

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# CROWN EMBALAGENS METÁLICAS DA AMAZÔNIA S/A - CROWN BEVERAGE CAN BRAZIL DIVISION

CERTIFICATE  
NUMBER

160

ASI  
STANDARD

PERFORMANCE  
STANDARD  
(V2 2017)

CERTIFICATION  
LEVEL

FULL  
CERTIFICATION

ASI  
ACCREDITED  
AUDITOR

DNV  
BUSINESS  
ASSURANCE  
SERVICES UK  
LTD.

DATE OF ISSUE

28 OCTOBER 2021

DATE OF EXPIRY

27 OCTOBER 2024

CERTIFIED SINCE

28 OCTOBER 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', written over a horizontal line.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued  
conformance with the applicable ASI Standard  
and can be verified at*

[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)

CERTIFICATION SCOPE

The Crown Beverage Can Brazil Division including  
Can Plants at Cabreúva, São Paulo; Estancia,  
Sergipe; Ponta Grossa, Parana; Rio Verde, Goias;  
Teresina, Piaui; the Can End Plant at Manaus,  
Amazonas and the Corporate Office at Cabreúva,  
São Paulo.

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# SUMMARY AUDIT REPORT

## PERFORMANCE

## STANDARD

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### OVERVIEW

MEMBER NAME	Crown Holdings, Inc.
ENTITY NAME	Crown Embalagens Metálicas da Amazônia S/A - Crown Beverage Can Brazil Division
CERTIFICATION SCOPE	The Crown Beverage Can Brazil Division including Can Plants at Cabreúva, São Paulo; Estancia, Sergipe; Ponta Grossa, Parana; Rio Verde, Goias; Teresina, Piaui; the Can End Plant at Manaus, Amazonas and the Corporate Office at Cabreúva, São Paulo.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Material Conversion (Production and Transformation)</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Performance Standard V2</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none"><li>30 August – 17 September 2021</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>1 October 2021</li></ul>
AUDIT SCOPE	<p>The audit scope includes the activities across all Crown Beverage Can Brazil Division, where the ASI multi-site sampling approach was undertaken to include audits at Can Plants at Cabreúva, São Paulo and Ponta Grossa, Parana; the Can End Plant at Manaus, Amazonas and the Corporate Office at Cabreúva, São Paulo, whilst Can Plants at Estancia, Sergipe; Rio Verde, Goias; and Teresina, Piaui were audited but not visited.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Material Conversion (Production and Transformation)</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	The Auditors confirm that:

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- ✔ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
  - ✔ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
  - ✔ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
  - ✔ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD	28 October 2021 – 27 October 2024
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NEXT AUDIT TYPE	Re-Certification Audit
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NEXT AUDIT DUE DATE	27 October 2024
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CERTIFICATE NUMBER	160
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## SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has systems in place to maintain knowledge and ensure compliance with the Applicable Law. Legal compliance requirements are verified through external audits and that the legal requirements applicable to each unit are monitored through the applicable regulations and regulations management system.
1.2 Anti-Corruption	Conformance	The Entity works against Corruption in all its forms, including Extortion and Bribery, in accordance with the Applicable Law and the current international standards through the implementation of the Anti-Corruption Policy. <a href="https://www.crowncork.com/sites/default/files/Anti-Corruption-Anti-Money-Laundering-Certifications.pdf">https://www.crowncork.com/sites/default/files/Anti-Corruption-Anti-Money-Laundering-Certifications.pdf</a> <a href="https://www.crowncork.com/sites/default/files/code-conduct-brazil.pdf">https://www.crowncork.com/sites/default/files/code-conduct-brazil.pdf</a>
1.3 Code of Conduct	Conformance	The Entity has implemented a Code of Conduct including relevant principles for environmental, social and governance performance, which is made available on the Entity's website. <a href="https://www.crowncork.com/sites/default/files/Code-Business-Ethics-Portuguese.pdf">https://www.crowncork.com/sites/default/files/Code-Business-Ethics-Portuguese.pdf</a> All workers receive training on the Code of Conduct in the Entity's integration process through the engage platform: <a href="https://www.engage.bz">https://www.engage.bz</a> Reporting of Code violations can be made through a specific e-mail ( <a href="mailto:etica@crowncork.com.br">etica@crowncork.com.br</a> ) which is managed by Crown Brazil President and the Human Resources Director. Crown Holdings Inc. also has a direct Reporting Channel for Code violations and other complaints: <a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a>
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	The Entity has implemented policies consistent with environmental, social and governance practices. <a href="https://www.crowncork.com/sites/default/files/Crown-Holdings-Environmental-Sustainability-Policy-July-2020.pdf">https://www.crowncork.com/sites/default/files/Crown-Holdings-Environmental-Sustainability-Policy-July-2020.pdf</a> <a href="https://www.crowncork.com/sites/default/files/Crown-HumanRights_Portugese_Brazil.pdf">https://www.crowncork.com/sites/default/files/Crown-HumanRights_Portugese_Brazil.pdf</a>

