

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Chalco Ruimin Co., Ltd.

CERTIFICATE NUMBER
92

ASI STANDARD
**PERFORMANCE
STANDARD
(V3 2022)**

CERTIFICATION
LEVEL
**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM
**DNV BUSINESS
ASSURANCE
SERVICES UK LTD.**

DATE OF ISSUE
21 AUGUST 2023

DATE OF EXPIRY
20 AUGUST 2026

CERTIFIED SINCE
21 AUGUST 2020

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'John' or similar, with a long horizontal line extending to the right.

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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at
www.aluminium-stewardship.org

CERTIFICATION SCOPE

Chalco Ruimin manufactures and sells Aluminium Can Body Stock, aluminium materials for electronics applications, aluminium materials for high-end offset plate and aluminium materials for transportation.

The main processes include remelting and casting, hot rolling, cold rolling and finishing carried out at two plants located at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Chalco Ruimin Co., Ltd.
ENTITY NAME	Chalco Ruimin Co., Ltd.
CERTIFICATION SCOPE	<p>Chalco Ruimin manufactures and sells Aluminium Can Body Stock, aluminium materials for electronics applications, aluminium materials for high-end offset plate and aluminium materials for transportation.</p> <p>The main processes include remelting and casting, hot rolling, cold rolling and finishing carried out at two plants located at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.</p>
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthouseMaterial Conversion (Production and Transformation)
ASI STANDARD	<ul style="list-style-type: none">Performance Standard V3
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (28 June – 1 July 2020)Surveillance Audit (18 – 20 July 2022)Re-Certification Audit and Scope Change Audit (23 – 25 May 2023)
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">28 June – 1 July 2020 (Initial Certification Audit)18 – 20 July 2022 (Surveillance Audit)23 – 25 May 2023 (Re-Certification and Scope Change Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">7 August 2020 (Initial Certification Audit)31 August 2022 (Surveillance Audit)28 July 2023 (Re-Certification Audit and Scope Change Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (28 June – 1 July 2020)</u></p> <p>One plant at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and the other plant at Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">CasthousesMaterial Conversion (Production and Transformation) <p>All relevant criteria in the ASI Performance Standard were included in the audit scope.</p>

Surveillance Audit (18 – 20 July 2022)

The audit scope covered Chalco Ruimin's two plants: Mawei, Fuzhou, Fujian, China and at Fuqing, Fujian, China.

Supply chain activities included in the audit scope:

- Casthouses
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the audit scope.

Re-Certification and Scope Change Audit (23 – 25 May 2023)

The audit scope covered the operations of Chalco Ruimin ASI management system and Chalco Ruimin's two plants: Mawei, Fuzhou, Fujian, China and at Fuqing, Fujian, China.

Supply chain activities included in the audit scope:

- Aluminium Re-melting/Refining
- Casthouses
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the audit scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

21 August 2023 - 20 August 2026

NEXT AUDIT TYPE

Surveillance Audit

NEXT AUDIT DATE

21 August 2025

CERTIFICATE NUMBER

92



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Chalco Ruimin manufactures and sells Aluminium Can Body Stock, aluminium materials for electronics applications, aluminium materials for high-end offset plate and aluminium materials for transportation. The main processes include remelting and casting, hot rolling, cold rolling, and finishing.

