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# ASI CERTIFICATION PERFORMANCE STANDARD

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PRESENTED TO

# YUNNAN YONGSHUN ALUMINIUM CO., LTD.

CERTIFICATE  
NUMBER

114

ASI  
STANDARD

PERFORMANCE  
STANDARD  
(V2 2017)

CERTIFICATION  
LEVEL

FULL  
CERTIFICATION

ASI  
ACCREDITED  
AUDITOR

SGS-CSTC  
STANDARDS  
TECHNICAL  
SERVICES

DATE OF ISSUE

25 JANUARY 2021

DATE OF EXPIRY

24 JANUARY 2024

CERTIFIED SINCE

25 JANUARY 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'John' or similar, written over a white background.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued  
conformance with the applicable ASI Standard  
and can be verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

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CERTIFICATION SCOPE

Yunnan Yongshun Aluminium Co. Ltd is a company jointly funded by Yongxin Aluminium Co. Ltd of Yunnan Aluminium Group and Xiamen Xiashun Aluminium Foil Co. Ltd. which produces mid-to-high end aluminium alloy flat ingot.

# SUMMARY AUDIT REPORT

## PERFORMANCE STANDARD

### OVERVIEW

MEMBER NAME	Yunnan Yongshun Aluminium Co., Ltd.
ENTITY NAME	Yunnan Yongshun Aluminium Co., Ltd.
CERTIFICATION SCOPE	Yuannan Yongshun Aluminium Co. Ltd is a company jointly funded by Yongxin Aluminium Co. Ltd of Yunnan Aluminium Group and Xiamen Xiashun Aluminium Foil Co. Ltd. which produces mid-to-high end aluminium alloy flat ingot.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Semi-Fabrication</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Performance Standard V2</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Certification Audit</li></ul>
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none"><li>28 – 30 October 2020</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>22 December 2020</li></ul>
AUDIT SCOPE	<p>The audit scope includes the smelting, casting and sawing process for manufacture of aluminium alloy flat ingots at Yunnan Yongshun Aluminium Co., Ltd.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Semi-Fabrication</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.</li></ul>

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- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
  - The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
  - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD      25 January 2021 – 24 January 2024

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NEXT AUDIT TYPE      Surveillance Audit

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NEXT AUDIT DUE DATE      24 January 2022

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CERTIFICATE NUMBER      114

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## SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has developed and implemented policies, systems, procedures and processes to conform to the legal compliance requirements in ASI Performance Standard. No fine and request for the corrective actions from the government agencies and other stakeholders. The Entity conducts the compliance evaluation on an annual basis. Based on the following official website, no no-compliance information about this entity was found. <a href="http://www.gsxt.gov.cn/">http://www.gsxt.gov.cn/</a>
1.2 Anti-Corruption	Minor Non-Conformance	Policies and processes to identify and prevent Corruption are well implemented and trained. The Entity works against corruption in all its forms, including extortion and bribery, consistent with applicable law and prevailing international standards. Until now, there have been no cases of misconduct. However, one minor non-conformance is raised on Anti-Corruption agreement with supplier. According to Anti-Corruption procedure in the Entity, the Entity must sign the anti-corruption agreements with customers, key positions employees and suppliers. The Entity has signed the anti-corruption agreements with customers, key positions employees, most of suppliers. But a supplier has not signed anti-corruption agreement. It has not met the Entity's Anti-Corruption procedure.
1.3 Code of Conduct	Conformance	A Code of Conduct which covers social and governance principles is established. The policies and the associated management procedures against ISO 14001 and ISO45001 cover the implementation of management requirements on environment and occupational health and safety.
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	The Entity has Implement and maintain integrated Policies consistent with the environmental, social, and governance practices included in ASI Standard. For more detailed information, please see: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	Senior management demonstrates a commitment to the implemented policies, endorsement and support to provide sufficient resources for regular review of

