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# ASI CERTIFICATION PERFORMANCE STANDARD



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PRESENTED TO

# CROWN COLOMBIANA S.A. - CROWN HOLDINGS INC.

CERTIFICATE  
NUMBER

312

ASI  
STANDARD

PERFORMANCE  
STANDARD  
(V2 2017)

CERTIFICATION  
LEVEL

FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITOR

DNV BUSINESS  
ASSURANCE  
SERVICES UK  
LTD.

DATE OF ISSUE

9 AUGUST 2023

DATE OF EXPIRY

8 AUGUST 2026

CERTIFIED SINCE

9 AUGUST 2023

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', written over a horizontal line.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued  
conformance with the applicable ASI Standard  
and can be verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

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CERTIFICATION SCOPE

Manufacturing of Aluminium Can Bodies at Crown  
Colombiana production facilities in Tocancipá,  
Colombia.

# SUMMARY AUDIT REPORT

## PERFORMANCE STANDARD

### OVERVIEW

MEMBER NAME	Crown Holdings, Inc.
ENTITY NAME	Crown Colombiana S.A. - Crown Holdings INC.
CERTIFICATION SCOPE	Manufacturing of Aluminium Can Bodies at Crown Colombiana production facilities in Tocancipá, Colombia.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Material Conversion (Production and Transformation)</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Performance Standard V2</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none"><li>15 – 18 May 2023</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>15 July 2023</li></ul>
AUDIT SCOPE	<p>The audit scope includes production facilities of Aluminium Can Bodies at Crown Colombiana located in Tocancipá, Colombia.</p> <p>The supply chain activities included in the audit scope:</p> <ul style="list-style-type: none"><li>Material Conversion (Production and Transformation)</li></ul> <p>All relevant criteria in the ASI Performance Standard were included in the audit scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li></ul>

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The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

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CERTIFICATION PERIOD      9 August 2023 – 8 August 2026

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NEXT AUDIT TYPE              Re-Certification Audit

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NEXT AUDIT DUE DATE        8 August 2026

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CERTIFICATE NUMBER         312

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## SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has a procedure for the identification of legal requirements for the regular assessment of compliance with legal requirements to ensure Compliance with Applicable Law. The legal requirements for compliance are verified through external audits and the legal requirements applicable to each unit are monitored through the Management System.
1.2 Anti-Corruption	Conformance	<p>The Entity has demonstrated that it acts against Corruption in all its forms, including Extortion and Bribery, in accordance with the applicable legislation and the international standards in force through the implementation of the Anti-Corruption Policy, Transparency and Business Ethics Program and General Manual of Risks of Corruption.</p> <p><a href="https://www.crowncork.com/investors/policies/code-business-conduct-and-ethics">https://www.crowncork.com/investors/policies/code-business-conduct-and-ethics</a></p> <p><a href="https://www.crowncork.com/sites/files/2022-10/Anti-Corruption-Anti-Money- Laundering- Certifications.pdf">https://www.crowncork.com/sites/files/2022-10/Anti-Corruption-Anti-Money- Laundering- Certifications.pdf</a></p> <p>The Entity has an ethics hotline available at: <a href="https://www.crowncork.com/investors/policies/whistleblowing-and-business-ethics-hotline-policy">https://www.crowncork.com/investors/policies/whistleblowing-and-business-ethics-hotline-policy</a></p>
1.3 Code of Conduct	Conformance	<p>The Entity has implemented a Code of Conduct including relevant principles for environmental, social and governance performance, which is available on the Entity's website.</p> <p><a href="https://www.crowncork.com/investors/policies/code-business-conduct-and-ethics">https://www.crowncork.com/investors/policies/code-business-conduct-and-ethics</a></p> <p>Crown Holdings Inc. also has a direct reporting channel for code violations and other complaints. <a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a></p>
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	<p>The Entity has implemented policies consistent with environmental, social and governance practices.</p> <p>Environment, Health and Safety Policy: <a href="https://www.crowncork.com/investors/policies/environment-health-and-safety-policy">https://www.crowncork.com/investors/policies/environment-health-and-safety-policy</a></p> <p>Environmental Sustainability Policy: <a href="https://www.crowncork.com/investors/policies/environmental-sustainability-policy">https://www.crowncork.com/investors/policies/environmental-sustainability-policy</a></p>

