

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# Constellium China

CERTIFICATE NUMBER  
**380**

ASI STANDARD  
**PERFORMANCE  
STANDARD  
(V3 2022)**

CERTIFICATION LEVEL  
**FULL  
CERTIFICATION**

ASI ACCREDITED  
AUDITING FIRM  
**DNV BUSINESS  
ASSURANCE SERVICES  
UK LTD.**

DATE OF ISSUE  
**18 JULY 2024**

DATE OF EXPIRY  
**17 JULY 2027**

CERTIFIED SINCE  
**18 JULY 2024**

## AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
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*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)*

## CERTIFICATION SCOPE

The production of automotive structures at Constellium operations located in China (Changchun and Nanjing).

# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

MEMBER NAME	Constellium
ENTITY NAME	Constellium China
CERTIFICATION SCOPE	The production of automotive structures at Constellium operations located in China (Changchun and Nanjing).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Material Conversion – Principles 1 to 4 (transition)</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Performance Standard V3</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit</li></ul>
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none"><li>20 November 2023 – 24 April 2024</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>14 June 2024</li></ul>
AUDIT SCOPE	<p>The Audit Scope includes the production of automotive structures at Constellium operations in Changchun and Nanjing, China.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Material Conversion – Principles 1 to 4 (transition)</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li><li><input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.</li></ul>
CERTIFICATION PERIOD	18 July 2024 – 17 July 2027
NEXT AUDIT TYPE	Surveillance Audit

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NEXT AUDIT DATE 18 January 2026

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CERTIFICATE NUMBER 380

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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

The Entity consists of two sites – the Changchun site and the Nanjing site. The Changchun site, Constellium Engley (Chang Chun) was established in late 2009 and mainly engaged in automotive structure manufacturing. In 2023, it produced and sold over 6,000 tonnes of Aluminium automotive structure to customers located in China. Our main customers include BMW, Mercedes Benz, Audi, and Hongqi. The production area is approximately 13,000 m<sup>2</sup>. The Facility includes one office building, two workshops, one goods house, heavy and mobile equipment area, equipment and supply storage, chemical storage, Hazardous Waste storage, a transformer distribution room and a computer room.

The city area is approximately ten kilometres (km) from the Facility, and nearby sensitive receptors include the Guieredougou Classified Forest (approximately 30 km east) and the Xinkai River (approximately 10 km west). Key external Stakeholders include the Government of Chaoyang, the Sanyou automotive structure, the Yingxin community, the fourth work's hospital and Ouya supermarket.

The Nanjing site, Constellium Automotive (Nanjing) was established in 2018 and is primarily engaged in automotive structure manufacturing. In 2023, it produced and sold 30,000 sets of front rails to customers located in China. Its main customer is Ford. The production Area is 20,000 m<sup>2</sup> and has over 30 employees on-site. The Facility has three floors. On the first floor, there is one office, three workshops, one warehouse, one maintenance house, one lab house, one chemical library and one Hazardous Waste house. The second floor includes six meeting rooms. The third floor includes one warehouse and six offices.

The city area is ten kilometres from the Facility, and nearby sensitive receptors include the Yangtze River (Chang Jiang) (approximately 2 km east). Key external Stakeholders include the Government of Xingang, Zhongjiaotong Logistics Park, and the Xingang Hospital.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
<b>SYSTEMS</b>	Medium	High	Medium	MEDIUM
<b>RISKS</b>	Medium	High	Low	MEDIUM
<b>PERFORMANCE</b>	Medium	Medium	Medium	MEDIUM
<b>OVERALL</b>	<b>MEDIUM</b>			

