
ASI CERTIFICATION
CHAIN OF CUSTODY
STANDARD



PRESENTED TO

JUPITER ALUMINUM CORPORATION

CERTIFICATE
NUMBER

120

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

DNV GL

DATE OF ISSUE

24 FEBRUARY 2021

DATE OF EXPIRY

23 FEBRUARY 2024

CERTIFIED SINCE

24 FEBRUARY 2021

AUTHORISED BY

A handwritten signature in white ink on a dark grey background. The signature is stylized and appears to be 'J. H.' followed by a long horizontal line.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at [www.aluminium-
stewardship.org](http://www.aluminium-stewardship.org)*

CERTIFICATION SCOPE

Jupiter Aluminum operations located in Hammond, Indiana include scrap re-melting, cold rolling, annealing, and finishing operations. Jupiter's coil coating facilities located in Beech Bottom, West Virginia and Fairland, Indiana.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME Jupiter Aluminum

ENTITY NAME Jupiter Aluminum Corporation

CERTIFICATION SCOPE Jupiter Aluminum operations located in Hammond, Indiana include scrap re-melting, cold rolling, annealing, and finishing operations. Jupiter's coil coating facilities located in Beech Bottom, West Virginia and Fairland, Indiana.

SUPPLY CHAIN ACTIVITIES

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

ASI STANDARD

- Chain of Custody Standard V1

AUDIT TYPE

- First Certification Audit

AUDIT FIRM DNV GL

AUDIT DATE

- 10 December 2020

AUDIT REPORT SUBMISSION

- 5 February 2021

AUDIT SCOPE The audit scope covered Jupiter Aluminum facilities in Hammond Indiana, Beech Bottom West Virginia, and Fairland Indiana.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

All relevant criteria in the ASI Chain of Custody Standard were included in the audit scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.

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- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 24 February 2021 – 23 February 2024

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DUE DATE 23 August 2022

CERTIFICATE NUMBER 120

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	<p>Jupiter is an ASI member since August 2017 under the Production and Transformation Membership class. Jupiter has operational control over the re-melting and refining facilities included in the Entity's ASI Chain of Custody certification scope.</p> <p>Jupiter has operational control over all of the entities (Hammond Indiana facility, Fairland Indiana facility, and Beech Bottom facility) included in the scope of the ASI Chain of Custody Standard.</p>
1.2 Management system	Conformance	<p>The Jupiter Aluminium Chain of Custody Management System document includes Scope, Responsible Sourcing, Training, Records Retention, Inventory Analysis, Supplier Due Diligence, and CoC document procedures.</p> <p>The Chain of Custody (CoC) management system has been shared by email. The team has been trained on Chain of Custody Material.</p>
1.3 Management system reviews	Conformance	<p>The Chain of Custody Management System document will be reviewed, updated, and approved by management every three years.</p>
1.4 Management representative	Conformance	<p>The Chain of Custody Management System document states that the Environmental Health and Safety Director is the CoC Management Representative. Provide oversight in the development, review, and implementation of CoC Management Systems.</p> <p>The Sustainability specialist is responsible for the Development and implementation of CoC Management System.</p>
1.5 Training	Conformance	<p>The Chain of Custody (CoC) Management System has been communicated by email. The team has been trained on the Chain of Custody Material.</p>
1.6 Record keeping	Conformance	<p>The Chain of Custody Management System document provides details of the record retention procedure. Jupiter Aluminum will maintain records covering all applicable requirements of the CoC Standard for a minimum of five years. This data will be maintained via a combination of electronic filing systems and physical storage.</p>
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	<p>The Chain of Custody Management Systems document requires that Jupiter Aluminum report to ASI the following information within three months after</p>

