
ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

S.A. DAMM

CERTIFICATE
NUMBER

115

ASI
STANDARD

PERFORMANCE
STANDARD
(V2 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI
ACCREDITED
AUDITOR

TÜV
RHEINLAND
CERT GMBH

DATE OF ISSUE

27 JANUARY 2021

DATE OF EXPIRY

26 JANUARY 2024

CERTIFIED SINCE

27 JANUARY 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'John' or similar, written over a white background.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Packaging and storage of beer in cans, as well as related activities including design; packaging and storage finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer.

SUMMARY AUDIT REPORT

PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	S.A. Damm
ENTITY NAME	S.A. Damm
CERTIFICATION SCOPE	Packaging and storage of beer in cans, as well as related activities including design; packaging and storage finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Other manufacturing or sale of products containing Aluminium
ASI STANDARD	<ul style="list-style-type: none">• Performance Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (8 January 2021)• Surveillance Audit (19 – 21 September 2022)
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	23 – 30 November 2020 (Initial Certification Audit) 19 – 21 September 2022 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 8 January 2021 (Initial Certification Audit)• 1 February 2023 (Surveillance Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (8 January 2021)</u></p> <p>The audit scope covered S.A. Damm (Barcelona), Compañía Cervecera Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia).</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Other manufacturing or sale of products containing Aluminium <p>All criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the audit scope.</p>

Surveillance Audit (19 – 21 September 2022)

The audit scope covered S.A. Damm (Barcelona), Compañía Cervecera Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia).

Supply chain activities included in the audit scope:

- Other manufacturing or sale of products containing Aluminium

All criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the audit scope.

The ASI multi-site sampling approach was undertaken, the sites Compañía Cervecera Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia) was included within the Audit Scope.

AUDIT
OUTCOME

Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION
PERIOD

27 January 2021 – 26 January 2024

NEXT AUDIT
TYPE

Re-Certification Audit

NEXT AUDIT
DUE DATE

26 January 2024

CERTIFICATION
NUMBER

115

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
1.2 Anti-Corruption	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
1.3 Code of Conduct	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.1b Environmental, Social, and Governance Policy (senior management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.1c Environmental, Social, and Governance Policy (communication)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.2 Leadership	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.3a Environmental and Social Management Systems (environmental)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.3b Environmental and Social Management Systems (social)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.4 Responsible Sourcing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.5 Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.6 Emergency Response Plan	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.7 Mergers and Acquisitions	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
2.8 Closure, Decommissioning and Divestment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.2 Non-compliance and liabilities	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a Payments to governments (legal and contractual)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b Payments to governments (disclosure – bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

PRINCIPLE 4 MATERIAL STEWARDSHIP

4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	<p>The Entity has evaluated at a corporate level the Facilities within the scope: Compañía Cervecera Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza. S.A. (Murcia). The life cycle impacts of the aluminium containers used (0.33L and 0.50L aluminium cans) were based on two main elements: the aluminium cans' Life Cycle Analysis (LCA) and Life Cycle Inventory (LCI). The impacts evaluated cover upstream (provision of aluminium cans by ASI's members), design and production stages and downstream (distribution and end-of-life recycling by consumers) activities. A corporate platform (EDIT) assesses the overall carbon footprint of the organisation's activities, including the aluminium containers.</p>
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	<p>The Entity has developed a LCA that covers the provision of aluminium cans by ASI certified suppliers, design and fabrication, scrap and waste management, and schemes to foster end-of-life recycling. A communication procedure and its related mechanisms have been developed and implemented to make the LCA available to Stakeholders upon request.</p>
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	<p>In conjunction with its LCA, the Entity publishes information summarising the key topics related to the aluminium containers such as product design improvements, measures for impact reduction, recycling and reuse actions, and recycling and circularity objectives. This information is available in the 2021 Annual Report: https://www.dammcorporate.com/sites/default/</p>

CRITERION	RATING	COMMENT
		iles/paragraph/investors_presentations_attach/2021_Annual_report_EN.pdf
4.2 Product design	Conformance	<p>The Entity has established objectives both directly and indirectly related to the design and development of the product.</p> <p>These objectives include the reduction of raw materials, promoting eco-designed packaging of aluminium cans, revision of the production processes to reduce scrap, energy use or water consumption, product transportation and circular packaging initiatives in both the end-of-life stage and overall carbon footprint of the products.</p> <p>New objectives were established to prevent material scrap - for example, the homologation of new can varnish to avoid scuffing (i.e., surface damage).</p> <p>Related data are available at: https://www.estrelladamm.com/en/sustainability</p>
4.3a Aluminium Process Scrap (targets)	Conformance	<p>Both Compañía Cervecería Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza. S.A. (Murcia) facilities have implemented procedures, controlled production processes and 'leading practice' equipment which enable the Entity to minimise product scrap within the production stage, and to manage relevant materials to segregate and recycle them.</p> <p>Systems in both Facilities identify and withdraw the non-compliant product from the production process, then initiate the established processes to prepare, segregate and finally send them to the recycling plants. Awareness at all levels of the organisation was also observed.</p> <p>Contracts with specialised companies have been established for waste management (recycling) and relevant documentation of aluminium waste management presented evidence of 100% material recycling.</p>
4.3b Aluminium Process Scrap (alloy separation)	Conformance	<p>The cans used by the Entity are 100% aluminium, including the top cover and ring.</p> <p>The systems in both the Compañía Cervecería DAMM (Barcelona) and Estrella Levante (Murcia) facilities identify and withdraw the non-compliant product from the production process, then initiate the established</p>

