

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

YANTAI DONGHAI ALUMINIUM FOIL CO., LTD.

CERTIFICATE
NUMBER

18

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

DNV BUSINESS
ASSURANCE
SERVICES UK
LTD.

DATE OF ISSUE

15 FEBRUARY 2022

DATE OF EXPIRY

14 FEBRUARY 2025

CERTIFIED SINCE

15 FEBRUARY 2019

AUTHORISED BY

A handwritten signature in white ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Yantai Donghai Aluminium Foil Co., Ltd. is a subsidiary of Shandong Nanshan Aluminium Co. Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China. The main products cover high-precision aluminium and aluminium alloy foils. Yantai Donghai Aluminium Foil Co., Ltd is a subsidiary of Shandong Nanshan Aluminium Co., Ltd.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Shandong Nanshan Aluminium Co., Ltd.
ENTITY NAME	Yantai Donghai Aluminium Foil Co., Ltd.
CERTIFICATION SCOPE	Yantai Donghai Aluminium Foil Co., Ltd. is a subsidiary of Shandong Nanshan Aluminium Co. Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China. The main products cover high-precision aluminium and aluminium alloy foils. Yantai Donghai Aluminium Foil Co., Ltd is a subsidiary of Shandong Nanshan Aluminium Co., Ltd.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Post-Casthouse
ASI STANDARD	<ul style="list-style-type: none">• Chain of Custody Standard V1
AUDIT TYPE	<ul style="list-style-type: none">• First Certification Audit (28 – 30 January 2019)• Surveillance Audit (13 – 14 August 2020)• Re-Certification Audit (20 – 21 January 2022)
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">• 28 – 30 January 2019 (First Certification Audit)• 13 – 14 August 2020 (Surveillance Audit)• 20 – 21 January 2022 (Re-Certification Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 2 February 2019 (First Certification Audit)• 18 September 2020 (Surveillance Audit)• 24 February 2022 (Re-Certification Audit)
AUDIT SCOPE	<p><u>First Certification Audit (28 – 30 January 2019)</u></p> <p>The audit scope covered the Yantai Donghai Aluminum Foil Co., Ltd. a subsidiary of Shandong Nanshan Aluminium Co., Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China, for the production and sale of high-precision aluminium and aluminium alloy foil.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Post-Casthouse

Relevant Criteria from the ASI Chain of Custody Standard were included in the audit scope.

Surveillance Audit (13 – 14 August 2020)

The audit scope covered the Yantai Donghai Aluminium Foil Co., Ltd. a subsidiary of Shandong Nanshan Aluminium Co., Ltd. and located in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China, for the production and sale of high-precision aluminium and aluminium alloy foil.

Supply chain activities included in the audit scope:

- Post-Casthouse

Relevant Criteria from the ASI Chain of Custody Standard were included in the audit scope.

Re-Certification Audit (20 – 21 January 2022)

The audit scope covered the Yantai Donghai Aluminium Foil Co., Ltd. facility in Nanshan Industrial Zone, Longkou, Yantai City, Shandong Province, China, including the main products of high-precision aluminium and aluminium alloy foil.

Supply chain activities included in the audit scope:

- Post-Casthouse

All relevant Criteria from the ASI Chain of Custody Standard were included in the audit scope.

AUDIT
OUTCOME

- Re-Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION
PERIOD

15 February 2022 – 14 February 2025

NEXT AUDIT
TYPE

Surveillance Audit

NEXT AUDIT
DUE DATE

14 February 2024

CERTIFICATE
NUMBER

18

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	The Entity's parent company, Shandong Nanshan Aluminium Co., Ltd., is an ASI Member in the Production and Transformation category https://aluminium-stewardship.org/about-asi/asi-members/shandong-nanshan-aluminium-co-ltd
1.2 Management system	Conformance	The Entity has an ASI CoC Management System to implement the ASI Chain of Custody Standard.
1.3 Management system reviews	Conformance	The Entity has established a process for the periodical review of the Management System to assess the effectiveness of the ASI CoC Management System and to address potential areas of non-conformance or improvement.
1.4 Management representative	Conformance	The Entity has nominated the General Engineer as the ASI Management Representative who has overall responsibility of the implementation of ASI Chain of Custody Standard and for the conformance with all applicable requirements of the Standard.
1.5 Training	Conformance	The Entity delivers training courses to relevant personnel to raise awareness of the ASI Chain of Custody Standard requirements and the implementation procedures. The latest training course was delivered in June 2021.
1.6 Record keeping	Conformance	The Entity maintains up-to-date records covering all applicable requirements of the ASI Chain of Custody Standard including the retention requirement for ASI records.
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	The Entity's ASI CoC Management Manual defines the reporting requirements including how to report to the ASI Secretariat. The latest report to the ASI Secretariat is April 2021.
1.7b Reporting to ASI (Input Percentage)	Conformance	An inventory file is developed in Excel format for management and calculations. The latest report to the ASI Secretariat is April 2021.
1.7c Reporting to ASI (Positive Balance)	Conformance	An inventory file is developed in Excel format for management and calculations. The latest report to the ASI Secretariat is April 2021.
1.7d Reporting to ASI (Internal Overdraw)	Conformance	An inventory file is developed in Excel format for management and calculations.