Chalco Ruimin employees approximately employs 1329 people and owns two plants: One plant at 8 Luoxingta Road, Mawei, Fuzhou, Fujian, China and the other plant at Connecting Line of Jianghua Avenue, Lianhua District, Huaqiao Farm, Jiangjing Town, Fuqing, Fuzhou, Fujian, China.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	Medium	Medium	Medium
RISKS	Medium	Medium	Medium	Medium
PERFORMANCE	Medium	Medium	Medium	Medium
OVERALL				MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	<p>The Entity has developed and implemented Policies, systems, procedures, and processes that conform to the ASI Performance Standard legal compliance requirements. The Entity has established systems to maintain awareness of, and to ensure Compliance with Applicable Law. The Code of Conduct is available at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=238</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has implemented Policies and processes such as a Management Procedure of Anti-Corruption to identify and prevent Corruption. The Entity works against Corruption in all its forms, including Extortion and Bribery, consistent with Applicable Law and standards.</p> <p>According to registers of misconduct and periodical internal control audit reports, there are no reported cases of Corruption for 2022 and 2023.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has established and implemented a Code of Conduct which includes principles related to environmental, social and governance performance. The Entity has implemented measures, including training, communication to raise awareness of the Code among its business partners and suppliers.</p> <p>A formal review of the Code of Conduct is conducted annually, and the Entity will review the conformance with and effectiveness of the Code of Conduct when there are any changes to the business that bring about significant changes in environmental, social and governance risks, or there are indications of control deficiencies.</p> <p>The Code of Conduct is available for all interested Stakeholders at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=238</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has implemented a management Policy that is consistent with environmental, social, and governance practices. The Policy is communicated to all employees internally and publicly disclosed in the Sustainability Report: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=248</p> <p>The Entity will review the Policy annually and when there are any changes to the business that bring about significant changes in environmental, social and governance risks, or there are indications of control deficiencies.</p>
2.2a-c Leadership	Conformance	<p>The Chairperson of the Entity is in charge as the ASI Management Representative and is responsible for the establishment and implementation of the ASI Standards within the Entity, the Management Representative is responsible for communicating the ASI Policies within the whole Entity.</p> <p>The Entity has established a cross-departmental ASI working group to implement the ASI Standards within the Entity, ASI policies and</p>

CRITERION	RATING	COMMENT
		management procedures are communicated to all employees through various training courses.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented and documented an Environmental Management System and holds a valid ISO 14001:2015 certificate.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established and implemented Social Management Systems. Social and Occupational Health and Safety (OH&S) impacts are identified and assessed, and the associated management provisions for preventing and/or mitigating these impacts.
2.4a-e Responsible Sourcing	Conformance	<p>The Entity has developed and implemented Policies, systems, procedures, and processes that conform to the responsible sourcing requirements. The Entity conducts second party Due Diligence audits at major next tier suppliers’ sites to qualify them. The procurement team and relevant personnel are trained on Responsible Sourcing requirements on an annual basis. The purchasing Policies are available at:</p> <p>http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=182</p> <p>According to the ASI documentation management procedure, in addition to the annual management review, they will be reviewed when there are any changes to the business that bring about significant changes in environmental, social and governance risks, or there are indications of control deficiencies.</p>
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity has implemented ISO 14001 and ISO 45001 Management Systems with an environmental aspect, health and safety risk and control plans which will be reviewed and modified annually. Since 2019, the Entity has conducted Social Impact Assessments for New Projects or major changes to existing facilities in accordance with local regulation requirements. The Entity commits to review the environmental and social impact management plan on any changes to the business that alter Material environmental, social and governance risk(s) as well as any indication of a control gap.
2.6a-h Human Rights Impact Assessment	Conformance	<p>The Entity has established and implemented documented procedures to identify and assess the risks to Human Rights and business ethics and establishes the relevant control measures based on the ASI Performance Standard and associated legal requirements. For Human Rights, the management plan is established and implemented.</p> <p>The Human Rights Impact Assessment report and management plans are available at:</p> <p>http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=245</p> <p>Senior management commits to review the management plans after any changes to the Business that alter Material Human Rights risk(s), as well as if there, is any indication of a control gap.</p>
2.7a-f Emergency Response Plan	Conformance	The Entity holds a valid ISO 14001:2015 certificate and a valid ISO 45001:2018 certificate. Emergency Response Plans are developed and implemented, and Personnel training and drill records are verified during the audit.

