
ASI CERTIFICATION
CHAIN OF CUSTODY
STANDARD



PRESENTED TO

YANTAI DONGHAI ALUMINIUM FOIL CO., LTD.

CERTIFICATE
NUMBER

18

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI
ACCREDITED
AUDITOR

DNV GL

DATE OF ISSUE

15 FEBRUARY 2019

DATE OF EXPIRY

14 FEBRUARY 2022

CERTIFIED SINCE

15 FEBRUARY 2019

AUTHORISED BY

A handwritten signature in white ink, appearing to be 'J. H.', written over a horizontal line.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Yantai Donghai Aluminium Foil Co., Ltd. is a subsidiary of Shandong Nanshan Aluminium Co. Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China. The main products cover high-precision aluminium and aluminium alloy foils. Yantai Donghai Aluminium Foil Co., Ltd is a subsidiary of Shandong Nanshan Aluminium Co., Ltd.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Shandong Nanshan Aluminium Co., Ltd.
ENTITY NAME	Yantai Donghai Aluminium Foil Co., Ltd.
CERTIFICATION SCOPE	Yantai Donghai Aluminium Foil Co., Ltd. is a subsidiary of Shandong Nanshan Aluminium Co. Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China. The main products cover high-precision aluminium and aluminium alloy foils. Yantai Donghai Aluminium Foil Co., Ltd is a subsidiary of Shandong Nanshan Aluminium Co., Ltd.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Post-Casthouse
ASI STANDARD	<ul style="list-style-type: none">• Chain of Custody Standard V1
AUDIT TYPE	<ul style="list-style-type: none">• First Certification Audit (28 . 30 January 2019)• Surveillance Audit (13 . 14 August 2020)
AUDIT FIRM	DNV GL
AUDIT DATE	28 . 30 January 2019 (First Certification Audit) 13 . 14 August 2020 (Surveillance Audit)
AUDIT REPORT SUBMISSION	2 February 2019 (First Certification Audit) 18 September 2020 (Surveillance Audit)
AUDIT SCOPE	<p><u>First Certification Audit (28 . 30 January 2019)</u></p> <p>The audit scope covered the Yantai Donghai Aluminum Foil Co., Ltd. a subsidiary of Shandong Nanshan Aluminium Co., Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China, for the production and sale of high-precision aluminium and aluminium alloy foil.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Post-Casthouse <p>Relevant Criteria from the ASI Chain of Custody Standard were included in the audit scope.</p>

Surveillance Audit (13 . 14 August 2020)

The audit scope covered the Yantai Donghai Aluminium Foil Co., Ltd. a subsidiary of Shandong Nanshan Aluminium Co., Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China, for the production and sale of high-precision aluminium and aluminium alloy foil.

Supply chain activities included in the audit scope:

- Post-Casthouse

Relevant Criteria from the ASI Chain of Custody Standard were included in the audit scope.

AUDIT
OUTCOME

- Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION
PERIOD

15 February 2019 . 14 February 2022

NEXT AUDIT
TYPE

Re-Certification Audit

NEXT AUDIT
DUE DATE

13 February 2022

CERTIFICATE
NUMBER

18

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	Donghai Aluminium Foil is in the Production and Transformation category. Its parent company: Shandong Nanshan Aluminium Co., Ltd. holds an ASI Membership. Shandong Nanshan Aluminium Co., Ltd. (parent company of the Entity) is an active ASI member. https://aluminium-stewardship.org/about-asi/asi-members/shandong-nanshan-aluminium-co-ltd/ .
1.2 Management system	Conformance	Donghai Aluminium Foil has an ASI CoC management system against ASI Chain of Custody Standard.
1.3 Management system reviews	Conformance	Donghai Aluminium Foil established a process to periodical review of the Management System to assess the effectiveness of the ASI CoC management and address potential areas of non-conformance/improvement. Per the management review report on July 24, 2020, the management system of ASI Custody of Chain is effective.
1.4 Management representative	Conformance	The Entity nominates General Engineer as the ASI Management Representative to have overall responsibility of the implementation of ASI CoC and for the conformance with all applicable requirements of the CoC Standard.
1.5 Training	Conformance	Donghai Aluminium Foil delivers the training courses to relevant personnel to raise awareness of ASI CoC requirements and makes them know the implementation procedures. The latest training course on ASI CoC is on June 23, 2020.
1.6 Record keeping	Conformance	Donghai Aluminium Foil maintains up-to-date records (Management System) covering all applicable requirements of the CoC Standard including the retention requirement of ASI records.
1.7a Reporting to ASI (Inputs and Outputs)	Minor Non-Conformance	In ASI CoC Management Manual, article 1.7, what and how to report to ASI are defined. The latest report to ASI was on March 26, 2020, one minor non-conformance is raised: wrong amount of input CoC materials.
1.7b Reporting to ASI (Input Percentage)	Minor Non-Conformance	An inventory file is developed in Excel format for management and calculations. The latest reporting to ASI was on March 26, 2020, the amount of input is 0, actually there were 1889.289 ton of input CoC materials in 2019, one minor non-conformance is raised.