CRITERION	RATING	COMMENT
		The latest report to the ASI Secretariat is April 2021 with an Internal Overdraw of zero.
1.7e Reporting to ASI (Eligible Scrap)	Not Applicable	This Criterion is not applicable as the Entity is not engaged in Aluminium Re-melting/Refining.
1.7f Reporting to ASI (ASI Credits from Casthouses)	Not Applicable	This Criterion is not applicable as the Entity does not intend to use ASI Credits System.
1.7g Reporting to ASI (ASI Credits purchased)	Not Applicable	This Criterion is not applicable as the Entity does not intend to use ASI Credits System.
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	The Entity does not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing.
2.2a Control of CoC Material	Not Applicable	The Entity does not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing.
2.2b No further outsourcing	Not Applicable	The Entity does not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing.
2.2c Risk assessment	Not Applicable	The Entity does not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing.
2.3 Output Quantity	Not Applicable	The Entity does not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing.
2.4 Verification and record-keeping	Not Applicable	The Entity does not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing.
2.5 Error management	Not Applicable	The Entity does not use Outsourcing Contractors for the purposes of further processing, treatment or manufacturing.
3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL		
3.1a CoC Certification Scope – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope – Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.2b ASI Performance Standard – Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a CoC Certification Scope – Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Performance Standard – Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL		
4.1a CoC Certification Scope – Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b ASI Performance Standard – Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Pre-Consumer Scrap and Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Supplier records	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Cash payments	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope – Casthouses	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Performance Standard – Casthouses	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Casthouse Products	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope – Post-Casthouse	Conformance	The Entity has systems in place to ensure that it is producing ASI Aluminium only from an Entity or Facilities within the Entity's CoC Certification Scope.
6.1b ASI Performance Standard – Post-Casthouse	Conformance	The Entity holds a valid ASI Performance Standard Certification (Certificate #17).
6.1c Sourcing ASI Aluminium	Conformance	As per material purchasing orders and CoC Input documents, all the materials are from the parent company, Shangdong Nanshan Aluminium Co., Ltd., which is certified against the ASI Performance Standard

CRITERION	RATING	COMMENT
		(Certificate 53) and ASI Custody of Chain Standard (Certificate 62).
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible sourcing policy (anti-corruption)	Conformance	The Entity has developed and implemented an Anti-Corruption Policy, covering the ASI Performance Standard requirements on Anti-Corruption. All major next tier suppliers receive communication about the policy, and the Commitment Letter of Responsible Purchasing is signed by the suppliers. The anti-corruption policy is published and accessible via: http://www.nanshanqhj.com/newsdetails?id=490&cid=235
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	The Entity has developed and implemented a responsible purchasing Policy, covering the environmental, social and governance aspects for the supplier. All major next tier suppliers receive communication about the policy, and the Commitment Letter of Responsible Purchasing issued by the suppliers. The responsible sourcing policy is published and accessible via: http://www.nanshanqhj.com/newsdetails?id=490&cid=235
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	The Entity has developed and implemented a responsible purchasing Policy, covering Human Rights aspects. All major next tier suppliers receive communication about the policy, and the Commitment Letter of Responsible Purchasing is signed by the suppliers. The responsible sourcing policy is published and accessible via: http://www.nanshanqhj.com/newsdetails?id=490&cid=235
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	The Entity has developed and implemented a mechanism to manage the minerals from Conflict Affected and High-Risk Areas. The relevant training course are provided to employees. All major next tier suppliers receive communication about the policy, and the Commitment Letters of Non-Conflict-Mineral are signed by the suppliers. The Non-Conflict Mineral policy is published and accessible via: http://www.nanshanqhj.com/newsdetails?id=490&cid=235
7.2 Risk assessment	Conformance	The Entity undertakes risk assessments of its suppliers and completes risk mitigation efforts where relevant. The Entity conducts second party audits of its major next tier suppliers.