CRITERION	RATING	COMMENT
		Human Rights Policy: <a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a>
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	The Entity's presidency has endorsed the implemented policies consistent with the environmental, social and governance practices. <a href="https://www.crowncork.com/sustainability">https://www.crowncork.com/sustainability</a> <a href="https://www.crowncork.com/investors/policies/environmental-sustainability-policy">https://www.crowncork.com/investors/policies/environmental-sustainability-policy</a>
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	The Entity communicates the implemented policies internally through the corporate document control system and externally through the Entity's website. <a href="https://www.crowncork.com/sustainability">https://www.crowncork.com/sustainability</a> <a href="https://www.crowncork.com/investors/policies/environmental-sustainability-policy">https://www.crowncork.com/investors/policies/environmental-sustainability-policy</a>
2.2 Leadership	Conformance	The Entity has defined a multidisciplinary team of Directors and Managers designated by Senior Management to assume responsibility for the ASI Performance Standard in the Colombiana unit.
2.3a Environmental and Social Management Systems (environmental)	Conformance	The Entity has implemented an Environmental Management System and holds a valid ISO 14001:2015 certificate which is evaluated by both internal and external audits.
2.3b Environmental and Social Management Systems (social)	Conformance	The Entity has documented and implemented a Social Management System which is periodically evaluated based on the guiding principles of their clients.
2.4 Responsible Sourcing	Conformance	The Entity has implemented a Responsible Purchasing Policy and a Code of Conduct for Suppliers addressing environmental, social and governance issues. <a href="https://www.crowncork.com/investors/policies/responsible-and-ethical-sourcing-policy">https://www.crowncork.com/investors/policies/responsible-and-ethical-sourcing-policy</a> <a href="https://www.crowncork.com/investors/policies/supplier-code-conduct">https://www.crowncork.com/investors/policies/supplier-code-conduct</a>
2.5 Impact Assessments	Conformance	The Entity has implemented a Social Impact Policy procedure for environmental, social, cultural, and Human Rights Impact Assessments, including gender analysis and for New Projects or Major Changes to existing Facilities. A site evaluation checklist was developed to support social Impact Assessments.

CRITERION	RATING	COMMENT
2.6 Emergency Response Plan	Conformance	The Entity has implemented site-specific Emergency Response Plans developed in collaboration with potentially affected Stakeholder groups such as communities, Workers and their representatives and relevant agencies. The Entity is a member of the mutual aid committee of the industrial territory that provides support with equipment and personnel from nearby companies in case of an emergency.
2.7 Mergers and Acquisitions	Conformance	The Entity has implemented a procedure Policy for Mergers and Acquisitions, assessing the environmental, social and governance impacts and for New Projects, mergers and acquisitions or major changes to existing Facilities, a Site evaluation checklist was developed for support in social Impact Assessments.
2.8 Closure, Decommissioning and Divestment	Conformance	The Entity has implemented a procedure for the Closure Decommissioning and Divestment Policy, assessing the environmental, social and governance impacts in the closure, decommissioning and divestment planning process.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Entity publicly discloses their governance approach and Material environmental, social and economic impacts through the Sustainability Report, available at: <a href="https://www.crowncork.com/sites/files/2023-06/2022-Crown-Holdings-Sustainability-Report.pdf">https://www.crowncork.com/sites/files/2023-06/2022-Crown-Holdings-Sustainability-Report.pdf</a>
3.2 Non-compliance and liabilities	Conformance	The Entity discloses public information on fines, sentences, sanctions and significant non-monetary sanctions for non-compliances with the applicable legislation through the Materiality Criteria: <a href="https://www.crowncork.com/investors/reports-filings">https://www.crowncork.com/investors/reports-filings</a>
3.3a Payments to governments (legal and contractual)	Conformance	The Entity adequately pays taxes, observing the debt clearance certificates issued by the federal, state, and municipal governments. <a href="https://www.crowncork.com/investors/reports-filings">https://www.crowncork.com/investors/reports-filings</a>
3.3b Payments to governments (disclosure - bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	The Entity has defined accessible, transparent, understandable and gender and culturally sensitive grievance mechanisms, suitable for handling complaints and requests from Stakeholders related to their operations. The reporting lines are managed by the Crown Compliance Office and are