CRITERION	RATING	COMMENT
		The environmental emergency response plan is registered with the local environmental protection department and disclosed to the public. The Entity shares the emergency response plan with the communities in the surrounding areas when they raise relevant requests.
2.8a-d Suspended Operations	Conformance	The Entity has developed a Business Continuity and Resumption Plan to address situations where it may have to suspend or significantly change operations due to factors outside its control. The Entity commits to comply with Applicable Laws and company Policies on layoffs and consult employee organisations at the same time. The business continuity and resumption plan will be reviewed in case of Material environmental, social and governance risk(s) caused by business changes or any indication of a control gap and shall be reviewed annually. No suspension activity has occurred in the last three years.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established a management procedure for Mergers and Acquisitions (Environmental, Social, and Governance Management Procedures for Enterprise Closure, Retirement, and Divestment) based on the requirement of the ASI Performance Standard. Senior management commits to conduct Due Diligence processes for mergers and acquisitions when they occur and review its environmental, social and governance practices related to ASI Performance Standard. The Entity is a state-owned group enterprise, no such activity has occurred in the last three years.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has implemented a management procedure for Closure, Decommissioning and Divestment in accordance with the ASI Performance Standard. No such kind of activity has occurred in the last three years.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity establishes and implements a Materiality screening process, and the key concerns of Stakeholders are identified, such as air pollution and Hazardous Waste management. The management approach and performance information of Material issues are disclosed in the annual Sustainability and Social Responsibility Reports which are available at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?pid=248 http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?pid=243
3.2 Non-compliance and Liabilities	Conformance	The Entity publicly discloses information on significant fines, judgments, penalties and non-monetary sanctions for failure to comply with Applicable Law in their Social Responsibility Report. There are no significant fines or penalties imposed on the Entity, as reported in the 2022 Social Responsibility and Sustainability Reports: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?pid=248 http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?pid=243

CRITERION	RATING	COMMENT
3.3a-c Payments to Governments	Conformance	<p>The Entity only makes or has made on its behalf, payments to governments on a legal and/or contractual basis.</p> <p>Payments to governments are reported transparently in the annual Sustainability Report available at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=243</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has established and implemented a complaints/grievance receiving, and handling mechanism. The complaint resolution process is specified in the management procedure and is available on the website (http://www.ruimin.com) and in the Annual Sustainability Report (http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=243)</p> <p>Complaints/grievances, Stakeholders' concerns and resolution approaches are reviewed as part of the annual ASI management review meeting. The Entity commits to review the Complaints Resolution Mechanism after any changes to the Business that alter Material environmental, social and governance risks, as well as if there is any indication of a control gap occurred.</p> <p>No significant complaints have been received by the Entity.</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	<p>The Entity has conducted and documented an Environmental Life Cycle Assessment (LCA). And the Environmental LCA report is published on the website of the Entity:</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Minor Non-Conformance	<p>The Entity has assessed the cradle-to-gate life cycle impact on their main products and has prepared a LCA report referring to the principles regulated in ISO 14040 and ISO 14044. A summary report provides some key information about the LCA, such as underlying assumptions and system boundaries. The report is available at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=236</p> <p>Adequate cradle-to-gate LCA information on Aluminium products will be made available to the customer upon request. Interview and document review confirm there have been no requests to date.</p> <p>However, it was identified that some evaluation results in the Environmental Life Cycle Assessment report that was made public were not fully disclosed. For instance, there was no information provided on acidification trends, water consumption, and sensitivity analysis.</p>
4.2 Product Design	Conformance	<p>The Entity integrates relevant objectives into the design and development process for products to enhance sustainability, including the environmental life cycle impacts of the end product. The product development process includes looking into energy consumption, the use of clean energy and waste reduction and recycling.</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>The Entity has defined the target for process scraps collection, recycling and/or re-use as 100%, and management procedures for 'Metal Management' have been developed to ensure the target will be achieved.</p>

CRITERION	RATING	COMMENT
		The Entity has adopted technical and management measures to reduce the generation of Aluminium Process Scrap within its operations, Aluminium Process Scrap. The target of 100% collection, recycling and/or re-used is almost achieved.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	<p>The Entity has developed a strategy to use Recycled Aluminium. The current target is to consume Recycled Aluminium cans 180,000 tonnes a year by 2025, which is equal to 50%-60% total of the production amount of the Entity. The Entity reviews the progress of the target every year and adjusts the strategy, target, and plan regarding Recycled Aluminium after the management review meeting.</p> <p>The recycling strategy is available at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=177</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	There are no local, regional, or national collection and recycling systems for Aluminium scrap in China. The Entity however collaborates with customers to decide how to improve the recycling rate of products at end-of-life.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity calculates the Greenhouse Gases (GHG) emissions in 2022 in accordance with the ISO 14064 standard and boundary and emission sources are identified. Scope 1, 2 and 3 GHG emissions are calculated. The GHG emissions calculation report includes the annual Material GHG emissions and energy use by source. The report is verified by a third party.</p> <p>The 2022 GHG emission calculation report and the third party verification statement are available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=250 and http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=251</p> <p>However, it was identified the Entity did not provide GHG emissions data for the aluminium ingots sourced from different suppliers. In addition, the national average conversion factor for electricity usage was used instead of the local district grid conversion factor.</p>
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Minor Non-Conformance	<p>The Entity has established GHG emissions reduction targets in compliance with their commitment to green and low carbon development and being consistent with a 1.5°C warming scenario. The GHG Emissions Reduction Plan and pathway are available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=226</p> <p>There is a mechanism to review the GHG Emissions Reduction Plan annually and review the pathway if needed.</p>