CRITERION	RATING	COMMENT
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	The Entity has implemented policies consistent with the environmental, social and governance practices endorsed by the Entity's presidency. <a href="https://www.crowncork.com/sites/default/files/Crown-Holdings-Environmental-Sustainability-Policy-July-2020.pdf">https://www.crowncork.com/sites/default/files/Crown-Holdings-Environmental-Sustainability-Policy-July-2020.pdf</a> <a href="https://www.crowncork.com/sites/default/files/Crown-HumanRights-Portugese-Brazil.pdf">https://www.crowncork.com/sites/default/files/Crown-HumanRights-Portugese-Brazil.pdf</a>
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	The Entity has implemented policies consistent with the environmental, social and governance practices endorsed by the Entity's presidency and are added internally through the corporate document control system and externally through the Entity's website. <a href="https://www.crowncork.com/sites/default/files/Crown-Holdings-Environmental-Sustainability-Policy-July-2020.pdf">https://www.crowncork.com/sites/default/files/Crown-Holdings-Environmental-Sustainability-Policy-July-2020.pdf</a> <a href="https://www.crowncork.com/sites/default/files/Crown-HumanRights-Portugese-Brazil.pdf">https://www.crowncork.com/sites/default/files/Crown-HumanRights-Portugese-Brazil.pdf</a>
2.2 Leadership	Conformance	The Entity has nominated the Quality Manager as the responsible Manager for ASI activities on site whilst the Global Sustainability Specialist is responsible for the Brazil Region.
2.3a Environmental and Social Management Systems (environmental)	Conformance	The Entity has been certified to ISO 14001:2015 since 2003.
2.3b Environmental and Social Management Systems (social)	Conformance	The Entity has a documented and implemented Social Management System.
2.4 Responsible Sourcing	Conformance	The Entity has implemented a Responsible Purchasing Policy and a Code of Conduct for Suppliers covering environmental, social and governance issues. <a href="https://www.crowncork.com/sites/default/files/responsible-ethical-sourcing-policy.pdf">https://www.crowncork.com/sites/default/files/responsible-ethical-sourcing-policy.pdf</a> <a href="https://www.crowncork.com/sites/default/files/code-conduct-brazil.pdf">https://www.crowncork.com/sites/default/files/code-conduct-brazil.pdf</a>
2.5 Impact Assessments	Conformance	The Entity has developed and implemented a Social Impact Policy procedure for environmental, social, cultural and human rights impact assessments, including gender analysis and for new projects or major changes to existing facilities, the Site Evaluation checklist was developed for the support of social impact assessments.
2.6 Emergency Response Plan	Conformance	The Entity has implemented site-specific emergency response plans developed in collaboration with

CRITERION	RATING	COMMENT
		potentially affected stakeholder groups such as Communities, Workers and their representatives and relevant agencies through the Emergency Response Plan.
2.7 Mergers and Acquisitions	Conformance	The Entity has developed and implemented a procedure on Policy for Mergers and Acquisitions, for assessing the environmental, social and governance impacts and for new projects, mergers and acquisitions or major changes to existing facilities, A Site Evaluation checklist was developed for the support in social impact assessments.
2.8 Closure, Decommissioning and Divestment	Conformance	The Entity has developed and implemented a procedure on Closure Decommissioning and Divestment Policy, assessing the environmental, social and governance impacts in the closure, decommissioning and divestment planning process.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Entity publicly discloses its governance approach and its material environmental, social and economic impacts through the Sustainability Report. <a href="https://www.crowncork.com/sustainability/sustainability-reporting">https://www.crowncork.com/sustainability/sustainability-reporting</a>
3.2 Non-compliance and liabilities	Conformance	The Entity publicly discloses information on fines, judgments, penalties and significant non-monetary sanctions for non-compliance with the Applicable Law through the Materiality Criteria to be reported. <a href="https://www.crowncork.com/investors/financial-reports">https://www.crowncork.com/investors/financial-reports</a> on page 69 <a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a>
3.3a Payments to governments (legal and contractual)	Conformance	The Entity pays its taxes and duties properly and in it the business name, observing the clearance certificates issued by the federal, state and municipal governments and environmental agencies.
3.3b Payments to governments (disclosure - bauxite mining)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	The Entity has defined accessible, transparent, understandable, gender and culturally sensitive grievance resolving mechanisms, suitable for handling complaints and requests from stakeholders related to its operations through the