CRITERION	RATING	COMMENT
		policies, meeting ASI Performance Standard requirements.
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	The Policies are available for internal and external stakeholders by training, publishing on the Entity website and posts on-site. For more detailed information, please see: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
2.2 Leadership	Conformance	A Senior Management representative has been nominated. The responsibility and authority of each department and key roles are defined to implement the ASI Performance Standard. Please see: <a href="http://yongshunyn.nat123.net/wordpress/2020/04/28/管理者代表任命书">http://yongshunyn.nat123.net/wordpress/2020/04/28/管理者代表任命书</a>
2.3a Environmental and Social Management Systems (environmental)	Conformance	An Environmental Management System has been established and implemented.
2.3b Environmental and Social Management Systems (social)	Conformance	A Social Management System has been established and implemented.
2.4 Responsible Sourcing	Minor Non-Conformance	The Purchasing Policy is communicated to all suppliers and contractors and cover the environmental, social and governance aspects towards the suppliers based on the ASI Performance Standard. For the Responsible Purchasing Policy, please see: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a> . However, one minor non-conformance is raised on communicating the Responsible Purchasing Policy to suppliers. The Purchasing Policy has been required to be communicated to all suppliers and contractors and cover the environmental, social and governance aspects towards the suppliers based on the ASI Performance Standard. The Entity has finished communication to most suppliers and contractors. However it was noted that the Entity had not finished communicated it to two suppliers.
2.5 Impact Assessments	Conformance	Environmental, social, cultural and Human Rights Impact Assessments are well implemented at various departments. The identified risks on social, environment, OH&S and governance are assessed, and the associated control measures are established and implemented. There has not been any new

CRITERION	RATING	COMMENT
		projects or major changes since the Entity became a member.
2.6 Emergency Response Plan	Conformance	In collaboration with potentially affected stakeholder groups, the Emergency Response Plans were established, well implemented and training provided.
2.7 Mergers and Acquisitions	Conformance	A procedure has been established for mergers and acquisitions, but no such activity has happened since the ASI management system started to operate in January 2020.
2.8 Closure, Decommissioning and Divestment	Conformance	A procedure for Closure, Decommissioning and Divestment is established in accordance to the requirement of ASI Performance Standard. No such case has happened since the ASI management system started to operate in Jan 2020.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Sustainability Report is published on the official website of the Entity: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
3.2 Non-compliance and liabilities	Conformance	No non-compliance or liabilities were recorded in the Sustainability Report in 2020. Per the official websites of the relevant government agencies and (non-government organisations (NGOs), no such case was raised by the government agencies.
3.3a Payments to governments (legal and contractual)	Conformance	The management procedure has been established for Payments to governments. The Entity executes payments to governments on a legal and/or contractual basis. The Entity has described payments to Governments in the sustainability report. The Sustainability Development Report (ZH-BG-20200920-01) can be found at: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
3.3b Payments to governments (disclosure – bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	The information communication management procedure has defined how to communicate with internal & external stakeholders. The Entity has had an accessible complaints resolution mechanism. If need to contact the Entity, all stakeholders can be found at: <a href="http://yongshunyn.nat123.net/wordpress/2020/04/08/">http://yongshunyn.nat123.net/wordpress/2020/04/08/</a>

CRITERION	RATING	COMMENT
		<a href="#">%e8%81%94%e7%b3%bb%e6%96%b9%e5%bc%8f/</a>
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	The environmental life cycle assessment for aluminium foil products has been conducted and documented.
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	The environmental life cycle assessment report can be provided to external stakeholders if required. There have been no requests to date.
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	The environmental life cycle assessment report is published in the official website. More detailed information, refer to: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
4.2 Product design	Conformance	Following the product development process, the environmental impacts are taken into consideration.
4.3a Aluminium Process Scrap (targets)	Conformance	The process scrap utilization rate is 100%.
4.3b Aluminium Process Scrap (alloy separation)	Conformance	As per the result of risk analysis, the process scrap in the site does not need separation for recycling.
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	The Entity communicates with its main customers how to improve the recycling rate of products at end of life.
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	As there is not the complete local, regional or national collection and recycling systems for aluminium scraps in China, the Entity is working with the customer to decide how to improve the recycling rate of products at end of life.
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	The Entity has issued a GHG emissions verification report, which discloses GHG emissions and energy use. The Entity has obtained a Certificate of Product carbon footprint which is published on website. For more detailed information refer to: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
5.2 GHG emissions reductions	Conformance	The Entity has issued GHG emissions reductions targets, which covered the material sources of Direct and Indirect GHG Emissions. GHG emissions reductions targets defined emissions reduction