CRITERION	RATING	COMMENT
7.3 Complaints mechanism	Conformance	The Entity has a Whistleblower/Complaint channel to enable employees and external stakeholders to anonymously report potential misconducts. The channel is provided to all stakeholders.
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System that can record Input Quantity and Output Quantity of CoC Material and Non-CoC Material by mass which conforms to ASI CoC requirements.
8.2a Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2b Pre-Consumer Scrap (total)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3 Material Accounting Period	Conformance	The Material Accounting Period is defined as 12 months, in accordance with the Entity's financial year: from December 26 to December 25 the following year.
8.4 Input Percentage	Conformance	The Entity has established a Material Accounting System in compliance with ASI Chain of Custody Standard requirements. As confirmed via an interview with the responsible person and the records in the Mass Balance System, the percentage calculation is implemented.
8.5 Input Percentage (Aluminium Re-Melting and Refining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6 Output Quantity determination	Conformance	The Entity has established a Material Accounting System in compliance with ASI Chain of Custody Standard requirements. As confirmed via an interview and data in the Mass Balance System, the Entity understands and implements the requirement of this Criterion .
8.7 Output Quantity designation	Conformance	The Entity has established a Material Accounting System in compliance with ASI Chain of Custody Standard requirements. The dates in the Mass Balance System show the Output Quantity of CoC Material is designated as 100% CoC Material.
8.8 Output Quantity – Pre-Consumer Scrap	Conformance	The Entity has established a Material Accounting System in compliance with ASI Chain of Custody Standard requirements. Till now, the Output Quantity of Pre-Consumer Scrap is zero.

CRITERION	RATING	COMMENT
8.9 Outputs not exceed inputs	Conformance	The Entity has established a Material Accounting System in compliance with ASI Chain of Custody Standard requirements. As per the data in Mass Balance System, in 2021, the total output of CoC Material does not proportionally exceed the Input Percentage as applied to total input of CoC Material over the Material Accounting Period.
8.10a Internal Overdraws (not exceed 20%)	Conformance	The Entity has established a Material Accounting System in compliance with the ASI Chain of Custody Standard requirements. As per the data in Mass Balance System, in 2021, the amount of the Internal Overdraw does not exceed 20%.
8.10b Internal Overdraws (not exceed affected amount)	Conformance	The Entity has established a Material Accounting System in compliance with ASI Chain of Custody Standard requirements. In 2021 there was no Internal Overdraw.
8.10c Internal Overdraws (period to make up)	Conformance	The Entity has established a Material Accounting System in compliance with ASI Chain of Custody Standard requirements.
8.11a Positive Balance (carry over)	Minor Non-Conformance	The Entity has established a Material Accounting system in compliance with ASI Chain of Custody Standard requirements. It was noted during the audit that the data of Positive Balance of output CoC-Material was incorrect.
8.11b Positive Balance (expiry)	Conformance	The Entity has established a Material Accounting System in compliance with ASI Chain of Custody Standard requirements.
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	The Entity has a system that can produce CoC Documents for each shipment and transfer, ensuring that these are controlled, issued and stored as per ASI Chain of Custody Standard requirements. In 2021, to the audit date, the Entity delivered 72 lots of ASI Aluminium to customers. All lots are accompanied with the required documents. No outsourcing is used by the Entity.
9.2a Date of issue	Conformance	The CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are used for foreign markets and the invoice includes all required information. All 72 issued CoC Documents include the Date of Issue.

CRITERION	RATING	COMMENT
9.2b Reference number	Conformance	The reference number of the CoC Document is defined in the Entity's ASI CoC Management Manual as the 'No. of Purchasing order from customers + annual serial number for the traceability'. All 72 issued CoC Documents include the unique reference numbers.
9.2c Issuing Entity	Conformance	The CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are used for foreign markets and the invoice includes all required information. The identity, address and CoC Certification number of the Entity are included in all 72 issued CoC Documents
9.2d Receiving customer	Conformance	The CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for domestic market; The invoices are used for foreign markets and the invoice includes all required information. The identity and address of the customers are included in all 72 issued CoC Documents.
9.2e Responsible employee	Conformance	The CoC document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market, including the responsible employee of the Entity who can verify information in the CoC Document; The invoices are used for foreign markets and the invoice includes all required information.
9.2f Conformance statement	Conformance	The CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are used for foreign markets and the invoice includes all required information. The statement of "The information provided in the CoC Document is in conformance with the ASI CoC Standard." is included in all 72 issued CoC Documents.
9.2g Type of CoC Material	Conformance	The ASI CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are used for foreign markets and the invoice includes all required information. The type of CoC Material in the shipment is included in the all 72 issued CoC Documents.
9.2h Mass of CoC Material	Conformance	The ASI CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are

