour Rights Diligence process for environmental, social, cultural and Human Rights Impact Assessments, including gender analysis and for new projects or major changes to existing facilities. A Site Evaluation Checklist was developed to support social impact assessments. The Entity considers viable alternatives to avoid or minimize physical and/or economic displacement, while balancing environmental, social and financial costs and benefits, paying special attention to impacts on the poor and vulnerable, including women.</p>
9.7a Local Communities (rights and interests)	Conformance	<p>The Entity respects the legal and customary rights and interests of the Local Communities in their lands and livelihoods and in the use of natural resources. Social projects within the Entity's Local Communities are selected annually.</p> <p>For more information access the link:</p>



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		<a href="https://2019sustainability.crowncork.com/our-communities">https://2019sustainability.crowncork.com/our-communities</a>
9.7b Local Communities (impacts)	Conformance	The Entity respects the legal and customary rights and interests of the Local Communities on its lands and seeks to prevent any adverse impacts on the livelihoods of the Local Community resulting from its activities. Social projects within the Entity's Local Communities are selected annually For more information access the link: <a href="https://2019sustainability.crowncork.com/our-communities">https://2019sustainability.crowncork.com/our-communities</a>
9.7c Local Communities (livelihoods)	Conformance	The Entity respects the legal and customary rights and interests of the Local Communities on its lands and seeks to prevent any adverse impacts on the livelihoods of the Local Community resulting from its activities. Social projects within the Entity's Local Communities are selected annually For more information access the link: <a href="https://2019sustainability.crowncork.com/our-communities">https://2019sustainability.crowncork.com/our-communities</a>
9.8 Conflict-Affected and High-Risk Areas	Conformance	The Entity does not contribute to armed conflicts or Human Rights abuses in Conflict-Affected and High-Risk Areas. The Entity undertakes social impact assessments for new projects and implements a Human Rights Policy and Responsible and Ethical Sourcing Policy. For more information access the link: <a href="https://www.crowncork.com/sites/default/files/Crown_HumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/Crown_HumanRights_Spanish.pdf</a>
9.9 Security practice	Conformance	The Entity, in its involvement with private security providers, respects Human Rights in accordance with recognized standards and good practices. The Entity hires companies specialized in private security for each production facility .
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Conformance	The Entity respects the rights of Workers to freely associate in Labour Unions and to seek representation and to join Workers' Councils as defined in the Human Rights Policy. The freedom of association and the right to collective bargaining are respected. The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/Crown_HumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/Crown_HumanRights_Spanish.pdf</a>

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10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Conformance	<p>The Entity respects the rights of Workers to freely associate in Labour Unions and to seek representation and to join Workers' Councils as defined in the Human Rights Policy. The freedom of association and the right to collective bargaining are respected.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Not Applicable	Not applicable to the Entity as the Federal Labour Laws in Mexico regulate the Freedom of Association.
10.2a Child Labour (minimum age)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights. Child Labour is not used.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.2b Child Labour (hazardous)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights. Child Labour is not used.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.2c Child Labour (worst forms)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights. Child Labour is not used.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.3a Forced Labour (human trafficking)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights, and does not support human trafficking directly or through any employment or recruitment agency.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.3b Forced Labour (deposits, fees, advances)	Conformance	The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business

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		<p>and Human Rights, and does not support human trafficking directly or through any employment or recruitment agency.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.3c Forced Labour (migrant workers)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights. Forced Labour is not used, and does not require Migrant Workers to make deposits or deposit payments at any time,</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.3d Forced Labour (debt bondage)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights, and does not keep Workers in debt bondage or forces them to work to pay off a debt.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.3e Forced Labour (freedom of movement)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights, and does not unjustifiably restricts Workers' freedom of movement in the workplace or in on-site housing.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights, and does not keep original copies of Workers' identity documents, work permits, travel documents or training certificates.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.3g Forced Labour (freedom to terminate employment)	Conformance	<p>The Entity implements a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights, and does not deny Workers the</p>