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		targets and implemented a plan to achieve these targets.
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		
6.1 Emissions to Air	Conformance	The waste air generated in the operation is collected and treated before discharge. The emissions achieves the local discharge limit.
6.2 Discharges to Water	Conformance	Production waste water and life waste water were treated by the waste water treatment facility, recycled, did not directly discharge to natural water body. Water-related risks have been assessed. The level of water-related risk is low. The emissions achieves the local discharge limit.
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	The Entity has conducted an assessment of major risk areas of operations where spills and leakage contaminated air, water and soil.
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	The Entity has issued the emergency response plan to management and external communication plans, compliance controls and a monitoring programme in place to prevent and detect spills and leakages.
6.4a Reporting of Spills (immediate disclosure)	Conformance	The emergency response plan for environmental events has defined how to report to local government when the environmental event happened. No spill or leakage occurred in 2020.
6.4b Reporting of Spills (regular reporting)	Conformance	All information about environmental would be published on the office website as below <a href="http://www.yongshunyn.com">http://www.yongshunyn.com</a> . No spills have occurred.
6.5a Waste management and reporting (strategy)	Conformance	Waste management is covered by the environmental management system. The Entity has established Waste management procedure. The Entity implemented a waste management strategy according to the waste mitigation hierarchy. The disposal of hazardous waste is compliance with the legal compliance. The quantity of Hazardous Waste generated by the Entity is registered in the solid



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		waste Information management system. ( <a href="https://gfmh.meesc.cn/solidPortal/#/">https://gfmh.meesc.cn/solidPortal/#/</a> )
6.5b Waste management and reporting (disclosure)	Conformance	The quantity of Hazardous and Non-Hazardous Waste generated by the Entity is registered in the Solid Waste Information management system and published on <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (start of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Conformance	The Entity has established and implemented a dross management procedure. The Entity aims to maximise the recovery of aluminium by treatment of dross and dross residues and the production recorded the recovery result to improve it.
6.8b Dross (recycling)	Conformance	The Aluminium taken from the dross pressing will be recycled into the melting furnaces. The remaining part is sold to the outside dross processors for further

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		extracting of the Aluminium remaining which can be used to produce Aluminium alloying ingots and construction materials.
6.8c Dross (review of alternatives)	Conformance	The Entity reviews the internal dross processing method and dross sales channel on yearly basis. No materials are landfilled.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Conformance	All used water is from local potable water company. Usage is tracked and documented. Production waste water and life waste water were treated by the waste water treatment facility and is recycled. No water was not directly discharged to natural water body.
7.1b Water assessment (risk assessment)	Conformance	Water-related risks have been assessed. The level of water-related risks have been assessed as low.
7.2a Water management (management plans)	Not Applicable	There were no identified significant water related risks in the Entity's Area of Influence.
7.2b Water management (monitoring)	Not Applicable	There were no identified significant water related risks in the Entity's Area of Influence.
7.3 Disclosure of water usage and risks	Conformance	The Entity has reported water withdrawal, use and disclose material water-related risks. Water-related risks are assessed. The level of water-related risks are low. Refer to: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	The Entity has established a biodiversity management procedure and a biodiversity assessment report, The Entity is located in an industrial park development, operated by the local government. Based on the environmental impact assessment report, no protected flora in this area and no large fauna is in this area. No significant risks and impacts on biodiversity were identified for the Entity's Area of Influence.
8.2a Biodiversity management (biodiversity action plans)	Conformance	There are no significant risks and impacts on biodiversity identified in the Entity's Area of Influence.
8.2b Biodiversity management (consultation and mitigation hierarchy)	Conformance	There are no significant risks and impacts on biodiversity identified in the Entity's Area of Influence.

