

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

S.A. Damm

CERTIFICATE NUMBER

122

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

TÜV RHEINLAND CERT
GmbH

DATE OF ISSUE

3 MARCH 2024

DATE OF EXPIRY

2 MARCH 2027

CERTIFIED SINCE

3 MARCH 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
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Validity of this Certificate is subject to continued conformance with the applicable ASI Standard and can be verified at
www.aluminium-stewardship.org

CERTIFICATION SCOPE

Packaging and storage of beer in cans, as well as related activities including design; packaging and storage of finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	S.A. Damm
ENTITY NAME	S.A. Damm
CERTIFICATION SCOPE	Packaging and storage of beer in cans, as well as related activities including design; packaging and storage of finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Post-Casthouse
ASI STANDARD	<ul style="list-style-type: none">• Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (15 – 17 February 2021)• Re-Certification Audit and Scope Change (16 – 20 October 2023)
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	<ul style="list-style-type: none">• 15 – 17 February 2021 (Initial Certification Audit)• 16 – 20 October 2023 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 19 February 2021 (Initial Certification Audit)• 3 May 2024 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (15 – 17 February 2021)</u></p> <p>The Audit Scope covered S.A. Damm (Barcelona), Compañía Cervecería Damm, S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia). Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Post-Casthouse <p>All relevant criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Re-Certification Audit and Scope Change (16 – 20 October 2023)</u></p> <p>The Audit Scope covered S.A. Damm (Barcelona), Compañía Cervecería Damm, SL (Barcelona) and Estrella de Levante Fábrica de Cerveza, SA (Murcia). Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Post-Casthouse <p>All relevant criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- ☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
- ☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

3 March 2024 – 2 March 2027

NEXT AUDIT TYPE

Re-Certification Audit

NEXT AUDIT DUE DATE

2 March 2027

CERTIFICATE NUMBER

122



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

S.A DAMM (the Entity) is an organisation that engages several companies within the food and beverages industry. Providing managerial resources and strategic approaches to its subsidiary companies, among which can be found the two factories audited, Compañía Cervecería Damm, SL and Estrella Levante Fabrica de Cerveza, SA. The Entity's three sites, all located in Spain and incorporated in this Certification employ approximately 150 employees, however, globally it employs approximately 5,000 persons. It distributes and sells products across over 130 countries with a turnover of approximately 1,500 million Euros per annum.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	High
RISKS	High
PERFORMANCE	High
OVERALL	HIGH

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	S.A. Damm has been an ASI member since October 2020, in the Industrial Users class. The Entity has obtained ASI Performance Standard Certification for its two facilities Compañía Cervecera Damm, S.L. and Estrella de Levante Fábrica de Cerveza. S.A.
1.2 CoC Management System	Conformance	S.A. Damm has a number of Management Systems certifications, including quality, environment, sustainability and corporate social responsibility (CSR) reporting schemes. These schemes establish resources, procedures, instructions, working flows and so on pertaining to managing sales, sourcing, process flows and inventory requirements of the Chain of Custody Standard.
1.3 CoC Management System Monitoring	Conformance	The Entity has established procedures at its two facilities Compañía Cervecera Damm, SL and Estrella de Levante Fábrica de Cerveza. SA, to identify, evaluate and address Non-Conformances and initiate continuous improvement measures. Also at a corporate level, marketing/R&D processes are established to identify, evaluate and address the requirements that apply to the product (Aluminium cans). Workflows involving the Management Systems, accounting and procurement departments are in place to identify, evaluate and establish actions as required.
1.4 Management Representative	Conformance	The "Sourcing to Pay" (S2P) process owner has the overall responsibility and authority for the Entity's conformance with the applicable requirements of the Chain of Custody Standard. Tasks of the work group initially created for the first Certification against both the Performance Standard and Chain of Custody Standard are now integrated into the existing management tools (e.g. internal / external audits programs, committees).
1.5 Communications and Training	Conformance	The Entity has initiated training programs involving relevant people at both the Compañía Cervecera Damm, SL and Estrella de Levante Fabrica de Cerveza, SA facilities.
1.6 Records Management	Conformance	The Entity has advanced ICT infrastructure, including several systems to manage relevant information (e.g. Lotus Notes, S.A.P. ICT systems and infrastructure) are incorporated into ASI and Chain of Custody (CoC) documentation. This infrastructure and systems implemented at the corporate level, fully integrate the Compañía Cervecera Damm, SL and Estrella de Levante Fabrica de Cerveza, SA activities.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The established ICT infrastructure and systems enable the Entity to report the Input and Output Quantities of CoC Materials over the calendar year from both Compañía Cervecera Damm, SL and Estrella de Levante Fábrica de Cerveza. SA. The Entity has submitted to the ASI Secretariat the information for the 2022 calendar year, the Input and Output Quantities of CoC Material/s.