CRITERION	RATING	COMMENT
1.7c Reporting to ASI (Positive Balance)	Conformance	An inventory file is developed in Excel format for management and calculations. The latest report to ASI was in on March 26, 2020, the Positive Balance is 0.
1.7d Reporting to ASI (Internal Overdraw)	Conformance	An inventory file is developed in Excel format for management and calculations. The latest report to ASI was on March 26, 2020, the Internal Overdraw is 0.
1.7e Reporting to ASI (Eligible Scrap)	Not Applicable	Not applicable. The Entity is not engaged in Aluminium Re-melting/Refining.
1.7f Reporting to ASI (ASI Credits from Casthouses)	Not Applicable	Not applicable. The Entity does not intend to use ASI Credits System.
1.7g Reporting to ASI (ASI Credits purchased)	Not Applicable	Not applicable. The Entity does not intend to use ASI Credits System.
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing.
2.2a Control of CoC Material	Not Applicable	Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing.
2.2b No further outsourcing	Not Applicable	Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing.
2.2c Risk assessment	Not Applicable	Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing.
2.3 Output Quantity	Not Applicable	Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing.
2.4 Verification and record-keeping	Not Applicable	Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing.
2.5 Error management	Not Applicable	Donghai Aluminium Foil does not use outsourcing contractors for the purposes of further processing, treatment or manufacturing.

3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL

CRITERION	RATING	COMMENT
3.1a CoC Certification Scope . Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
3.1b ASI Performance Standard . Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
3.2a CoC Certification Scope . Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
3.2b ASI Performance Standard . Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
3.3a CoC Certification Scope . Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
3.3b ASI Performance Standard . Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL		
4.1a CoC Certification Scope . Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
4.1b ASI Performance Standard . Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
4.2a Pre-Consumer Scrap and Dross	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
4.2b Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
4.3a Supplier records	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
4.3b Cash payments	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope . Casthouses	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
5.1b ASI Performance Standard . Casthouses	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
5.2 Casthouse Products	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		

CRITERION	RATING	COMMENT
6.1a CoC Certification Scope . Post-Casthouse	Conformance	Donghai Aluminium Foil has systems in place to ensure that it is itself producing ASI Aluminium only from an Entity and Facilities.
6.1b ASI Performance Standard . Post-Casthouse	Conformance	Donghai Aluminium Foil holds the valid ASI Performance Standard certificate, the certificate No. is 17.
6.1c Sourcing ASI Aluminium	Conformance	Per the material purchasing orders and CoC Input documents, all the materials are from the sister company: Shangdong Nanshan Aluminium Co., Ltd. which is certified against ASI Performance and Custody of Chain standard. The certificate No. of ASI Performance Standard is 53, the certificate No. of ASI Custody of Chain Standard is 62.

7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL

7.1a Responsible sourcing policy (anti-corruption)	Conformance	Donghai Aluminium Foil has the anti-corruption policy, covering the ASI CoC Criteria of anti-corruption. All major next tier suppliers receive communication about the policy, and the Commitment Letters of Responsible Purchasing are signed by the suppliers. The anti-corruption policy is published and accessible via: http://nanshanghj.com/static/admin/upload/file/20190823/20190823003726_36529.pdf
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	Donghai Aluminium Foil has the responsible purchasing policy, covering the environmental, social and governance aspects for the supplier. All major next tier suppliers receive communication about the policy, and the Commitment Letters of Responsible Purchasing are signed by the suppliers. The responsible purchasing policy is published and accessible via: http://nanshanghj.com/static/admin/upload/file/20190823/20190823003726_36529.pdf
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	Donghai Aluminium Foil has the responsible purchasing policy, covering the ASI CoC Criteria of Human Rights Due Diligence. All major next tier suppliers receive communication about the policy, and the Commitment Letters of Responsible Purchasing are signed by the suppliers. The responsible purchasing policy is published and accessible via: http://nanshanghj.com/static/admin/upload/file/20190823/20190823003726_36529.pdf
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	Donghai Aluminium Foil has the mechanism to manage the minerals from conflict affected and high-risk area, covering the ASI CoC Criteria of conflict affected and high-risk areas. The relevant training course are provided

