

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

QATAR ALUMINIUM LIMITED (QATALUM)

CERTIFICATE
NUMBER

200

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

DNV BUSINESS
ASSURANCE
SERVICES UK
LTD.

DATE OF ISSUE

11 APRIL 2022

DATE OF EXPIRY

10 APRIL 2025

CERTIFIED SINCE

11 APRIL 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', written over a white background.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Aluminium smelting and casthouse operations for
the manufacture of extrusion ingots and primary
foundry alloy in Mesaieed Industrial City (MIC),
Qatar.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME	Qatalum
ENTITY NAME	Qatar Aluminium Limited (QATALUM)
CERTIFICATION SCOPE	Aluminium smelting and casthouse operations for the manufacture of extrusion ingots and primary foundry alloy in Mesaieed Industrial City (MIC), Qatar.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium SmeltingCasthouses
ASI STANDARD	<ul style="list-style-type: none">Chain of Custody Standard V1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">28 November – 16 December 2021
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">6 April 2022
AUDIT SCOPE	<p>The audit scope included the smelter and casthouse operations, carbon plant, power plant, port and storage facilities and Head Office functions such as human resources, supply chain, health and safety, security, environment and sustainability, emergency/ fire, marketing and sales, internal audit, medical first aid, finance and legal.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">Aluminium SmeltingCasthouses <p>All applicable criteria in the ASI Chain of Custody Standard were included in the audit scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.

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- ✔ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - ✔ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ✔ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD	11 April 2022 – 10 April 2025
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DUE DATE	10 October 2023
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CERTIFICATION NUMBER	200
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SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	The Entity has been a Production and Transformation ASI member since September 2021. https://aluminium-stewardship.org/about-asi/asi-members/qatalum/
1.2 Management system	Minor Non-Conformance	The Entity has a documented Management System for ASI Chain of Custody (CoC) which is adequate to cover all Facilities under the control of the Entity that have Custody of CoC Material. The Management System however does not provide adequate detail to reflect how all applicable requirements of the ASI CoC Standard are implemented.
1.3 Management system reviews	Conformance	The Entity has defined the requirement to conduct the review of effectiveness of the Chain of Custody Management System on a biannual basis.
1.4 Management representative	Conformance	The Entity's CEO has appointed the Marketing and Sales Manager as the management representative with overall responsibility and authority for the Entity's conformance with all applicable requirements of the ASI Chain of Custody Standard.
1.5 Training	Conformance	The Entity has established a training module on ASI Chain of Custody Standard requirements and has conducted training for relevant employees to make them aware of, and to be competent in their responsibilities under the ASI Chain of Custody Standard.
1.6 Record keeping	Conformance	The Entity has specified the requirement for the maintenance of records covering all applicable requirements of the ASI Chain of Custody Standard in the material accounting and documentation procedure and has specified to retain them for a period of five (5) years. Personnel responsible to maintain the records have been informed of this requirement.
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	The Entity has specified the requirement to report its Aluminium Stewardship Initiative (ASI) performance within three months after the end of each calendar year.
1.7b Reporting to ASI (Input Percentage)	Conformance	The Entity has specified the requirement to report its Aluminium Stewardship Initiative (ASI) performance within three months after the end of