CRITERION	RATING	COMMENT
		<p>the end of each calendar year:</p> <ul style="list-style-type: none"> - Input and Output Quantities of CoC Material. <p>This will be verified during the surveillance audit.</p>
1.7b Reporting to ASI (Input Percentage)	Conformance	<p>The CoC Management System procedure, it is clearly stated that Input Percentage/s calculated for the calendar year. It will be reported to the ASI Secretariat within three months of the calendar year. This will be verified during the surveillance audit.</p>
1.7c Reporting to ASI (Positive Balance)	Conformance	<p>The Chain of Custody Management System document requires that Jupiter Aluminum report to ASI the following information within three months after the end of each calendar year:</p> <ul style="list-style-type: none"> - Maximum Positive Balance in the calendar year carried over to the subsequent Material Accounting Period, if any. <p>This will be verified during the surveillance audit.</p>
1.7d Reporting to ASI (Internal Overdraw)	Conformance	<p>The Chain of Custody Management Systems document requires that Jupiter Aluminum report to ASI the following information within three months after the end of each calendar year:</p> <ul style="list-style-type: none"> - Maximum Internal Overdraw within the calendar year, if any, and the percentage of Input Quantity of CoC Material this represents. <p>This will be verified during the surveillance audit.</p>
1.7e Reporting to ASI (Eligible Scrap)	Conformance	<p>The Chain of Custody Management Systems document requires that Jupiter Aluminum report to ASI the following information within three months after the end of each calendar year:</p> <ul style="list-style-type: none"> - Total Input Quantity of Eligible Scrap, with a breakdown by Post-Consumer Scrap and Pre-Consumer Scrap that is designated as CoC Material supplied directly from a CoC Certified Entity. <p>This will be verified during the surveillance audit.</p>
1.7f Reporting to ASI (ASI Credits from Casthouses)	Conformance	<p>The Chain of Custody Management Systems document requires that Jupiter Aluminum report to ASI the following information within three months after the end of each calendar year:</p> <ul style="list-style-type: none"> - Quantity of ASI Aluminum allocated to ASI Credits in the calendar year (if applicable). <p>This will be verified during the surveillance audit.</p>
1.7g Reporting to ASI (ASI Credits purchased)	Conformance	<p>The Chain of Custody Management Systems document requires that Jupiter Aluminum report to ASI the following information within three months after the end of each calendar year:</p> <ul style="list-style-type: none"> - Quantity of ASI Credits purchased in the calendar year (if applicable). <p>This will be verified during the surveillance audit.</p>

CRITERION	RATING	COMMENT
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	Currently not relevant as no outsourced contractors are being used in the current scope.
2.2a Control of CoC Material	Not Applicable	Currently not relevant as no outsourced contractors-are being used in the current scope.
2.2b No further outsourcing	Not Applicable	Currently not relevant as no outsourced contractors are being used in the current scope.
2.2c Risk assessment	Not Applicable	Currently not relevant as no outsourced contractors are being used in the current scope.
2.3 Output Quantity	Not Applicable	Currently not relevant as no outsourced contractors are being used in the current scope.
2.4 Verification and record-keeping	Not Applicable	Currently not relevant as no outsourced contractors are being used in the current scope.
2.5 Error management	Not Applicable	Currently not relevant as no outsourced contractors are being used in the current scope.
3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL		
3.1a CoC Certification Scope – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope – Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Performance Standard – Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a CoC Certification Scope – Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Performance Standard – Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL		
4.1a CoC Certification Scope - Aluminium Re-Melting/Refining	Conformance	Jupiter has been an ASI member since August 2017 under the Production and Transformation Membership class. Re-melting and refining facilities included in the Entity's ASI Chain of Custody certification scope are under Jupiter's operational control. Jupiter has operational control over all of the entities (Hammond Indiana facility, Fairland Indiana facility and Beech Bottom facility) included in the scope of the ASI Chain