CRITERION	RATING	COMMENT
		<p>focused on internal employees and the community (Colombia (Spanish): 01-800-911-0011) and email: <a href="mailto:reports@lighthouse-services.com">reports@lighthouse-services.com</a></p> <p>Anonymous concerns are investigated and considers the seriousness of the issue raised, the credibility of the concern and the likelihood of confirming the accusation from attributable sources. The following reporting line provides both email and phone number methods for raising issues and is accessible to all Stakeholders:</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line">https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</a></p>
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	<p>The Entity has assessed the life cycle impacts of their main Aluminium based product lines based on studies undertaken by the Can Manufactures Institute - North America.</p> <p><a href="https://www.crowncork.com/sustainability/environment/product-life-cycle">https://www.crowncork.com/sustainability/environment/product-life-cycle</a>  <a href="https://www.aluminum.org/sites/default/files/2022-01/2022_Executive-Summary_Semi-Fab_LCA.pdf">https://www.aluminum.org/sites/default/files/2022-01/2022_Executive-Summary_Semi-Fab_LCA.pdf</a></p>
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	<p>The Entity has demonstrated it can provide information on the impact of the life cycle of their main Aluminium based product lines, upon customer request.</p> <p><a href="https://www.crowncork.com/sustainability/environment/product-life-cycle">https://www.crowncork.com/sustainability/environment/product-life-cycle</a>  <a href="https://www.crowncork.com/sustainability/environment/product-comparison">https://www.crowncork.com/sustainability/environment/product-comparison</a>  <a href="https://www.aluminum.org/sites/default/files/2022-01/2022_Executive-Summary_Semi-Fab_LCA.pdf">https://www.aluminum.org/sites/default/files/2022-01/2022_Executive-Summary_Semi-Fab_LCA.pdf</a></p>
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	<p>The Entity communicates the advantages of metal packaging and examples of continuous improvement in sustainability at:</p> <p><a href="https://www.crowncork.com/sustainability/environment/product-life-cycle">https://www.crowncork.com/sustainability/environment/product-life-cycle</a>  <a href="https://www.crowncork.com/sustainability/environment/product-comparison">https://www.crowncork.com/sustainability/environment/product-comparison</a>  <a href="https://www.aluminum.org/sites/default/files/2022-01/2022_Executive-Summary_Semi-Fab_LCA.pdf">https://www.aluminum.org/sites/default/files/2022-01/2022_Executive-Summary_Semi-Fab_LCA.pdf</a></p>
4.2 Product design	Conformance	<p>The Entity aims to reduce packaging material use through the Crown Twentyby30 Program, which includes a specific goal of making their aluminium cans 10% lighter in weight by 2030 as part of their Optimun Circularity pillar of action.</p>

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		<a href="https://www.crowncork.com/sustainability/twentyby30-goals/optimum-circularity">https://www.crowncork.com/sustainability/twentyby30-goals/optimum-circularity</a>
4.3a Aluminium Process Scrap (targets)	Conformance	<p>All scrap from the Entity's processes is collected at the unit and sent for recycling. The Entity has a long-term contract with an international supplier to send all scrap generated for recycling. Process scrap is minimised throughout the can manufacturing process and spoilage is a headline Key Performance Indicator (KPI) for the Entity.</p>
4.3b Aluminium Process Scrap (alloy separation)	Conformance	<p>The Entity only handles one type of Aluminium alloy, all scrap from the Entity's processes is collected at the unit and sent for recycling.</p>
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	<p>The Entity has an established recycling strategy so that all Aluminium material scrap generated through processes is collected and sent for recycling at 100% to the suppliers of Aluminium Coils.</p> <p>The Entity demonstrated that it has plans and monitoring indicators to control the generation of Scrap and ensure that 100% of the material is sent for recycling.</p> <p>The Entity maintains a contract with a Supplier that includes a commitment to recirculate tolling scrap with defined goals, planning and supporting the group of local recyclers. The Entity also has a Sustainability initiative called Trueque a la Lata, where all employees can bring post-consumer Aluminium containers for recycling and generation of coagulants.</p>
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	<p>The Entity has demonstrated that it is involved with local collection and recycling systems, internal campaigns and partnerships with local suppliers and has a commitment to contribute to the increase in recycling taxes for products that contain Aluminium. The Entity has led a circular economy project called Trueque a la Lata which involves the participation of employees in collecting used beverage cans (UBC) and taking them to the Crown facility. The volume collected is sold to a partner company and is used as feedstock to produce coagulants for water treatment. The sales value is donated to support children's educational institutions.</p> <p><a href="https://www.aluminum.org/sites/default/files/2022-01/2022_Executive-Summary_Semi-Fab_LCA.pdf">https://www.aluminum.org/sites/default/files/2022-01/2022_Executive-Summary_Semi-Fab_LCA.pdf</a></p> <p><a href="https://www.crowncork.com/sustainability/environment/product-recovery">https://www.crowncork.com/sustainability/environment/product-recovery</a></p>



