

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

S.A. DAMM

CERTIFICATE
NUMBER

122

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

TÜV
RHEINLAND
CERT GMBH

DATE OF ISSUE

3 MARCH 2021

DATE OF EXPIRY

2 MARCH 2024

CERTIFIED SINCE

3 MARCH 2021

AUTHORISED BY

A handwritten signature in white ink, appearing to be 'J. H.', written over a dark background.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Packaging and storage of beer in cans, as well as related activities including design; packaging and storage finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	S.A. Damm
ENTITY NAME	S.A. Damm
CERTIFICATION SCOPE	Packaging and storage of beer in cans, as well as related activities including design; packaging and storage finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Post-Casthouse
ASI STANDARD	<ul style="list-style-type: none">• Chain of Custody Standard V1
AUDIT TYPE	<ul style="list-style-type: none">• First Certification Audit
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	<ul style="list-style-type: none">• 15 – 17 February 2021
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 19 February 2021
AUDIT SCOPE	<p>The audit scope covered S.A. Damm (Barcelona), Compañía Cervecera Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia).</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Post-Casthouse <p>All relevant criteria in the ASI Chain of Custody Standard were included in the audit scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">• Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

-
- ☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
-

CERTIFICATION PERIOD 3 March 2021 – 2 March 2024

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DUE DATE 2 September 2022

CERTIFICATE NUMBER 122

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	S.A. Damm has been an ASI member since October 2020, pertaining to the industrial users class. S.A. Damm obtained the ASI Performance Standard for its two facilities Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A..
1.2 Management system	Conformance	S.A. Damm has a number of management systems certifications, including quality, environment, sustainability and CSR reporting schemes. These schemes establish resources, procedures, instructions, working flows and so on pertaining to managing sales, sourcing, process flows and inventory requirements of the CoC Standard. When some elements of the CoC Standard requirements fall apart of the aforementioned management systems, specific procedures, flows, resources are established.
1.3 Management system reviews	Conformance	S.A. Damm established procedures at its two facilities Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., to identify, evaluate and address non-conformances and initiate continuous improvement measures. Also at a corporate level, marketing/R&D processes are established to identify, evaluate and address the requirements that apply to the product (aluminium cans). When it comes to other general requirements of the ASI Performance or CoC Standards, a flow involving the management systems, accounting, procurement departments is initiated to identify, evaluate and establish actions as required.
1.4 Management representative	Conformance	The "Sourcing to Pay" (S2P) process owner has overall responsibility and authority for S.A. Damm's conformance with the applicable requirements of the CoC Standard. For the initial certification against both the Performance Standard and CoC Standard, a working group was established. In the long run, the elements of both systems could be increasingly integrated into the exiting management tools, e.g. internal / external audits programs, committees.
1.5 Training	Conformance	S.A. Damm initiated training programs involving relevant people in both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A..

CRITERION	RATING	COMMENT
1.6 Record keeping	Conformance	S.A. Damm has advanced ICTs infrastructures, including several systems to manage relevant information e.g. Lotus Notes, S.A.P. ICT systems and infrastructure are incorporated into the ASI and CoC documentation.
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	The established ICT infrastructure and systems enable S.A. Damm to duly report the Input and Output Quantities of CoC Materials over the calendar year from both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., as required by the CoC Standard.
1.7b Reporting to ASI (Input Percentage)	Conformance	The established ICT infrastructure and systems enable S.A. Damm to duly report the input percentages calculated for the calendar year from both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., as required by the CoC Standard.
1.7c Reporting to ASI (Positive Balance)	Conformance	The established ICT infrastructure and systems enable S.A. Damm to duly report the maximum positive balance in the calendar year carried over to the subsequent material accounting period, if any, from both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., as required by the CoC Standard.
1.7d Reporting to ASI (Internal Overdraw)	Conformance	The established ICT infrastructure and systems enable S.A. Damm to duly report the maximum internal overdraw within the calendar year, if any, and the percentage of input quantity of CoC Material this represents from both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., as required by the CoC Standard.
1.7e Reporting to ASI (Eligible Scrap)	Not Applicable	This Criterion is not applicable as S.A. Damm is not engaged in Aluminium Re-melting/Refining to produce Recycled Aluminium.
1.7f Reporting to ASI (ASI Credits from Casthouses)	Not Applicable	This Criterion is not applicable as S.A. Damm is not engaged in producing Casthouse Products.
1.7g Reporting to ASI (ASI Credits purchased)	Conformance	The established ICT infrastructure and systems enable S.A. Damm to duly report the quantity of ASI credits purchased in the calendar year, if any, from both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., as required by the CoC Standard.