CRITERION	RATING	COMMENT
		of Custody Standard. Certified Supply Chain Activities are Aluminum Re-melting/Refining, Casthouses and Post-Casthouse.
4.1b ASI Performance Standard - Aluminium Re-Melting/Refining	Conformance	Jupiter has been an ASI member since August 2017 under the Production and Transformation Membership class. Re-melting and refining facilities included in the Entity's ASI Chain of Custody certification scope are under Jupiter's operational control. Jupiter has operational control over all of the entities (Hammond Indiana facility, Fairland Indiana facility and Beech Bottom facility) included in the scope of the ASI Chain of Custody Standard. Certified Supply Chain Activities are Aluminum Re-melting/Refining, Casthouses and Post-Casthouse.
4.2a Pre-Consumer Scrap and Dross	Conformance	The Chain of Custody Management document states the eligible inputs/scrap are as follows: Pre-Consumer Scrap that is designated as COC Material Supplied directly from a CoC certified Entity - Aluminum recovered from Dross and treated Dross residues that are subject to supplier due diligence (RSI).
4.2b Post-Consumer Scrap	Conformance	The Jupiter Chain of Custody Management System procedure details that the Jupiter Aluminum Material Accounting System (Axiom) records and balances volume of eligible and non-eligible Post-consumer scrap received. Axiom records the suppliers and tracks material received from suppliers that have been assessed via supplier due diligence. Examples were reviewed using Axiom.
4.3a Supplier records	Conformance	Jupiter uses Axiom Enterprise resource planning to document supplier identity, principals and place/s of operation of all direct suppliers of Recyclable Scrap Material.
4.3b Cash payments	Not Applicable	Jupiter does not accept any cash payments from suppliers.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope - Casthouses	Conformance	Jupiter has been an ASI member since August 2017 under the Production and Transformation Membership class. Jupiter has operational control over the remelting and refining facilities included in the Entity's ASI Chain of Custody certification scope. Jupiter has operational control over all of the entities (Hammond Indiana facility, Fairland Indiana facility,

CRITERION	RATING	COMMENT
		and Beechbottom facility) included in the scope of the ASI Chain of Custody Standard.
5.1b ASI Performance Standard - Casthouses	Conformance	Jupiter is not engaged with any external Casthouses. Jupiter Aluminium operates its own Casthouses which is ASI Certified (Hammond Facility). Performance Standard V2 (2017) Certificate Number: 61 Certification Period: 07.01.2020 - 06.01.2023
5.2 Casthouse Products	Conformance	Jupiter Aluminum has a cast house at the Hammond site and is not engages with any other Casthouses. The Material Accounting System is described in the JAC Chain of Custody Management System. The Chain of Custody management system includes a system to ensure that unique identification numbers, printed on ASI Aluminium (spray-painted on the Aluminum coil), can be linked to the Input Quantity of CoC Material for that Material Accounting Period.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope - Post-Casthouse	Conformance	Jupiter Aluminum operations located in Hammond, Beech Bottom and Fairland are all within Jupiter's Chain of Custody Certification Scope. Jupiter Aluminum is not engaged with any other Casthouse.
6.1b ASI Performance Standard - Post-Casthouse	Conformance	Jupiter Aluminum operations located in Hammond, Beech Bottom and Fairland are all within Jupiter's certification scope to the ASI Performance Standard. Jupiter Aluminum is not engaged with any other Casthouse.
6.1c Sourcing ASI Aluminium	Conformance	Jupiter has not engaged with any external Post-Casthouse entities. Jupiter Aluminium operates its own Casthouses which are ASI Certified at the Hammond Facility). No other Casthouses are utilised.
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible sourcing policy (anti-corruption)	Conformance	Jupiter's Responsible Sourcing Policy is shared with all their scrap suppliers via email. Jupiter Aluminum Responsible Sourcing Policy includes statements regarding Anti-Corruption requirements (page 4).
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	Jupiter's Responsible Sourcing Policy is available via the corporate webpage. The Policy is shared with all their scrap suppliers via email. Jupiter Aluminum Responsible Sourcing Policy includes statements regarding Responsible Sourcing requirements.

