

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# S.A. Damm

CERTIFICATE NUMBER

115

ASI STANDARD

**PERFORMANCE  
STANDARD  
(V3 2022)**

CERTIFICATION LEVEL

**FULL  
CERTIFICATION**

ASI ACCREDITED  
AUDITING FIRM

**TÜV RHEINLAND CERT  
GmbH**

DATE OF ISSUE

**27 JANUARY 2024**

DATE OF EXPIRY

**26 JANUARY 2027**

CERTIFIED SINCE

**27 JANUARY 2021**

## AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
[info@aluminium-stewardship.org](mailto:info@aluminium-stewardship.org)

*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

## CERTIFICATION SCOPE

Packaging and storage of beer in cans, as well as related activities including design; packaging and storage of finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer

# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

MEMBER NAME	S.A. Damm
ENTITY NAME	S.A. Damm
CERTIFICATION SCOPE	Packaging and storage of beer in cans, as well as related activities including design; packaging and storage of finished products; waste management and storage; and the recovery of waste, including packaging waste of the product consumed by the end consumer, at production facilities in Barcelona and Murcia, and administrative and commercial functions at the corporate office in Barcelona (Spain).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Material Conversion – Principles 1 to 4 (transition)</li></ul>
ASI STANDARD	Performance Standard V3
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit (23 – 30 November 2020)</li><li>Surveillance Audit (19 – 21 September 2022)</li><li>Re-Certification Audit and Scope Change (16 – 20 October 2023)</li></ul>
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	<ul style="list-style-type: none"><li>23 – 30 November 2020 (Initial Certification Audit)</li><li>19 – 21 September 2022 (Surveillance Audit)</li><li>16 – 20 October 2023 (Re-Certification Audit and Scope Change)</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>8 January 2021 (Initial Certification Audit)</li><li>1 February 2022 (Surveillance Audit)</li><li>3 May 2024 (Re-Certification Audit and Scope Change)</li></ul>
AUDIT SCOPE	<p><u>Initial Certification Audit (23 – 30 November 2020)</u></p> <p>The Audit Scope covered S.A. Damm (Barcelona), Compañía Cervecería Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia).</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none"><li>Other manufacturing or sale of products containing Aluminium</li></ul> <p>All Criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Surveillance Audit (19 – 21 September 2022)</u></p> <p>The Audit Scope covered S.A. Damm (Barcelona), Compañía Cervecería Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia).</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none"><li>Other manufacturing or sale of products containing Aluminium</li></ul>

---

All Criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope.

The ASI multi-site sampling approach was undertaken, the sites Compañía Cervecería Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia) was included within the Audit Scope.

Re-Certification Audit and Scope Change (16 – 20 October 2023)

The Audit Scope covered S.A. Damm (Barcelona), Compañía Cervecería Damm. S.L. (Barcelona) and Estrella de Levante Fábrica de Cerveza S.A. (Murcia).

Supply chain activities included in the audit scope:

- Material Conversion – Principles 1 to 4 (transition)

All applicable Criteria from Principles 1 to 4 in the ASI Performance Standard were included in the Audit Scope.

---

AUDIT OUTCOME

- Certification

---

AUDIT METHODOLOGY  
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the company's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

---

CERTIFICATION PERIOD

27 January 2024 – 26 January 2027

---

NEXT AUDIT TYPE

Re-Certification Audit

---

NEXT AUDIT DATE

26 January 2027

---

CERTIFICATE NUMBER

115



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

---

## ENTITY OVERVIEW

S.A Damm (the 'Entity') is an organisation that engages several companies within the food and beverages industry. Providing managerial resources and strategic approaches to its subsidiary companies, among which include the two factories Compañía Cervecera Damm (CC Damm) and Estrella de Levante. The Entity's three sites, all located in Spain and incorporated in this Certification, employ approximately 150 employees, however globally it employs approximately 5,000 persons. It distributes and sells product across over 130 countries with a turnover of approximately 1,500 million Euros per annum.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
<b>SYSTEMS</b>	High	High	High	HIGH
<b>RISKS</b>	High	High	High	HIGH
<b>PERFORMANCE</b>	High	High	High	HIGH
<b>OVERALL</b>		<b>HIGH</b>		