CRITERION	RATING	COMMENT
		However, it was identified that the GHG Emissions Reduction Target and plan only apply to 2023, not the required five year plan under the ASI Performance Standard.
5.4 GHG Emissions Management	Conformance	To achieve the GHG emissions reduction targets, the Entity has established and implemented review and management programs and invested in technical innovation projects to improve energy consumption efficiency and reduce GHG emissions.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Minor Non-Conformance	<p>Following the Environment Management System and legal requirements, the Entity has identified, assesses and quantified Material Emissions to Air from its activities. The Entity has implemented control plans to minimise exposure to, and impacts from Emissions to Air, monitors the effectiveness of the control plans periodically and reviews control plans regularly in internal audits and via management review. There is a major change at the Fuqing plant where a risk assessment has been conducted, and the reduction plan has been reviewed and revised.</p> <p>The Entity has publicly disclosed the performance of the Environment Management System, pollutant discharge information, monitoring results and the operation status of the environment protection facilities at: http://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&dataid=92db108b8d4847b6a5a7f267ddd6c19d http://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&dataid=a209797adbe54e2a8584f98e29025e50</p> <p>However, it was identified that the latest air emissions control plan has not been publicly disclosed.</p>
6.2a-g Discharges to Water	Conformance	<p>Following the Environment Management System and legal requirements, the Entity has identified, assesses and quantified Material Discharges to Water from its activities. The Entity has implemented control plans to minimise exposure to, and impacts from Discharges to Water, monitors the effectiveness of the control plans, reviews the control plans and in the case of major change or non-conformance is found. The monitoring reports demonstrate that over the last three years there were no cases of discharges exceeding the mandated limit.</p> <p>The Entity has disclosed the performance of the Environment Management System performance, pollutant discharge information, monitoring results and the operation status of the environment protection facilities, and wastewater discharge emission control plan at: http://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&dataid=92db108b8d4847b6a5a7f267ddd6c19d http://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&dataid=a209797adbe54e2a8584f98e29025e50 http://www.ruimin.cn/plugin/login/ruimin-website/respon.html</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity has conducted an assessment of risk areas of operations where Spills and Leakages may contaminate air, water and soil is conducted by following the risk assessment process of the

CRITERION	RATING	COMMENT
		<p>Environmental management system. A management plan is established and implemented for both sites. The Entity reviews the plans periodically and if needed after a Spills and/or Leakages event, or major changes.</p> <p>The identification and risk assessment report on Spills and Leakages is available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=225</p> <p>The latest version of the emergency response plan for environment incidents is available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=227</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The process to report the Spills and Leakages is defined in the Emergency Response Plans and this includes Affected populations and organisations. No Spills or Leakages has occurred since commencement of operations at each site.</p> <p>The Impact Assessments of the Spills and Leakages and any remediation actions taken will be published in the annual Social Responsibility Report. No Spills or Leakages has occurred within the last three years. The 2022 Social Responsibility Report is available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=243</p>
6.5a-c Waste Management and Reporting	Conformance	<p>Waste management at the Entity is addressed by the Environmental Management system. The Entity has implemented a waste management strategy in accordance with the Waste Mitigation Hierarchy. The Member mitigates Material impacts by re-using and recycling waste. The disposal of Hazardous Waste follows the applicable legal requirements.</p> <p>The Entity has publicly disclosed the quantity of Hazardous and Non-Hazardous Waste generated from its activities in 2022 at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=244</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	<p>The Entity categorises Dross as a Hazardous Waste and follows applicable legal requirements to collect, label and store Dross. No Leakages are observed or reported.</p> <p>Most Aluminium taken from Dross residues is recycled in the melting furnaces. The remainder is sent to a supplier for disposal. The supplier holds all required licenses and permits for Dross disposal. The Entity purchases the Aluminium recovered from the Dross and Dross residues. The supplier visit report showed no Dross residues were landfilled.</p>
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	The Entity has identified and documented its water withdrawal and use by source. The Entity has assessed the water-related risks, considering the surrounding water environment, water withdrawal and discharges, and the effectiveness of the existing management

