

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Jupiter Aluminum

CERTIFICATE NUMBER

120

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED AUDITING
FIRM

DNV BUSINESS
ASSURANCE
SERVICES UK LTD.

DATE OF ISSUE

21 DECEMBER 2023

DATE OF EXPIRY

20 DECEMBER 2026

CERTIFIED SINCE

24 FEBRUARY 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Jupiter Aluminum operations located in Hammond, Indiana includes scrap re-melting, cold rolling, annealing, and finishing operations. Jupiter's coil coating facilities located in Beech Bottom, West Virginia and Fairland, Indiana.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Jupiter Aluminum
ENTITY NAME	Jupiter Aluminum
CERTIFICATION SCOPE	Jupiter Aluminum operations located in Hammond, Indiana includes scrap re-melting, cold rolling, annealing, and finishing operations. Jupiter's coil coating facilities located in Beech Bottom, West Virginia and Fairland, Indiana.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousePost-Casthouse
ASI STANDARD	<ul style="list-style-type: none">Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (10 December 2020)Re-Certification Audit and Scope Change (6 – 9 November 2023)
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">10 December 2020 (Initial Certification Audit)6 – 9 November 2023 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">5 February 2021 (Initial Certification Audit)10 November 2023 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (10 December 2020)</u></p> <p>The audit scope covered Jupiter Aluminum facilities in Hammond Indiana, Beech Bottom West Virginia, and Fairland Indiana.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse <p>All relevant criteria in the ASI Chain of Custody Standard were included in the audit scope.</p> <p><u>Re-Certification Audit and Scope Change (6 – 9 November 2023)</u></p> <p>The audit scope covered Jupiter Aluminum facilities in Hammond Indiana, Beech Bottom West Virginia, and Fairland Indiana.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse

All relevant criteria in the ASI Chain of Custody Standard were included in the audit scope. The audit has been undertaken as a remote exercise consistent with the Entity's overall maturity level and the audit type.

AUDIT OUTCOME Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
 - The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 21 December 2023 –20 December 2026

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DUE DATE 20 June 2025

CERTIFICATE NUMBER 120



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Jupiter Aluminum is a secondary Aluminium producer headquartered in Illinois in the United States of America (USA). The Entity operates three plants in the USA.

The Hammond, Indiana operations commenced in 1992 and produces high quality Aluminium coils with an Aluminium scrap content that exceeds 94 per cent. It produces approximately 90,000 metric tonnes of Aluminium coils destined for building and construction, license plates and agricultural uses. The Facility includes melting furnaces, hot mill, cold rolling mills, annealing ovens, slitters, tension level lines, maintenance areas, finish product warehouse and scrap storage. It is located within an industrial park. The nearest sensitive receptors to the site include an elementary school approximately one mile from the plant, a residential area (0.5 miles), the Grand Calumet River (2 miles), Lake Michigan (6 miles), and the Gibson Woods Nature Preserve (3 miles). The Hammond plant is currently implementing a project to expand its melting and casting capacities.

The coil coating operations are based in Fairland, Indiana and Beech Bottom, West Virginia. Coated Aluminium coils are used for various applications for the building and construction, automotive, agricultural and governmental markets.

The Fairland plant commenced operations in 1995 and produces approximately 50,000 metric tonnes annually. The Facility includes two coating lines, slitter lines, packing line, maintenance area, paint and coil storage areas and finished product warehouse. It is located in a rural area, with an active farm adjacent and a small residential area within one mile.

The Beech Bottom plant commenced operations in 2014 and produces approximately 10,000 metric tonnes. The Facility includes two coil coating lines, slitting line, packaging line, paint coil storage areas and finished product warehouse. It is located within the Beech Bottom Industrial Park and the Entity owns and operates its equipment while leasing the physical space. The Ohio River is nearby.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	High
RISKS	High
PERFORMANCE	Medium
OVERALL	HIGH

