
ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

JOINT STOCK COMPANY - ARCONIC SMZ

CERTIFICATE
NUMBER

176

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

DNV BUSINESS
ASSURANCE
SERVICES UK
LTD.

DATE OF ISSUE

18 FEBRUARY 2022

DATE OF EXPIRY

17 FEBRUARY 2025

CERTIFIED SINCE

18 FEBRUARY 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', written over a horizontal line.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Aluminum inputs, casthouse and flat-rolled
production operations including fabrication of can
stock for beverage packaging industry at Arconic
Samara (SMZ), Russia.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME	Arconic
ENTITY NAME	Joint Stock Company - Arconic SMZ
CERTIFICATION SCOPE	Aluminum inputs, casthouse and flat-rolled production operations including fabrication of can stock for beverage packaging industry at Arconic Samara (SMZ), Russia.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouses• Post-Casthouse
ASI STANDARD	<ul style="list-style-type: none">• Chain of Custody Standard V1
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">• 20 – 24 December 2021
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 11 January 2022
AUDIT SCOPE	<p>The audit scope includes the aluminum inputs, casthouse and flat-rolled production operations including fabrication of can stock for beverage packaging industry at Arconic Samara (SMZ), Russia.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Casthouses• Post-Casthouse <p>All applicable criteria in the ASI Chain of Custody Standard were included in the audit scope.</p> <p>The audit has been undertaken as a combined 'desktop' and on-site exercise, in accordance with the ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4), and included a remote review of relevant documentation and on-site observations (on 21 December 2021) for relevant criteria.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">• Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION
PERIOD

18 February 2022 – 17 February 2025

NEXT AUDIT
TYPE

Re-Certification Audit

NEXT AUDIT
DUE DATE

17 February 2025

CERTIFICATION
NUMBER

176

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	Arconic has been an ASI Member since December 2016. The Entity obtained Certification to the ASI Performance Standard in December 2019: https://aluminium-stewardship.org/about-asi/asi-members/arconic
1.2 Management system	Conformance	The Entity has implemented a Management System in accordance with the ASI Chain of Custody Standard. Interviews with management and relevant personnel demonstrated understanding and implementation of the system.
1.3 Management system reviews	Conformance	The Entity has implemented a Management System which is reviewed and updated every three years or as needed following non-conformities or potential non-conformities.
1.4 Management representative	Conformance	The Entity's EHS Director has been nominated as the Management Representative and this role has sufficient overall responsibility and authority for the Entity's conformance with all applicable requirements of the ASI Chain of Custody Standard.
1.5 Training	Conformance	The Entity has conducted specialized training in the ASI Chain of Custody Standard requirements and the existing system of professional training provides relevant personnel with awareness and competence in their responsibilities under the ASI Chain of Custody Standard in addition to contributing to the continuous improvement in the effectiveness of the CoC Management System.
1.6 Record keeping	Conformance	The Entity has an information system which stores data in archive format, including records covering all applicable requirements of the ASI Chain of Custody Standard (all incoming materials and the complete information on manufactured products). The information system stipulates a special filter for data on ASI Material.
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	In accordance with internal guidelines, the Entity will annually report data on Input and Output Quantities of CoC Materials over the calendar year to the ASI Secretariat no later than 31 March.
1.7b Reporting to ASI (Input Percentage)	Conformance	In accordance with internal guidelines, the Entity will annually report data on Input Percentage/s calculated for the calendar year to the ASI Secretariat no later than 31 March.