CRITERION	RATING	COMMENT
		<p>measures. It has identified that water-related risk is low, and there are no Material water-related risks in the Entity's Area of Influence.</p> <p>The assessment report of Water-Related Risks and water balance map is available at: http://www.ruimin.cn/plugin/apop/zlrn/viewResponsibility.pw?id=228 http://www.ruimin.cn/plugin/apop/zlrn/viewResponsibility.pw?id=181</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable, as there is no Material water-related risk identified in the Entity's Area of Influence.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>The Entity's Biodiversity and Ecosystem Services Risk and Impact Assessment are included in the Environmental Impact Assessment (EIA) which is conducted by qualified third parties, and the EIA reports are approved by the local Environment Protection Agency.</p> <p>The approved EIA reports demonstrated there are no biodiversity-sensitive areas in the Entity's Area of Influence. The two sites have an effective Environment management system, and the risk is identified as low.</p> <p>The biodiversity risk assessment report is available at: http://www.ruimin.cn/plugin/apop/zlrn/viewResponsibility.pw?id=230</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable because the risks and potential impacts identified are assessed and documented as low based on the Environmental Impact Assessment report approved by the local environment protection agency. No Priority Ecosystem Services are identified.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable, the risks and potential impacts identified are assessed and documented as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is Not Applicable because no Priority Ecosystem Services are identified.
8.4 Alien Species	Conformance	The biodiversity risk assessment report has identified the only source which could introduce the Alien Species is the pallets used for product packaging. They are processed to avoid the introduction of Alien Species.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Member commits "No Go" to World Heritage Properties and consulted with the local Environment Protection Bureau, there are no World Heritage Properties in the Entity's Area of Influence.
8.6a-d Protected Areas	Conformance	The Entity had consulted with the local Environment Protection Bureau and had identified there are no Protected Areas within the Entity's Area of Influence.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has developed a Business Code of Conduct and commits to respecting Human Rights, promoting gender equality, and following the UN Guiding Principles on Business and Human Rights. The commitments on Gender-Responsive are publicly disclosed and available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=179</p> <p>The Entity regularly discloses Human Rights Impact Assessment Report, information on the engagement approach for affected communities' engagement and the Complaints Resolution Mechanism. A complaint/grievance channel is available for Stakeholders and the Entity commits to provide for or cooperate in remediation through legitimate processes if there is a negative impact on Human Rights identified or reported.</p> <p>According to the annual Human Rights Impact Assessment Report, management review meeting records and stakeholder grievances records, no significant adverse Human Rights impacts are caused or contributed by the Entity's operations.</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has established and implemented a female employee protection management procedure and commits to respect and promote gender equity and women's empowerment within the group.</p> <p>The Gender Equity Policy and performance on the protection of female Workers are included in the Human Right Impact Assessment Report, available at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=245</p> <p>Annual Social Responsibility Report: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=243</p> <p>No complaint on gender equity is received since the last ASI Audit.</p>
9.3a-i Indigenous Peoples	Not Applicable	<p>This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence. However, the Entity has established and implemented Policies and processes to ensure respect for the rights and interests of Indigenous Peoples.</p>
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	<p>There are no Indigenous Peoples within the Entity's Area of Influence. However, the Entity has established stakeholder engagement and communication procedures to manage the impacts on Indigenous Peoples for its all New Projects and major changes to existing projects. In the procedure, the Entity commits to consult and cooperate in good faith with Indigenous Peoples concerned through their representative institutions to obtain Free, Prior and Informed Consent.</p>
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	<p>This Criterion is not applicable to the Entity's Certification Scope.</p>
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	<p>This Criterion is not applicable to the Entity as there are no Indigenous Peoples within the Entity's Area of Influence.</p>