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity has been an ASI member since August 2017 under the Production and Transformation Membership class. All Facilities within the CoC Certification Scope are under the control of the Entity. Membership information is available at: https://aluminium-stewardship.org/about-asi/members/Jupiter-Aluminum
1.2 CoC Management System	Conformance	The Entity has developed a Chain of Custody (CoC) Management System that includes Scope, Responsible Sourcing, Training, Records Retention, Inventory Analysis, Supplier Due Diligence, and CoC Document procedures.
1.3 CoC Management System Monitoring	Conformance	The Entity has developed a CoC Management System that is reviewed, updated, and approved by management every three years.
1.4 Management Representative	Conformance	The Entity has developed a CoC Management System that states the Environmental Health and Safety Director is the Management Representative, responsible for providing oversight in the development, review, and implementation of the CoC Management System. The Sustainability Specialist is responsible for the development and implementation of the CoC Management System.
1.5 Communications and Training	Conformance	The Entity has developed a CoC Management System that addresses the requirements for communication and training with relevant internal Stakeholders to ensure that all parties are aware and equipped to handle the included procedures. The Entity has defined team roles and responsibilities for the implementation of the CoC Management System. Additional training including specific training sessions have been conducted. Training materials and attendance records are maintained for internal audits.
1.6 Records Management	Conformance	The Entity has developed a CoC Management System that includes a record retention procedure, which requires records to be maintained for a minimum of five years. Records are maintained via a combination of electronic filing systems and physical storage.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity has developed a CoC Management System that addresses the requirement for the Entity to report to the ASI Secretariat the required information within the reporting timeframe.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity has developed a CoC Management System that addresses the requirement for the Entity to report to the ASI Secretariat the required information within the reporting timeframe.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has developed a CoC Management System that addresses the requirement for the Entity to report to the ASI Secretariat the required information within the reporting timeframe.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity has developed a CoC Management System that addresses the requirement for the Entity to report to the ASI Secretariat the required information within the reporting timeframe.

CRITERION	RATING	COMMENT
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity has developed a CoC Management System that addresses the requirement for the Entity to report to the ASI Secretariat the required information within the reporting timeframe.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity has developed a CoC Management System that addresses the requirement for the Entity to report to the ASI Secretariat the required information within the reporting timeframe.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity has developed a CoC Management System that addresses the requirement for the Entity to report to the ASI Secretariat the required information within the reporting timeframe.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as no Outsourcing Contractors are used in the Certification Scope.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as no Outsourcing Contractors are used in the Certification Scope.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, as no Outsourcing Contractors are used in the Certification Scope.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, as no Outsourcing Contractors are used in the Certification Scope.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as no Outsourcing Contractors are used in the Certification Scope.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, as no Outsourcing Contractors are used in the Certification Scope.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, as no Outsourcing Contractors are used in the Certification Scope.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity produces Recycled Aluminium only from Facilities within the Entity's CoC Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity produces Recycled Aluminium only from Facilities within the Entity's CoC Certification Scope and the Entity is certified against the ASI Performance Standard. Certification information is available at: https://aluminium-stewardship.org/about-asi/members/Jupiter-Aluminum
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has developed a Material Accounting System that uses an Enterprise Resource Planning (ERP) system (Axiom) that records and balances the Pre-Consumer Scrap and treated Dross residues. The System also records the respective suppliers. The Entity does not have any CoC Certified suppliers and will not be receiving any CoC Material or eligible Pre-Consumer Scrap.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity has developed a Material Accounting System (Axiom) that records and balances the volume of eligible and non-eligible Post-Consumer Scrap received. The System also records the respective suppliers. This system also tracks material received from suppliers that has been assessed via supplier Due Diligence.
4.2c Eligible Scrap (Dross)	Conformance	The Entity has developed a Material Accounting System (Axiom) that tracks material processed at the Entity's Facilities. The Entity has implemented a Receiving Scrap Inspection Procedure.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity has developed a Material Accounting System (Axiom) to document supplier registration, supplier review and records. The Entity primarily records the financial information, place of location, and point of contact. The Entity's Supplier ESG Assessment Spreadsheet details the Supplier Risk Screening and Deskside Review; Supplier ESG questionnaire/survey results; Supplier Risk Rating determination; and Supplier Corrective action plans (if applicable).

CRITERION	RATING	COMMENT
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity, as it does not accept any cash payments from suppliers.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity produces ASI Aluminium only from the Casthouse at its Hammond Facility, which is within the Entity's CoC Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity produces ASI Aluminium only from the Casthouse at its Hammond Facility and the Entity is certified against the ASI Performance Standard. Certification information is available at: https://aluminium-stewardship.org/about-asi/members/Jupiter-Aluminum
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity, as the Casthouse at the Hammond Facility receives Eligible Scrap and does not source ASI Aluminium.
5.2 Unique Identification	Conformance	The Entity has a Casthouse at the Hammond Facility. The Entity has developed a CoC Management System and Material Accounting System that ensures that unique identification numbers, printed on ASI Aluminium (spray-painted on the Aluminium coil) and can be linked to the Input Quantity of CoC Material for that Material Accounting Period.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity sources ASI Aluminium only from the Casthouse at its Hammond Facility, which is within the Entity's CoC Certification Scope. The Entity does not source ASI Aluminium from any other Casthouses.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity sources ASI Aluminium only from the Casthouse at its Hammond Facility (it does not source ASI Aluminium from any other Casthouse) and the Entity is certified against the ASI Performance Standard. Certification information is available at: https://aluminium-stewardship.org/about-asi/members/Jupiter-Aluminum
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity, as it sources ASI Aluminium only from its own Casthouse and does not source ASI Aluminium from any other CoC Certified Entity or Trader.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has implemented a Responsible Sourcing Policy that addresses the requirements on Anti-Corruption, and is shared with scrap suppliers and disclosed on the corporate webpage: https://www.jupitaluminum.com/Main/Responsibility