CRITERION	RATING	COMMENT
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	<p>The Entity records and publicly discloses Material Greenhouse Gases (GHG) emissions by source (Scope 1 and 2) and energy use by source annually on the Entity's website, in the Sustainability Report and CDP Climate Report.</p> <p><a href="https://www.crowncork.com/sustainability/twentyby30-goals">https://www.crowncork.com/sustainability/twentyby30-goals</a></p> <p><a href="https://www.crowncork.com/sustainability/reporting/all-reports">https://www.crowncork.com/sustainability/reporting/all-reports</a></p> <p><a href="https://www.crowncork.com/sites/files/2022-08/Crown_Holdings_CDP_Climate_Change_Questionnaire.pdf">https://www.crowncork.com/sites/files/2022-08/Crown_Holdings_CDP_Climate_Change_Questionnaire.pdf</a></p>
5.2 GHG emissions reductions	Conformance	<p>The Entity has published time bound GHG emissions reduction targets and has implemented a plan to achieve these targets. The targets cover the Material sources of Direct and Indirect GHG Emissions:</p> <p><a href="https://www.crowncork.com/sustainability/twentyby30-goals">https://www.crowncork.com/sustainability/twentyby30-goals</a></p> <p><a href="https://www.crowncork.com/sites/files/2022-08/Crown_Holdings_CDP_Climate_Change_Questionnaire.pdf">https://www.crowncork.com/sites/files/2022-08/Crown_Holdings_CDP_Climate_Change_Questionnaire.pdf</a></p>
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		
6.1 Emissions to Air	Conformance	<p>The Entity quantifies and reports the atmospheric emissions that cause adverse effects on humans and the environment in accordance with the conditions of the environmental licenses of each unit. In addition, the unit controls their energy consumption (electricity, LPG, and natural gas) and has annual reduction targets through the corporate platform. The Entity is part of the corporate Twentyby30 program that includes the goal of reducing Volatile Organic Compounds (VOC) emissions by 10% per production unit, Scope 1 and 2 by 50% and Scope 3 by 16% by 2030.</p> <p><a href="https://www.crowncork.com/sustainability/reporting">https://www.crowncork.com/sustainability/reporting</a></p>

CRITERION	RATING	COMMENT
6.2 Discharges to Water	Conformance	The Entity quantifies and reports Discharges to Water following local regulations and environmental permit constraints. The Entity is ISO 14001 certified and water discharge compliance is demonstrated through water management procedures, monitoring reports and KPIs. The Entity has an Environmental License that authorises the disposal of water and compliance is demonstrated in monitoring reports.
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	The Entity has undertaken an assessment of the main risk areas of operations where Spills and Leakage can contaminate the air, water and/or the soil according to the Emergency Situations Assessments procedure and periodically performs simulated efficiency tests in accordance with the Emergency Response Plan procedure.
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	<p>The Entity has a management plan that includes a monitoring team to prevent and detect possible Spills and leaks. The Entity has assessed the main operational risks where Spills and Leaks can contaminate the air, water and/or the soil through the Emergency Situations Assessments Procedure and periodically undertakes simulated efficiency tests in accordance with the procedure Emergency Response Plan. The Entity is certified by ISO 14001.</p> <p>The Entity has an external and annual communication plan available at: <a href="https://www.crowncork.com/sustainability/reporting">https://www.crowncork.com/sustainability/reporting</a></p>
6.4a Reporting of Spills (immediate disclosure)	Conformance	The Entity is part of the Mutual Aid Committee of the industrial park and has a communication plan to inform business Entities, relevant authorities, and communities in the Entity's Area of Influence as part of their Emergency Situations Assessments procedure and an emergency assistance plan. The Entity is supported by the external brigade of the Mutual Aid Committee which includes all Entities within the Tocancipá Industrial Park.
6.4b Reporting of Spills (regular reporting)	Conformance	The Entity has established a corporate incident control system, with an internal person appointed for external communications. Spills are immediately reported to the Entity, depending on the severity of the Spill and compliance with local legislation. Where relevant, the Entity takes additional steps to inform potentially affected parties. There are no Spills that required external communication. The Entity's annual communication plan is available at:

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		<a href="https://www.crowncork.com/sustainability/reporting">https://www.crowncork.com/sustainability/reporting</a>
6.5a Waste management and reporting (strategy)	Conformance	The Entity has implemented a waste management strategy that is designed in accordance with the Waste Mitigation Hierarchy through the Twentyby30 program which includes a goal to send zero waste to landfill by 2030. <a href="https://www.crowncork.com/sites/default/files/20by30brochure.pdf">https://www.crowncork.com/sites/default/files/20by30brochure.pdf</a>
6.5b Waste management and reporting (disclosure)	Conformance	The Entity is legally required to produce an annual report called Registro Único Ambiental. Monthly monitoring of waste generation indicators. The Entity publicly discloses the amount of Hazardous and Non-Hazardous Waste generated in the Sustainability Report: <a href="https://www.crowncork.com/sustainability/reporting">https://www.crowncork.com/sustainability/reporting</a>
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (state of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Slag Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.8a Dross (recovery)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8b Dross (recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8c Dross (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Conformance	The Entity has implemented water KPIs for monitoring and is presently working on reducing consumption. Water consumption is recorded by units and sources (groundwater, surface, or municipal concessions) and entered into the Corporate Platform Advisor monitoring database.
7.1b Water assessment (risk assessment)	Conformance	The Entity is part of the global corporate program Twentyby30 to return 100% of the water consumed in their operations back to Watersheds with a high risk of scarcity by 2030. The abstraction limits are adhered to and in cases of water shortage, municipal water resources are used, which are monitored according to the Entity's Environmental Management System. The Entity has an updated matrix of legal environmental requirements and the risks included in the matrix cover environmental aspects and impacts resulting from the use of water resources extracted from the Bogotá River. The risk identified in the assessment is low.
7.2a Water management (management plans)	Conformance	The operating limits for water extraction and use on site are respected by the Entity and in cases where there is a lack of water, municipal water is used, which is monitored in the environmental Management System to ensure compliance.
7.2b Water management (monitoring)	Conformance	The Entity has established water KPIs for monitoring their water consumption and for defining actions on process improvement for use reduction. <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a> <a href="https://www.crowncork.com/sustainability/twentyby30/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</a>
7.3 Disclosure of water usage and risks	Conformance	The Entity is part of the corporate Twentyby30 program, which includes the global objective of reducing the use of water by 20% by 2025 and guaranteeing that 100% of the water consumed is replenished in hydrographic basins with a high risk

CRITERION	RATING	COMMENT
		<p>of scarcity. More information is available at: <a href="https://www.crowncork.com/sustainability/twentyby30-goals/resource-efficiency">https://www.crowncork.com/sustainability/twentyby30-goals/resource-efficiency</a></p> <p>The Entity has demonstrated that all water extractions are granted and controlled. The operating limits are respected and in cases of lack of water, municipal water is used that are monitored to ensure compliance. The Entity publicly discloses their water usage in the Sustainability Report, page 27: <a href="https://www.crowncork.com/sites/files/2023-06/2022-Crown-Holdings-Sustainability-Report.pdf">https://www.crowncork.com/sites/files/2023-06/2022-Crown-Holdings-Sustainability-Report.pdf</a></p>
<b>PRINCIPLE 8 BIODIVERSITY</b>		
8.1 Biodiversity assessment	Conformance	<p>The Entity assessed the risk and Materiality of impacts on biodiversity from land use and activities in their Area of Influence. The result of the risk assessment process demonstrated the risks and impacts on Biodiversity are low. The study obtained from the IBAT tool shows that the Entity is in an industrialised area, the species on the IUCN Red List appear approximately 50 kilometres from the Entity and no key biodiversity area has been identified around the Entity.</p>
8.2a Biodiversity management (biodiversity action plans)	Conformance	<p>The Entity has assessed the risk and Materiality of impacts on biodiversity from land use and activities in the Entity's Area of Influence using the IBAT tool. In the conclusion of the study undertaken, the result of the evaluation is low. The Entity has implemented analysis and management controls as part of an internal procedure: Risk Assessment and Biodiversity Protection Plan.</p>
8.2b Biodiversity management (consultation and mitigation hierarchy)	Conformance	<p>The Entity has assessed the risk and Materiality of impacts on biodiversity using the IBAT tool and considered the hierarchy of mitigation of local biodiversity. This assessment demonstrated that the Entity is in an industrialised area, with IUCN Red List species not occurring within 50 kilometres of the Entity.</p> <p>Analysis and management controls are available as an internal procedure which includes both a risk assessment and Biodiversity Protection Plan.</p>
8.2c Biodiversity management (reporting)	Not Applicable	<p>The Entity has demonstrated through the risk assessment and Materiality of the impacts on Biodiversity using the IBAT Tool is low and identified it is not necessary to publicly disclose the result to the interested parties.</p>

