
ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

S.A. DAMM

CERTIFICATE
NUMBER

115

ASI
STANDARD

PERFORMANCE
STANDARD
(V2 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI
ACCREDITED
AUDITOR

TÜV
RHEINLAND
CERT GMBH

DATE OF ISSUE

27 JANUARY 2021

DATE OF EXPIRY

26 JANUARY 2024

CERTIFIED SINCE

27 JANUARY 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'John' or similar, written over a light green background.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Packaging and storage of beer in cans, as well as related activities including design; packaging and storage finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer.

SUMMARY AUDIT REPORT

PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	S.A. Damm
ENTITY NAME	S.A. Damm
CERTIFICATION SCOPE	Packaging and storage of beer in cans, as well as related activities including design; packaging and storage finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Other manufacturing or sale of products containing Aluminium
ASI STANDARD	<ul style="list-style-type: none">• Performance Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Certification Audit
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	23 – 30 November 2020
AUDIT REPORT SUBMISSION	8 January 2021
AUDIT SCOPE	<p>The audit scope covered S.A. Damm (Barcelona), Compañía Cervecera Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia).</p> <p>All criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the audit scope.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Other manufacturing or sale of products containing Aluminium
AUDIT OUTCOME	<ul style="list-style-type: none">• Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION
PERIOD

27 January 2021 – 26 January 2024

NEXT AUDIT
TYPE

Surveillance audit

NEXT AUDIT
DUE DATE

26 July 2022

CERTIFICATION
NUMBER

115

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
1.2 Anti-Corruption	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
1.3 Code of Conduct	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.1b Environmental, Social, and Governance Policy (senior management)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.1c Environmental, Social, and Governance Policy (communication)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.2 Leadership	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.3a Environmental and Social Management Systems (environmental)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.3b Environmental and Social Management Systems (social)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.4 Responsible Sourcing	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.5 Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.6 Emergency Response Plan	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.7 Mergers and Acquisitions	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
2.8 Closure, Decommissioning and Divestment	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

CRITERION	RATING	COMMENT
3.2 Non-compliance and liabilities	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
3.3a Payments to governments (legal and contractual)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
3.3b Payments to governments (disclosure – bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
3.4 Stakeholder complaints, grievances and requests for information	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

PRINCIPLE 4 MATERIAL STEWARDSHIP

4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	<p>S.A. Damm evaluated at a corporate-level the facilities within the scope: Compañía Cervecería Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza. S.A. (Murcia). The life cycle impacts of the aluminium containers used (0,33L and 0,50L aluminium cans) were based on two main elements: the aluminium cans' Life Cycle Analysis (LCA) and Life Cycle Inventory (LCI). Impacts evaluated cover upstream (provision of aluminium cans by ASI's members), design and production stages and downstream (distribution and end-of-life recycling by consumers) activities.</p> <p>A corporate platform (EDIT) assesses the overall carbon footprint of the organisation activities, these including the aluminium containers.</p>
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	<p>S.A. Damm developed a Life Cycle Analysis (LCA) which covers the provision of aluminium cans by ASI certified suppliers, design and fabrication including scrap and waste management, and schemes to foster the end-of-life recycling.</p> <p>A communication procedure and its related mechanisms have been developed and implemented to make the LCA available to stakeholders upon request.</p>
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	<p>In coherence with its Life Cycle Analysis (LCA), S.A. Damm publishes information summarizing the key topics related to the aluminium containers, e.g. product design improvements, measures for impacts reduction, recycling and re-use actions, recycling and circularity objectives.</p> <p>This information is published in the Damm</p>

CRITERION	RATING	COMMENT
		<p>Annual Report, last covering from 01 January to 31 December, 2019, available at: https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2019%20Annual%20Report_EN_compressed_0.pdf</p> <p>As a suggested improvement, a more centralised information and presentation of results within the public communication channels (e.g. annual report) of the LCA could be considered.</p>
4.2 Product design	Conformance	<p>S.A. Damm has established objectives both directly pertaining and transversally related to the design and development of the product. Objectives include the reduction of raw materials, promote eco-designed packaging of aluminium cans, re-thinking of production processes to reduce scrap, energy use or water consumption, product transportation, circular packaging initiatives in the end-of-life stage and carbon footprint.</p> <p>Related figures are publicly available at: https://www.estrelladamm.com/en/sustainability</p> <p>Due to the close relationship of the R&D department with the cans supplier when it comes to the cans design, product recyclability and resources footprint, a suggested improvement could include the detailed reporting of the joint objectives and actions resulting out of this collaboration.</p>
4.3a Aluminium Process Scrap (targets)	Conformance	<p>Both facilities – Compañía Cervecería Damm S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia) – have implemented procedures, controlled production processes and ‘leading practice’ equipment which enable the Entity to minimise product scrap within the production stage, and duly manage the relevant materials to segregate and recycle them.</p> <p>Systems in both facilities identify and withdraw the non-compliant product from the production process, then initiate established processes to prepare, segregate and finally send to recycling plants.</p> <p>Awareness at all levels of the organisation was also observed.</p> <p>Contracts with specialised companies have been established for the waste management</p>