CRITERION	RATING	COMMENT
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity has submitted to the ASI Secretariat the information for the 2022 calendar year, the Input and Output Quantities of CoC Material/s.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has submitted to the ASI Secretariat the information for the 2022 calendar year, the Input and Output Quantities of CoC Material/s.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity has submitted to the ASI Secretariat the information for the 2022 calendar year, the Positive Balance carried over of CoC Material/s over the calendar year.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity has submitted to the ASI Secretariat the information for the 2022 calendar year, the Positive Balance used.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity has submitted to the ASI Secretariat the information for the 2022 calendar year, the Internal Overdraw drawn down from the subsequent Material Accounting Period.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity has submitted to the ASI Secretariat the information for the 2022 calendar year, the Quantities of CoC Material/s transferred between supply chain activities within the CoC Certified Entity (Intra-Entity Flows).

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable as no Outsourcing Contractors are involved in the processing, treatment or manufacturing stages.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable as no Outsourcing Contractors are involved in the processing, treatment or manufacturing stages.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable as no Outsourcing Contractors are involved in the processing, treatment or manufacturing stages.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable as no Outsourcing Contractors are involved in the processing, treatment or manufacturing stages.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable as no Outsourcing Contractors are involved in the processing, treatment or manufacturing stages.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable as no Outsourcing Contractors are involved in the processing, treatment or manufacturing stages.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable as no Outsourcing Contractors are involved in the processing, treatment or manufacturing stages.

CRITERION	RATING	COMMENT
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Unique Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	All Aluminium products (0.33L and 0.50L cans) incorporated in the production process of both Compañía Cervecería Damm, S.L. and Estrella Levante Fabrica de Cerveza, SA, are directly sourced from a single supplier, which is certified to the ASI Performance and Chain of Custody Standards.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	Aluminium products (cans + ends) incorporated in the production process of both Compañía Cervecería Damm, SL and Estrella de Levante, SA, are directly sourced as CoC Material from a single provider, which is certified against ASI Performance and CoC standards, including in its scope the two facilities providing to the Entity.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	Aluminium products (cans + ends) incorporated in the production process in both Compañía Cervecería Damm, SL and Estrella de Levante, SA are directly sourced as CoC Material by a single provider, which is certified against ASI Performance and Chain of Custody Standards.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	<p>The Entity and its subsidiaries have adopted a responsible sourcing process covering Aluminium. This approach is referenced in a number of documents, and includes a stance against Corruption and fraud. For further information, refer to the SA DAMM 2022 Annual Report, responsible purchasing, pages 47 and 136, available at: https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</p> <p>SA DAMM Code of Conduct, page 7, available at: https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf</p>

