

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Schüco International KG

CERTIFICATE
NUMBER

49

ASI STANDARD
PERFORMANCE
STANDARD
(V3 2022)

DATE OF ISSUE
2 OCTOBER 2022

CERTIFICATION
LEVEL
FULL
CERTIFICATION

DATE OF EXPIRY
1 OCTOBER 2025

ASI ACCREDITED
AUDITING FIRM
GUTCERT (AFNOR
GROUP)

CERTIFIED SINCE
2 OCTOBER 2019

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Schüco International KG with headquarters in Bielefeld / Germany and the subsidiaries Schüco Wertingen/Germany, Schüco France, Schüco Italia and Schüco UK (United Kingdom). Design, development, sale and distribution of aluminium systems and object solutions for the building envelope.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	OTTO FUCHS KG & Schüco International KG
ENTITY NAME	Schüco International KG
CERTIFICATION SCOPE	Schüco International KG with headquarters in Bielefeld / Germany and the subsidiaries Schüco Wertingen/Germany, Schüco France, Schüco Italia and Schüco UK (United Kingdom). Design, development, sale and distribution of aluminium systems and object solutions for the building envelope.
SUPPLY CHAIN ACTIVITIES	Material Conversion – Principles 1 to 4 (transition)
ASI STANDARD	Performance Standard V3
AUDIT TYPE	Initial Certification Audit (8 – 9 September 2019) Re-Certification Audit and Scope Change (22 – 24 August 2022)
AUDIT FIRM	GUTcert (AFNOR Group)
AUDIT DATE	8 – 9 September 2019 (Initial Certification Audit) 22 – 24 August 2022 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	5 September 2019 (Initial Certification Audit) 14 March 2023 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (8 – 9 September 2019)</u></p> <p>Schüco International KG with headquarters in Bielefeld and the subsidiaries Schüco KG Wertingen/Germany, Schüco S.C.S Le Perray-en-Yvelines/France, Schüco srl Padova/Italy and Schüco Ltd. Milton Keynes/UK.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Other manufacturing or sale of products containing Aluminium <p>Relevant criteria from the ASI Performance Standard were included in the audit scope.</p> <p><u>Re-Certification Audit and Scope Change (22 – 24 August 2022)</u></p> <p>The audit scope covers Schüco International KG with headquarters in Bielefeld and the subsidiaries Schüco KG Wertingen/Germany, Schüco S.C.S Le Perray-en-Yvelines/France, Schüco srl Padova/Italy and Schüco Ltd. Milton Keynes/UK.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">• Material Conversion – Principles 1 to 4 (transition) <p>All applicable criteria in the ASI Performance Standard were included in the audit scope.</p>
AUDIT OUTCOME	Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

2 October 2022 - 1 October 2025

NEXT AUDIT TYPE

Surveillance Audit

NEXT AUDIT DATE

2 May 2024

CERTIFICATE NUMBER

49



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Schüco, part of the Otto Fuchs group, is a system provider for windows, doors, facades and other building products. It was founded in 1951 in Bielefeld, Germany and currently employs approximately 6,330 persons worldwide with an international presence in more than eighty countries. The company divides its activities into metal construction (aluminium and steel) and plastics. The Entity (Schüco International KG) comprises Schüco International KG, with sites in Germany, France, Italy, and the UK and employs approximately. 2,720 persons.

Schüco in Germany also operates showrooms in Berlin, Bielefeld, Düsseldorf, Frankfurt am Main, Hamburg, Weißenfels near Leipzig and Wertingen near Munich. The Schüco Group as a whole is represented in 47 countries worldwide. Schüco products are available in over 80 countries.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	Medium	High	High
RISKS	High	High	High	High
PERFORMANCE	High	High	High	High
OVERALL		HIGH		