CRITERION	RATING	COMMENT
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has implemented a Responsible Sourcing Policy that includes supplier requirements regarding safety, Anti-Corruption, Conflict-Affected and High-Risk areas, Human Rights, environmental stewardship, climate change, anti-Discrimination, legal compliance, and Labour Rights. The Policy is shared with scrap suppliers and disclosed on the corporate webpage: https://www.jupitaluminum.com/Main/Responsibility
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has implemented a Responsible Sourcing Policy that includes supplier requirements regarding safety, Anti-Corruption, Conflict-Affected and High-Risk areas, Human Rights, environmental stewardship, climate change, anti-Discrimination, legal compliance, and Labour Rights. The Policy is shared with scrap suppliers and disclosed on the corporate webpage: https://www.jupitaluminum.com/Main/Responsibility
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has implemented a Responsible Sourcing Policy that addresses the requirements on Conflict-Affected and High-Risk Areas (CAHRA). Additionally, the Entity has implemented the Global Code of Conduct which addresses CAHRA. Both documents and is shared with scrap suppliers and disclosed on the corporate webpage: https://www.jupitaluminum.com/Main/Responsibility
7.2 Risk Assessment and Mitigation	Conformance	The Entity has developed a supplier Due Diligence process that is documented in the CoC Custody Management System. The Entity has conducted a risk assessment of their suppliers and has given a score based on the assessment. The risk assessment is documented in the Supplier Environment, Social and Governance (ESG) Assessment, and Supply Chain Due Diligence. Mitigation actions are recorded within the ESG assessment spreadsheet. Further information is available at: https://www.jupitaluminum.com/Main/Responsibility
7.3 Complaints Resolution Mechanism	Conformance	The Entity has implemented a Responsible Sourcing Policy that defines the supplier complaints mechanism, which includes an anonymous complaint mechanism for Stakeholders to make grievances, complaints, or suggestions. It is available via the corporate webpage contact section: https://jupitaluminum.com

8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	<p>The Entity has developed a Material Accounting System (Axiom) to govern and report on Input Quantity and Output Quantity of CoC Material and Non-CoC Material. The Entity records the following:</p> <ul style="list-style-type: none"> - Input and Output Quantity of CoC Material - Input and Output Quantity of Non-CoC Material. - Input Quantity of Post-Consumer Scrap. - Input Quantity of Pre-Consumer Scrap. - Input Quantity of Recovered Sow Ingot (Dross Processing). - Input Quantity of Pre-Consumer Scrap that is Eligible Scrap, where it is supplied directly from a CoC Certified Entity (where applicable). <p>This information is consolidated into the Entity's Mass Balance Spreadsheet on a monthly basis to determine the CoC Material mass balance.</p>
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CRITERION	RATING	COMMENT
8.2 Material Accounting Period	Conformance	The Entity has developed a Material Accounting System that requires a 12 month Material Accounting Period. The Entity consolidates data for reporting within three months of each calendar year.
8.3 Input and Inflow Quantities	Conformance	The Entity has developed a Material Accounting System that records the required Input and Inflow Quantities. Information is consolidated into the Entity's Mass Balance Spreadsheet on a monthly basis.
8.4 Output Quantities of CoC Material	Conformance	The Entity has developed a Material Accounting System that records the required Output Quantity of CoC Material and Non-CoC Material. Information is consolidated into the Entity's mass balance spreadsheet on a monthly basis.
8.5 Indivisibility of CoC Material	Conformance	The Entity has developed a Material Accounting System that ensures that only 100% CoC Material is designated as ASI CoC Aluminium. This is applied in coordination with a customers' CoC Material request and the Material is designated after finishing.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity has developed a Material Accounting System that ensures that the absolute Output Quantity of CoC Material (for example, the sum of the Output Quantity and Eligible Scrap) cannot be greater than the Input Quantity of CoC Material.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity has developed a Material Accounting System that ensures that the absolute Output Quantity of CoC Material cannot be greater than the Input Quantity of CoC Material (relating to the Material Accounting Period).
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity has developed a Material Accounting System that ensures a detailed breakdown of materials processed is recorded and a Mass Balance Spreadsheet is maintained. The Entity's process ensures an Internal Overdraw of 20% of total Input Quantity of CoC Material is never exceeded.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity has developed a Material Accounting System that ensures a detailed breakdown of materials processed is recorded and a Mass Balance Spreadsheet is maintained. The Entity's process ensures an Internal Overdraw cannot exceed the amount of CoC Material affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity has developed a Material Accounting System that ensures a detailed breakdown of materials processed is recorded and a Mass Balance Spreadsheet is maintained. The Entity's process ensures an Internal Overdraw is made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity has developed a Material Accounting System that ensures any carry-over of a Positive Balance is clearly identified. A Positive Balance generated in one Material Accounting Period and carried over to the subsequent Material Accounting Period shall expire at the end of that Period if not drawn down.
8.9b Positive Balance (Expiry)	Conformance	The Entity has developed a Material Accounting System that ensures a Positive Balance generated in one Material Accounting Period and