CRITERION	RATING	COMMENT
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	This Criterion is not applicable as no outsourcing contractors are involved in the processing, treatment or manufacturing stages.
2.2a Control of CoC Material	Not Applicable	This Criterion is not applicable as no outsourcing contractors are involved in the processing, treatment or manufacturing stages.
2.2b No further outsourcing	Not Applicable	This Criterion is not applicable as no outsourcing contractors are involved in the processing, treatment or manufacturing stages.
2.2c Risk assessment	Not Applicable	This Criterion is not applicable as no outsourcing contractors are involved in the processing, treatment or manufacturing stages.
2.3 Output Quantity	Not Applicable	This Criterion is not applicable as no outsourcing contractors are involved in the processing, treatment or manufacturing stages.
2.4 Verification and record-keeping	Not Applicable	This Criterion is not applicable as no outsourcing contractors are involved in the processing, treatment or manufacturing stages.
2.5 Error management	Not Applicable	This Criterion is not applicable as no outsourcing contractors are involved in the processing, treatment or manufacturing stages.

3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL

3.1a CoC Certification Scope – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope – Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Performance Standard – Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a CoC Certification Scope – Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Performance Standard – Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL

4.1a CoC Certification Scope - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
--	----------------	---

CRITERION	RATING	COMMENT
4.1b ASI Performance Standard - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Pre-Consumer Scrap and Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Supplier records	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Cash payments	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope - Casthouses	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Performance Standard - Casthouses	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Casthouse Products	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope - Post-Casthouse	Conformance	All aluminium products (0,33L and 0.50L cans) incorporated to the production process in both Compañía Cervecería Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A. are directly sourced by a single supplier, Ball Corporation, which is certified to the ASI Performance and Chain of Custody Standards.
6.1b ASI Performance Standard - Post-Casthouse	Conformance	Ball Corporation, the one and only supplier of aluminium products for Compañía Cervecería Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., is certified against the ASI Performance Standard.
6.1c Sourcing ASI Aluminium	Conformance	Ball Corporation, the one and only supplier of ASI aluminium products for Compañía Cervecería Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., is certified against the ASI Chain of Custody Standard.
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible sourcing policy (anti-corruption)	Conformance	There is no Non-CoC Material incorporated into the Compañía Cervecería Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A. products. Part of the aluminium sourced by Ball Corporation comes