CRITERION	RATING	COMMENT
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	<p>The Entity and its subsidiaries have adopted a responsible sourcing process covering Aluminium. This process is referenced in a number of documents, and includes a stance on responsible sourcing.</p> <p>The corporate purchasing approach is made public in the SA DAMM 2022 Annual Report, page. 47, available at: https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</p> <p>SA DAMM Code of Conduct: https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf</p>
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	<p>The Entity applies the principles of honesty and respect for Human Rights included in its corporate Code of Conduct and as a result, the relationships between all company collaborators and suppliers must be fair, polite and respectful.</p> <p>The Code of Conduct refers to Human Rights and employment in keeping with the principles of the International Labour Organization (ILO), as well as the principles of the company. These principles are respected wherever the Entity conducts its activities and apply to both Compañía Cervecería Damm, SL and Estrella de Levante Fábrica de Cerveza, SA.</p> <p>The Code of Conduct is available at: https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf</p>
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	<p>The Entity and its subsidiaries have adopted a responsible sourcing approach covering Aluminium. This approach is stated in a number of documents, and includes a stance against Corruption, responsible sourcing, Human Rights and Conflict-Affected and High-Risk Areas. For further information, refer to the SA DAMM 2022 Annual Report, available at: https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</p> <p>The Code of Conduct is available at: https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf</p> <p>The Entity has an Internal Audit Department and Compliance Committee acting at a corporate level, comprising of members from the Board of Directors. The Audit and Control Commission assigns the Compliance Committee and the Internal Audit Department with the task of actively managing existing risks and developing an overall map of risks and penal risks.</p>
7.2 Risk Assessment and Mitigation	Conformance	<p>The Entity follows the EcoVadis methodology to evaluate its suppliers' performance at a corporate level. This methodology includes 360° findings, improvement opportunities with actions and its evaluation applied to each requirement: environment, labour practices and Human Rights, ethics, and sustainable sourcing. When required, results of the EcoVadis methodology and other issues involving the supply chain are registered in specific tools to evaluate and address relevant findings, as part of the Quality Management System.</p>

CRITERION	RATING	COMMENT
		Additionally, a SWAT analysis and subsequent risks and opportunities tools are included within the quality management systems are established to identify, evaluate and initiate actions to tackle issues linked to the supply chain in general.
7.3 Complaints Resolution Mechanism	Conformance	<p>The Entity has defined a procedure for the Whistleblowing Channel which is open to all co-Workers, allowing them to report their complaints, queries or suggestions. This channel can also be used to report grievances concerning the Entity's partner companies.</p> <p>Access to the whistleblowing channel is publicly available at: https://www.dammcorporate.com/en/canal-del-informante The Communication Department receives the enquiries from this whistleblower channel and duly addresses the issue with the involved people or department.</p>
8.1 Material Accounting System	Conformance	<p>The Entity uses a Material Accounting System under ERP/S.A.P, implemented at both Compañía Cervecería Damm, SL and Estrella de Levante Fábrica de Cerveza. SA.</p> <p>The system enables the Entity to perform an entirely digitised and automated material accounting, ranging from the individual data of the very unit of each product (grams of each Aluminium can and ends) to the overall numbers of the mass balance of both sites.</p>
8.2 Material Accounting Period	Conformance	The accounting period used by the Entity is the fiscal year, ending 31 December.
8.3 Input and Inflow Quantities	Conformance	<p>An ERP-based specific tool has been implemented to directly manage information on CoC Material to build a consistent mass balance tool, and identify CoC Material and Non-CoC Material.</p> <p>This system is implemented includes both Compañía Cervecería Damm, SL and Estrella de Levante Fábrica de Cerveza. SA. The system enables the Entity to perform an entirely digitised and automated material accounting, ranging from the individual data of the very unit of each product (grams of each Aluminium can and ends) to the overall numbers of the mass balance of both sites.</p>
8.4 Output Quantities of CoC Material	Conformance	An ERP Based tool has been implemented to directly manage information on CoC Material to build a consistent mass balance tool, identifying CoC Material and Non-CoC Material. The tool provides information on the Input and Output Quantities of CoC Material.
8.5 Indivisibility of CoC Material	Conformance	An ERP Based tool has been implemented to directly manage information on CoC Material to build a consistent mass balance tool, identifying CoC Material and Non-CoC Material. The tool provides information on the Input and Output quantities of CoC Material.
8.6 Output Quantity of Eligible Scrap	Not Applicable	This Criterion is not applicable as the Entity does not wish to designate its scrap material as Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The mass balance for the Entity is based on the material accounting system, and demonstrates coherence between the Input CoC Material