CRITERION	RATING	COMMENT
8.2c Biodiversity management (reporting)	Conformance	There are no significant risks and impacts on biodiversity identified in the Entity's Area of Influence.
8.3 Alien Species	Conformance	The Entity has established an Alien Species Management mechanism. The Entity proactively prevents accidental or deliberate introduction of Alien Species that could have significant adverse impacts on biodiversity.
8.4a Commitment to "No Go" in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4b Commitment to "No Go" in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The company Human Rights and Business Ethics Policy commitment has been established, and communicated to all employees. Further information, please refer to: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
9.1b Human Rights Due Diligence (process)	Minor Non-Conformance	The Entity commits to respect Human Rights and aims to extend this commitment in the supply chain. The human right due diligence process is established covering the supply chain. However, one minor non-conformance is raised on human right due diligence process to suppliers, where it was noted that two suppliers providing material to the Entity are affected parties and have not finished the Human Rights due diligence.
9.1c Human Rights Due Diligence (remediation)	Conformance	The Entity establishes and publishes the complaints/grievance channel to stakeholders. No adverse impact is reported, no remedy is needed.
9.2 Women's Rights	Conformance	Women's rights and interests are respected. The Entity has identified legal rights for women and implemented control measures to ensure these are met.

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9.3 Indigenous Peoples	Conformance	The Entity respects for the rights and interests of Indigenous Peoples.
9.4 Free, Prior, and Informed Consent (FPIC)	Conformance	The Entity committed to consult and cooperate in good faith with the Indigenous Peoples concerned through their own representative institutions in order to obtain their free and informed consent prior to the approval of any project affecting their lands or territories and other resources, Where new projects or major changes to existing projects may have significant impacts on the Indigenous Peoples associated culturally with and living on the relevant lands. The Entity is located in an industrial park which is managed by the local government, and no indigenous people involved. Currently, no new projects or major changes to existing projects have occurred.
9.5 Cultural and sacred heritage	Conformance	The Entity is committed to protecting cultural and sacred heritage. The Entity is located in an industrial park which is managed by the local government, and there are no cultural and sacred heritage issues.
9.6a Resettlements (avoid or minimise)	Conformance	The resettlements management procedure has been established. The Entity is located in an industrial park which is managed by the local government and no resettlement was needed.
9.6b Resettlements (where unavoidable)	Conformance	The resettlements management procedure has been established. The Entity is located in an industrial park which is managed by the local government and no resettlement was needed.
9.7a Local Communities (rights and interests)	Conformance	The Entity makes a commitment to respect the rights and interests of local communities. No complaints from local communities have been received.
9.7b Local Communities (impacts)	Conformance	Entity is located in an industrial park which is managed by the local government and no complaints from local communities have been received.
9.7c Local Communities (livelihoods)	Conformance	The Entity committed to explore with Local Communities opportunities to respect and support their livelihoods. For example, the Entity employs people from local communities and prevents adverse impacts to their livelihoods.
9.8 Conflict-Affected and High-Risk Areas	Conformance	The Entity's business or purchasing is not affected by Conflict-Affected and High-Risk Areas.
9.9 Security practice	Conformance	The Entity implemented security practices that respect Human Rights.