CRITERION	RATING	COMMENT
		<p>processes to prepare, segregate and finally send them to the recycling plants. Visual inspection was made to the recent production line in operation (line 19 at S.A. Damm (Barcelona))</p> <p>Such preparation includes emptying the liquid from the filled cans, with mechanical equipment, so the waste material that goes to the recycling plant is only aluminium.</p>
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	<p>In conjunction with its LCA, the Entity has implemented a recycling strategy reaching the 100% of the waste aluminium product. To achieve this target, the organisation has implemented the systems in its two Facilities, Compañía Cervecería Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza. S.A. (Murcia).</p> <p>This includes waste management procedures, fabrication processes, equipment and installations to identify, prepare and segregate waste aluminium products.</p> <p>Awareness and training actions as required at each level of the organisation.</p> <p>Agreements are in place with specialised firms to manage the waste of aluminium products for recycling.</p> <p>Since 2021 an online platform (RUNSZERO) has been implemented to manage, follow up on waste materials, and later collect and report waste materials information with other platforms including SDR (Sistema Documental de Residus) and E-DIR.</p>
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	<p>The Entity is involved in several actions and/or collection schemes to support accurate measurement and increase recycling rates. The Entity works and collaborates with management bodies Ecoembes and Ecovidrio, by engaging with their respective systems of selective collection and used packaging and packaging waste recovery.</p> <p>This includes cooperation with Blipvert for the system to collect cans on the Mediterranean coast.</p> <p>During 2019, the Entity installed 257 recycling points at the Head Office in Carrer Rosselló (Barcelona), six full recycling points for events outside the Estrella Damm Old Brewery, 65 compactors in the Region of Murcia and</p>

CRITERION	RATING	COMMENT
		<p>Alicante (Estrella Levante) and 349 additional compactors in the Mediterranean Coast. Related facts and figures are available at: https://www.estrelladam.com/en/sustainability</p> <p>A recent update of various initiatives launched by the Entity is available at: https://www.dammcorporate.com/en/sustainability-heart-damms-strategy</p>
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 GHG emissions reductions	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		
6.1 Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2 Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a Assessment and Management of Spills and Leakage (assessment)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3b Assessment and Management of Spills and Leakage (management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a Reporting of Spills (immediate disclosure)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4b Reporting of Spills (regular reporting)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.5a Waste management and reporting (strategy)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.5b Waste management and reporting (disclosure)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (start of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8b Dross (recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8c Dross (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.1b Water assessment (risk assessment)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a Water management (management plans)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
7.2b Water management (monitoring)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.3 Disclosure of water usage and risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a Biodiversity management (biodiversity action plans)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2b Biodiversity management (consultation and mitigation hierarchy)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2c Biodiversity management (reporting)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4a Commitment to "No Go" in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4b Commitment to "No Go" in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.1b Human Rights Due Diligence (process)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.1c Human Rights Due Diligence (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2 Women's Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3 Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.4 Free, Prior, and Informed Consent (FPIC)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5 Cultural and sacred heritage	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a Resettlements (avoid or minimise)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6b Resettlements (where unavoidable)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a Local Communities (rights and interests)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7b Local Communities (impacts)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7c Local Communities (livelihoods)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8 Conflict-Affected and High-Risk Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a Child Labour (minimum age)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2b Child Labour (hazardous)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2c Child Labour (worst forms)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a Forced Labour (human trafficking)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
10.3b Forced Labour (deposits, fees, advances)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3c Forced Labour (migrant workers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3d Forced Labour (debt bondage)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3e Forced Labour (freedom of movement)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3f Forced Labour (retention of identity papers, permits, certificates)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3g Forced Labour (freedom to terminate employment)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4 Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6 Disciplinary practices	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a Remuneration (living wage)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7b Remuneration (method of payment)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8 Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
11.2 OH&S Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.3 Employee engagement on health and safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.4 OH&S performance	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

Document Control and Version History

Revision	Date	Notes
0	27 January 2021	Initial Certification Audit - Full Certification
1	8 February 2023	Surveillance Audit