CRITERION	RATING	COMMENT
		each calendar year including the information related to Input Percentage/s calculated for the calendar year.
1.7c Reporting to ASI (Positive Balance)	Conformance	The Entity has specified the requirement to report its Aluminium Stewardship Initiative (ASI) performance within three months after the end of each calendar year including the information related to the maximum Positive Balance in the calendar year carried over to the subsequent Material Accounting Period.
1.7d Reporting to ASI (Internal Overdraw)	Conformance	The Entity has specified the requirement to report its Aluminium Stewardship Initiative (ASI) performance within three months after the end of each calendar year including the information related to maximum Internal Overdraw within the calendar year, if any, and the percentage of Input Quantity of Chain of Custody (CoC) Material this represents.
1.7e Reporting to ASI (Eligible Scrap)	Conformance	The Entity has specified the requirement to report its Aluminium Stewardship Initiative (ASI) performance within three months after the end of each calendar year including the information related to total Input Quantity of Eligible Scrap, with a breakdown by Post-Consumer Scrap and Pre-Consumer Scrap that is designated as CoC Material supplied directly from a CoC Certified Entity, in the calendar year.
1.7f Reporting to ASI (ASI Credits from Casthouses)	Conformance	The Entity has specified the requirement to report its Aluminium Stewardship Initiative (ASI) performance within three months after the end of each calendar year including the information related to quantity of ASI Aluminium allocated to ASI Credits in the calendar year for their Casthouse products.
1.7g Reporting to ASI (ASI Credits purchased)	Conformance	The Entity has specified the requirement to report its Aluminium Stewardship Initiative (ASI) performance within three months after the end of each calendar year including the information related to quantity of ASI Credits purchased in the calendar year.
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	The Entity does not have any Outsourcing Contractors within the scope that takes custody of an Entity's CoC Material for the purposes of further processing, treatment or manufacturing. Hence the Criterion is not applicable.

CRITERION	RATING	COMMENT
2.2a Control of CoC Material	Not Applicable	The Entity does not have any Outsourcing Contractors within the scope that takes custody of an Entity's CoC Material for the purposes of further processing, treatment or manufacturing. Hence the Criterion is not applicable.
2.2b No further outsourcing	Not Applicable	The Entity does not have any Outsourcing Contractors within the scope that takes custody of an Entity's CoC Material for the purposes of further processing, treatment or manufacturing. Hence the Criterion is not applicable.
2.2c Risk assessment	Not Applicable	The Entity does not have any Outsourcing Contractors within the scope that takes custody of an Entity's CoC Material for the purposes of further processing, treatment or manufacturing. Hence the Criterion is not applicable.
2.3 Output Quantity	Not Applicable	The Entity does not have any Outsourcing Contractors within the scope that takes custody of an Entity's CoC Material for the purposes of further processing, treatment or manufacturing. Hence the Criterion is not applicable.
2.4 Verification and record-keeping	Not Applicable	The Entity does not have any Outsourcing Contractors within the scope that takes custody of an Entity's CoC Material for the purposes of further processing, treatment or manufacturing. Hence the Criterion is not applicable.
2.5 Error management	Not Applicable	The Entity does not have any Outsourcing Contractors within the scope that takes custody of an Entity's CoC Material for the purposes of further processing, treatment or manufacturing. Hence the Criterion is not applicable.

3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL

3.1a CoC Certification Scope - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Performance Standard - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a CoC Certification Scope - Aluminium Smelting	Conformance	The Entity produces ASI Liquid Metal in its own Smelter which is within the CoC Certification Scope.

CRITERION	RATING	COMMENT
3.3b ASI Performance Standard - Aluminium Smelting	Conformance	The Entity produces ASI Liquid Metal in its own Smelter which has been recommended for ASI Performance Standard Certification.
4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL		
4.1a CoC Certification Scope - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b ASI Performance Standard - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Pre-Consumer Scrap and Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Supplier records	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Cash payments	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope - Casthouses	Conformance	The Entity produces ASI Casthouse products in its own Casthouse which is within the Chain of Custody (CoC) Certification Scope.
5.1b ASI Performance Standard - Casthouses	Conformance	The Entity produces ASI Casthouse products in its own Casthouse.
5.2 Casthouse Products	Conformance	The Entity has a system to identify its Casthouse products through a unique identification number which includes year of production. This number is either physically stamped on Casthouse products or printed on the labels which are placed on ingot bundles. This identification number is linked to the Input Quantity of CoC Material for that Material Accounting Period as per the Entity's CoC Management system.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope - Post-Casthouse	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1b ASI Performance Standard - Post-Casthouse	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1c Sourcing ASI Aluminium	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		