CRITERION	RATING	COMMENT
		However, a new study with the IBAT tool is expected to be updated every two years in case there is a change in the Entity's Area of Influence.
8.3 Alien Species	Conformance	The Entity proactively prevents the accidental or deliberate introduction of Alien Species that could have significant adverse impacts on biodiversity in their Area of Influence. The management controls are available as an internal procedure Risk Assessment and Biodiversity Protection Plan.
8.4a Commitment to "No Go" in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4b Commitment to "No Go" in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The Entity has implemented and published a Policy expressing a commitment to respect human rights, which refers to the UN Guiding Principles on Business and Human Rights. This Policy is communicated to all employees by training and is available at: <a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a>
9.1b Human Rights Due Diligence (process)	Conformance	The Entity has implemented the Human Rights Due Diligence process and assessed the two risks in the Context Definition Matrix. The main interested parties are identified and considered as part of the diligence within the Area of Influence. The principles of the Entity's Human Rights Policy are based on the Universal Declaration of Human Rights of the United Nations (UN), the Principles and Fundamental Laws of Labor of the International Labor Organization (ILO), the Guiding Principles of the Global Compact of the United Nations and the national legislation of each country in which the Entity has operations.
9.1c Human Rights Due Diligence (remediation)	Conformance	The Entity has implemented a Human Rights Due Diligence process and assessed the risks. The

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		main interested parties are identified and considered as part of Due Diligence within the Area of Influence. The Entity has developed a report on the Due Diligence Human Rights for 2023 which concluded that the Entity has not caused or contributed to adverse impacts on Human Rights in their Area of Influence.
9.2 Women's Rights	Conformance	The Entity has implemented policies and processes to ensure respect for the rights and interests of women in accordance with international standards. The Entity has developed a committee that works together with the Diversity and Inclusion Policy. The Committee holds monthly meetings to monitor the goals, actions and programs for the inclusion and empowerment of women. The Entity promotes actions for the inclusion of women's work at: <a href="https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance">https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance</a>
9.3 Indigenous Peoples	Conformance	The Entity developed an assessment report on their Area of Influence and impacts on Indigenous Peoples and Quilombolas, and defined policies and processes to ensure respect for the rights and interests of these groups, consistent with international standards. The analysis demonstrated that Indigenous groups are not near the Entity's Area of Influence nor are impacted by operations.
9.4 Free, Prior, and Informed Consent (FPIC)	Conformance	The Entity has developed an assessment report on their Area of Influence and impacts on Indigenous Peoples and Quilombolas. The analysis demonstrated that Indigenous groups are distant from the Entity's Area of Influence and are not impacted. The Entity has implemented the Social Impact Policy for environmental, social, cultural, and Human Rights Impact Assessments, including gender analysis and for New Projects or large changes in existing Facilities.
9.5 Cultural and sacred heritage	Conformance	The Entity has conducted an assessment to identify and preserve cultural or historical heritage sites in their Area of Influence to ensure respect for the rights and interests of the community. Currently, the Entity has identified no cultural or historical heritage sites within their Area of Influence. The Entity has implemented the Social Impact Policy for environmental, social, cultural, and Human Rights Impact Assessments, including gender analysis and for new projects or large changes in existing Facilities.



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9.6a Resettlements (avoid or minimise)	Conformance	The Entity has implemented a Social Impact Policy and the Site Evaluation checklist for New Projects to consider viable alternatives to avoid or minimise physical and/or economic displacement, balancing environmental, social and financial costs and benefits, paying special attention to the impacts on the poor and vulnerable.
9.6b Resettlements (where unavoidable)	Not Applicable	The Criterion is not applicable, as the Entity has demonstrated there have been no activities that required the resettlement of community members and/or other interested parties.
9.7a Local Communities (rights and interests)	Conformance	The Entity has implemented a structured process to guarantee the respect, rights, and legal interests of the Local Communities, including the methodology for listening, approving and evaluating the results of the actions undertaken by the Entity.
9.7b Local Communities (impacts)	Conformance	The Entity has implemented the Human Rights Due Diligence process and assessed the two risks in the Context Definition Matrix. Annually, the Entity selects partner projects around their Area of Influence for the allocation of resources and incentives. This is facilitated via a global program of donations that provide means of subsistence and development of actions in communities and local entities.
9.7c Local Communities (livelihoods)	Conformance	The Entity selects partner projects around their operating location for the allocation of resources and incentives facilitated by a global donation program. This is undertaken on an annual basis. During the Audit, the Entity's Engagement was evaluated through a visit to the social projects.
9.8 Conflict-Affected and High-Risk Areas	Conformance	The Entity does not contribute to armed conflicts or human rights abuses in Conflict-Affected and High-Risk Areas. This is managed through the Social Impact Assessment for New Projects, the Human Rights Policy and the Responsible and Ethical Sourcing Policy. The Entity is certified by Business Alliance for Secure Commerce (BASC) to reduce the risks of import trade. <a href="https://sibasc.wbasco.org/mod_consulta_pag_wbo/consulta_empresas_certificados.php">https://sibasc.wbasco.org/mod_consulta_pag_wbo/consulta_empresas_certificados.php</a>
9.9 Security practice	Conformance	The Entity and its private security providers respect Human Rights in accordance with recognised standards and good practices. The Entity hires specialised companies for private security services