CRITERION	RATING	COMMENT
		<p>form - Communication Control with Stakeholders made available in the ordinance of each unit.  <a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a>  This service provides both email and phone mechanisms to raise issues and is accessible to all stakeholders.</p>
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	<p>The Entity assesses the life cycle impacts of its main product lines for which Aluminium is used. The Entity has an LCA study from 2013 made by CETEA using the SETAC (Society of Environmental Toxicology and Chemistry) and ISO 14040 methodologies. It also includes a LCA critical analysis. Crown Brazil also includes the LCA in its environmental aspects and impacts analysis (LAIA). The Entity globally communicates the advantages of metal packaging and examples of continuous improvement on its sustainability website:  <a href="https://www.crowncork.com/sustainability/sustainability-metal-packaging">https://www.crowncork.com/sustainability/sustainability-metal-packaging</a></p>
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	<p>The Entity assesses the life cycle impacts of its main product lines for which Aluminium is used. The Entity uses LCA software internally on a case by case basis. It is undertaken through the request of interested parties, being undertaken in accordance with the ISO 14044:2006 standard.</p>
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	<p>The Entity assesses the life cycle impacts of its main product lines for which Aluminium is used. The Entity uses LCA software internally on a case by case basis. It is undertaken through the request of interested parties, being undertaken in accordance with the ISO 14044:2006 standard.</p>
4.2 Product design	Conformance	<p>The Entity has an "Optimum Circularity" pillar in the 'Twentyby30' program that includes reducing packaging material use via 10% weight reduction across our aluminium cans portfolio by 2030. To decrease the lifecycle footprint of our products and processes through eco-design and manufacturing innovation, Crown will devote at least 50% of its R&amp;D technology developments toward reducing its products and manufacturing footprint.  <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a></p>

CRITERION	RATING	COMMENT
4.3a Aluminium Process Scrap (targets)	Conformance	The Entity guarantees that all scrap from the process is collected at the units and sent for recycling. The Entity has a long-term contract with a National supplier to send all scrap generated for recycling. Process scrap is minimized throughout the can manufacturing process and Spoiling is a headline KPI for Entity.
4.3b Aluminium Process Scrap (alloy separation)	Conformance	The Entity guarantees that all scrap from the process is collected at the units and sent for recycling and, when applicable, the proper separation of Aluminium alloys is made. The Entity has a long-term contract with a National supplier to send all scrap generated for recycling.
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	The Entity is part of "Abralatas" which is the Brazilian Association for Aluminium Can and End Manufactures, the metal packaging industry sector, and supports activities to improve the recycling rates. Brazil leads the recycling rates for beverage aluminium can at 97%. <a href="https://www.abralatas.org.br/ministerio-do-meio-ambiente-assina-termo-de-compromisso-da-lata-de-aluminio-para-bebidas/">https://www.abralatas.org.br/ministerio-do-meio-ambiente-assina-termo-de-compromisso-da-lata-de-aluminio-para-bebidas/</a>
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	The Entity guarantees that all scrap from the process is collected at the units and sent for recycling and, where applicable, segregation of Aluminium alloys occurs. The Entity has a long-term contract with a National supplier to send all scrap generated for recycling.
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	The Entity records and publicly discloses material GHG emissions by source (scope 1 and 2) in and energy use by source annually on the Entity support website and in its Sustainability Report. <a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a> (Pages 5 and 10). <a href="https://www.crowncork.com/sites/default/files/Crown-Holdings-Climate-Change-2020-CDP.pdf">https://www.crowncork.com/sites/default/files/Crown-Holdings-Climate-Change-2020-CDP.pdf</a>
5.2 GHG emissions reductions	Conformance	The Entity records and publicly discloses material GHG emissions by source (scope 1 and 2) in and energy use by source annually on the Entity support website and in its Sustainability Report. <a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a> (Pages 5 and 10).



CRITERION	RATING	COMMENT
5.3a Aluminium Smelting (management system)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.

#### PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE

6.1 Emissions to Air	Conformance	<p>The Entity quantifies and reports emissions into the atmosphere that have adverse effects on human beings and the environment in accordance with the conditions of the environmental licenses for each unit.</p> <p>All business units control energy consumption (electricity, LPG and natural gas) and have annual reduction targets through the corporate platform. The Entity has a 'Twentyby30' program that includes a goal to reduce VOC emissions by 10% per unit of production, scope 1 and 2 by 50%, and scope 3 by 16% by 2030.</p> <p><a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a> (Pages 10 and 29).</p>
6.2 Discharges to Water	Conformance	<p>The Entity quantifies and reports Water Discharges that have adverse effects on human beings and the environment in accordance with the conditions of the environmental licenses of each unit and all manufacturing units are certified for ISO 14001. Entity's plants have local environmental licenses that give consent to discharge into water and must demonstrate compliance through monitoring and reporting.</p> <p>In addition, all energy consumption control units (electricity, LPG and natural gas) and have annual reduction targets through the corporate platform. The Twentyby30 program includes water management goals, including reducing water use by 20% by 2025.</p> <p><a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a> (Pages 10 and 29).</p>
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	<p>The Entity has undertaken an assessment of the main risk areas of operations where Spills and Leaks can contaminate the air, water and/or the soil through procedure Emergency Situations Assessments and periodically performs simulated efficiency tests according to procedure Emergency</p>

CRITERION	RATING	COMMENT
		response plan. All the Entity's production units have ISO 14001 certification.
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	<p>The Entity has undertaken an assessment of the main risk areas of operations where spills and leaks can contaminate the air, water and/or the soil through procedure Emergency Situations Assessments and periodically performs simulated efficiency tests according to procedure Emergency response plan and all the Entity's production units have ISO 14001 certification.</p> <p>The Entity has an external communication plan defined in the External Communication Worksheet and annually through the Entity's website.  <a href="https://www.crowncork.com/sustainability/sustainability-reporting">https://www.crowncork.com/sustainability/sustainability-reporting</a></p>
6.4a Reporting of Spills (immediate disclosure)	Conformance	<p>The Entity has undertaken an assessment of the main risk areas of operations where spills and leaks could contaminate the air, water and/or the soil through procedure Emergency Situations Assessments and periodically performs simulated efficiency tests according to procedure Emergency response plan and all the Entity's production units have ISO 14001 certification.</p> <p>The Entity has an external communication plan defined in the External Communication Spreadsheet and annually through the Entity's website.  <a href="https://www.crowncork.com/sustainability/sustainability-reporting">https://www.crowncork.com/sustainability/sustainability-reporting</a></p>
6.4b Reporting of Spills (regular reporting)	Conformance	<p>The Entity has undertaken an assessment of the main risk areas of operations where Spills and Leaks can contaminate the air, water and/or the soil through procedure Emergency Situations Assessments and periodically performs simulated efficiency tests according to procedure Emergency response plan and all the Entity's production units have ISO 14001 certification.</p> <p>The Entity has an external communication plan defined in the External Communication Spreadsheet and annually through the Entity's website.  <a href="https://www.crowncork.com/sustainability/sustainability-reporting">https://www.crowncork.com/sustainability/sustainability-reporting</a></p>
6.5a Waste management and reporting (strategy)	Conformance	The Entity has implemented a waste management strategy that is designed in accordance with the Waste Mitigation Hierarchy through the 'Twentyby30' program that includes a goal to send

CRITERION	RATING	COMMENT
		<p>zero waste to landfill by 2030, showing that all production units achieved the goal in year 2020. The Entity has ISO 14001 Certification in all production units.</p> <p><a href="https://www.crowncork.com/sites/default/files/20by30brochure.pdf">https://www.crowncork.com/sites/default/files/20by30brochure.pdf</a> (Page 16).</p>
6.5b Waste management and reporting (disclosure)	Conformance	<p>The Entity has publicly disclosed the amount of Hazardous and Non-Hazardous Waste generated through the Sustainability Report. The Entity has ISO 14001 Certification in all production units.</p> <p><a href="https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf">https://www.crowncork.com/sites/default/files/crown_2020_interim_sustainability_report.pdf</a> (Page 10 and 16).</p>
6.6a Bauxite Residue (storage construction)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (start of the art technologies)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.8b Dross (recycling)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope.
6.8c Dross (review of alternatives)	Not Applicable	This criterion is not applicable to the Entity's Certification Scope
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Conformance	The Entity has mapped the water withdrawal by source and type in each unit, confirming that consumption is adequate with the authorization of the Environmental Agency. Water consumption by units and sources (groundwater, surface or municipal concessionaire) at the Entity is recorded on the corporate platform.
7.1b Water assessment (risk assessment)	Conformance	The Entity has a global program 'Twentyby30' with the goal of replenishing 100 percent of the water consumed in our operations back to watersheds with high risk of scarcity by 2030. All Entity water extractions are granted and controlled. The operating limits are respected and in cases of lack of water, municipal water is used that are monitored in the environmental management system in order to ensure its compliance. <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a> <a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a>
7.2a Water management (management plans)	Conformance	Water consumption is a key performance indicator for the Entity as part of the Optimize program with a target of four percent reduction per annum for all plants. The data are monitored monthly and presented in the Environmental Indicators that are linked to the objectives and goals of the company. The Entity has a committee of water and wastewater, which monitors the water consumption of each plant. <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a> <a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a>
7.2b Water management (monitoring)	Conformance	Water consumption is a key performance indicator for the Entity as part of the Optimize program with a target of four percent reduction per annum for all plants. The data are monitored monthly and presented in the Environmental Indicators that are linked to the objectives and goals of the company. The Entity has a committee of water and