CRITERION	RATING	COMMENT
		<p>to employees. All major next tier suppliers receive communication about the policy, and the Commitment Letters of Non-Conflict-Mineral are signed by the suppliers.</p> <p>The Non-Conflict-Mineral policy is published and accessible via: http://nanshanghi.com/static/admin/upload/file/20190823/20190823003726_36529.pdf</p>
7.2 Risk assessment	Conformance	Donghai Aluminium Foil undertakes risk assessments of its suppliers and completes risk mitigation efforts where relevant. Donghai Aluminium Foil also conducts second party audits of its major next tier suppliers.
7.3 Complaints mechanism	Conformance	Donghai Aluminium Foil has a Whistleblower/Complaint channel to enable employees and external stakeholders to report anonymously potential misconducts. The channel is provided to all stakeholders.
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	Donghai Aluminium Foil establishes a Material Accounting System that can record Input Quantity and Output Quantity of CoC Material and Non-CoC Material by mass which conform to ASI CoC requirement.
8.2a Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.2b Pre-Consumer Scrap (total)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.3 Material Accounting Period	Conformance	The Material Accounting Period is defined as 12 months, in accordance with Donghai Aluminium Foil 's financial year: from Dec. 26 to Dec. 25, next year.
8.4 Input Percentage	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. Per the interview with responsible person and the records in the mass balance system, the percentage calculation is implemented.
8.5 Input Percentage (Aluminium Re-Melting and Refining)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.6 Output Quantity determination	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. Per the interview of the responsible person and the data in Mass

CRITERION	RATING	COMMENT
		Balance system, the Entity understands and implements the requirement of this Criterion of ASI CoC standard.
8.7 Output Quantity designation	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. The dates in Mass Balance System show the Output Quantity of CoC Material is designated as 100% CoC Material.
8.8 Output Quantity . Pre-Consumer Scrap	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. Till now, the amount of output pre-consumer scrap is 0.
8.9 Outputs not exceed inputs	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI CoC requirements. Per the data in Mass Balance System, in 2019, the total output of CoC Material does not proportionally exceed the Input Percentage as applied to total input of CoC Material over the Material Accounting Period.
8.10a Internal Overdraws (not exceed 20%)	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements. Per data in Mass Balance System, in the year of 2019, the amount of the internal overdraw does not exceed 20%.
8.10b Internal Overdraws (not exceed affected amount)	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements. In the year of 2019, no such thing happened.
8.10c Internal Overdraws (period to make up)	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements.
8.11a Positive Balance (carry over)	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements.
8.11b Positive Balance (expiry)	Conformance	Donghai Aluminium Foil establishes a Material Accounting system in compliance with ASI Chain of Custody Standard requirements.
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	Donghai Aluminium Foil has a system that can produce the CoC documents to each shipment and transfer, ensuring that these are controlled, issued and stored as per ASI Chain of Custody Standard requirements. No ASI Aluminium was delivered in 2019. In 2020, to the audit date, the Entity delivers 85 lots of ASI Aluminium to customers. All lots are accompanied with the required documents. No outsourcing is used by the Entity.

CRITERION	RATING	COMMENT
9.2a Date of issue	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice include all required information. All 85 issued ASI documents have the date of issue.
9.2b Reference number	Conformance	In Chapter 9.5 of ASI CoC Management Manual, the reference number of the CoC document is defined as: No. of Purchasing order from customers + annual serial number for the traceability. All 85 issued ASI documents have the unique the reference numbers.
9.2c Issuing Entity	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. The identity, address and CoC Certification number of the Entity are included in the all 85 issued ASI documents.
9.2d Receiving customer	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. The identity, address of the customers are included in the all 85 issued ASI documents.
9.2e Responsible employee	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. For 7 ASI documents for the domestic market, the responsible employee of the Entity who can verify information in the CoC Document is included. But for the invoice for the foreign markets, per the non-conformance raised in the internal audit on June 18, 2020, the information was not included in the invoices. The corrective action was taken. From that time, all invoice issued has the information of responsible person.
9.2f Conformance statement	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. The statement of %The information provided in the CoC Document is in conformance with the ASI CoC Standard.+ is included in all 85 issued ASI documents.
9.2g Type of CoC Material	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the

