

ASI Standards Committee

Teleconference Minutes

21 January 2021

Antitrust Compliance Policy

Attendees are kindly reminded that ASI is committed to complying with all relevant antitrust and competition laws and regulations and, to that end, has adopted a Competition Policy, compliance with which is a condition of continued ASI participation.

Failure to abide by these laws can have extremely serious consequences for ASI and its participants, including heavy fines and, in some jurisdictions, imprisonment for individuals.

You are therefore asked to have due regard to this Policy today and in respect of all other ASI activities.



Acknowledgement of Indigenous People

ASI acknowledges Indigenous Peoples and their connections to their traditional lands where we and our members operate. We aim to respect cultural heritage, customs and beliefs of all Indigenous people and we pay our respects to elders past, present and emerging.



ASI Ways of Working



ASI is a multi- stakeholder organisation. Dialogue is at the heart of everything we do. It is critical to ensure that the organisation delivers on its mission. We welcome all participants and value the diversity of backgrounds, views and opinions represented in this meeting. We recognise that we have different opinions; that is the heart of healthy debate and leads to better outcomes. To ensure our meetings are successful, we need to express our views and hear the views of others in a respectful and professional way, protecting the dignity and safety of all participants and enabling full participation from all attendees.

Agenda

	Topic		Lead
1	<ul style="list-style-type: none"> a. Welcome b. Introduction & Apologies c. Objectives d. Documents Circulated 	<ul style="list-style-type: none"> e. Previous Minutes f. Conflicts of Interest/Duty g. Log of Actions h. Progress/Status Update 	Chair
2	Approve for Consultation: <ul style="list-style-type: none"> a. Performance Standard b. Performance Standard Guidance c. CoC Standard d. CoC Standard Guidance e. Assurance Manual f. Claims Guide g. Glossary 		ASI
3	<ul style="list-style-type: none"> a. Next steps 		
4	<ul style="list-style-type: none"> a. Agreed upon actions for Committee members 	<ul style="list-style-type: none"> b. Agreed upon actions for the Secretariat c. Close 	Chair

1a,b Welcome, Introduction & Apologies

a) Welcome

b) **Chair:** Rosa Garcia Pineiro (Alcoa).

Attendees: Abdoul Khaligi Diallo (AGEDD -Association Guinéenne d'Eveil au Développement Durable), Alexander Leutwiler (Nespresso), Annemarie Goedmakers (Chimbo), Anthony Tufour (Arconic), Catherine Athenes (Constellium), Gesa Jauck (Trimet), Guilia Carbone (IUCN), Hugo Rainey (WCS), Jessica Sanderson (Novellis), Justus Kammüller (WWF), Jostein Søreide (Hydro), Kendyl Salcito (Nomogaia), Marcel Pfitzer (Daimler), Rafael Hammer (Ronald Group), Samir Whitaker (FFI), Stefan Rohrmus (Schueco), Steinunn Steinsson (Nordural), Tina Bjornestal (Tetrapak).

ASI: Camille Le Dornat, Kamal Ahmed, Krista West, Marieke van der Mijn.

Apologies: Abu Karimu (Settle Ghana), Gina Castelain (IPAF), Louis Biswane (KLIM), Neill Wilkins (IHRB), Nicholas Barla (IPAF).

1c,d Objectives & Documents Circulated

c) Objectives

1. Adopt minutes of the previous meeting
2. Review and approve:
 1. Performance Standard
 - a. Performance Standard Guidance
 - b. CoC Standard
 - c. CoC Standard Guidance
 - d. Assurance Manual
 - e. Claims Guide
 - f. Glossary

d) Documents Circulated

1. ASI SC Teleconference 21Jan21
2. ASI SC Teleconference Minutes 13Jan21
3. SC Disclosed Conflicts of Interest
4. Performance Standard Draft for Consultation
5. Performance Standard Guidance Draft for Consultation
6. Chain of Custody Standard Draft for Consultation
7. Chain of Custody Standard Guidance Draft for Consultation
8. Assurance Manual Draft for Consultation
9. Claims Guide Draft for Consultation
10. Glossary Draft for Consultation
11. ASI - SCMemberApptProxyForm 21Jan21
12. ASI - SCMemberAlternateForm 21Jan21

1e,f Previous Minutes & Conflicts of Interest/Duty

- e) Approval of Previous meeting minutes draft: 13 January 2021 (Standards Committee) & 07 January 2021 (Standards Committee – GHG)
- Minutes will be published on the ASI website.
 - Resolved to accept the 13 January 2021 Standards Committee meeting and the 07 January 2021 Standards Committee – GHG minutes.
- f) Conflicts of Interest/Duty

Disclosure sent with meeting package

1g Log of Actions

g) Log of Meeting Actions open or closed since last meeting:

To be completed post-consultation:

1. For Criterion 2.5:
 - Add more language on how New Projects and Major Changes work for SMEs.
 - Look at relevant legislation such as the Loi de Vigilance where relevant. (from 15May20)
2. For Criterion 3.1: Incorporate a definition/guidance on what is meant by government in the heading, as this criteria relates to more than just payments to Governments, and also incorporates political contributions etc. (from 06April20)

1g Progress/Status Update

	PS 1	PS 2	PS 3	PS 4	PS 5	PS 6	PS 7	PS 8	PS 9	PS 10	PS 11	COC	MS	AM	Claims	Final Review
Standard	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	
Guidance	✓	✓	✓	✓	-	✓	✓	✓	✓	✓	✓	✓				

Upcoming Meetings:

- 21 January: **Final Review and All documents Approved for Consultation**
 - February: Review of consultation documents and planning for SC process for post consultation
 - March: Benchmarking/Indicators/Verifiers Discussion
- A participant asked whether there will be a legal review of the documents.
 - The Secretariat replied that in the next 5 weeks the documents will be legally reviewed and translated into 2 languages.