CRITERION	RATING	COMMENT
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	Jupiter's Responsible Sourcing Policy is available via the corporate webpage. The Policy is shared with all their scrap suppliers via email. Jupiter Aluminum Responsible Sourcing Policy includes statements regarding Human Rights Due Diligence requirements (page 3).
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	Jupiter's Responsible Sourcing Policy is available via the corporate webpage. The Policy is shared with all their scrap suppliers via email. Jupiter Aluminum Responsible Sourcing Policy includes statements regarding Conflict Affected and High Risk Areas requirements (page 3).
7.2 Risk assessment	Conformance	Jupiter has developed a supplier due diligence process that is documented in the Jupiter Aluminum Chain Of Custody Management System. Jupiter has conducted a risk assessment of their suppliers and has given a score based on the assessment. The risk assessment is documented in the Supplier Environment, Social and Governance (ESG) Assessment, Supply Chain Due Diligence. Jupiter maintains a record of risk assessment and mitigation actions within the ESG assessment spreadsheet.
7.3 Complaints mechanism	Conformance	Jupiter outlines its Supplier complaint mechanism in its responsible sourcing policy. This includes anonymous complaint mechanism for stakeholders to be able to make grievances, complaints, or suggestions. It is available via the corporate webpage contact section.
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	Jupiter Aluminum Chain of Custody Management System includes a description of the Material Accounting System that records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass. The Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass, is recorded in Axiom and transferred to the Mass Balance Evidence Submission.
8.2a Post-Consumer Scrap	Conformance	Jupiter Aluminum Chain of Custody Management System includes a description of the Material Accounting System that records the Input Quantity of Post-Consumer Scrap. Input Quantity of Post-Consumer Scrap is recorded in Axiom and transferred to the Mass Balance Evidence Submission.
8.2b Pre-Consumer Scrap (total)	Conformance	Jupiter Aluminum Chain of Custody Management System includes a description of the Material

CRITERION	RATING	COMMENT
		Accounting System that records the Input Quantity of Pre-Consumer Scrap (total). Input Quantity of Pre-Consumer Scrap (total) is recorded in Axiom and transferred to the Mass Balance Evidence Submission.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Conformance	Currently, there are no ASI certified scrap suppliers/entities. Hence Jupiter does not source or track. Input Quantity of Pre-Consumer Scrap that is Eligible Scrap, where it is supplied directly from a CoC Certified Entity (where applicable).
8.3 Material Accounting Period	Conformance	The Chain of Custody Management System states that "Jupiter Aluminum will maintain a 12-month material accounting period that begins on January 1st and ends on December 31st. This follows Jupiter's current fiscal year." This is also taken into account in the Axiom system.
8.4 Input Percentage	Conformance	Jupiter Chain of Custody Management System and Mass Balance Evidence spreadsheet reflects the formula given in the CoC Standard to calculate and record the Input Percentage for a given Material Accounting Period.
8.5 Input Percentage (Aluminium Re-Melting/Refining)	Conformance	Material Scrap calculations were incorporated into Jupiter's Mass Balance which states for each period, Jupiter will calculate the input percentage of scrap: Input percentage = (Input quantity of eligible scrap) x 100 / (Input quantity of recyclable scrap material).
8.6 Output Quantity determination	Conformance	Jupiter Chain of Custody Management System and Mass Balance Evidence spreadsheet reflects the formula given in the CoC Standard to calculate and record the Input Percentage for a given Material Accounting Period. Jupiter uses the Input Percentage for the given Material Accounting Period to determine the Output Quantity of CoC Material, by mass.
8.7 Output Quantity designation	Conformance	Jupiter established points of control to ensure that the Output Quantity of CoC Material shall be designated as 100% CoC Material. This maximum volume will be determined by the mass balance and the Percentage of CoC material inputs vs the total production. In Axiom (Jupiter's IT system) designated CoC and Non-CoC flows are enabled, thus the Output Quantity of CoC Material will be designated as 100% CoC Material. Jupiter has not initiated the issuance of CoC Material.
8.8 Output Quantity - Pre-Consumer Scrap	Conformance	Jupiter's Chain of Custody Standard manual defined how to account for the Eligible Scrap as per ASI requirements. The Axiom system defined the Output

