

# ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

# Cervejaria Masterpiece

CERTIFICATE NUMBER

328

ASI STANDARD

CHAIN OF CUSTODY  
(V2 2022)

CERTIFICATION LEVEL

FULL  
CERTIFICATION

ASI ACCREDITED AUDITOR

DNV BUSINESS  
ASSURANCE  
SERVICES UK LTD.

DATE OF ISSUE

28 NOVEMBER 2023

DATE OF EXPIRY

27 NOVEMBER 2026

CERTIFIED SINCE

27 NOVEMBER 2026

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
[info@aluminium-stewardship.org](mailto:info@aluminium-stewardship.org)

*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

CERTIFICATION SCOPE

The manufacture of beverage  
packaging using aluminium cans at  
Cervejaria Masterpiece located in  
Niterói, Brazil.

# AUDIT REPORT

## CHAIN OF CUSTODY

### STANDARD

#### OVERVIEW

MEMBER NAME	Cervejaria Masterpiece
ENTITY NAME	Cervejaria Masterpiece
CERTIFICATION SCOPE	The manufacture of beverage packaging using aluminium cans at Cervejaria Masterpiece located in Niterói, Brazil.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Post-Casthouse</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Chain of Custody Standard V2</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none"><li>17 – 18 July 2023</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>15 August 2023</li></ul>
AUDIT SCOPE	<p>The audit scope included the development, production, and commercialisation of beverages in Aluminium packaging.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Post-Casthouse</li></ul> <p>All relevant criteria in the ASI Chain of Custody Standard were included in the audit scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li><li><input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.</li></ul>
CERTIFICATION PERIOD	28 November 2023 – 27 November 2026
NEXT AUDIT TYPE	Surveillance Audit

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NEXT AUDIT DUE DATE	27 May 2025
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Masterpiece, established in 2020, primarily focuses on brewing specialty beers. The company is situated in Niterói, close to Rio de Janeiro, in a 590-square-meter facility near a natural reserve, Lagoa de Piratininga. Currently employing fifteen staff members, the facility includes operational and storage spaces for beer preparation, manufacturing, and packaging, including cans and aluminium barrels. It also provides separate restrooms and dining areas. Moreover, the facility houses storage areas for maintenance equipment and chemicals, as well as a quality control laboratory for product testing and development. With expansion plans and new equipment implementation, the company aims to increase its beer production to 180,000 litres by the end of 2023.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

## FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity has been an ASI member since July 2022 under the Industrial User membership class:  <a href="https://aluminium-stewardship.org/about-asi/members/Cervejaria-Masterpiece">https://aluminium-stewardship.org/about-asi/members/Cervejaria-Masterpiece</a>
1.2 CoC Management System	Conformance	The Entity has implemented a Management System that meets all applicable requirements of the ASI Chain of Custody Standard. The Entity has implemented a procedure describing the objectives, governance model, scope, responsibilities and handling of ASI CoC Materials and Non-CoC Materials, including calculation methods for the Material Accounting System.
1.3 CoC Management System Monitoring	Conformance	The Entity has established a process to periodically review the ASI CoC Management System to ensure its continual adequacy, adaptability, and effectiveness.
1.4 Management Representative	Conformance	The CEO of Cervejaria Masterpiece has been appointed by the Board of Directors and holds the responsibility and authority for compliance with all requirements of the CoC standard.  <a href="https://cervejariamasterpiece.com.br/historia">https://cervejariamasterpiece.com.br/historia</a>
1.5 Communications and Training	Conformance	The Entity has implemented a Training Policy according to the ASI Chain of Custody Standards and is available at:  <a href="https://cervejariamasterpiece.com.br/sustentabilidade">https://cervejariamasterpiece.com.br/sustentabilidade</a>  <a href="https://cervejariamasterpiece.com.br/wp-content/uploads/normas/Plano%20de%20treinamento%20na%20cadeia%20de%20custódia%20v1.pdf">https://cervejariamasterpiece.com.br/wp-content/uploads/normas/Plano%20de%20treinamento%20na%20cadeia%20de%20custódia%20v1.pdf</a>
1.6 Records Management	Conformance	The Entity's document and record management for the ASI CoC Management System aligns with the processes of the Quality, Environment and Safety (QES) Management System. The retention time is defined as a minimum of five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity has defined in the ASI Manual that it will communicate to the ASI Secretariat the quantities of incoming CoC Materials received during the calendar year. This communication should be made no later than two months before the deadline of 30 June of the following year.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity as they do not use eligible scrap as it sources Aluminium packaging for its products from CoC Certified suppliers who ensure traceability of Inputs and Outputs of qualified scrap in their operations. The Entity has adopted a strategy to use only packaging produced with CoC Material.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated into existing control systems. For more information, see the BeerSales system at: <a href="https://beersales.com.br">https://beersales.com.br</a>