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. BUSINESS INTEGRITY</b>		
1.1 Legal Compliance	Conformance	The Entity has implemented and maintains systems to identify and evaluate relevant regulations, and address Compliance when applicable. These systems are supported by external services, including environmental and industrial safety legal requirements, and another external service to support requirements pertaining to the Corporate Social Responsibility field. The Entity is engaged with the ECOVADIS platform to provide additional compliance assessments.
1.2 Anti-Corruption	Conformance	<p>Engaged with UN Global Compact (Principle 10), the Entity implemented and maintains systems aiming to avoid any kind of Corruption. This is discussed in the Entity's consolidated Annual Report, page 136, available at: <a href="https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf">https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</a></p> <p>In addition to the Entity's Legal Risk Prevention System and for reviewing compliance with internal regulations, the Entity has an Internal Audit Department. In combination with the Compliance Committee, the Internal Audit Department depends on, and reports directly to the Audit, Sustainability and Control Committee, which is a delegated body of the Board of Directors with senior supervision functions in this area. The Entity has a specific procedure for the prevention of money laundering.</p> <p>In recent financial years, no cases of Corruption were registered within the Entity. The main contracts of a commercial nature (in relation to supply, distribution and execution of works) signed by the Entity include clauses aimed at preventing Corruption and money laundering.</p>
1.3a-e Code of Conduct	Conformance	The Entity has implemented a Code of Conduct as a key document to establish key rules aimed to ensure transparent and responsible performance. The most recent version of the Code is publicly available at: <a href="https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf">https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf</a>
<b>2. POLICY AND MANAGEMENT</b>		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has implemented specific Policies embracing the environmental, social, governance performance of the business. Policies are implemented both at a corporate level (Damm) and also for the subsidiary companies CC Damm and Estrella de Levante. All Policies are publicly available at: <a href="https://www.dammcorporate.com/en/sobre-damm/politiques-certificaciones">https://www.dammcorporate.com/en/sobre-damm/politiques-certificaciones</a></p> <p>Additional statements and commitments which complement the Entity's Code of Conduct are available at: <a href="https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf">https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf</a></p>

CRITERION	RATING	COMMENT
2.2a-c Leadership	Conformance	The Entity has allocated resources to implement, maintain and improve the Policies and Management Systems established. Senior management, technical and operational staff have been appointed along the organisation to develop the stated Policies and the implemented Management Systems, at both corporate level and at the factory level at CC Damm and Estrella de Levante.
2.3a Environmental and Social Management Systems - Environmental	Conformance	<p>The Entity's operations within the Certification Scope have a number of certified Management Systems including ISO 9001:2015, ISO 14001:2015, ISO 45001:2018, Zero Waste Certification, Carbon Footprint water footprint, sustainability and CSR reporting schemes.</p> <p>These schemes establish resources, procedures, instructions and working flows pertaining to managing sales, sourcing, process flows and inventory requirements. All relevant certificates are available at: <a href="https://www.dammcorporate.com/en/sobre-damm/politiques-certificaciones">https://www.dammcorporate.com/en/sobre-damm/politiques-certificaciones</a></p>
2.3b Environmental and Social Management Systems - Social	Conformance	<p>The Entity's operations within the Certification Scope have a number of certified Management Systems including ISO 9001:2015, ISO 14001:2015, ISO 45001:2018, Zero Waste Certification, Carbon Footprint water footprint, sustainability and CSR reporting schemes. These schemes establish resources, procedures, instructions, working flows and so on pertaining to managing sales, sourcing, process flows and inventory requirements. All relevant certificates are available at: <a href="https://www.dammcorporate.com/en/sobre-damm/politiques-certificaciones">https://www.dammcorporate.com/en/sobre-damm/politiques-certificaciones</a></p> <p>The Damm Annual Report (developed in accordance with GRI Guidelines) is published at: <a href="https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf">https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</a></p>
2.4a-e Responsible Sourcing	Conformance	<p>The Entity has established a sourcing policy for goods and services. For external stakeholders, supplier statements are published in the Code of Conduct, available at: <a href="https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf">https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf</a></p> <p>For third party stakeholders, supplier statements are published in the Entity's Annual Report (page 48) 'Responsible purchasing', available at: <a href="https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf">https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</a></p> <p>As an operational tool for responsible sourcing, the Entity is engaged with the ECOVADIS platform to assess and select contractors and providers according to their environmental, social and governance performance.</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable as there have been no Major Changes or New Projects at the Entity. Nevertheless, the Entity has established a project to manager New Projects within its Facilities that includes consideration of environmental and social risks.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable as there have been no Major Changes or New Projects at the Entity. Nevertheless, the Entity has established a project to manager New Projects within its Facilities that includes consideration of Human Rights related risks.

CRITERION	RATING	COMMENT
2.7a-f Emergency Response Plan	Conformance	Within the Entity's ISO 14001:2015 and ISO 45001:2018 Management Systems, Emergency Response Plans are implemented, reviewed and tested periodically.
2.8a-d Suspended Operations	Conformance	The Entity has developed procedures and instructions to manage situations that could threaten or alter operations, those including IT related threats, issues involving water, power and other relevant supplies, major environmental and/or safety events, and other major situations that could affect the regular operation of the Entity.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has established procedures to manage mergers and acquisitions if these situations arise and are based on technical modifications of the industrial units or any other situation affecting the Entity.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established procedures to manage environmental and social related issues when major situations arise, these are based on technical modifications of the industrial units or any other situation affecting the Entity.
<b>3. TRANSPARENCY</b>		
3.1a-b Sustainability Reporting	Conformance	The Entity's 2022 Annual Report states the environmental, social and economic approaches established from senior management. The Report also contains the main environmental, social and economic impacts of the companies of the Entity, namely CC Damm and Estrella de Levante. The Materiality Matrix is presented on page 117 of the Annual Report. The report is available at: <a href="https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf">https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</a>
3.2 Non-compliance and Liabilities	Conformance	As reported in the Damm Annual Report (page 132), none of the Facilities within the Entity's Certification Scope have received fines as a result of non-compliance with laws and regulations.
3.3a-c Payments to Governments	Conformance	The Entity is not engaged with any political party and states that any relation with administrations, independent bodies, and/or parties, is exclusively based on legal basis and political neutrality. A public statement regarding this is published in the Entity's Code of Conduct: <a href="https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf">https://www.dammcorporate.com/sites/default/files/config-page/investors_government_attach/CODIGO%20DE%20CONDUCTA.pdf</a>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has defined a procedure for use of the Whistleblowing Channel open to all co-workers, allowing them to report their complaints, queries or suggestions as to the Occupational Risk Prevention System. This channel can also be used to report grievances concerning the Entity's partner companies. A description and public access to the whistleblowing channel is available at: <a href="https://www.dammcorporate.com/en/canal-del-informante">https://www.dammcorporate.com/en/canal-del-informante</a>
<b>4. MATERIAL STEWARDSHIP</b>		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has evaluated at a corporate level the Facilities within the Certification Scope the life cycle impacts of the Aluminium containers