CRITERION	RATING	COMMENT
		Quantities of Eligible Scrap. In Jupiter's cast houses, all the Internal scrap that is generated comes back into the process. The JAC Mass Balance Evidence Submission uses the Input Percentage for the given Material Accounting Period to determine the Output Quantity of Eligible Scrap.
8.9 Outputs not exceed Inputs	Conformance	Jupiter's established quarterly reviews of the JAC Mass Balance Spreadsheet and internal controls to ensure the criterion is met. Relevant personnel has been trained to carry out the reconciliation tasks.
8.10a Internal Overdraws (not exceed 20%)	Conformance	Jupiter Aluminum Chain of Custody Management System has established internal controls and quarterly reviews of the Mass Balance data, ensuring that The Internal Overdraw shall not exceed 20% of the total Input Quantity of CoC Material.
8.10b Internal Overdraws (not exceed affected amount)	Conformance	Jupiter's quarterly reviews and internal control mechanisms will ensure that Internal Overdraw does not exceed the amount of CoC Material affected by a force majeure situation.
8.10c Internal Overdraws (period to make up)	Conformance	Jupiter Aluminum maintains a mass balance system that will consolidate Internal Inventory data from Axiom to ensure that Internal Overdraw will be made up within the subsequent Material Accounting Period. Additionally, quarterly reviews will ensure this criterion is met.
8.11a Positive Balance (carry over)	Conformance	The Sustainability Team will conduct quarterly reviews of the Mass Balance system, reconciling the Input and Output Quantities of CoC and Non-CoC Materials. Any Positive Balance will be clearly indicated on the Mass Balance system.
8.11b Positive Balance (expiry)	Conformance	Jupiter Aluminum maintains a mass balance system that will consolidate Internal Inventory data from Axiom to reflect any positive balance. The Sustainability Team will conduct quarterly reviews of the Mass Balance system, reconciling the Input and Output Quantities of CoC and Non-CoC Materials. Any Positive Balance will be clearly indicated on the Mass Balance system and during the annual reviews, the Positive Balance expiry requirements will be implemented.
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	A CoC Document Template has been developed to be followed each shipments and transfer of CoC material. Information contained in this template document

CRITERION	RATING	COMMENT
		meets internal reporting and accounting requirements on the flow of CoC certified alumina between Jupiter and receiving client.
9.2a Date of issue	Conformance	The developed template to be sent to business customers include a date of issue. The implementation and use of the document will be reviewed during the first surveillance audit.
9.2b Reference number	Conformance	The CoC Document Template includes a reference number which is unique and traceable back to the Entity material accounting system. The implementation and use of the document will be reviewed during the first surveillance audit.
9.2c Issuing Entity	Conformance	The CoC Document Template includes the identity, address and CoC Certification number of Jupiter. The implementation and use of the document will be reviewed during the first surveillance audit.
9.2d Receiving customer	Conformance	The CoC Document Template includes the identity, address and CoC Certification number of Jupiter. The implementation and use of the document will be reviewed during the first surveillance audit.
9.2e Responsible employee	Conformance	The Template includes the responsible employee (EHS Director) of Jupiter who can verify information in the CoC Document. The correct use of the template will be reviewed when performing the first surveillance audit.
9.2f Conformance statement	Conformance	The CoC Document Template includes the following statement "A statement confirming that "The information provided in the CoC Document is in conformance with the ASI CoC Standard." The correct use of the template will be reviewed when performing the first surveillance audit.
9.2g Type of CoC Material	Conformance	The CoC Document Template includes information on type of CoC Material in the shipment. The correct use of the template will be reviewed when performing the first surveillance audit.
9.2h Mass of CoC Material	Conformance	The CoC Document Template requires information on Mass of CoC Material in the shipment. The correct use of the template will be reviewed when performing the first surveillance audit.
9.2i Mass of total material	Conformance	The CoC Document Template requires information on Mass of CoC Material in the shipment. The correct use of the template will be reviewed when performing the first surveillance audit.