CRITERION	RATING	COMMENT
		(recycling) and relevant documentation of aluminium waste management presented evidence of 100% material recycling.
4.3b Aluminium Process Scrap (alloy separation)	Conformance	<p>Cans used by S.A. Damm are 100% aluminium. This includes also to its components (top cover and ring). Systems in both facilities – Compañía Cervecera Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza. S.A. (Murcia) – identify and withdraw the non-compliant product from the production process, then initiate established processes to prepare, segregate and finally send to recycling plants. Preparation includes emptying the liquid from the filled cans, with mechanical equipment, so the waste material that is sent to the recycling plant is just aluminium.</p>
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	<p>In coherence with its Life Cycle Analysis (LCA), S.A. Damm has implemented a recycling strategy reaching the 100% of the waste aluminium product. To achieve this target, the organisation has implemented the aforementioned systems in its two facilities, Compañía Cervecera Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza. S.A. (Murcia). Waste management procedures, fabrication processes, equipment and installations identify, prepare and segregate waste aluminium products. Awareness and training actions as required at each level of the organisation. Agreements are in place with specialised firms to manage the waste aluminium products for recycling.</p>
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	<p>S.A. Damm is involved in a number of actions and/or collection schemes to support accurate measurement and increase recycling rates. The organisation works and collaborates with management bodies Ecoembes and Ecovidrio, by engaging with their respective systems of selective collection and used packaging and packaging waste recovery. This includes cooperation with Blipvert for the system to collect cans on the Mediterranean coast. During 2019, Damm installed 257 recycling</p>

CRITERION	RATING	COMMENT
		<p>points at the Head Office in Carrer Rosselló (Barcelona), 6 full recycling points for events outside the Estrella Damm Old Brewery, 65 compactors on the Region of Murcia and Alicante (Estrella Levante) and 349 additional compactors in the Mediterranean Coast. Related facts and figures are publicly available at:</p> <p>https://www.estrelladamm.com/en/sustainability</p> <p>A recent update of various initiatives launched by the Entity may be found at:</p> <p>https://www.dammcorporate.com/en/sustainability-heart-damms-strategy</p>

PRINCIPLE 5 GREENHOUSE GAS EMISSIONS

5.1 Disclosure of GHG emissions and energy use	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
5.2 GHG emissions reductions	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE

6.1 Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.2 Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.3a Assessment and Management of Spills and Leakage (assessment)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.3b Assessment and Management of Spills and Leakage (management)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.4a Reporting of Spills (immediate disclosure)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.4b Reporting of Spills (regular reporting)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

CRITERION	RATING	COMMENT
6.5a Waste management and reporting (strategy)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.5b Waste management and reporting (disclosure)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.6e Bauxite Residue (start of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.8a Dross (recovery)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.8b Dross (recycling)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
6.8c Dross (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

CRITERION	RATING	COMMENT
7.1b Water assessment (risk assessment)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
7.2a Water management (management plans)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
7.2b Water management (monitoring)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
7.3 Disclosure of water usage and risks	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.2a Biodiversity management (biodiversity action plans)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.2b Biodiversity management (consultation and mitigation hierarchy)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.2c Biodiversity management (reporting)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.3 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.4a Commitment to "No Go" in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.4b Commitment to "No Go" in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.1b Human Rights Due Diligence (process)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.1c Human Rights Due Diligence (remediation)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

CRITERION	RATING	COMMENT
9.2 Women's Rights	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.3 Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.4 Free, Prior, and Informed Consent (FPIC)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.5 Cultural and sacred heritage	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.6a Resettlements (avoid or minimise)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.6b Resettlements (where unavoidable)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.7a Local Communities (rights and interests)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.7b Local Communities (impacts)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.7c Local Communities (livelihoods)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.8 Conflict-Affected and High-Risk Areas	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.2a Child Labour (minimum age)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.2b Child Labour (hazardous)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

CRITERION	RATING	COMMENT
10.2c Child Labour (worst forms)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.3a Forced Labour (human trafficking)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.3b Forced Labour (deposits, fees, advances)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.3c Forced Labour (migrant workers)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.3d Forced Labour (debt bondage)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.3e Forced Labour (freedom of movement)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.3f Forced Labour (retention of identity papers, permits, certificates)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.3g Forced Labour (freedom to terminate employment)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.4 Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.6 Disciplinary practices	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.7a Remuneration (living wage)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.7b Remuneration (method of payment)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
10.8 Working Time	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

CRITERION	RATING	COMMENT
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
11.2 OH&S Management System	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
11.3 Employee engagement on health and safety	Not Applicable	This Criterion is not applicable to the Entity's certification scope.
11.4 OH&S performance	Not Applicable	This Criterion is not applicable to the Entity's certification scope.

Document Control and Version History

Revision	Date	Notes
0	27 January 2021	Issued (Full Certification)