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has developed and implemented policies, systems, procedures and processes that conform to ASI Performance Standard's legal compliance requirements. The Entity has systems in place to maintain adequate awareness of and to ensure Compliance with Applicable Law. This was confirmed by document review and interviews.
1.2 Anti-Corruption	Conformance	<p>The Entity demonstrated that it works against Corruption in all its forms. It has established Anti-Corruption measures, such as policies, training, Due Diligence checks and whistleblowing line, endorsed by senior management.</p> <p>The Code of Conduct is available at: https://www.schueco.com/de/unternehmen/ueber-schueco/compliance</p> <p>The Entity's employees participate in web-based training related to Anti-Bribery and Corruption laws and regulations and improper payments compliance.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has implemented a Code of Conduct including principles relevant to environmental, social and governance performance. It is enhanced by the Entity's policy statement on respect for Human Rights and environmental standards, both documents available at: https://www.schueco.com/de/nachhaltigkeit/lieferkette</p> <p>The Entity has implemented a regular training programme for employees.</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity's management has implemented a set of policies and supplemental documents (Code of Conduct, Supplier Code, guideline for supply chain Due Diligence and various process descriptions) which address environmental, social and governance practices included in the ASI Performance Standard. There are publicly available documents are available at: https://www.schueco.com/de/unternehmen/ueber-schueco/unternehmenswerte https://www.schueco.com/de/nachhaltigkeit/lieferkette</p>
2.2a-c Leadership	Conformance	<p>The Entity has appointed a senior Management Representative to lead the implementation and communication of the policies.</p> <p>The Entity has demonstrated there is endorsement and support from senior management in order to provide sufficient resources for regular review of policies, to establish, implement, maintain and improve the entity's Management System and meeting ASI Performance Standard requirements.</p>
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity has implemented and maintained an Environmental Management System. It holds valid ISO 14001 certificates, certified by accredited certification bodies.

CRITERION	RATING	COMMENT
2.3b Environmental and Social Management Systems – Social	Minor Non-Conformance	The Entity has developed and implemented several policies, systems, procedures and processes that conform to the Social Management Systems requirements. However, it was identified the Entity did not demonstrate that all elements necessary for the functioning of such a system are present.
2.4a-e Responsible Sourcing	Minor Non-Conformance	<p>The Schüco Group Supplier Code regulates the requirements of the Entity regarding responsible procurement, covering environmental, social and governance issues, consistent with the principles in the ASI Performance Standard. It has been distributed to all relevant suppliers and they must confirm adherence.</p> <p>However, it was identified the Schüco Group Supplier Code was not yet publicly available at the time of the audit.</p>
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity adheres to the capital expenditure and investments Due Diligence and Impact Assessment processes established by Corporate Headquarters. Recently, a new office building was erected, which was certified against three sustainability standards for buildings (DGNB, LEED, and BREEAM) for the applied criteria, which include environmental and social aspects. The Entity's management confirmed that there have been no other New Projects or Major Changes which would have required an environmental or social Impact Assessment since the Entity became an ASI Member.
2.6a-h Human Rights Impact Assessment	Conformance	<p>The Entity adheres to the capital expenditure and investments Due Diligence and Impact Assessment processes established by Corporate Headquarters. Recently, a new office building was erected. The Entity concluded that for this project a Human Rights Impact Assessment was not required, as the new office building does not have any significant impact on Human Rights.</p> <p>The Entity's management confirmed that there have been no other New Projects or Major Changes which would have required a Human Rights Impact Assessment since the Entity became an ASI Member.</p>
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has implemented site specific emergency response plans and their sites are certified against ISO 14001.</p> <p>As no production sites belong to the Entity, it has not publicly disclosed any emergency response plan, however, makes it available on request for relevant Stakeholders.</p>
2.8a-d Suspended Operations	Conformance	The Entity has implemented a risk identification and management process which includes factors outside its control including business resilience planning. Responsibilities are defined for the Group Management Board to Risk Management Committee.
2.9a-b Mergers and Acquisitions	Conformance	The Entity is not in a post-merger or -acquisition phase with material environmental, social or governance (ESG) impacts. However, a Due Diligence process is in place, covering ESG impacts of mergers and acquisition projects.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has no plans known for any decommissioning, closure or divestment and does not yet have detailed plans for the management of such a situation. However, Due Diligence would be