CRITERION	RATING	COMMENT
9.3a Sustainability Data (optional)	Conformance	The Chain of Custody Management System includes Sustainability Information that will be part of the CoC document. The average Greenhouse Gas (GHG) Intensity of the CoC material (metric tonnes CO ₂ equivalents per metric tonne Aluminum). The Recycled Content of the CoC material as a percent of total mass.
9.3b Sustainability Data (passing on)	Conformance	The Chain of Custody Management System includes Sustainability Information that will be part of the CoC document. The average Greenhouse Gas (GHG) Intensity of the CoC material (metric tonnes CO ₂ equivalents per metric tonne Aluminum). The Recycled Content of the CoC material as a percent of total mass.
9.3c Post-Casthouse ASI Certification status	Conformance	The template does not include ASI Certification status for the ASI Performance Standard. It could be included at a later stage. To be followed up during later audits.
9.4 Supplementary Information (optional)	Not Applicable	No Supplementary Information about the Entity or CoC Material is planned to be included on Chain of custody documents.
9.5 Response to verification requests	Conformance	Customer service and the commercial teams are responsible for handling the CoC requests from customers, aligned to Jupiter's Quality System Management System. No CoC documents have been issued to date.
9.6 Error management	Conformance	The error management process is integrated within Jupiter's Quality Management System. Non-conformities, root causes and corrective actions are identified and regularly monitored.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Not Applicable	Jupiter currently does not receive any CoC Documents or Material.
10.2 Verify consistency with shipments	Not Applicable	Jupiter currently does not receive any CoC Documents or Material.
10.3 Verify supplier CoC Certification status	Not Applicable	Jupiter currently does not receive any CoC Documents or Material.
10.4 Error management	Conformance	Jupiter has error management process in place. Jupiter's error management process (Chain of Custody Management System) has requirements to document errors and has listed steps required to correct it, and implement actions to avoid a recurrence.

CRITERION	RATING	COMMENT
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System - allocation	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.1b Link to Casthouse Products	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.1c No double counting	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.1d No Positive Balance of ASI Credits	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.2a Date of issue	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.2b Reference number	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.2c Issuing Entity	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.2d Receiving Entity	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.2e Conformance statement	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.2f ASI Credits statement	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.2g Quantity	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.3a CoC Certification Scope - purchasing ASI Credits	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.3b Material Accounting System - purchasing	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.3c Expiry	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.3d No re-trading	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.3e No allocation to physical products	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.3f Verify supplier CoC Certification status	Not Applicable	The Entity does not engage in ASI Market Credit System.
11.3g Five year maximum for ASI Credits purchasing	Not Applicable	The Entity does not engage in ASI Market Credit System.

CRITERION	RATING	COMMENT
12 CLAIMS AND COMMUNICATIONS		
12.1a ASI Claims Guide	Conformance	The JAC CoC Management System details procedures regarding ASI Claims. Relevant Employees are well trained regarding how to make ASI claims without violating the ASI Claims guide. Jupiter Aluminum will initiate the Claims process upon receiving the CoC Certificate.
12.1b Verifiable evidence	Conformance	The JAC CoC Management System details procedures regarding ASI Claims. Relevant Employees are well trained regarding how to make ASI claims without violating the ASI Claims guide. Jupiter Aluminum will initiate the Claims process upon receiving the CoC Certificate.
12.1c Employee training	Conformance	The JAC CoC Management System details procedures regarding ASI Claims. Relevant Employees are well trained regarding how to make ASI claims without violating the ASI Claims guide. Jupiter Aluminum will initiate the Claims process upon receiving the CoC Certificate.

Document Control and Version History

Revision	Date	Notes
0	24 February 2021	Initial Certification Audit – Full Certification