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated into existing control systems. For more information, see the BeerSales system at: <a href="https://beersales.com.br">https://beersales.com.br</a>
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated into existing control systems. For more information, see the BeerSales system at; <a href="https://beersales.com.br">https://beersales.com.br</a>
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated into existing control systems. For more information, see the BeerSales system at: <a href="https://beersales.com.br">https://beersales.com.br</a>
1.7g Reporting to ASI (Intra-Entity Flows)	Not Applicable	This Criterion is not applicable to the Entity as they do not transfer CoC Material between supply chain activities within the CoC Certified organisation (internal flows) during the calendar year. The Entity receives packaging made of CoC Material from suppliers. After using the packaging for filling, the products are then sold to market and consumers return this packaging to the recycling chain where the Entity has no direct control over this process but only influences its consumers to provide the packaging after use for proper selective collection and recycling.

## 2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity as they do use Outsourcing Contractors for CoC Material.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity as they do use Outsourcing Contractors for CoC Material.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity as they do use Outsourcing Contractors for CoC Material.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity as they do use Outsourcing Contractors for CoC Material.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity as they do use Outsourcing Contractors for CoC Material.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity as they do use Outsourcing Contractors for CoC Material.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity as they do use Outsourcing Contractors for CoC Material.

CRITERION	RATING	COMMENT
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
Recyclable Scrap Material (Financial transactions)		
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Unique Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity requires their suppliers to comply with the Masterpiece Responsible Procurement Policy. For more information, the Masterpiece Responsible Procurement Policy is available at: <a href="https://cervexmasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Aquisições%20Responsáveis%20v1.pdf">https://cervexmasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Aquisições%20Responsáveis%20v1.pdf</a>
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity requires their suppliers, to comply with the Masterpiece Responsible Procurement Policy. For more information, the Masterpiece Responsible Procurement Policy is available at: <a href="https://cervexmasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Aquisições%20Responsáveis%20v1.pdf">https://cervexmasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Aquisições%20Responsáveis%20v1.pdf</a>
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity requires their suppliers, to comply with the Masterpiece Responsible Procurement Policy. For more information, the Masterpiece Responsible Procurement Policy is available at: <a href="https://cervexmasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Aquisições%20Responsáveis%20v1.pdf">https://cervexmasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Aquisições%20Responsáveis%20v1.pdf</a>



CRITERION	RATING	COMMENT
		<p>The Entity has established an Environmental and Social Management Policy and Code of ethics and conduct, both available at: <a href="https://cervariamasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Gestão%20Ambiental%20e%20Social%20v1.pdf">https://cervariamasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Gestão%20Ambiental%20e%20Social%20v1.pdf</a></p> <p><a href="https://cervariamasterpiece.com.br/wp-content/uploads/normas/Código%20de%20Ética%20v03.pdf">https://cervariamasterpiece.com.br/wp-content/uploads/normas/Código%20de%20Ética%20v03.pdf</a></p>
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	<p>The Entity requires their suppliers, to comply with the Masterpiece Responsible Procurement Policy. For more information, the Masterpiece Responsible Procurement Policy is available at: <a href="https://cervariamasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Aquisições%20Responsáveis%20v1.pdf">https://cervariamasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Aquisições%20Responsáveis%20v1.pdf</a></p> <p>The Entity has established an Environmental and Social Management Policy and Code of ethics and conduct, both available at: <a href="https://cervariamasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Gestão%20Ambiental%20e%20Social%20v1.pdf">https://cervariamasterpiece.com.br/wp-content/uploads/normas/Política%20de%20Gestão%20Ambiental%20e%20Social%20v1.pdf</a></p> <p><a href="https://cervariamasterpiece.com.br/wp-content/uploads/normas/Código%20de%20Ética%20v03.pdf">https://cervariamasterpiece.com.br/wp-content/uploads/normas/Código%20de%20Ética%20v03.pdf</a></p>
7.2 Risk Assessment and Mitigation	Conformance	<p>The Entity has established a Supplier Responsible Sourcing Framework that includes a risk assessment and qualification process. The Entity has assessed the risk of non-compliance with its Responsible Sourcing Policies through on-site audits and supplier self-assessments.</p>
7.3 Complaints Resolution Mechanism	Conformance	<p>The Entity has implemented a Complaints Resolution Procedure, available at: <a href="https://cervariamasterpiece.com.br/wp-content/uploads/normas/Mecanismo%20de%20resolução%20de%20reclamações%20v1.pdf">https://cervariamasterpiece.com.br/wp-content/uploads/normas/Mecanismo%20de%20resolução%20de%20reclamações%20v1.pdf</a></p>
<b>8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM</b>		
8.1 Material Accounting System	Conformance	<p>The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems. For more information, see the BeerSales system at: <a href="https://beersales.com.br">https://beersales.com.br</a></p>
8.2 Material Accounting Period	Conformance	<p>The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems. For more information, see the BeerSales system at: <a href="https://beersales.com.br">https://beersales.com.br</a></p>
8.3 Input and Inflow Quantities	Conformance	<p>The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems. For more information, see the BeerSales system at: <a href="https://beersales.com.br">https://beersales.com.br</a></p>
8.4 Output Quantities of CoC Material	Conformance	<p>The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated</p>