CRITERION	RATING	COMMENT
7.1a Responsible sourcing policy (anti-corruption)	Conformance	The Entity has documented its supplier Code of Conduct which addresses Anti-Corruption. The Supplier Code of Conduct is communicated to suppliers of Non-CoC Material and recyclable scrap and must be accepted and signed before any transfer of material occurs.
7.1b Responsible sourcing policy (responsible sourcing)	Minor Non-Conformance	The Entity has documented its Supplier Code of Conduct. The Supplier Code of Conduct is communicated and signed off by suppliers of Non-CoC Material and Recyclable Scrap Material. The Entity's Code of Conduct however is not adequate to address all aspects of Responsible Sourcing. The Entity also does not have a Due Diligence process for all aspects of Responsible Sourcing.
7.1c Responsible sourcing policy (human rights due diligence)	Minor Non-Conformance	The Entity has documented its Supplier Code of Conduct which covers working conditions. The Supplier Code of Conduct is communicated to suppliers of Non-CoC Material and Recyclable Scrap and accepted by them and signed. The Entity does not adopt and communicate a comprehensive Responsible Sourcing Policy for Human Rights Due Diligence to suppliers of Non-CoC Material and Recyclable Scrap.
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Minor Non-Conformance	The Entity has established a Supplier Code of Conduct which is mandatory for all suppliers. However, the Entity does not have a Responsible Sourcing Policy that adopts requirements for Conflict-Affected and High-Risk Areas to communicate with suppliers of Non-CoC Material and Recyclable Scrap Material.
7.2 Risk assessment	Minor Non-Conformance	The Entity has established and implemented a Supply Chain Directive as part of procurement principles. However there is no process described within the Supply Chain Directive to assess the risks of non-compliance with its responsible sourcing policy by its suppliers of Non-CoC Material and Recyclable Scrap Material, document the findings, and undertake measurable risk mitigation where risks of adverse impacts are identified.
7.3 Complaints mechanism	Conformance	The Entity has established a phone number and whistleblowing policy for its stakeholders which is appropriate to the nature and scale of the Entity's business. Any stakeholder in the Aluminium supply chain can raise a complaint regarding any non-compliance to the Entity's responsible sourcing policy. The system is available through the website and intranet which has provided toll free number and the email address for raising any complaint.

CRITERION	RATING	COMMENT
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has developed a system that accounts and records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass.
8.2a Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2b Pre-Consumer Scrap (total)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3 Material Accounting Period	Conformance	The Entity's ASI CoC Management System has defined that the Entity adopts a January to December of each year as the Material Accounting Period.
8.4 Input Percentage	Conformance	The Entity has developed a worksheet that accounts input material from various sources classifying them as either CoC or Non-CoC Material. The calculated CoC Material Input Percentage is over the total material input. The accounting of this Input Percentage would be undertaken on a monthly basis within the Material Accounting Period. The Entity uses tonnes as the unit for the numerator and the denominator.
8.5 Input Percentage (Aluminium Re-Melting/Refining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6 Output Quantity determination	Minor Non-Conformance	The Entity's system for mass balancing is not using the Input Percentage to determine the Output Quantity of CoC Material for the given Material Accounting Period, by mass.
8.7 Output Quantity designation	Conformance	The Entity has an understanding of and systems in place for designating Output Quantity of CoC Material as 100% CoC Material, from the subset of total production.
8.8 Output Quantity - Pre-Consumer Scrap	Not Applicable	The Entity does not produce Pre-Consumer Scrap from its processing, and hence this Criterion is not applicable.
8.9 Outputs not exceed Inputs	Conformance	The Entity uses a formula -based Material Accounting System which is updated on a monthly basis within the 12 month accounting period. This ensures that the total output of CoC Material does not proportionally exceed the Input Percentage as applied to total input of CoC Material and/or Eligible Scrap over the Material Accounting Period.