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. BUSINESS INTEGRITY</b>		
1.1 Legal Compliance	Conformance	Both of the Entity's sites (Changchun and Nanjing) obtain and identify the applicable legal requirements and other related requirements. The Entity conducts a compliance evaluation on an annual basis. No fines and corrective actions were issued from the government agencies and other Stakeholders.
1.2 Anti-Corruption	Conformance	<p>The Entity has implemented a Code of Conduct which includes principles relevant to environmental, social and governance performance. All employees are provided with the training course on the Code of Conduct. The ASI management manual stipulates that the Code of Conduct will be reviewed in case of Material environmental, social and governance risks caused by Business changes or when there are any indications of a control gap, and will be reviewed at least once every five years.</p> <p>The Code of Conduct is available at:  <a href="https://res.cloudinary.com/constellium/image/upload/v1682075891/PDF%20documents/Policies%20and%20Certifications/Code%20of%20Conduct%20-%202019/constellium_codeofconduct-2019-ch-web_pexjrw_kqwcwh.pdf">https://res.cloudinary.com/constellium/image/upload/v1682075891/PDF%20documents/Policies%20and%20Certifications/Code%20of%20Conduct%20-%202019/constellium_codeofconduct-2019-ch-web_pexjrw_kqwcwh.pdf</a></p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has implemented a Code of Conduct which includes principles relevant to environmental, social and governance performance. All employees are provided with training on the Code of Conduct. The ASI management manual stipulates that the Code of Conduct will be reviewed in case of Material environmental, social and governance risks caused by Business changes or when there are any indications of a control gap, and will be reviewed at least once every five years.</p> <p>The Code of Conduct is available:  <a href="https://res.cloudinary.com/constellium/image/upload/v1682075891/PDF%20documents/Policies%20and%20Certifications/Code%20of%20Conduct%20-%202019/constellium_codeofconduct-2019-ch-web_pexjrw_kqwcwh.pdf">https://res.cloudinary.com/constellium/image/upload/v1682075891/PDF%20documents/Policies%20and%20Certifications/Code%20of%20Conduct%20-%202019/constellium_codeofconduct-2019-ch-web_pexjrw_kqwcwh.pdf</a></p>
<b>2. POLICY AND MANAGEMENT</b>		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has established Policies on Human Rights, governance, environmental protection and Occupational Health and Safety (OH&amp;S), and develops and implements systems, procedures and processes that meet the requirements of these Policies. The two sites provide training courses on the Policies to employees.</p> <p>Human Rights Policy and Labor Practices:  <a href="https://res.cloudinary.com/constellium/image/upload/v1665599354/PDF%20documents/Policies%20and%20Certifications/Policies/Human%20Rights%20and%20Labor%20Practices/external_-_human_rights_policy_and_labor_practices_final_0_hkrjqx.pdf">https://res.cloudinary.com/constellium/image/upload/v1665599354/PDF%20documents/Policies%20and%20Certifications/Policies/Human%20Rights%20and%20Labor%20Practices/external_-_human_rights_policy_and_labor_practices_final_0_hkrjqx.pdf</a></p> <p>Policy on Environment, Health and Safety:  <a href="https://res.cloudinary.com/constellium/image/upload/v1665599074/PDF%20documents/Policies%20and%20Certifications/Policies/EHS%20Policy/ehspolicy2016-eng_1_bmfqjo.pdf">https://res.cloudinary.com/constellium/image/upload/v1665599074/PDF%20documents/Policies%20and%20Certifications/Policies/EHS%20Policy/ehspolicy2016-eng_1_bmfqjo.pdf</a></p>

CRITERION	RATING	COMMENT
2.2a-c Leadership	Conformance	The Entity's senior management is designated as the ASI Management Representatives who are responsible for the establishment and implementation of the ASI Performance Standard for each site. The Management Representative is responsible for communicating ASI Policies within the whole Entity. A cross-departmental ASI working group has been established to implement ASI standards within the Entity, ASI Policies and management procedures are communicated to all employees through various training courses.
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity has established, implemented, maintained, and continuously improved their Environmental Management Systems in accordance with the ISO 14001:2015 standard. Both sites hold valid ISO 14001:2015 certificates, demonstrating their commitment to effective environmental management and ongoing compliance.
2.3b Environmental and Social Management Systems - Social	Conformance	The Entity has established and implemented a Social Management System. Social risks related to Human Rights, OH&S and business ethics. The relevant impacts are identified and assessed, and the associated management provisions to prevent and/or mitigate these impacts are established and implemented.
2.4a-e Responsible Sourcing	Minor Non-Conformance	<p>The Constellium Group has established a Sustainable Sourcing Policy, which has been communicated to the Procurement Director at each respective site. The Sustainable Sourcing Policy is part of the ASI policy, available at:</p> <p><a href="https://res.cloudinary.com/constellium/image/upload/v1697186008/PDF%20documents/Policies%20and%20Certifications/Policies/Responsible%20Supply%20Chain%20Management%20Policy/External_Sustainable_Sourcing_Policy_v02Oct2023_sefkzk.pdf">https://res.cloudinary.com/constellium/image/upload/v1697186008/PDF%20documents/Policies%20and%20Certifications/Policies/Responsible%20Supply%20Chain%20Management%20Policy/External_Sustainable_Sourcing_Policy_v02Oct2023_sefkzk.pdf</a></p> <p>For the Changchun site, the Entity has developed and implemented Policies, systems, procedures and processes that conform to the responsible sourcing requirements. The Entity conducts second-party Due Diligence audits at major next-tier suppliers' sites to qualify them. The procurement team and relevant personnel are trained on responsible sourcing requirements annually.</p> <p>According to the ASI documented management procedure, the Purchasing Policy is review outside of periodic reviews as part management review meetings, when there are any changes to the Business that bring about significant changes in environmental, social and governance risks, or when there are indications of control deficiencies.</p> <p>For the Nanjing site, the Responsible Sourcing Policy is communicated with suppliers. assess the risks on the supply chain. However, it was identified no Due Diligence audits have been completed for the high-risk suppliers.</p>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable as the Entity has not undertaken any new construction projects or made significant changes to existing Facilities since 2020.
2.6a-h Human Rights Impact Assessment	Not Applicable	<p>This Criterion is not applicable, the Entity has not undertaken any new construction projects or made significant changes to existing Facilities since 2020.</p> <p>However, both sites have established and implemented documented procedures to identify and assess the risks to Human Rights and</p>