CRITERION	RATING	COMMENT
		<p>wastewater, which monitors the water consumption of each plant.</p> <p><a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a></p> <p><a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a></p>
7.3 Disclosure of water usage and risks	Conformance	<p>The Entity has a global program 'Twentyby30' with the goal of replenish 100% of the water consumed in our operations back to watersheds with high risk of scarcity by 2030. The 'Twentyby30' program includes global goals to reduce water use by 20% by 2025 and ensure that 100% of the water consumed is replenished in watersheds at high risk of scarcity.</p> <p>All Entity water extractions are granted and controlled. The operating limits are respected and in cases of lack of water, municipal water is used that are monitored in the environmental management system in order to ensure its compliance.</p> <p><a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a></p> <p><a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a></p>
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	<p>The Entity has assessed the risks to Biodiversity with the result being only low risks cases that the Entity considers controlled by the environmental actions already carried out.</p> <p>Only one unit is located within a protected area that is part of an industrialized region. The species on the red list appears only when it is considered a distance of 50 km from the plants and it was not mapped any Key or critic for Biodiversity Areas around Entity sites.</p> <p>The actions presented above for prevention, mitigation, correction and recovery/compensation carried out by Crown are considered efficient to protect the biodiversity.</p>
8.2a Biodiversity management (biodiversity action plans)	Conformance	<p>The Entity has assessed the risk and materiality of the impacts on biodiversity from the land use and activities in the entity's area of influence by using IBAT tool:</p> <p><a href="https://www.ibat-alliance.org/">https://www.ibat-alliance.org/</a></p> <p>The analysis and the management controls are available as an internal procedure Risk Assessment and Biodiversity Protection Plan Reports.</p>

CRITERION	RATING	COMMENT
		<p>As a conclusion of the study carried out in March 2021 using the IBAT assessment tool, there were no identified impacts on biodiversity.</p> <p>Thus, the company has control measurements in order to comply with the applicable legislation and to identify improvements opportunities and non-compliance, guaranteeing the protection of the environment and biodiversity where their sites are located and in their area of influence.</p> <p>Therefore, it was not identified impacts on biodiversity by using the IBAT tool assessment.</p>
8.2b Biodiversity management (consultation and mitigation hierarchy)	Conformance	<p>The Entity has assessed the risk and materiality of the impacts on biodiversity from the land use and activities in the entity's area of influence by using IBAT tool:  <a href="https://www.ibat-alliance.org/">https://www.ibat-alliance.org/</a></p> <p>The analysis and the management controls are available as an internal procedure Risk Assessment and Biodiversity Protection Plan Reports.</p> <p>As a conclusion of the study carried out in March 2021 using the IBAT assessment tool, there were no identified impacts on biodiversity.</p> <p>Thus, the company has control measurements in order to comply with the applicable legislation and to identify improvements opportunities and non-compliance, guaranteeing the protection of the environment and biodiversity where their sites are located and in their area of influence.</p> <p>Therefore, it was not identified impacts on biodiversity by using the IBAT tool assessment.</p> <p>The Entity mapped only low risks that the company considers controlled by the environmental management system as explained in this document.</p>
8.2c Biodiversity management (reporting)	Conformance	<p>The Entity has assessed the risk and materiality of the impacts on biodiversity from the land use and activities in the entity's area of influence by using IBAT tool:  <a href="https://www.ibat-alliance.org/">https://www.ibat-alliance.org/</a></p> <p>The analysis and the management controls are available as an internal procedure Risk Assessment and Biodiversity Protection Plan Reports.</p> <p>As a conclusion of the study carried out in March 2021 using the IBAT assessment tool, there were no identified impacts on biodiversity.</p> <p>Thus, the company has control measurements in order to comply with the applicable legislation and to identify improvements opportunities and non-compliance, guaranteeing the protection of the</p>