CRITERION	RATING	COMMENT
9.5a Cultural and Sacred Heritage - Identification	Conformance	The Entity has established a procedure to identify cultural and sacred heritage sites and to conduct a risk assessment to reduce the impact on these sites. At present, all new or existing projects have undergone environmental and social impact assessment, and there are no sacred or cultural heritage sites identified with the Entity's Area of Influence.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable not applicable, as per the environment and social impact assessment report, there are no sacred or cultural heritage sites in the Entity Area of Influence, and no Indigenous Peoples or their lands, territories and resources are identified.
9.6a-i Displacement	Conformance	The Entity has established new project investment development management procedures, all project development must be approved by the local authority. All projects are located in an industrial zone developed by the local government. The newest Casting project located in Lanyuan Industry Zone was launched in 2021, where no physical or economic resettlements have been required.
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity has established and implemented a stakeholder engagement process to identify the major concerns of the affected population and organisations.</p> <p>The Entity has developed various plans to respond to major concerns by Affected Populations and organisations and their implementation and progress are reviewed annually. Relevant improvement actions and performance information are available in the annual Sustainability Report: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=248</p>
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	<p>The Entity has implemented a Supply Chain Policy and Management Systems with established responsibilities and resources, information gathering, and supplier engagement requirements.</p> <p>The Supply Chain Policy and the commitments on responsible resources including the grievance channel are specified in Responsible Procurement Policy available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=182</p>
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	The Entity has identified and assessed the risks in its supply chain through periodical risk assessments. No conflict minerals are used, and no materials are from the Conflict-Affected and High-Risk Areas (CAHRAs). There are no identified critical Human Rights issues such as Child Labour and Forced Labour.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Conformance	The Entity has established a conflict minerals and supply chain management program which includes measures such as suspending cooperation, returning goods, and imposing penalties to eliminate the risk of using conflict minerals within its supply chain.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	A review of the risk assessment record and supplier audit reports is undertaken via an audit. No critical issues are raised, and the risk is low. The Entity has developed a plan for continuous improvement.

CRITERION	RATING	COMMENT
9.8e Conflict-Affected and High-Risk Areas - Report annually	Minor Non-Conformance	<p>The Entity has established and implemented the ASI Code of Conduct in its supply chain, the Entity performed a risk assessment and social responsibility audit of its supply chains, and the Entity commits that no conflict material will be used in the whole supply chain. There are no conflict minerals used, and no materials are from the CAHRAs currently.</p> <p>However, it was identified that the relevant information and practice performance on supply chain Due Diligence, especially the practices on managing conflict materials are not publicly disclosed.</p>
9.9 Security practice	Conformance	<p>The Entity has implemented a Security Management Procedure. All security guards are employed by the Entity. The Entity commits to respect Human Rights in security activities, no body searches are allowed, and security guards must conduct their work in humane ways.</p> <p>All security guards understand their tasks and respect Human Rights, no grievance or complaint against security activities are received.</p>
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Conformance	<p>The Entity is a State-owned enterprise, and senior management commits to respect the right of Freedom of Association and Collective Bargaining. All employees of the Entity have joined the trade union, and regular communication meetings between the trade union and senior management to communicate and negotiate the rights and benefits of employees. A Collective Bargaining Agreement and female worker special protection contract have been signed and approved. The trade union chairperson, committee members and employee representatives are all freely elected.</p>
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	<p>The Entity's senior management commits to respect the right of Freedom of Association and Collective Bargaining. Workers' representatives are freely elected by employees every five years, the worker representative congress meets annually, and Workers' concerns are communicated and discussed with senior management in regular meetings.</p> <p>A Collective Bargaining Agreement with the Entity in place.</p>
10.2a Child Labour	Conformance	<p>Child Labour is prohibited in China, the legal minimum working age is 16 years old. The Entity has established a Policy to not use Child Labour and implemented an age verification process and young worker protection procedures. There is no Child Labour or young Workers in the Entity.</p>
10.3a-c Forced Labour	Conformance	<p>The Entity has implemented a Policy of prohibition of Forced Labour including Human Trafficking. The Entity commits itself and expects its suppliers to comply with the prohibition of Forced Labour, slavery and Human Trafficking. There were no cases of illegal wage deduction, Debt Bondage, debt payments or other indicators of Forced Labour identified or reported in the Entity. The Policy and implementation information is included in the Entity's Code of Conduct and Responsible Procurement Policy available at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=238</p>