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		which must follow the Entity's procedures and requirements for the respect for Human Rights of Workers.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Conformance	The Entity respects the Rights of Workers to freely associate with Labour Unions, to seek representation and join Workers' Councils as defined in the Human Rights Policy under the item 'The freedom of association and the right to collective bargaining are respected'. <a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a>
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Conformance	The Entity respects the Rights of Workers to freely associate in Unions and to freely represent and adhere to Workers' Councils. Workers' rights are respected through a Collective Bargaining agreement established with the Union. The Entity has implemented a Human Rights Policy with a commitment to 'Respecting freedom of association and the right to Collective Bargaining'. <a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a>
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Not Applicable	This Criterion is not applicable, as the Entity operates in Colombia where Applicable Law does not restrict the right to Freedom of Association and Collective Bargaining.
10.2a Child Labour (minimum age)	Conformance	The Entity has implemented a Policy expressing a commitment to respect Human Rights, referring to the UN Guiding Principles on Business and Human Rights where Child Labor shall not be used. The Entity has implemented a Risk Assessment for the prevention of Child Labor, which includes the registration process for employees and Contractors through documentation confirming the age of Workers. Visitors and service providers must demonstrate personal identification documentation for registration and access to Entity units. In addition, contracted Suppliers must sign the commitment as part of the Supplier Code of Conduct. <a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a> <a href="https://www.crowncork.com/sites/files/2022-03/code-conduct-english.pdf">https://www.crowncork.com/sites/files/2022-03/code-conduct-english.pdf</a>
10.2b Child Labour (hazardous)	Conformance	The Entity has implemented a Policy expressing a commitment to respect Human Rights, with

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		<p>reference to the UN Guiding Principles on Business and Human Rights where Child Labour shall not be used.</p> <p><a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a></p>
10.2c Child Labour (worst forms)	Conformance	<p>The Entity has implemented a Policy expressing a commitment to respect Human Rights, with reference to the UN Guiding Principles on Business and Human Rights and does not support the Worst Forms of Child Labour.</p> <p><a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a></p>
10.3a Forced Labour (human trafficking)	Conformance	<p>The Entity has implemented and published a Policy that expresses the commitment to respect Human Rights and does not support Human Trafficking and Forced Labour directly or through any employment or recruitment agency, which refers to the United Nations Guiding Principles on Business and Human Rights.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3b Forced Labour (deposits, fees, advances)	Conformance	<p>The Entity has implemented and published a Policy that expresses the commitment to respect Human Rights and not Forced Labour through deposits, fees, and advances, directly or through any employment or recruitment agency, referring to the United Nations Guiding Principles on Business and Human Rights. During the audit, Workers and union leaders were interviewed, who confirmed that the Entity does not require any form of deposit, recruitment fee or an advance of equipment from Workers, directly or through employment or recruitment agencies.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3c Forced Labour (migrant workers)	Conformance	<p>The Entity has implemented and published a Policy that expresses a commitment to respect Human Rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights. Child Labour and Forced Labour must not be used.</p> <p><a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.3d Forced Labour (debt bondage)	Conformance	<p>The Entity has implemented and published a Policy that expresses a commitment to respect Human</p>