CRITERION	RATING	COMMENT
		applied related to a merger or acquisition for which specific processes are defined.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Minor Non-Conformance	<p>The Entity has publicly disclosed its governance approach and its material environmental, social and economic impacts in its Sustainability Report 2019/2020 which is aligned to Global Reporting Initiative (GRI) guidelines, available at: https://www.schueco.com/de/nachhaltigkeit/lieferkette</p> <p>As the report has been published prior to the revision of the ASI Performance Standard, data related to criteria 6.2.a, 6.5.a and 7.1, 7.2 is not yet contained in the report.</p>
3.2 Non-compliance and Liabilities	Minor Non-Conformance	<p>The Entity provides information on non-compliances and liabilities in its bi-annual Sustainability Report 2019/2020, pages 41 and 51: https://www.schueco.com/de/nachhaltigkeit</p> <p>However, the statement is not made annually, as required per criterion 3.2 of the ASI Performance Standard.</p>
3.3a-c Payments to Governments	Conformance	<p>The Entity has provided information about payments to political parties in its bi-annual Sustainability Report, page 67: https://www.schueco.com/de/nachhaltigkeit</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has implemented a grievance mechanism. All grievances can be directed to independent legal counsel as required. Information on the reporting process is available in multiple languages on the lawyer's website.</p> <p>https://report-tvh.de</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	<p>The Entity has evaluated the life cycle impacts of its major product lines for which Aluminium is used in accordance with EPD EN 15804 Environmental Product Declarations (EPD). Due to the implemented software, EPDs can be generated for all products. The EPDs are under supervision of the program holder "Institut für Bauen und Umwelt" (IBU). In France, EPDs (Fiches de données environnementale et sanitaires - FDES) have been generated based on the data of the French national reference database for environmental and health data on construction products and equipment called INIES.</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>Life Cycle Assessment (LCA) information and its underlying assumptions including system boundaries are included in the EPDs. The Entity can generate EPDs for all its products.</p> <p>For model EPDs, see https://ibu-epd.com/veroeffentlichte-epds, search for Schüco International KG.</p> <p>For further product certifications, see: https://www.schueco.com/de/nachhaltigkeit/zertifizierungen/gebaeu dezertifizierungen</p>
4.2 Product Design	Conformance	<p>The Entity is engaged in the design of products containing Aluminium. It has integrated clear objectives in the design and development</p>

CRITERION	RATING	COMMENT
		<p>process for products or components to enhance sustainability, including the environmental life cycle impacts of the end product. Several of their products gained Cradle to Cradle certification.</p> <p>More information is available at: https://www.schueco.com/de/nachhaltigkeit/zertifizierungen/cradle-to-cradle</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>As the Entity designs and sells Aluminium containing products, but does not produce them, the generation of Aluminium Process Scrap within its operations is very limited. The Entity's collection system targets to collect 100% of scrap for recycling.</p> <p>The Entity uses mainly aluminium grades AW6060 and AW6063 (according to the European Norm EN 573-3) as input material. Both alloys are similar in their chemical composition and therefore separation is not required.</p>
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	<p>The Entity is continually working to strengthen circularity within it is organisation.</p> <p>https://www.schueco.com/de/nachhaltigkeit/zertifizierungen/cradle-to-cradle</p> <p>The Entity's recycling strategy supports voluntary take-back systems. In Germany, its partner for about 20 years is A.U.F. (www.a-u-f.com) which is an association that organises a closed material cycle for aluminium. In other European countries, such systems are not yet available. In the UK, a pilot scheme is initiated by an ASI Member CAB (https://c-a-b.org.uk/cab-clr-scheme-pilot-scheme-criteria-vfinal-2/)</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The collection of aluminium in Germany is done via the disposal partner "A.U.F. (Aluminium Umweltgerechte Wiederverwertung Fenster- und Fassadenbau).</p> <p>In the UK, the "Council for Aluminium in Building" (CAB) is starting a closed loop recycling scheme, like the German A.U.F.</p> <p>In France, the construction industry has "Valobat" which is an organisation created by, and for building stakeholders to collect and recycle all building waste (www.valobat.fr).</p> <p>The European Aluminium Association (EAA) initiated a feasibility study in 2019 to establish a recycling infrastructure for Aluminium construction profiles and facades in the UK, Belgium, France, and Italy. Schüco, as a member of the EAA, is supporting initiatives based on the EAA 2025 road map, where fostering recycling and establishing Closed-Loop Recycling are the main parts of it. The EAA supports accurate measurement and efforts to increase recycling rates in all respective markets.</p>
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity -	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
Started production after 2020		
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to "No Go" in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
10.7a-c Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	2 October 2019	Initial Certification Audit – Full Certification
1	23 May 2023	Re-Certification Audit and Scope Change – Full Certification. Scope Change to apply PS V3 Material Conversion – Principles 1 to 4 (transition) from Other manufacturing or sale of products containing Aluminium.