CRITERION	RATING	COMMENT
		<p>http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=182</p> <p>The Annual Slavery and Human Trafficking statement is available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=180</p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity is committed to Non-Discrimination. There have been no cases of Discrimination received to date. The recruitment advertisements and the training plan indicate the decisions are solely based on the candidate's ability to perform the job's requirements rather than other personal characteristics. There is an Employee Handbook and interviewed Workers both male and female confirm they feel equal in the Entity and have equal pay for the same work.</p>
10.5 Communication and engagement	Conformance	<p>There is direct and frequent communication with the Workers and the worker representatives. The communication channels available to Workers where they can complain and raise their concerns regarding working conditions, the resolution of workplace and compensation issues, without the threat of reprisal, intimidation, or harassment.</p>
10.6a-g Violence and Harassment	Conformance	<p>The Entity has implemented Policies where harassment or bullying is not accepted and are reflected in the collective agreements signed between the Entity and the Labor Union. The disciplinary measures are approved in Workers' representative meetings in compliance with legal requirements and require the confirmation of involved Workers.</p> <p>An information brochure has been developed and distributed to all employees. The Entity's Code of Ethics is clear on this issue and employees have received training on the Code. The Code is available at: http://www.ruimin.com/plugin/apop/zlrm/viewResponsibility.pw?id=238</p>
10.7a-c Remuneration	Conformance	<p>In compliance with regulatory requirements, the Entity has agreed to labour contracts with all employees, wherein the terms and conditions are clearly defined. The labour contracts specify the employee's probationary period, contract duration, basic salary, and calculation of Overtime pay, among other provisions.</p> <p>The wage structure is clearly defined, and the basic wage is above the local legal minimum wage. The compensation for the Overtime work meets the legal requirements. The mandatory allowances are provided to the Workers. The total payment meets the Workers' basic needs. All employees enrolled in the mandatory social insurance scheme.</p> <p>The payment of wages is documented, and promptly paid to all Workers by bank transfer on the 25th of the following month. Detailed information about wages, allowance, Overtime work compensation and deduction, can be accessed on the internal Office Automation System (OA).</p>
10.8a-c Working Time	Minor Non-Conformance	<p>Working hours are recorded accurately, the regular Working Time for office staff is eight hours a day and five days a week. In the production department, most Workers implemented four groups of three shifts working system with eight hours per shift and day. Workers work six days in a row and have two days' rest, the shifts are switched every second day.</p>

CRITERION	RATING	COMMENT
		<p>Working hours are monitored and controlled. Most Workers' monthly Overtime hours do not exceed the legal monthly limit, and all Workers receive one day off per seven-day period.</p> <p>However, it was identified that some Workers' monthly working hours exceeded the 36 hours per month legal limit, as the Entity's currently maximum monthly Overtime is 80 hours.</p>
10.9a-b Informing Workers of Rights	Conformance	The Entity informs its Workers of their Rights and has established cooperation and communication channels with the Workers at all its production plants. National laws and regulations in China are respected and complied with.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has established, implemented, maintains and continually improved the Occupational Health and Safety (OH&S) Management System and all sites hold valid ISO 45001:2018 certificates. Site observations, document review and interviews with management and Workers confirm the OH&S management system is effective.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	<p>The Entity periodically reviews the OH&S Management System through monthly safety meetings, annual legal compliance evaluations, an annual internal audit against ISO 45001:2018, and management review meetings. When there is any indication of a control gap, a review is conducted to assess the potential corrective and/or preventive actions that should be implemented.</p> <p>The achievement of OH&S objectives and targets for key performance indicators in 2021 and the comparative analyses of performance with peer Businesses and leading practices is available at: http://www.ruimin.cn/plugin/apop/zlrm/viewResponsibility.pw?id=2</p>
11.2 Employee engagement on Health and Safety	Conformance	The Health and Safety Committee with Workers' representatives meets meeting quarterly to discuss the OH&S issues raised by Workers and takes action if needed. There is a register of complaints and advice from Workers, all concerns and advice on OH&S issues raised are investigated, analysed, and acted upon if needed.

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	21 August 2020	Issued -Initial Certification Audit
1	9 September 2022	Surveillance Audit
2	12 September 2023	Re-Certification and Scope Change Audit from Performance Standard V2 to V3.