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		Rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights. Child Labour and Forced Labour must not be used. <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>
10.3e Forced Labour (freedom of movement)	Conformance	The Entity has implemented and published a Policy that expresses a commitment to respect Human Rights and does not support trafficking in persons directly or through any employment or recruitment agency, making reference to the United Nations Guiding Principles on Business and Human Rights. Child Labour and Forced Labour must not be used. <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	The Entity has implemented and published a Policy that expresses the commitment to respect Human Rights and to guarantee the non-retention of identity papers, permits, and certificates, directly or through any employment or recruitment agency. <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>
10.3g Forced Labour (freedom to terminate employment)	Conformance	The Entity has implemented and published a Policy that expresses the commitment to respect Human Rights and to guarantee the non-retention of identity papers, permits, and certificates, directly or through any employment or recruitment agency. <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a>
10.4 Non-Discrimination	Conformance	The Entity has implemented and published a Policy that expresses the commitment to respect Human Rights and does not engage or support Discrimination in the hiring, salary, promotion, training, promotion opportunities or termination of any Worker based on gender, race, national origin or social, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age or any other condition that may give rise to Discrimination. <a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a>
10.5 Communication and engagement	Conformance	The Entity ensures open communication and direct involvement with Workers and their representatives in relation to working conditions and the resolution of work and compensation issues, without the

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		<p>threat of reprisal, intimidation, or harassment. During the audit, Workers were interviewed and confirmed that they are respected and that Human Rights are preserved in the work environment and that they can use the complaints channel for reports and complaints through the email <a href="mailto:reports@lighthouse-services.com">reports@lighthouse-services.com</a> or (Colombia (Spanish): 01-800-911-0011). <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.6 Disciplinary practices	Conformance	<p>The Entity guarantees that it does not practice and does not tolerate the use of corporal punishment, mental or physical coercion, harassment, and gender violence, including sexual harassment or verbal abuse of employees. During the audit, Workers were interviewed and confirmed that they are respected and that Human Rights are preserved in the work environment and Workers can report complaints through the email <a href="mailto:reports@lighthouse-services.com">reports@lighthouse-services.com</a> or (Colombia (Spanish): 01-800-911-0011). <a href="https://www.crowncork.com/investors/corporate-governance/human-rights-policy">https://www.crowncork.com/investors/corporate-governance/human-rights-policy</a></p>
10.7a Remuneration (living wage)	Conformance	<p>The Entity respects the Right of Workers to a minimum wage and ensures that the wage paid per day of work is determined by local legislation and that Workers are paid through wages agreed upon in individual employment contracts.</p>
10.7b Remuneration (method of payment)	Conformance	<p>The Entity provides Workers with statements of working hours and statements of calculations of payments made. Workers were interviewed and it was confirmed that salaries are paid monthly and punctually through bank deposit.</p>
10.8 Working Time	Conformance	<p>The Entity respects the Rights of Workers to a minimum wage and ensures that wages are paid for a working day (including overtime), holidays and paid annual vacations, as determined by local law and that Workers are paid through the salary agreed in individual employment contracts. Payments are made according to what is established by Colombian labour laws.</p>
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	<p>The Entity is certified to the ISO 45001 Standard, which includes the development and implementation of an Integrated System Policy.</p>

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		This Policy has been approved by Senior Management and is made available both in the Internal System and to external Stakeholders at: <a href="https://www.crowncork.com/investors/policies/environment-health-and-safety-policy">https://www.crowncork.com/investors/policies/environment-health-and-safety-policy</a>
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity is certified to the ISO 45001 Standard, which includes the development and implementation of an Integrated System Policy. This Policy has been approved by Senior Management and is made available to Workers and Visitors present in any area or activities under the Entity's control.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Entity is certified to the ISO 45001 Standard, which includes the development and implementation of an Integrated System Policy and includes references to international standards and ILO Conventions on Occupational Health and Safety (OH&S). The Policy is approved by the Senior Management and made available in the Internal System and available on boards for Workers and Visitors present in any area or activities under the Entity's control. <a href="https://www.crowncork.com/investors/policies/environment-health-and-safety-policy">https://www.crowncork.com/investors/policies/environment-health-and-safety-policy</a>
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity has a Right of Refusal Policy, approved by the Senior Management, and made available in the Internal System. The Entity has implemented a health and safety program that establishes the right to stop unsafe work (SAFE program). The Entity undertakes health and safety audits, trains Workers in safe behaviour and works to reduce the number of accidents and deviations and to identify and eliminate unsafe conditions.
11.2 OH&S Management System	Conformance	The Entity has a documented Occupational Health and Safety Management System and is ISO 45001 certified as per applicable national and international standards.
11.3 Employee engagement on health and safety	Conformance	Workers participate in improving its culture of safety and awareness through their involvement in various committees and programs.
11.4 OH&S performance	Conformance	Workers participate in improving its culture of safety and awareness through their involvement in various committees. The Entity has developed and implemented KPIs that are monitored monthly and

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		managed by a personal manager (OSH Dashboard, Business Overview).

**Document Control and Version History**

Revision	Date	Notes
0	9 August 2023	Initial Certification Audit – Full Certification