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PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (Freedom of Association)	Conformance	There are laws that restrict Freedom of Association in China. However, the Entity commits itself to respect the workers' rights. There are 25 elected worker representatives and Association for Workers was established in accordance to the legal requirement.
10.1b Freedom of Association and Right to Collective Bargaining (Collective Bargaining)	Conformance	There are laws that restrict Collective Bargaining in China. However, the Entity has a Special collective bargaining contract for wages in 2020 covering all employees. The employee representative approved the contract and was also reviewed and registered with the associated government agency.
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Conformance	Workers' representatives can deal with the Workers' concerns with management on behalf of Workers.
10.2a Child Labour (minimum age)	Conformance	There is no child labour or young Workers in the Entity.
10.2b Child Labour (hazardous)	Conformance	Child labour is prohibited in China. Young workers are (16 to 18 years) under special protection by law and not allowed to work in hazardous working conditions. There is no child labour or young workers in the Entity currently.
10.2c Child Labour (worst forms)	Conformance	Child labour is prohibited in China. No employees under 18 were identified at the Entity.
10.3a Forced Labour (Human trafficking)	Conformance	The Entity commits itself to comply with the prohibition of forced labour, slavery and human trafficking.
10.3b Forced Labour (deposits, fees, advances)	Conformance	The Entity is not involved in forced labour. All employees are hired directly. Workers are not required to provide any form of deposit; recruitment fee or equipment advance.
10.3c Forced Labour (migrant workers)	Conformance	There are no foreign migrant workers in the Entity. All workers are Chinese. The Entity does not hold Workers in Debt Bondage nor force them to work in order to pay off a debt.
10.3d Forced Labour (debt bondage)	Conformance	The Entity is not involved in forced labour, and does not provide any type of loan to workers.
10.3e Forced Labour (freedom of movement)	Conformance	The Entity is not involved in forced labour. There is no restriction of Workers' movement at site.

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10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	The Entity is not involved in forced labour. There is no retention of original documents of workers - only copies of original documents are kept in workers' personal files.
10.3g Forced Labour (freedom to terminate employment)	Conformance	The Workers in the Entity can terminate the employment with a specific notice period in advance without any penalty. The time for announced termination of the employment is in compliance with the Labour Contract Law.
10.4 Non-Discrimination	Conformance	The Entity is committed to non-discrimination. No case of discrimination has been reported.
10.5 Communication and engagement	Conformance	The Entity conducts direct and frequent communication with all Workers and the worker representatives.
10.6 Disciplinary practices	Conformance	The Entity respects its employees, disciplinary measures are in compliance with legal requirements and require the confirmation of Workers involved.
10.7a Remuneration (living wage)	Conformance	The wage structure is clearly defined, the basic wage is above the legal minimum wage. The total payment meets the Workers' basic needs; all Workers are enrolled in the mandatory social insurance scheme.
10.7b Remuneration (method of payment)	Conformance	All payments are documented and promptly paid to all Workers by bank transfer on 12 every month.
10.8 Working Time	Conformance	The working hours are recorded by a proximity card. Working hours are monitored and are in compliance with Chinese Labour Law.
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (Policy)	Conformance	The Occupational Health and Safety Policy is implemented, reviewed periodically and communicated with stakeholders. The OH&S Policy published on website. More detailed information is found here: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity has established and implemented OH&S Policy and Objective management procedure. It has applied the Policy to all Workers and Visitors present in any area or activities under the Entity's control.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Occupational Health & Safety Policy includes commitment to comply with the legal requirements and other requirements. Systems exist to identify all

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		applicable legal requirements and other requirements and evaluate the legal compliance. OH&S Policy published on website. For more detailed information, refer: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	Worker are provided the training courses to understand the hazards, OH&S risks and actions determined that are relevant to them and right to refuse the unsafe work. The OH&S Policy is published on the website. For more detailed information, refer: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
11.2 OH&S Management System	Conformance	The Entity has implemented a documented ISO45001:2018 management system. The Entity is planning to obtain an ISO45001:2018 Certificate by qualified Certificate Body in 2021. Refer to: <a href="http://yongshunyn.nat123.net/wordpress/category/信息公开">http://yongshunyn.nat123.net/wordpress/category/信息公开</a>
11.3 Employee engagement on health and safety	Conformance	In compliance with the legal requirements and OH&S management system requirements, the Entity has a system of workers' consultation and participation in health & safety.
11.4 OH&S performance	Conformance	Health and Safety targets and improvements are documented in the Occupational Health and Safety Program.

#### **Document Control and Version History**

Revision	Date	Notes
0	24 January 2021	Initial Certification Audit