CRITERION	RATING	COMMENT
1.7c Reporting to ASI (Positive Balance)	Conformance	In accordance with internal guidelines, the Entity will annually report data on the maximum Positive Balance in the calendar year carried over to the subsequent Material Accounting Period, if any, to the ASI Secretariat no later than 31 March.
1.7d Reporting to ASI (Internal Overdraw)	Conformance	In accordance with internal guidelines, the Entity will annually report data on the maximum Internal Overdraw within the calendar year, if any, and the percentage of Input Quantity of CoC Material this represents to the ASI Secretariat no later than 31 March of each calendar year.
1.7e Reporting to ASI (Eligible Scrap)	Not Applicable	The Entity is not engaged in Aluminium Re-melting/Refining of Eligible Scrap and obtains ingots produced of the Eligible Scrap from external suppliers that are CoC Certified Entities.
1.7f Reporting to ASI (ASI Credits from Casthouses)	Not Applicable	The Entity does not plan to allocate ASI Credits.
1.7g Reporting to ASI (ASI Credits purchased)	Not Applicable	The Entity does not plan to allocate ASI Credits.
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	The Entity manufactures products from alloy ingots independently and does not require Outsourcing Contractors for processing, treatment or manufacturing. Should a future need arise, Outsourcing Contractors will be identified and included in the Entity's Certification Scope.
2.2a Control of CoC Material	Not Applicable	The Entity manufactures products from alloy ingots independently and does not require Outsourcing Contractors for processing, treatment or manufacturing.
2.2b No further outsourcing	Not Applicable	The Entity manufactures products from alloy ingots independently and does not require Outsourcing Contractors for processing, treatment or manufacturing.
2.2c Risk assessment	Not Applicable	The Entity manufactures products from alloy ingots independently and does not require Outsourcing Contractors for processing, treatment or manufacturing.
2.3 Output Quantity	Not Applicable	The Entity manufactures products from alloy ingots independently and does not require Outsourcing Contractors for processing, treatment or manufacturing.

CRITERION	RATING	COMMENT
2.4 Verification and record-keeping	Not Applicable	The Entity manufactures products from alloy ingots independently and does not require Outsourcing Contractors for processing, treatment or manufacturing.
2.5 Error management	Not Applicable	The Entity manufactures products from alloy ingots independently and does not require Outsourcing Contractors for processing, treatment or manufacturing.
3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL		
3.1a CoC Certification Scope - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Performance Standard - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a CoC Certification Scope - Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Performance Standard - Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL		
4.1a CoC Certification Scope - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b ASI Performance Standard - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Pre-Consumer Scrap and Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Supplier records	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Cash payments	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope - Casthouses	Conformance	Casthouse and flat-rolling outputs are manufactured at the Arconic Samara metallurgical plant in Russia:

CRITERION	RATING	COMMENT
		https://www.arconic.com/russia
5.1b ASI Performance Standard - Casthouses	Conformance	Casthouse and flat-rolling outputs are manufactured at the Entity, which is certified against the ASI Performance Standard: https://aluminium-stewardship.org/about-asi/asi-members/arconic
5.2 Casthouse Products	Conformance	The Entity's Material Accounting System consists of three metal inventory systems (ARM, MES and TMS) which are interconnected and provide two-three level control of transferred data. An additional control tool, the Quarterly Operational Reports are coordinated by all related specialists including the Financial Department, Metal Purchase and Sales Department and others. The System has been tested and ensures that unique identification numbers, printed with ASI Aluminium, are linked to the Input Quantity of CoC Material.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope - Post-Casthouse	Conformance	The Entity obtains Primary and Secondary Aluminium from other organizations, not all of which are CoC Certified Entities. The existing Material Accounting System accurately specifies the amount of CoC Primary and Secondary Aluminium. Casthouse and flat-rolling outputs are manufactured at the Arconic Samara metallurgical plant in Russia: https://www.arconic.com/russia
6.1b ASI Performance Standard - Post-Casthouse	Conformance	Casthouse and flat-rolling outputs are manufactured at the Entity, which is certified against the ASI Performance Standard: https://aluminium-stewardship.org/about-asi/asi-members/arconic
6.1c Sourcing ASI Aluminium	Conformance	The Entity obtains Primary and Secondary Aluminium from other organizations, not all of which are CoC Certified Entities. The existing Material Accounting System accurately specifies the amount of CoC Primary and Secondary Aluminium. The Entity sources ASI Aluminium directly from another ASI CoC Certified Entity. However, the existing Material Accounting System is able to ensure that the Entity produces ASI Aluminium purchased via a metals trader or warehouse with associated CoC Document.