CRITERION	RATING	COMMENT
		invoice includes all required information. The type of CoC Material in the shipment is included in the all 85 issued ASI documents.
9.2h Mass of CoC Material	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. Mass of CoC Material in the shipment is included in the all 85 issued ASI documents.
9.2i Mass of total material	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. Mass of total Material in the shipment is included in the all 85 issued ASI documents.
9.3a Sustainability Data (optional)	Not Applicable	Not Applicable. The Entity is not engaged in Aluminium Smelting, or Aluminium Re-Melting/Refining, or operating a Casthouse.
9.3b Sustainability Data (passing on)	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. All ASI documents issued includes the average intensity of GHG emissions (scope 1 and 2) in tonnes CO ₂ . eq per metric tonne ASI Aluminium.
9.3c Post-Casthouse ASI Certification status	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. All 85 issued ASI documents have the ASI Certification status for the ASI Performance Standard for the Entity.
9.4 Supplementary Information (optional)	Conformance	The ASI CoC Document template in CoC Standard is used to provide all required information for domestic market; The invoices are used for foreign market, the invoice includes all required information. The numbers of package boxes are added as Supplementary Information. The numbers can be verified in the warehouse records.
9.5 Response to verification requests	Conformance	In Chapter 9.5 of ASI CoC Management Manual, the process and responsibility of response to verification requests are defined. Seles Department and Product Service Department are the contact window for the request, Production Department is responsible to provide

CRITERION	RATING	COMMENT
		the response information. There is no such request till now.
9.6 Error management	Conformance	Donghai Aluminium Foil will integrate error management with its current processes under the ISO 9001 certified management system which appeared to be robust to document errors, corrective actions and any potential preventive measures to meet ASI Chain of Custody Criteria.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Conformance	In Chapter 10.2, the process and responsibility are defined for the verification of the information on CoC Documents. All received ASI documents are reviewed by the authorized persons.
10.2 Verify consistency with shipments	Conformance	In Chapter 10.3 of ASI CoC Management Manual, the process and responsibility are defined for the verification of verify the consistency of received CoC Documents with the accompanying CoC Material or Eligible Scrap before recording information in their Material Accounting System. The received ASI documents are verified with the the associated CoC material.
10.3 Verify supplier CoC Certification status	Conformance	In Chapter 10.4, the process and responsibly are defined to verify supplier CoC Certification status. The Technical and Quality Department is responsible to verify the supplier CoC Certification status by check ASI website every month, and take the relevant actions accordingly. Currently, all CoC materials are provided by Nanshan Aluminium Co., Ltd. the Number of CoC certificate of which is 62.
10.4 Error management	Conformance	Donghai Aluminium Foil will integrate error management with its current processes under the ISO 9001 certified management system which appeared to be robust to document errors, corrective actions and any potential preventive measures to meet ASI Chain of Custody Criteria.
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System . allocation	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.1b Link to Casthouse Products	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.1c No double counting	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.

CRITERION	RATING	COMMENT
11.1d No Positive Balance for ASI Credits	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.2a Date of issue	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.2b Reference number	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.2c Issuing Entity	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.2d Receiving Entity	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.2e Conformance statement	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.2f ASI Credits statement	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.2g Quantity	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.3a CoC Certification Scope . purchasing ASI Credits	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.3b Material Accounting System . purchasing	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.3c Expiry	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.3d No re-trading	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.3e No allocation to physical products	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.3f Verify supplier CoC Certification status	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
11.3g Five years maximum for ASI Credits purchasing	Not Applicable	Not applicable as Donghai Aluminium Foil does not intend to utilise the ASI market credits system.
12 CLAIMS AND COMMUNICATIONS		
12.1a ASI Claims Guide	Conformance	Donghai Aluminium Foil appointed roles and responsibilities to ensure that claims are made in a manner consistent with the ASI Claims Guide.

CRITERION	RATING	COMMENT
12.1b Verifiable evidence	Conformance	Donghai Aluminium Foil appointed roles and responsibilities to ensure that claims are made in a manner consistent with the ASI Claims Guide.
12.1c Employee training	Conformance	Donghai Aluminium Foil provides the training courses on Claim and Communication to the relevant employees. The latest training was on June 23, 2020.

Document Control and Version History

Revision	Date	Notes
0	15 February 2019	First Certification Audit
1	28 August 2019	Revised to include updated links
2	5 October 2020	Surveillance Audit, including a revision to the Entity name for the Certificate.