CRITERION	RATING	COMMENT
		carried over to the subsequent Material Accounting Period expires at the end of that Period if not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity has developed a Material Accounting System (Axiom) that generates CoC Documents when CoC Material is shipped. The CoC Document is automatically emailed to the customer when the Product is shipped. The Entity's CoC Management System includes CoC Document Procedures.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.2b CoC Document Content (Reference number)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.2c CoC Document Content (issuing Entity)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity has developed a CoC Management System that ensures that issued CoC Documents contain the required information.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity, as it does not include Sustainability Data in issued CoC Documents.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable to the Entity, as it does not include Sustainability Data in issued CoC Documents.

CRITERION	RATING	COMMENT
9.3c Sustainability Data (optional) – Recycled content	Not Applicable	This Criterion is not applicable to the Entity, as it does not include Sustainability Data in issued CoC Documents.
9.3d Sustainability Data (optional) – Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity, as it does not include Sustainability Data in issued CoC Documents.
9.4 Supplementary Information (optional) – Objective evidence	Not Applicable	This Criterion is not applicable to the Entity, as it does not include Supplementary Information in issued CoC Documents.
9.5 Verification of Information	Conformance	The Entity's Customer Service and Commercial teams are responsible for handling requests from customers on CoC Documents issued, which is aligned to the Entity's Quality System Management System. No CoC Documents have been issued to date.
9.6 Error (Shipping)	Conformance	The Entity has implemented a Chain of Custody Management System and error management processes are integrated with the Entity's Quality Management System. Non-conformities, root causes, and corrective actions are identified and regularly monitored.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Not Applicable	This Criterion is not applicable to the Entity, as it does not receive any CoC Material and therefore no accompanying CoC Documents.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Not Applicable	This Criterion is not applicable to the Entity, as it does not receive any CoC Material and therefore no accompanying CoC Documents.
10.3 Verification of Supplier's ASI CoC Certification	Not Applicable	This Criterion is not applicable to the Entity, as it does not receive any CoC Material and therefore no accompanying CoC Documents.
10.4 Error (Reception)	Conformance	The Entity has implemented a Chain of Custody Management System and error management processes are integrated with the Entity's Quality Management System. Non-conformities, root causes, and corrective actions are identified and regularly monitored.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Not Applicable	This Criterion is not applicable to the Entity, as it does not make claims or representations about CoC Material. However, the Entity has allocated the responsibility for controlling the Entity's claims and communications and the training of relevant employees is planned.
11.1b Claims and Communications (Verifiable evidence)	Not Applicable	This Criterion is not applicable to the Entity, as it does not make claims or representations about CoC Material. However, the Entity has allocated the responsibility for controlling the Entity's claims and communications and the training of relevant employees is planned.

CRITERION	RATING	COMMENT
11.1c Claims and Communications (Employee training)	Not Applicable	This Criterion is not applicable to the Entity, as it does not make claims or representations about CoC Material. However, the Entity has allocated the responsibility for controlling the Entity's claims and communications and the training of relevant employees is planned.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	8 February 2021	Initial Certification Audit
1	21 December 2023	Re-Certification Audit and Scope Change - Full Certification Scope Change to apply the ASI Chain of Custody Standard V2