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		<p>freedom to terminate their employment contract at any time, without penalty, upon notice within a reasonable period.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.4 Non-Discrimination	Conformance	<p>The Entity implements a Policy that expresses the commitment to respect Human Rights and does not engage or support Discrimination in the hiring, salary, promotion, training, promotion opportunities or termination of any Workers based on gender, race, national origin or social , religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age or any other condition that may give rise to discrimination.</p> <p>The Human Rights Policy is available on the website: <a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Spanish.pdf</a></p>
10.5 Communication and engagement	Conformance	<p>The Entity ensures open communication and direct involvement with Workers and their representatives in relation to working conditions and resolution of work and compensation issues, without threat of reprisal, intimidation or harassment. Human Rights are preserved in the workplace. Workers can use the complaints channel for complaints and claims.</p> <p>For more information access the following links: <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>  <a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a></p>
10.6 Disciplinary practices	Conformance	<p>The Entity implements a Policy that expresses the commitment to respect Human Rights and does not engage in or condone the use of corporal punishment, mental or physical coercion, harassment and gender-based violence, including sexual harassment or verbal abuse of Workers. Human Rights are preserved in the workplace. Workers can use the complaints channel for complaints and claims.</p> <p>For more information access the following links: <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>  <a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a></p>
10.7a Remuneration (living wage)	Conformance	<p>The Entity respects Workers' rights to a minimum wage and guarantees that wages are paid for a working day as determined by local law and Workers</p>

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		are paid the wages agreed to within individual employment contracts. The Entity provides Workers with statements of working hours and statements of payment calculations.
10.7b Remuneration (method of payment)	Conformance	The Entity respects Workers' rights to a minimum wage and guarantees that wages are paid for a working day as determined by local law and Workers are paid the wages agreed to within individual employment contracts. The Entity provides Workers with statements of working hours and statements of payment calculations.
10.8 Working Time	Conformance	The Entity respects Workers' rights to a minimum wage and guarantees that wages are paid for a working day as determined by local law and Workers are paid the wages agreed to within individual employment contracts. The Entity provides Workers with statements of working hours and statements of payment calculations.
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	The Entity is ISO 45001 certified, with the exception of the Crown Latas Monterrey production facility, which has an Integrated System Policy, approved by Senior Management and available in the Internal System, on management boards at the facility and for external stakeholders on the Entity's website: <a href="https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf">https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf</a>
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity is ISO 45001 certified, with the exception of the Crown Latas Monterrey production facility, which has an Integrated System Policy, approved by Senior Management and available in the Internal System, on management boards at the facility and for external stakeholders on the Entity's website: <a href="https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf">https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf</a>
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Entity is ISO 45001 certified, with the exception of the Crown Latas Monterrey production facility, which has an Integrated System Policy according to international standards and ILO Conventions on Occupational Health and Safety, approved by Senior Management and available in the Internal System, on management boards at the facility and for external stakeholders on the Entity's website: <a href="https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf">https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf</a>

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11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity is ISO 45001 Certified, with the exception of the Crown Latas Monterrey production facility, which has an Integrated System Policy according to international standards and ILO Conventions on Occupational Health and Safety. The Internal Labour Regulation outlines that Workers have the right to understand the dangers and safe practices of their work and the authority to refuse or stop unsafe work.
11.2 OH&S Management System	Conformance	The Entity is ISO 45001 Certified, with the exception of the Crown Latas Monterrey production facility, which has implemented a documented Occupational Health and Safety Management System that complies with applicable national and international standards.
11.3 Employee engagement on health and safety	Conformance	The Workers participate in improving the Entity's culture of safety and awareness through involvement in various committees (for example Safety Committee and COVID Committee), Safety Dialogues, SAFE Behavioural Observations, SafeStart, Safety of Culture, and audits of the Entity's programs.
11.4 OH&S performance	Conformance	The Entity evaluates its Occupational Health and Safety performance using indicators, monitored by the Entity's governance Board, and seeks continuous improvement of its practices through a Business Overview and through the Intranet Platform.

#### **Document Control and Version History**

Revision	Date	Notes
0	19 January 2022	Initial Certification Audit – Full Certification