CRITERION	RATING	COMMENT
		<p>from recyclable scrap material. The means of dealing with risks connected to corruption are defined in the Code of Conduct and the Governing Regulations for the Whistle-Blower Channel. Conducting audits is the specific role of the Internal Audit Department. In the 2018, 2019 and 2020 fiscal years, no cases of corruption were registered in Damm companies. Additionally, Ball Corporation has a Gold sustainability rating from EcoVadis, a provider of business sustainability ratings involving anti-corruption, responsible sourcing, human rights due diligence and conflict affected and high risk areas.</p>
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	<p>There is no Non-CoC Material incorporated into the Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A. products. Part of the aluminium sourced by Ball Corporation comes from recyclable scrap material. S.A. Damm established a number of initiatives, projects, collaborations and tools at a corporate level to duly support its the supply chain strategy. Additionally, S.A. Damm uses the EcoVadis platform, providing business responsible sourcing ratings. Under this scheme, S.A. Damm´s only supplier has a Gold sustainability rating.</p>
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	<p>S.A. Damm applies the principles of honesty and respect for human rights included in its corporate Code of Conduct and, as a result, the relationships between all company collaborators and suppliers must ensure fair, polite and respectful treatment. The Code of Conduct refers to human rights and employment in keeping with the principles of the International Labour Organization, as well as the principles of a recently certified family-friendly company. These principles are respected wherever Damm conducts its activities and apply to both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A.. In the 2018, 2019 and 2020 fiscal years, Damm registered no complaints regarding human rights violations.</p>
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	<p>For improved management of risks in all their manifestations, Damm has an Internal Audit Department and Compliance Committee acting at a corporate level, both depending on the Audit and Control Commission, made up of members of the Board of Directors. The Audit and Control Commission assigns the Compliance Committee and the Internal Audit Department with the task of actively managing existing risks and elaborating an overall map of risks and an overall map of penal risks. In the</p>

CRITERION	RATING	COMMENT
		2018, 2019 and 2020 fiscal years, Damm registered no complaints regarding conflict affected and high risk areas.
7.2 Risk assessment	Conformance	S.A. Damm follows the EcoVadis methodology to evaluate its suppliers performance at a corporate level. This methodology includes 360° findings, improvements opportunities with actions and its evaluation applied to each requirement: environment, labour practices and human rights, ethics, sustainable sourcing. When required, results of the EcoVadis methodology and other issues involving the supply chain are registered in specific tools to evaluate and address relevant findings, these falling within the Quality Management System.
7.3 Complaints mechanism	Conformance	S.A. Damm established and actively maintains a complaints mechanism at a corporate level. Information about the complaints mechanism and channels is published in the Annual Report on page 129: https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2019%20Annual%20Report_EN_compressed_0.pdf The complaints mechanisms and channels include an open form via the corporate website and corporate profiles in social networks. Additionally, procedures pertaining to the Quality Management System are another input of issues involving the product.
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	S.A. Damm uses a Material Accounting System under ERP/S.A.P, implemented at both Compañía Cervecería Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A.. The system enables the company to perform an entirely digitalized and automated material accounting, ranging from the individual data of the very unit of each product (grams of each aluminium can and ends) to the overall numbers of the mass balance of both sites.
8.2a Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2b Pre-Consumer Scrap (total)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.3 Material Accounting Period	Conformance	The accounting period used in S.A. Damm, Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A. is the fiscal year, ending 31 December.
8.4 Input Percentage	Conformance	One hundred percent of input aluminium material acquired in both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A. is CoC Material. The aluminium material is allocated entirely by the one and only supplier, Ball Corporation, which is certified against ASI Performance and CoC Standards.
8.5 Input Percentage (Aluminium Re-Melting/Refining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6 Output Quantity determination	Conformance	Using the data provided by the accounting system, under the ERP/S.A.P software in place, S.A. Damm determines the output quantity of CoC Material. This is done separately for both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A. and the overall figures for 2020 are summarized in the mass balance.
8.7 Output Quantity designation	Conformance	All the input aluminium used by Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A. in its cans is from CoC Material provided by the one and only supplier, Ball Corporation. As a consequence, all output material is unequivocally CoC Material.
8.8 Output Quantity - Pre-Consumer Scrap	Not Applicable	This Criterion is not applicable as the Entity reports no interest in designating its scrap material as Eligible Scrap. Follow-up of this situation to be made in future audits, as the Entity is interested in exploring.
8.9 Outputs not exceed Inputs	Conformance	The mass balance of Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., built on the material accounting system, shows coherence between the input CoC Material and output CoC Material figures. Additionally, no eligible scrap material is currently allocated by S.A. Damm.
8.10a Internal Overdraws (not exceed 20%)	Not Applicable	This Criterion is not applicable as no overdraw situation was reported by the Entity. Follow-up required for future audits, as this situation may change.
8.10b Internal Overdraws (not exceed affected amount)	Not Applicable	This Criterion is not applicable as no overdraw situation was reported by the Entity. Follow-up