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		environment and biodiversity where their sites are located and in their area of influence. Therefore, it was not identified impacts on biodiversity by using the IBAT tool assessment.
8.3 Alien Species	Conformance	The Entity proactively prevents the accidental or deliberate introduction of alien species that could have significant adverse impacts on biodiversity in the area of influence of each unit, being assessed the risk and materiality of the impacts on biodiversity from the land use and activities in the entity's area of influence by using IBAT tool: <a href="https://www.ibat-alliance.org/">https://www.ibat-alliance.org/</a> The analysis and the management controls are available as an internal procedure Risk Assessment and Biodiversity Protection Plan Reports.
8.4a Commitment to “No Go” in World Heritage properties (exploration and new mines)	Not Applicable	This criterion is not applicable to the Entity’s Certification Scope
8.4b Commitment to “No Go” in World Heritage properties (existing operations)	Not Applicable	This criterion is not applicable to the Entity’s Certification Scope
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This criterion is not applicable to the Entity’s Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This criterion is not applicable to the Entity’s Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The Entity has implemented and published a policy expressing a commitment to respect human rights, making reference to the UN Guiding Principles on Business and Human Rights. This policy is communicated to all employees by training and has been published on the website: <a href="https://www.crowncork.com/sites/default/files/Crown-Human-Rights-English-July-2020.pdf">https://www.crowncork.com/sites/default/files/Crown-Human-Rights-English-July-2020.pdf</a>
9.1b Human Rights Due Diligence (process)	Conformance	The Entity has implemented the Human Rights due diligence process, with evaluation of the risks for human rights related issues. The key stakeholders were identified and considered as part of the diligence. The principles of the Entity Human Rights Policy are based on the UN Universal Declaration of Human Rights, the Fundamental Principles and Rights at Work from the International Labor Organization (ILO), the United Nations Global

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		Compact Guiding Principles and the national legislation in each country.
9.1c Human Rights Due Diligence (remediation)	Conformance	<p>The Entity has implemented the Human Rights due diligence process, with evaluation of the risks for human rights related issues. The key stakeholders were identified and considered as part of the diligence.</p> <p>The principles of the Entity Human Rights Policy are based on the UN Universal Declaration of Human Rights, the Fundamental Principles and Rights at Work from the International Labor Organization (ILO), the United Nations Global Compact Guiding Principles and the national legislation in each country in which we operate. The Entity's Due Diligence Human Rights assessment showed that the Entity has not caused or contributed to adverse impacts on Human Rights in its area of influence.</p>
9.2 Women's Rights	Conformance	<p>The Entity has implemented Policies and processes to ensure respect for the rights and interests of women, in accordance with international standards. The Entity developed the "Why Not" program and the corporate movement "#WeAreQueensWeAreCrown" for the inclusion of women in new jobs. The Entity promotes actions for the inclusion of women's work being disclosed on the Entity's website.</p> <p><a href="https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance">https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance</a></p>
9.3 Indigenous Peoples	Conformance	<p>The Entity showed that in its area of influence there is no impact caused to Indigenous Peoples and Quilombolas. The Entity implemented an assessment of Indigenous Peoples and Quilombolas and their area of influence to ensure respect for the rights and interests of Indigenous Peoples, in accordance with international standards. The Entity implemented the Social Impact Policy procedure for environmental, social, cultural and human rights impact assessments, including gender analysis and for new projects or major changes to existing facilities, the Site Evaluation checklist was developed for support in social impact assessments.</p>
9.4 Free, Prior, and Informed Consent (FPIC)	Conformance	<p>The Entity has implemented an assessment of Indigenous Peoples and Political Quilombolas and their area of influence to ensure respect for the</p>



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		<p>rights and interests of Indigenous Peoples, in accordance with international standards.</p> <p>The Entity implemented the procedure Social Impact Policy for environmental, social, cultural and human rights impact assessments, including gender analysis and for new projects or major changes to existing facilities, the Site Evaluation checklist was developed for support in social impact assessments.</p>
9.5 Cultural and sacred heritage	Conformance	<p>The Entity has implemented an assessment to identify and preserve Cultural or Historical Heritage in its area of influence to ensure respect for the rights and interests of the community.</p> <p>The Entity implemented the procedure Social Impact Policy for environmental, social, cultural and human rights impact assessments, including gender analysis and for new projects or major changes to existing facilities, the Site Evaluation checklist was developed for support in social impact assessments.</p>
9.6a Resettlements (avoid or minimise)	Conformance	<p>The Entity has implemented the procedure Social Impact Policy for environmental, social, cultural and human rights impact assessments, including gender analysis and for new projects or major changes to existing facilities, the Site Evaluation checklist was developed for support in social impact assessments, considering viable alternatives to avoid or minimize physical and/or economic displacement, while balancing environmental, social and financial costs and benefits, paying special attention to impacts on the poor and vulnerable, including women.</p>
9.6b Resettlements (where unavoidable)	Conformance	<p>The Entity has implemented the Social Impact Policy for environmental, social, cultural and human rights impact assessments, including gender analysis and for new projects or major changes to existing facilities, the Site Evaluation checklist was developed for support in social impact assessments, considering viable alternatives to avoid or minimize physical and/or economic displacement, while balancing environmental, social and financial costs and benefits, paying special attention to impacts on the poor and vulnerable, including women.</p> <p>There has been no need for physical displacement of community members, and the Resettlement Action Plan was not necessary.</p>