CRITERION	RATING	COMMENT
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible sourcing policy (anti-corruption)	Conformance	<p>In accordance with the Entity's Supplier Standards and Anti-Corruption Policy the requirements of the ASI Performance Standard regarding Anti-Corruption are communicated to all suppliers within contract documents and at the stage of a competitive selection. For further information please see:</p> <p>https://www.arconic.com/global/en/contact/supplier/pdf/Supplier-Standards-English.pdf</p> <p>https://www.arconic.com/global/en/investors/anti-corruption-policy.asp</p>
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	<p>In accordance with the Entity's Supplier Standards the requirements of the ASI Performance Standard regarding responsible sourcing are communicated to all suppliers within contract documents and during the tender request process. For further information please see:</p> <p>https://www.arconic.com/global/en/contact/supplier/pdf/Supplier-Standards-English.pdf</p>
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	<p>In accordance with the Entity's Supplier Standards and Human Rights Policy the requirements of the ASI Performance Standard regarding Human Rights Due Diligence are communicated within contract documents and during the tender request process. For further information please see:</p> <p>https://www.arconic.com/global/en/contact/supplier/pdf/Supplier-Standards-English.pdf</p> <p>https://www.arconic.com/human-rights</p>
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	<p>In accordance with the Entity's Supplier Standards and Conflicts Minerals Policy requirements of the ASI Performance Standard regarding Conflict Affected and High Risk Areas are communicated within contract documents and during the tender request process. For further information please see:</p> <p>https://www.arconic.com/global/en/investors/pdf/Conflict-Minerals-Policy-FINAL-External.pdf</p> <p>https://www.arconic.com/global/en/contact/supplier/pdf/Supplier-Standards-English.pdf</p>
7.2 Risk assessment	Conformance	<p>The Entity assesses the risks of non-compliance by all suppliers, including suppliers of Non-CoC Material, with its Supplier Standards. Findings and any measurable risk mitigation undertaken as required and are documented in a suppliers risk assessment list. The Entity does not receive any Recyclable Scrap Material. The Facility obtains ingots from their suppliers.</p>

CRITERION	RATING	COMMENT
7.3 Complaints mechanism	Conformance	The Entity implements a complaints mechanism for interested parties to voice concerns about non-compliance with its responsible sourcing policy, accessible via the Arconic online portal. An Integrity Line is available for advice, questions or to report a concern and stakeholders can also raise concerns via the Contact Us page: Arconic Integrity Line https://www.arconic.com/integrity-line Arconic Contact Us https://www.arconic.com/contact

8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	The Entity has a Material Accounting System that records the Input Quantity and the Output Quantity of CoC Material and Non-CoC Material, by mass. The metal inventory system (ARM) and the Trade Management System (TMS) coordinate with each other by unique numbers on the raw materials certificate and every cast.
8.2a Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2b Pre-Consumer Scrap (total)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3 Material Accounting Period	Not Applicable	The Entity specifies a Material Accounting Period equal to 12 months.
8.4 Input Percentage	Conformance	In accordance with the Entity's CoC Management System, the Input Percentage for a given Material Accounting Period is calculated by the formula specified in the ASI Chain of Custody Standard. The calculation of the Input Percentage was tested at the stage of implementation of the Material Accounting System.
8.5 Input Percentage (Aluminium Re-Melting/Refining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6 Output Quantity determination	Conformance	In accordance with the Entity's CoC Management System, the Output Quantity of CoC Material, by mass for the given Material Accounting Period is calculated using the Input Percentage for the Material Accounting Period. The calculation of the Output Quantity of CoC Material, by mass was tested at the stage of implementation of the Material Accounting System.