CRITERION	RATING	COMMENT
		used for foreign markets and the invoice includes all required information. The mass of CoC Material in the shipment is included in all 72 issued CoC Documents.
9.2i Mass of total material	Conformance	The ASI CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are used for foreign markets and the invoice includes all required information. Mass of total Material in the shipment is included in the all 72 issued CoC Documents.
9.3a Sustainability Data (optional)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3b Sustainability Data (passing on)	Conformance	The ASI CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are used for foreign markets and the invoice includes all required information. All CoC Documents issued includes the average intensity of GHG emissions (Scope 1 and 2) in tonnes CO ₂ –eq per metric tonne ASI Aluminium.
9.3c Post-Casthouse ASI Certification status	Conformance	The ASI CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are used for foreign markets and the invoice includes all required information. All 72 issued CoC Documents include the Entity's ASI Certification status for the ASI Performance Standard.
9.4 Supplementary Information (optional)	Conformance	The ASI CoC Document template in the ASI Chain of Custody Standard is used to provide all required information for the domestic market; The invoices are used for foreign markets and the invoice includes all required information. The numbers of package boxes are added as Supplementary Information. The numbers can be verified via warehouse records.
9.5 Response to verification requests	Conformance	The process and responsibility of response to verification requests are defined in the Entity's ASI CoC Management Manual. The Sales Department and Product Service Department are the contact window for the request, and the Production Department is responsible for providing the required information.
9.6 Error management	Conformance	The Entity integrates error management within its current processes under the ISO 9001 certified

CRITERION	RATING	COMMENT
		Management System which appeared to be robust to document errors, corrective actions and any potential preventive measures to meet ASI Chain of Custody Criteria.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Conformance	The Entity's ASI CoC Management Manual defines the process and responsibility for the verification of the information on CoC Documents. All received CoC Documents are reviewed by the authorized persons.
10.2 Verify consistency with shipments	Conformance	The Entity's ASI CoC Management Manual defines the process and responsibility to verify the consistency of received CoC Documents with the accompanying CoC Material or Eligible Scrap before recording information in the Material Accounting System. The received CoC Documents are verified with the associated CoC Material.
10.3 Verify supplier CoC Certification status	Conformance	The Entity's ASI CoC Management Manual defines the process and responsibility to verify supplier CoC Certification status. The Technical and Quality Department is responsible to verify the supplier CoC Certification status by checking the ASI website every month, and take the relevant action. Currently, all CoC Materials are provided by Shandong Nanshan Aluminium Co., Ltd.
10.4 Error management	Conformance	The Entity has integrated error management within its current processes under the ISO 9001 certified Management System which appeared to be robust to document errors, corrective actions and any potential preventive measures to meet ASI Chain of Custody Criteria.
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System – allocation	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.1b Link to Casthouse Products	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.1c No double counting	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.1d No Positive Balance for ASI Credits	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.2a Date of issue	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.

CRITERION	RATING	COMMENT
11.2b Reference number	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.2c Issuing Entity	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.2d Receiving Entity	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.2e Conformance statement	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.2f ASI Credits statement	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.2g Quantity	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.3a CoC Certification Scope – purchasing ASI Credits	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.3b Material Accounting System – purchasing	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.3c Expiry	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.3d No re-trading	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.3e No allocation to physical products	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.3f Verify supplier CoC Certification status	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.
11.3g Five years maximum for ASI Credits purchasing	Not Applicable	Not applicable as the Entity does not intend to utilise the ASI market credits system.

12 CLAIMS AND COMMUNICATIONS

12.1a ASI Claims Guide	Conformance	The Entity has appointed roles and responsibilities to ensure that claims are made in a manner consistent with the ASI Claims Guide.
12.1b Verifiable evidence	Conformance	The Entity has appointed roles and responsibilities to ensure that claims are made in a manner consistent with the ASI Claims Guide.
12.1c Employee training	Conformance	The Entity has provided training courses on Claim and Communication to the relevant employees. The latest training was held in August 2021.

Revision	Date	Notes
0	15 February 2019	First Certification Audit
1	28 August 2019	Revised to include updated links
2	5 October 2020	Surveillance Audit, including a revision to the Entity name for the Certificate.
3	9 March 2022	Re-Certification Audit