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9.7a Local Communities (rights and interests)	Conformance	<p>The Entity respects the legal and customary rights and interests of the local Communities in their lands and livelihoods and in the use of natural resources and that annually the Entity selects social projects in the locations where it has factories to be contemplated with the allocation of resources tax incentives.</p> <p>Examples of this in Brazil include the judo and social music sustainability projects supporting the local community.</p> <p><a href="https://2019sustainability.crowncork.com/our-communities/">https://2019sustainability.crowncork.com/our-communities/</a></p>
9.7b Local Communities (impacts)	Conformance	<p>The Entity respects the legal and customary rights and interests of the local Communities on its lands and seeks to prevent any adverse impacts on the livelihoods of the local Community resulting from its activities and that annually the Entity selects social projects in the locations where it has factories to be contemplated with the allocation of resources from tax incentives.</p> <p>Examples of this in Brazil include the judo and social music sustainability projects supporting the local community.</p> <p><a href="https://2019sustainability.crowncork.com/our-communities/">https://2019sustainability.crowncork.com/our-communities/</a></p>
9.7c Local Communities (livelihoods)	Conformance	<p>The Entity respects the legal and customary rights and interests of the local Communities on its lands and seeks to respect and support their livelihoods and that annually the Entity selects social projects in the locations where we have factories to be contemplated with the allocation of resources from tax incentives.</p>
9.8 Conflict-Affected and High-Risk Areas	Conformance	<p>The Entity does not contribute to armed conflicts or human rights abuses in conflict-affected and high-risk areas through social impact assessment in new projects and the Human Rights Policy and Responsible and Ethical Sourcing Policy.</p> <p><a href="https://www.crowncork.com/sites/default/files/CrownHumanRights_Portugese_Brazil.pdf">https://www.crowncork.com/sites/default/files/CrownHumanRights_Portugese_Brazil.pdf</a></p> <p><a href="https://www.crowncork.com/sites/default/files/responsible-ethical-sourcing-policy.pdf">https://www.crowncork.com/sites/default/files/responsible-ethical-sourcing-policy.pdf</a></p>
9.9 Security practice	Conformance	<p>The Entity and in its involvement with private security providers, respects Human Rights in accordance with recognized standards and good practices.</p> <p>The Entity hires companies specialized in private security for each production unit that must follow</p>

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		the procedures and requirements of Respect for Human Rights of workers in each unit.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Conformance	The Entity respects the rights of Workers to freely associate in Labor Unions and to seek representation and join Workers' Councils as defined in the Human Rights Policy, Item "The freedom of association and the right to collective bargaining are respected". <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Conformance	The Entity respects the rights of Workers to freely associate in Labor Unions and to seek representation and join Workers' Councils as defined in the Human Rights Policy, Item "The freedom of association and the right to collective bargaining are respected". <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Not Applicable	AS the Entity respects the rights of Workers to freely associate in Labor Unions and to seek representation and join Workers' Councils as defined in the Human Rights Policy, this criterion is not applicable.
10.2a Child Labour (minimum age)	Conformance	The Entity has implemented and published a policy expressing a commitment to respect human rights, making reference to the UN Guiding Principles on Business and Human Rights. Child Labor shall not be used. In accordance with "ILO" labor standards and Article 7, item XXXIII of the Federal Constitution, Crown does not hire workers under 18 years of age, except in an apprenticeship regime. <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>
10.2b Child Labour (hazardous)	Conformance	The Entity has implemented and published a policy expressing a commitment to respect human rights, making reference to the UN Guiding Principles on Business and Human Rights. Child Labor shall not be used. In accordance with "ILO" labor standards and Article 7, item XXXIII of the Federal Constitution, Crown does not hire workers under 18 years of age, except in an apprenticeship regime. <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>

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10.2c Child Labour (worst forms)	Conformance	<p>The Entity has implemented and published a policy expressing a commitment to respect human rights, making reference to the UN Guiding Principles on Business and Human Rights. Child Labor shall not be used. In accordance with "ILO" labor standards and Article 7, item XXXIII of the Federal Constitution, Crown does not hire workers under 18 years of age, except in an apprenticeship regime.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3a Forced Labour (human trafficking)	Conformance	<p>The Entity has implemented and published a policy that expresses a commitment to respect human rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights Child labour must not be used.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3b Forced Labour (deposits, fees, advances)	Conformance	<p>The Entity has implemented and published a policy that expresses a commitment to respect human rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights Child labour and forced labour must not be used.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3c Forced Labour (migrant workers)	Conformance	<p>The Entity has implemented and published a policy that expresses a commitment to respect human rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights Child labour and forced labour must not be used.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3d Forced Labour (debt bondage)	Conformance	<p>The Entity has implemented and published a policy that expresses a commitment to respect human rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights Child labour and forced labour must not be used.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>