CRITERION	RATING	COMMENT
		within existing control systems. For more information, see the BeerSales system at: <a href="https://beersales.com.br">https://beersales.com.br</a>
8.5 Indivisibility of CoC Material	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems. For more information see the BeerSales system at <a href="https://beersales.com.br">https://beersales.com.br</a>
8.6 Output Quantity of Eligible Scrap	Conformance	<p>The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems. For more information see the BeerSales system at <a href="https://beersales.com.br">https://beersales.com.br</a></p> <p>The Entity's computerised system enables complete traceability of the process starting from purchases and onwards. Packaging produced using CoC Material is delivered, filled, and sold. After the beverage is consumed, consumers return the packaging to the recycling chain.</p>
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems. For more information see the BeerSales system at <a href="https://beersales.com.br">https://beersales.com.br</a>
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems and have in place internal overdraw requirements. For more information see the BeerSales system at <a href="https://beersales.com.br">https://beersales.com.br</a>
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems and have in place internal overdraw requirements. The computerised system allows for full traceability of the process from purchases.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity has established and implemented a metal Mass Balance System, with analytical reports and spreadsheets that are integrated within existing control systems and have in place internal overdraw requirements. The computerised system allows for full traceability of the process from purchases.
8.9a Positive Balance (Carry over)	Conformance	The Entity has defined within the Chain of Custody Management Manual which specifies that the carry over of a Positive Balance must be identified in the Entity's Material Accounting System. The stimulation of the Entity's Material Accounting System demonstrates that the Entity understands and follows the requirements.
8.9b Positive Balance (Expiry)	Conformance	The Entity has implemented a Material Accounting System and a documented ASI Chain of Custody Manual, that if applicable, the Positive Balance generated in a Material Accounting Period and transferred to the subsequent period will expire at the end of that period if not used.

CRITERION	RATING	COMMENT
9. ISSUING CoC DOCUMENTS		
9.1 CoC Document	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2a CoC Document Content (Date of issue)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2b CoC Document Content (Reference number)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2c CoC Document Content (Issuing Entity)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2d CoC Document Content (Receiving customer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2e CoC Document Content (Responsible employee)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2f CoC Document Content (Conformance statement)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2g CoC Document Content (Type of CoC Material)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2h CoC Document Content (Mass of CoC Material)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.2i CoC Document Content (Mass of total material)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.3a Sustainability Data (optional) – Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.3b Sustainability Data (optional) – Origin information	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.3c Sustainability Data (optional) – Recycled content	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.3d Sustainability Data (optional) – Post-	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.

CRITERION	RATING	COMMENT
Casthouse ASI Certification status		
9.4 Supplementary Information (optional) - Objective evidence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.5 Verification of Information	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.
9.6 Error (Shipping)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope. The Entity does provide CoC Material to another Entity.

#### 10. RECEIVING COC DOCUMENTS

10.1 Verification of CoC Documents	Conformance	The Entity has implemented a computerised Management System (BeerSales) to manage all its processes from receipt to dispatch. The system is designed to generate specific coding for CoC and Non-CoC packaging.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has defined a process to verify the required information in received CoC Documents for consistency with the accompanying CoC Material before recording information in their Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity regularly checks the ASI website to verify the validity and scope of the supplier's ASI CoC Certification for any changes that may affect the status of the CoC Material supplied.
10.4 Error (Reception)	Conformance	The Entity has established a process to record errors, provide feedback to suppliers and require appropriate corrective actions to correct the error and prevent a recurrence.

#### 11. CLAIMS AND COMMUNICATIONS

11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has defined within its ASI CoC Management Manual that all claims and communications must be consistent with the ASI Claims Guide, including communicating with the ASI Secretariat. The Entity's Management Representative is responsible for the conformance with ASI Claims requirements.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has committed to following the requirements on claims and communications. The Entity have assigned the roles and responsibilities to ensure that claims are made in a manner consistent with the ASI Claims Guide, and that claims and/or representations made are supported by verifiable evidence.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has provided training to relevant employees on the requirements for ASI Claims and communications.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	28 November 2023	Initial Certification Audit – Full Certification

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