CRITERION	RATING	COMMENT
		and Output CoC Material figures. Additionally, no Eligible Scrap material is currently allocated by the Entity.
8.8a Internal Overdraw (Not exceed 20%)	Not Applicable	This Criterion is not applicable as no overdraw situation was reported by the Entity.
8.8b Internal Overdraw (Not exceed force majeure situation)	Not Applicable	This Criterion is not applicable as no overdraw situation was reported by the Entity.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Not Applicable	This Criterion is not applicable as no overdraw situation was reported by the Entity.
8.9a Positive Balance (Carry over)	Conformance	The Positive Balance of CoC Material at the end of the Material Accounting Period is carried over the subsequent Material Accounting Period, and identified in the established accounting system.
8.9b Positive Balance (Expiry)	Conformance	The Positive Balance of CoC Material at the end of the Material Accounting Period is carried over the subsequent Material Accounting Period, and identified in the established accounting system. The compensation of the balance is done from year to year.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required, including an editable invoicing system and client portals.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for a date of issue.
9.2b CoC Document Content (Reference number)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for a reference number.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for issuing Entity details.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for receiving customer details.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as

CRITERION	RATING	COMMENT
		required including an editable invoicing system and client portals, which allows for responsible employee details.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for a Statement of Conformance.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for the type of CoC Material detail.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for the mass of CoC Material.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for the mass of total Material.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable, as no interested parties downstream have requested the Entity to deliver CoC Documents to accompany CoC Material. However, several systems were observed in place to enable the Entity to issue relevant CoC Documents as required.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable, as no interested parties downstream have requested the Entity to deliver CoC Documents to accompany CoC Material. However, several systems were observed in place to enable the Entity to issue relevant CoC Documents as required.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	This Criterion is not applicable, as no interested parties downstream have requested the Entity to deliver CoC Documents to accompany CoC Material. However, several systems were observed in place to enable the Entity to issue relevant CoC Documents as required.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable, as no interested parties downstream have requested the Entity to deliver CoC Documents to accompany CoC Material. However, several systems were observed in place to enable the Entity to issue relevant CoC Documents as required.
9.4 Supplementary Information (optional) - Objective evidence	Not Applicable	This Criterion is not applicable, as no interested parties downstream have requested the Entity to deliver CoC Documents to accompany CoC Material. However, several systems were observed in place to enable the Entity to issue relevant CoC Documents as required.
9.5 Verification of Information	Not Applicable	This Criterion is not applicable, as no interested parties downstream have requested the Entity to deliver CoC Documents to accompany CoC Material. However, several systems were observed in place to enable the Entity to issue and verify relevant CoC Documents as required.

CRITERION	RATING	COMMENT
9.6 Error (Shipping)	Conformance	The Entity has implemented several systems within its overall Material Accounting System that enable it to issue relevant CoC Documents as required including an editable invoicing system and client portals, which allows for the identification and investigation of any errors encountered.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has established procedures to verify the validity of received materials against relevant requirements, including the accompanying documents sent by suppliers.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has established procedures to verify the consistency of received CoC Documents sent by suppliers. Such procedures are effectively implemented to ensure process flows are working, ensuring any CoC Material or Eligible Scrap and accompanying documentation will be verified prior to being recorded in the Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	Aluminium products (0,33L and 0.50L cans) incorporated in the production process for both Compañía Cervecera Damm, SL and Estrella de Levante Fábrica de Cerveza. SA, are directly sourced by the one and only provider, which is certified against ASI Performance and Chain of Custody Standards.
10.4 Error (Reception)	Conformance	The Entity has established procedures to check the validity of received packaging materials, which triggers the initialisation of actions to solve the issue as well as prevent its recurrence through corrective actions.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Not Applicable	This Criterion is not applicable, as no claims or representations of CoC Material are intended made by the Member, nor outside the Entity.
11.1b Claims and Communications (Verifiable evidence)	Not Applicable	This Criterion is not applicable, as no claims or representations of CoC Material are intended made by the Member, nor outside the Entity.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has implemented and delivered training to relevant persons relating to both claims and ASI requirements in general.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	3 March 2021	Initial Certification Audit – Full Certification
1	3 March 2024	Re-Certification and Scope Change Audit – Full Certification. Scope Change to apply the ASI Chain of Custody V2