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10.3e Forced Labour (freedom of movement)	Conformance	<p>The Entity has implemented and published a policy that expresses a commitment to respect human rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights Child labour and forced labour must not be used.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	<p>The Entity has implemented and published a policy that expresses a commitment to respect human rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights Child labor and forced labour must not be used.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3g Forced Labour (freedom to terminate employment)	Conformance	<p>The Entity has implemented and published a policy that expresses a commitment to respect human rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights Child labour and forced labour must not be used.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.4 Non-Discrimination	Conformance	<p>The Entity has implemented and published a policy that expresses the commitment to respect human rights and does not engage or support Discrimination in the hiring, salary, promotion, training, promotion opportunities or termination of any Worker based on gender, race, national origin or social, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age or any other condition that may give rise to discrimination.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.5 Communication and engagement	Conformance	<p>The Entity ensures open communication and direct involvement with Workers and their representatives in relation to working conditions and resolution of work and compensation issues, without threat of reprisal, intimidation or harassment.</p> <p>During the audit, direct and indirect workers were interviewed noted that they are all respected and that human rights are preserved in the workplace</p>

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		<p>and who can use the complaint channel for complaints and claims via e-mail or via a toll-free phone number.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p> <p><a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a></p>
10.6 Disciplinary practices	Conformance	<p>The Entity ensures that it does not engage in and does not tolerate the use of corporal punishment, mental or physical coercion, harassment and gender violence, including sexual harassment or verbal abuse of employees.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p> <p><a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a></p>
10.7a Remuneration (living wage)	Conformance	<p>The Entity respects workers' rights to a minimum wage and guarantees that wages paid for a working day as determined by local law and that workers are paid through the wages agreed in individual employment contracts. The Entity provides workers with statements of working hours and statements of payment calculations.</p>
10.7b Remuneration (method of payment)	Conformance	<p>The Entity respects workers' rights to a minimum wage and guarantees that wages paid for a working day as determined by local law and that workers are paid through the wages agreed in individual employment contracts. The Entity provides workers with statements of working hours and statements of payment calculations.</p>
10.8 Working Time	Conformance	<p>The Entity respects the rights of workers to a minimum wage and ensures that wages paid for a working day ((including overtime), holidays and paid annual vacations, as determined by local law and that workers are paid through the salary agreed in individual employment contracts.</p> <p>The Entity provides workers with statements of working hours and statements of payment calculations.</p>
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	<p>The Entity is certified to the ISO 45001 Standard, with the development and implementation of an Integrated System Policy, approved by Senior Management and made available in the Internal System and to external stakeholders on the Entity's website.</p>

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		<a href="https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf">https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf</a>
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity is certified to the ISO 45001 Standard, with the elaboration of an Integrated System Policy, approved by the Senior Management and made available in the Internal System, management boards on site and for external stakeholders on the Entity's website. <a href="https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf">https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf</a>
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Entity is certified to the ISO 45001 Standard, with the elaboration of an Integrated System Policy according to international standards and ILO Conventions on Occupational Health and Safety, approved by the Senior Management and made available in the Internal System, management frameworks in sight and for external stakeholders on the Entity's website. <a href="https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf">https://www.crowncork.com/sites/default/files/EHSPolicy_2021_English_Signed.pdf</a>
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity is certified to the ISO 45001 Standard, and had developed and implemented a Right of Refusal Policy, approved by the Senior Management and made available in the Internal System, management staff on site.
11.2 OH&S Management System	Conformance	The Entity has a documented Occupational Health and Safety Management System which is ISO 45001 certified.
11.3 Employee engagement on health and safety	Conformance	The Entity's workers participate in improving its culture of safety and awareness through their involvement in various committees. The Entity is certified to the ISO 45001 Standard.
11.4 OH&S performance	Conformance	The Entity evaluates its Occupational Health and Safety performance using indicators monitored by the Entity's board, seeking to improve the continuous improvement of its practices through tools including Occupational Safety and Health (OSH) Dashboard, Business Overview, through the Velocity and the EHS System Intranet Platform.

#### **Document Control and Version History**

Revision	Date	Notes
0	28 October 2021	Initial Certification Audit – Full Certification