CRITERION	RATING	COMMENT
		<p>business ethics with relevant control measures and associated legal requirements. The Human Rights Impact Assessment report and management plans are available in the 2022 Sustainability Report: <a href="https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf">https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf</a></p> <p>In addition to the annual review, the Entity's senior management commits to review the management plans after any changes to the Business that alter Material Human Rights risk(s), as well as if there is any indication of a control gap.</p>
2.7a-f Emergency Response Plan	Conformance	<p>The Changchun site holds valid ISO 14001:2015 and ISO 45001:2018 certificates. As part of its management processes, the Entity has developed and implemented emergency response plans. The training of emergency response personnel and the execution of drills were verified during the Audit. The environmental emergency plans have been formally registered with relevant government agencies and are publicly disclosed on the entity's official website. The plans are available at: <a href="http://www.constellium.com/locations/changchun/download">http://www.constellium.com/locations/changchun/download</a></p> <p>The Nanjing site is in compliance with legal requirements and has established emergency Response Plans for production safety, occupational health and environmental accidents in collaboration with Workers, Affected Populations and Organisations. The plans are registered with the relevant government agencies such as the Emergency Response Bureau, and the Environment Protection Bureau. In accordance with legal requirements, the plans will be reviewed every three years. The plans are available at: <a href="https://www.constellium.com/locations/nanjing/download">https://www.constellium.com/locations/nanjing/download</a></p>
2.8a-d Suspended Operations	Conformance	<p>The Changchun site has developed a procedure for the 'Provisions on decision-making and administration of critical business matters' to address situations where it may have to suspend or significantly alter operations due to factors outside its control. The Entity commits to follow the Applicable Law and Policies on redundancies and consult employee organisations concurrently. The suspension process and management procedure will be reviewed in case of Material environmental, social and governance risks caused by Business changes or when there are any indications of a control gap and will be reviewed annually.</p> <p>The Nanjing site has established a Business continuity plan to address situations where it may have to suspend, or significantly alter operations due to factors outside its control, which could make Material adverse environmental, social and governance impacts.</p> <p>No suspension activity has occurred in the last three years at either of the Entity's sites.</p>
2.9a-b Mergers and Acquisitions	Conformance	<p>The Changchun site has established a management procedure for Mergers and Acquisitions (Investment and Construction Projects Management Procedure), senior management commits to conduct Due Diligence processes for mergers and acquisitions and review its environmental, social and governance practices including those associated with Historic Aluminium Operations.</p>

CRITERION	RATING	COMMENT
		<p>For the Nanjing site, all issues on Mergers and Acquisitions are managed at the corporate level, the site is responsible for implementing the action plan established by corporate.</p> <p>No such Mergers and Acquisition activity has occurred in the previous three years.</p>
2.10a-b Closure, Decommissioning and Divestment	Conformance	<p>The Changchun Site has established the management procedure for 'Closure, Decommissioning and Divestment (Provisions on decision-making and administration of critical business matters).' Senior management commits to review environmental, social and governance practices in the planning for closure, decommissioning and divestment, and develop a plan for monitoring Material environmental, social and governance impacts.</p> <p>For the Nanjing site, all issues on Closure, Decommissioning and Divestment are managed at the corporate level, the site will implement the action plan established by corporate. No such activity has happened in the previous three years.</p> <p>No Closure, Decommissioning and Divestment activities have occurred in the previous three years.</p>
<b>3. TRANSPARENCY</b>		
3.1a-b Sustainability Reporting	Conformance	<p>The Changchun site's annual 2022 Sustainability Report is available at: <a href="https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf">https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf</a></p> <p>Key Performance Indicators (KPI) are included in the Report, such as governance, Human Rights, EHS, number of accidents, and the interaction and contribution to the Local Community, legal compliance information from the local authority.</p> <p>The Nanjing site provides the relevant information for the 2023 corporate annual Sustainability Report, which is compiled by corporate and publicly disclosed at: <a href="https://res.cloudinary.com/constellium/image/upload/v1710845486/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%202024/2023_Constellium_Sustainability_Report_English.pdf">https://res.cloudinary.com/constellium/image/upload/v1710845486/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%202024/2023_Constellium_Sustainability_Report_English.pdf</a></p>
3.2 Non-compliance and Liabilities	Conformance	<p>The Entity publicly discloses information on significant fines, judgments, penalties and non-monetary sanctions for failure to comply with Applicable Law. There were no significant fines or penalties imposed on the Entity as reported in 2022 sustainability and annual accounting reports.</p> <p>Corporate Sustainability Report (2022): <a href="https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf">https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf</a></p> <p>Annual accounting report: <a href="https://www.constellium.com/investors/sec-filings">https://www.constellium.com/investors/sec-filings</a></p>