CRITERION	RATING	COMMENT
8.10a Internal Overdraws (not exceed 20%)	Minor Non-Conformance	Though the Entity is not currently under contract for delivery of CoC Material to its customers within a given Material Accounting Period, the Entity's ASI CoC Management System has not defined force majeure situations that would require Internal Overdraw and a carry over mechanism to the subsequent Material Accounting Period. The Mass Balance System does not highlight that the Internal Overdraw would not exceed 20% of total Input Quantity of CoC Material for the Material Accounting Period.
8.10b Internal Overdraws (not exceed affected amount)	Minor Non-Conformance	The Entity's ASI CoC Management System has not documented definition of force majeure situation that would require Overdraw if there exists a contract requiring only ASI CoC Material supply. Methods required for calculating ASI CoC Material output quantity affected by a force majeure situation have not been established to limit the Internal Overdraw within the affected quantity.
8.10c Internal Overdraws (period to make up)	Minor Non-Conformance	The Entity's ASI CoC Management System has not documented in any detail the intentions of the Entity to make up the Internal Overdraw, from any force majeure situation, within the subsequent Material Accounting Period.
8.11a Positive Balance (carry over)	Conformance	The Entity's Mass Balance System provides a mechanism to identify a Positive Balance of output CoC Material at the end of a Material Accounting Period, which the Entity would carry over to the subsequent Material Accounting Period.
8.11b Positive Balance (expiry)	Minor Non-Conformance	While the Entity's Material Accounting System is able to identify a Positive Balance of output CoC Material at the end of a Material Accounting Period, and carry over to the subsequent Material Accounting Period, a mechanism for ensuring that the Positive Balance generated in one Material Accounting Period and carried over to the subsequent Material Accounting Period shall expire at the end of that Period if not drawn down is not demonstrated.
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	The Entity has defined the requirement in their ASI CoC Management System to accompany a CoC Document with each shipment or transfer of CoC Material dispatched to other CoC Certified Entities or Outsourcing Contractors.

CRITERION	RATING	COMMENT
9.2a Date of issue	Conformance	The Entity has a documented CoC Document which references the date of issue of the document.
9.2b Reference number	Conformance	The Entity has a documented CoC Document which references the date of issue of the document. This is linked to the Entity's CoC Management system and Material Accounting System for verification purposes.
9.2c Issuing Entity	Conformance	The Entity has a documented format for the CoC Document which include the details of name of Entity, address of Entity and Certificate number.
9.2d Receiving customer	Conformance	The Entity has a documented format for the CoC Document which include the details of name of Entity, address of Entity and Certificate number and CoC Certificate number of the customer receiving the CoC Material.
9.2e Responsible employee	Conformance	The Entity has a documented format for the CoC Document which includes the name of the responsible employee of the Entity who verifies information in the CoC Document. The responsible employee/s is/are yet to be nominated.
9.2f Conformance statement	Conformance	The Entity has a documented format for the CoC Document which includes a statement confirming that "The information provided in the CoC Document is in conformance with the ASI CoC Standard."
9.2g Type of CoC Material	Conformance	The Entity has a documented format for the CoC Document which includes the type of CoC Material in the shipment. The type of materials include ASI Alumina, ASI Liquid Metal and ASI Aluminium.
9.2h Mass of CoC Material	Conformance	The Entity has a documented format for the CoC Document which includes the information regarding the mass of the CoC Material and its unit.
9.2i Mass of total material	Conformance	The Entity has a documented format for the CoC Document which includes the information on the total weight of the material and its unit of measurement.
9.3a Sustainability Data (optional)	Conformance	The Entity has established a provision to include Sustainability Data in CoC Documentation for CoC Material.
9.3b Sustainability Data (passing on)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.3c Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4 Supplementary Information (optional)	Conformance	The Entity has decided to provide the sustainability information in the CoC Document.
9.5 Response to verification requests	Minor Non-Conformance	The Entity has a CoC Management system in place. The CoC Management System however does not specifically refer to enable it to respond to reasonable requests for verification of information in CoC Documents issued by the Entity.
9.6 Error management	Minor Non-Conformance	The Entity has a process to document corrective actions for any non-conformance identified within the scope of its Quality Management System. The Entity is in the process of developing specific processes within its Chain of Custody Management system for recording errors discovered after the CoC Material has been shipped. This will include corrective action required to avoid its recurrence but this process has not yet been approved and issued.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Minor Non-Conformance	The Entity does not currently receive any CoC Documentation. The Entity has trained personnel on the requirement to verify the information in CoC Documents received from other CoC Certified Entities but the Entity has not designated this person to verify this information in its CoC Management system.
10.2 Verify consistency with shipments	Minor Non-Conformance	The Entity does not currently receive any CoC Documentation. The Entity has trained personnel on the requirement to verify the consistency of information in CoC Documents received from other CoC Certified Entities but the Entity has not designated this person to verify this information in its CoC Management system.
10.3 Verify supplier CoC Certification status	Minor Non-Conformance	The Entity does not currently receive any CoC Material from CoC Certified facilities. The Entity has trained various personnel on the requirements of the ASI Chain of Custody Standard including the requirement to verify the CoC Certification status of the supplier if required in future. The Entity has not designated the person responsible for this activity and has not determined the frequency of this check in the CoC Management system.
10.4 Error management	Minor Non-Conformance	The Entity has implemented a certified Quality Management System which addresses the