CRITERION	RATING	COMMENT
8.7 Output Quantity designation	Conformance	In accordance with the Entity's CoC Management System, the Output Quantity of CoC Material, which may be a subset of total production, is calculated and designated as 100% CoC Material for a given Material Accounting Period in accordance with the ASI Chain of Custody Standard.
8.8 Output Quantity - Pre-Consumer Scrap	Conformance	Pre-Consumer (internal) Scrap is completely returned to production. The scrap produced in the processes of casthousing and flat-rolling is registered by mass in the material inventory system (MES) and in daily operational reports. The Input Percentage is not changed in the produced scrap. The return of scrap into production provides a total input material amount in the Mass Balance System.
8.9 Outputs not exceed Inputs	Conformance	The Entity's Material Accounting System ensures that the total output of CoC Material does not proportionally exceed the Input Percentage as applied to total input of CoC Material over the Material Accounting Period. The Entity does not work with Eligible Scrap.
8.10a Internal Overdraws (not exceed 20%)	Conformance	The Entity's Material Accounting System does not allow for the transfer of an Internal Overdraw exceeding 20% to the next Material Accounting Period (calendar year).
8.10b Internal Overdraws (not exceed affected amount)	Conformance	The Entity's Material Accounting System does not allow the Internal Overdraw to exceed the amount of CoC Material affected by a force majeure situation.
8.10c Internal Overdraws (period to make up)	Conformance	The Entity's Material Accounting System carries over the Internal Overdraw to the subsequent Material Accounting Period. In accordance with the Entity's CoC Management System, the Internal Overdraw shall be made up within the subsequent Material Accounting Period.
8.11a Positive Balance (carry over)	Conformance	The Entity's Material Accounting System clearly identifies any carry over of a Positive Balance at the end of a Material Accounting Period.
8.11b Positive Balance (expiry)	Conformance	In a case of a Positive Balance of output CoC Material at the end of a Material Accounting Period, the Entity's Material Accounting System carries over the Positive Balance to the subsequent Material Accounting Period. The Material Accounting System guarantees that the carried over Positive Balance will expire at the end of that Period if not drawn down.

CRITERION	RATING	COMMENT
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	The Entity's system ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities or Outsourcing Contractors.
9.2a Date of issue	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the ASI Chain of Custody Standard, including the date of issue of the CoC Document.
9.2b Reference number	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the ASI Chain of Custody Standard, including the reference number for the CoC Document, which is linked to the Entity's Material Accounting System for verification purposes.
9.2c Issuing Entity	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the ASI Chain of Custody Standard, including the identity, address and CoC Certification number of the Entity issuing the CoC Document.
9.2d Receiving customer	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the ASI Chain of Custody Standard, including the identity and address of the customer receiving the CoC Material, and if it is another CoC Certified Entity, their CoC Certification number.
9.2e Responsible employee	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the ASI Chain of Custody Standard, including the responsible employee of the Entity who can verify information in the CoC Document.
9.2f Conformance statement	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the ASI Chain of Custody Standard, including the statement confirming that "The information provided in the CoC Document is in conformance with the ASI CoC Standard".
9.2g Type of CoC Material	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the ASI Chain of Custody Standard, including the type of CoC Material in the shipment.
9.2h Mass of CoC Material	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the