CRITERION	RATING	COMMENT
3.3a-c Payments to Governments	Conformance	<p>The Entity's annual accounting reports are disclosed on the official website: <a href="https://www.constellium.com/investors/sec-filings">https://www.constellium.com/investors/sec-filings</a></p> <p>Payments to government are legally required, such as tax etc. payments to governments on a legal and/or contractual basis.</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has implemented effective whistleblowing, complaint, and grievance channels. The hotline and email address are published to Stakeholders internally and externally. No complaints have been received to date.</p> <p>Integrity hotline: <a href="https://www.constellium.com/integrity-hotline">https://www.constellium.com/integrity-hotline</a> Contact Form: <a href="https://www.constellium.com/contact">https://www.constellium.com/contact</a></p>
<b>4. MATERIAL STEWARDSHIP</b>		
4.1a Environmental Life Cycle Assessment	Conformance	<p>The Entity has conducted Cradle-to-gate Life Cycle Assessment (LCA) evaluations on its primary Product lines, encompassing beverage cans, automotive parts, and crash management systems. The Entity has taken measures to ensure the integrity and accuracy of the LCA by using third-party LCA experts to verify the methodology. The LCA studies are not publicly disclosed, but are available to their customers upon request. Constellium's global LCA model calculates the carbon footprint and other environmental impacts from different life cycle phases of a Product or activity, according to ISO 14040-44 standards.</p> <p>More information on the LCAs are included in the corporate 2022 Sustainability Report, page 31: <a href="https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf">https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf</a></p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The Entity's Life Cycle Assessment (LCA) report addresses all production processes and production lines. The Environmental LCA report is published at: <a href="https://res.cloudinary.com/constellium/image/upload/v1657031322/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/constellium_business_sustainability_report_2021_en_u7qjqy.pdf">https://res.cloudinary.com/constellium/image/upload/v1657031322/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/constellium_business_sustainability_report_2021_en_u7qjqy.pdf</a></p>
4.2 Product Design	Not Applicable	<p>This Criterion is not applicable as both sites (Changchun and Nanjing) do not have product design responsibilities at the site level. The products of both sites are processed according to the customers' specifications and do not involve any Product design processes.</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>Both sites collect, recycle and reuse all process Scrap and non-conforming Products. The target of the process Scrap is defined as 100% recycled. The recycling records of the process Scrap and non-conforming Products show the target is achieved. Based on-site observations, the Entity separates Aluminium alloys and grades for recycling.</p>
4.4a-c Collection and Recycling of Products at End of Life - Material	Conformance	<p>The Entity has established the recycling strategy for both sites (Changchun and Nanjing), including specific timelines, activities and targets. The Entity's parent company has established the use rate of Recycled Aluminium to be 41% in 2022, and 17% was Post-Consumer Scrap. The Entity has robust recycling targets that defines at least 50%</p>

CRITERION	RATING	COMMENT
Conversion and other Manufacturing		of all Aluminium Inputs are to be sourced from recycled sources by 2050. The strategy is available at: <a href="https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf">https://res.cloudinary.com/constellium/image/upload/v1678721325/PDF%20documents/Brochures%20and%20Reports/Business%20and%20Sustainability%20Reports/Reports%20and%20Brochure%202022-23/Sustainability_Report_2022_-_En_jwbvej.pdf</a>
4.4d Collection and Recycling of Products at End of Life	Conformance	Because there are no complete local, regional or national collection and recycling systems for Aluminium Scrap in China, the Entity is working with its customers to decide how to improve the recycling rate of Products at End of Life.
<b>5. GREENHOUSE GAS EMISSIONS</b>		
5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>6. EMISSIONS, EFFLUENTS AND WASTE</b>		
6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>7. WATER STEWARDSHIP</b>		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>8. BIODIVERSITY AND ECOSYSTEM SERVICES</b>		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to "No Go" in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
<b>10. LABOUR RIGHTS</b>		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a-c Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>11. OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

### ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable Law, or will avoid any Breach from occurring.

### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	18 July 2024	Initial Certification Audit – Full Certification

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