2 Approve for Consultation

Documents distributed in advance:

- a. Performance Standard
- b. Performance Standard Guidance
- c. CoC Standard
- d. CoC Standard Guidance
- e. Assurance Manual
- f. Claims Guide
- g. Glossary

Comments Received on the Assurance Manual:

- Table of Contents needs to be updated to reflect title of Appendix 3 – **will be done before being sent out for consultation**
- Require action, such as approved corrective action plans, if the number of minor non-conformances exceeds a certain number (10 is suggested) – **this is a new suggestion and will be logged for discussion/consideration by the SC post-consultation**
- Doubts whether the table on indicating on-site versus remote auditing (Appendix 3) is necessary – **this was agreed by the SC at the 26Aug20 meeting and will be logged for discussion/consideration by the SC post-consultation**

2 Discussion

- Regarding the third bullet point: a participant said that it was not the intent of her comment, which was that on-site auditing for Indigenous Peoples matters was clearly indicated, but not for biodiversity issues. It was added that on-site auditing is always necessary for environmental issues.
- The Secretariat said it was best to discuss this post-consultation and this was agreed to, as were the suggested responses to the 2 other topics of discussion.

2 Approve for Consultation

- Criterion 9.8 includes an exclusion: This Criterion does not apply to Entities that do not source directly or indirectly any Bauxite, Alumina or primary Aluminium. As an ASI member, I can't see who would not source directly or indirectly bauxite alumina or primary aluminium. Indirectly we all source one of the 3 except if we were 100% recyclers but it would be strange to exclude due diligence on scrap collection. – this was reviewed and approved by the SC in 2020 and the comment will be logged for consideration post-consultation
- Criterion 8.5(a) is now applicable for all entities 'mining' should be removed from the sentence. - agree, the change will be made.

Agree to recommend the documents for consultation

2 Discussion

- A participant raised that the language for Criterion 9.8 only focuses on supply chains and not on operations, which somehow excludes bauxite mines, as well as the operations themselves.
- The Secretariat said that the Guidance clarifies this requirement also applies to bauxite mines sourcing bauxite.
- Another participant replied that Criteria 9.1 through 9.7 address the operations and the specific language in 9.8 is around supply chain risks.
- It was said 9.8 needs to align with the other sourcing / due diligence requirements included in the Standard. The Secretariat said this can be looked at and discussed in the next few months.
- It was added that there are few considerations of conflict in the Criteria around operations. Supposedly, if an operator is located in a conflict zone, this would be covered by the Due Diligence requirements and if not, the Auditor would flag it. But it was agreed it would be good to clarify the language post-consultation.
- A participant raised that some parts of the guidance are no longer accurate. For example, the IUCN Red List of Ecosystem Services is incorrect. **The Secretariat will make this change and include the correct wording, but other changes would have to be made post-consultation.**

2 Discussion

- A participant suggested to include a fifth requirement in Criteria 7.1 of the CoC Standard, to also comply with Criteria 8.5 of the Performance Standard (Commitment to “No Go” in World Heritage Properties.)
- The Secretariat said this would have to be considered post-consultation because it needs a fulsome discussion by the Standards Committee that couldn’t be done today..
- **The Standards Committee agreed to recommend the documents for consultation.**

3 Next Steps

- ASI to make any changes agreed to today.
- ASI to accept minor formatting changes in these documents (accept changes in capitals and punctuation etc).
- Documents to be translated to French and Chinese.
- Documents to be sent for legal review.
- ASI to develop Consultation Overview Document (introduction, process, introduce key topics) for review by SC at February Meeting.
- ASI to develop 5-7 minute webinar based on Consultation Overview Document for the website.
- ASI will host two webinars in March to introduce the Documents and solicit feedback.
- ASI will create a log of all feedback that is received (including feedback that has already come in on decisions made by the SC)

**Fun
times
ahead.** 😊

3 Discussion

- A participant asked when was planned the discussion on the results of audits. The Secretariat replied that the March 24 meeting was set aside for that discussion.
- It was asked whether English will remain the official version and the others are only translations, or if the documents in the 2 other languages will also be official. The Secretariat replied that English remains the official version.

4 Agreed Upon Actions & Close

- a. Agree any final post-meeting actions and timeframes by Committee members
- b. Agree actions by Secretariat
- c. Chairs and Secretariat thanks to all participants and close of meeting

Next Meetings:

- **February 10:** Review of consultation documents and planning for SC process for post consultation
- **March 24:**
Benchmarking/Indicators/Verifiers
Discussion
- **April – August:** Review feedback and discuss changes



Thank you

asi Aluminium
Stewardship
Initiative