CRITERION	RATING	COMMENT
		<p>requirement for verification of all products and services supplied by suppliers, in case of any non-conformance the same is addressed under clauses related to non-conformance and corrective actions. However the Entity's ASI CoC Management system does not specifically address the requirement to record errors identified in the CoC Document after CoC Material or Eligible Scrap has been received from suppliers.</p>
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System – allocation	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.1b Link to Casthouse Products	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.1c No double counting	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.1d No Positive Balance for ASI Credits	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.2a Date of issue	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.2b Reference number	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.2c Issuing Entity	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.2d Receiving Entity	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.2e Conformance statement	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.2f ASI Credits statement	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.

CRITERION	RATING	COMMENT
11.2g Quantity	Not Applicable	The Entity does not intend to allocate excess ASI Aluminium to ASI Credits and hence this Criterion does not apply.
11.3a CoC Certification Scope – purchasing ASI Credits	Not Applicable	The Entity does not intend to purchase ASI Credits and hence this Criterion does not apply.
11.3b Material Accounting System – purchasing	Not Applicable	The Entity does not intend to purchase ASI Credits and hence this Criterion does not apply.
11.3c Expiry	Not Applicable	The Entity does not intend to purchase ASI Credits and hence this Criterion does not apply.
11.3d No re-trading	Not Applicable	The Entity does not intend to purchase ASI Credits and hence this Criterion does not apply.
11.3e No allocation to physical products	Not Applicable	The Entity does not intend to purchase ASI Credits and hence this Criterion does not apply.
11.3f Verify supplier CoC Certification status	Not Applicable	The Entity does not intend to purchase ASI Credits and hence this Criterion does not apply.
11.3g Five years maximum for ASI Credits purchasing	Not Applicable	The Entity does not intend to purchase ASI Credits and hence this Criterion does not apply.

12 CLAIMS AND COMMUNICATIONS

12.1a ASI Claims Guide	Conformance	The Entity does not intend to make claims and/or representations about Chain of Custody (CoC) Material outside of CoC Documents, or on ASI Credits outside of ASI Credits Certificates as most of the inputs are CoC inputs. The Entity has referenced this requirement in their ASI CoC Management System.
12.1b Verifiable evidence	Conformance	The Entity does not intend to make claims and/or representations about Chain of Custody (CoC) Material outside of CoC Documents, or on ASI Credits outside of ASI Credits Certificates as most of the inputs are CoC inputs. The Entity has referenced this requirement in their ASI CoC Management System.
12.1c Employee training	Conformance	The Entity does not intend to make claims and/or representations about Chain of Custody (CoC) Material outside of CoC Documents, or on ASI Credits outside of ASI Credits Certificates as most of the inputs are CoC inputs. The Entity has referenced this requirement in their ASI CoC Management System.

Document Control and Version History

Revision	Date	Notes
0	11 April 2022	Initial Certification Audit – Full Certification