CRITERION	RATING	COMMENT
		required for future audits, as this situation may change.
8.10c Internal Overdraws (period to make up)	Not Applicable	This Criterion is not applicable as no overdraw situation was reported by the Entity. Follow-up required for future audits, as this situation may change.
8.11a Positive Balance (carry over)	Not Applicable	This Criterion is not applicable as no positive balance was reported by the Entity. Follow-up required for future audits, as this situation may change.
8.11b Positive Balance (expiry)	Not Applicable	This Criterion is not applicable as no positive balance was reported by the Entity. Follow-up required for future audits, as this situation may change.
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.2a Date of issue	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.2b Reference number	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.2c Issuing Entity	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material.

CRITERION	RATING	COMMENT
9.2d Receiving customer	Not Applicable	However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.2e Responsible employee	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.2f Conformance statement	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.2g Type of CoC Material	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.2h Mass of CoC Material	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.2i Mass of total material	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client

CRITERION	RATING	COMMENT
		portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.3a Sustainability Data (optional)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3b Sustainability Data (passing on)	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.3c Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.4 Supplementary Information (optional)	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.5 Response to verification requests	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
9.6 Error management	Not Applicable	This Criterion is not applicable as no interested parties downstream have requested the Entity to deliver CoC documents to accompany CoC Material. However, several systems were observed in place enabling the Entity to issue relevant CoC documents as required, e.g. editable invoicing system, client

CRITERION	RATING	COMMENT
		portals. This requirement to be checked in future audits as the Entity may explore extension of the CoC approach downstream.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Conformance	S.A. Damm has established procedures to verify the validity of received materials against relevant requirements, including the accompanying documents sent by suppliers. Such procedures are effectively implemented.
10.2 Verify consistency with shipments	Conformance	S.A. Damm has established procedures to verify the consistency of received CoC documents sent by suppliers. Such procedures are effectively implemented and process flows are working, ensuring any CoC Material or eligible scrap and accompanying documentation will be verified prior to being recorded in the Material Accounting System.
10.3 Verify supplier CoC Certification status	Conformance	All the aluminium products (0,33L and 0.50L cans) incorporated to the production process in both Compañía Cervecera Damm. S.L. and Estrella de Levante Fábrica de Cerveza. S.A., are directly sourced by the one and only provider, Ball Corporation, which is certified against ASI Performance and Chain of Custody Standards.
10.4 Error management	Conformance	S.A. Damm has established procedures to check the validity of received packaging materials, which trigger the initialization of actions to solve the issue as well as its recurrence (corrective action).
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System - allocation	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.1b Link to Casthouse Products	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.1c No double counting	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.1d No Positive Balance of ASI Credits	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.2a Date of issue	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.2b Reference number	Not Applicable	The Entity is not engaged with the ASI Market Credit System.

CRITERION	RATING	COMMENT
11.2c Issuing Entity	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.2d Receiving Entity	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.2e Conformance statement	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.2f ASI Credits statement	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.2g Quantity	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.3a CoC Certification Scope - purchasing ASI Credits	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.3b Material Accounting System - purchasing	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.3c Expiry	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.3d No re-trading	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.3e No allocation to physical products	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.3f Verify supplier CoC Certification status	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
11.3g Five year maximum for ASI Credits purchasing	Not Applicable	The Entity is not engaged with the ASI Market Credit System.
12 CLAIMS AND COMMUNICATIONS		
12.1a ASI Claims Guide	Not Applicable	This Criterion is not applicable as no ASI Claims are made by the Entity.
12.1b Verifiable evidence	Not Applicable	This Criterion is not applicable as no ASI Claims are made by the Entity.
12.1c Employee training	Not Applicable	This Criterion is not applicable as no ASI Claims are made by the Entity.

Document Control and Version History

Revision	Date	Notes
0	3 March 2021	Initial Certification Audit (Full Certification)