CRITERION	RATING	COMMENT
		<p>used (0.33L and 0.50L Aluminium cans) and was based on two main elements: the Aluminium cans' Life Cycle Analysis (LCA) and Life Cycle Inventory (LCI).</p> <p>The impacts evaluated address upstream (e.g. provision of Aluminium cans by ASI Certified Entities), design and production stages and downstream (e.g. distribution and End of Life recycling by consumers) activities.</p> <p>Public assumptions and system boundaries on Aluminium products are available on page 81 of the Annual Report at:  <a href="https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf">https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</a></p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>Public assumptions and system boundaries on the Entity's Aluminium Products are available on page 81 of the Annual Report at:  <a href="https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf">https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</a></p> <p>A communication procedure and its related mechanisms implemented make the LCA available to stakeholders, including customers, upon request.</p>
4.2 Product Design	Conformance	<p>The Entity has established objectives both directly pertaining and transversally related to the design and development of the Product.</p> <p>These objectives include the promotion of 'eco-designed' packaging of Aluminium cans, a re-understanding of production processes to reduce Scrap and loss, energy use or water consumption, Product transportation, and circular packaging initiatives at End of Life.</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>The Entity's Facilities have implemented procedures, controlled production processes and 'leading practice' equipment which enables the Entity to minimise product Scrap within the production stage, and to manage relevant materials to segregate and recycle.</p> <p>Systems at both of the Entity's production Facilities identify and withdraw the non-compliant product from the production process, then initiate the established processes to prepare, segregate and finally send them to recycling plants. Preparation activities include emptying the liquid from filled cans, with mechanical equipment, so waste material sent to the recycling plant only includes Aluminium.</p> <p>Awareness at all levels of the Entity was observed. Contracts with specialised companies have been established for waste management (recycling) and relevant documentation of Aluminium waste management presented evidence of 100% material recycling.</p> <p>The cans used by the Entity are 100% Aluminium, including the top cover and ring.</p>
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	<p>The Entity collaborates with state-level Entities that manage systems for selective collection and recovery of used containers and packaging waste (e.g. Ecoembes and Ecovidrio).</p> <p>Agreements are in place with specialised firms to manage the waste of Aluminium products sent for recycling.</p> <p>Since 2021, an online platform known as RUNSZERO has been implemented to manage, track waste materials, and later collect and report waste materials information with other platforms including SDR (Sistema Documental de Residus) and E-DIR.</p>



CRITERION	RATING	COMMENT
		<p>Public disclosure of the Entity's recycling approach is made public in the Entity's Annual Report (page 81) 'Raw materials and waste', available at:</p> <p><a href="https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf">https://www.dammcorporate.com/sites/default/files/paragraph/investors_presentations_attach/2022_Annual_report_EN.pdf</a></p>
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The Entity collaborates with State-level Entities that manage the systems for the selective collection and recovery of used containers and packaging waste (Ecoembes and Ecovidrio).</p> <p>Agreements are in place with specialised firms to manage the waste of Aluminium products for recycling.</p> <p>Since 2021, an online platform known as RUNSZERO has been implemented to manage, track waste materials, and later collect and report waste materials information with other platforms including SDR (Sistema Documental de Residus) and E-DIR.</p>
<b>5. GREENHOUSE GAS EMISSIONS</b>		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>6. EMISSIONS, EFFLUENTS AND WASTE</b>		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>7. WATER STEWARDSHIP</b>		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>8. BIODIVERSITY AND ECOSYSTEM SERVICES</b>		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to "No Go" in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-l Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC)-- New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC)-- Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC)-- Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage-- Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
<b>10. LABOUR RIGHTS</b>		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a-c Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a-d Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>11. OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System – Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

#### ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf

of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	27 January 2021	Initial Certification Audit – Full Certification
1	8 February 2023	Surveillance Audit
2	27 January 2024	Re-Certification and Scope Change Audit – Full Certification. Scope Change to apply the ASI Performance Standard V3– Principles 1 to 4 (transition). Correction of the error in the Audit Date (in brackets) for the Initial Certification Audit.

---