CRITERION	RATING	COMMENT
		ASI Chain of Custody Standard, including the mass of CoC Material in the shipment.
9.2i Mass of total material	Conformance	The Entity's system ensures that CoC Documents provide the necessary information as required by the ASI Chain of Custody Standard, including the mass of total Material in the shipment.
9.3a Sustainability Data (optional)	Not Applicable	This Criterion is not applicable as GHG emission evaluation is undertaken at the corporate level and Sustainability Data will not be included in the CoC Document.
9.3b Sustainability Data (passing on)	Not Applicable	This Criterion is not applicable as GHG emission evaluation is undertaken at the corporate level and Sustainability Data will not be included in the CoC Document.
9.3c Post-Casthouse ASI Certification status	Conformance	In accordance with the Entity's CoC Management System, the ASI Certification status for the ASI Performance Standard for the Entity will be included in the CoC Document upon consumer request.
9.4 Supplementary Information (optional)	Conformance	The Entity's Material Accounting System issues CoC Documents to include Supplementary Information about the Entity or CoC Material and that this information is supported by objective evidence.
9.5 Response to verification requests	Conformance	The Entity's Material Accounting System provides all the required information to respond to reasonable requests for verification of information in CoC Documents issued by the Entity.
9.6 Error management	Conformance	The Entity's Material Accounting System provides all the required documentation and information for investigating an error, the agreed steps for correcting the error and implementation of actions to avoid a recurrence.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Conformance	The Entity's Material Accounting System provides verification of all required information and data in received CoC Documents as required by the ASI Chain of Custody Standard.
10.2 Verify consistency with shipments	Conformance	The Entity's Material Accounting System provides verification of the consistency of received CoC Documents with the accompanying CoC Material before recording information in the Material Accounting System. The Entity does not work with Eligible Scrap.

CRITERION	RATING	COMMENT
10.3 Verify supplier CoC Certification status	Conformance	In accordance with the Entity's implemented CoC Management System, the Entity will check the ASI website to verify the validity and scope of the supplier's ASI CoC Certification for any changes that might affect the status of the supplied CoC Material quarterly. The Entity does not work with Eligible Scrap.
10.4 Error management	Conformance	If an error is discovered after CoC Material has been received, the Entity's Material Accounting System provides all required information and possibilities for documenting the error and approved corrective actions with the supplying party and implement actions to avoid a recurrence. The Entity does not work with Eligible Scrap.
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System – allocation	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.1b Link to Casthouse Products	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.1c No double counting	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.1d No Positive Balance for ASI Credits	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.2a Date of issue	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.2b Reference number	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.2c Issuing Entity	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.

CRITERION	RATING	COMMENT
11.2d Receiving Entity	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.2e Conformance statement	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.2f ASI Credits statement	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.2g Quantity	Not Applicable	The Entity's Material Accounting System is able to provide all required information for allocation of ASI Credits. However, the Entity does not plan to allocate ASI Credits.
11.3a CoC Certification Scope – purchasing ASI Credits	Not Applicable	The Entity does not plan to purchase ASI Credits.
11.3b Material Accounting System – purchasing	Not Applicable	The Entity does not plan to purchase ASI Credits.
11.3c Expiry	Not Applicable	The Entity does not plan to purchase ASI Credits.
11.3d No re-trading	Not Applicable	The Entity does not plan to purchase ASI Credits.
11.3e No allocation to physical products	Not Applicable	The Entity does not plan to purchase ASI Credits.
11.3f Verify supplier CoC Certification status	Not Applicable	The Entity does not plan to purchase ASI Credits.
11.3g Five years maximum for ASI Credits purchasing	Not Applicable	The Entity does not plan to purchase ASI Credits.
12 CLAIMS AND COMMUNICATIONS		
12.1a ASI Claims Guide	Conformance	The Entity uses a Corporate Communications System which will make CoC claims in a manner and form consistent with the ASI Claims Guide.
12.1b Verifiable evidence	Conformance	The Entity uses a Corporate Communications System to make claims and/or representations about CoC Material outside of CoC Documents on the basis of verifiable evidence to support the claims and/or representations made. The Entity's Material Accounting System will be the source of verifiable evidence.

CRITERION	RATING	COMMENT
12.1c Employee training	Conformance	Appropriate training has been conducted with employees involved in production of CoC Materials and in making CoC claims. Training will be periodically repeated.

Document Control and Version History

Revision	Date	Notes
0	18 February 2022	Initial Certification Audit – Full Certification