

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Audi AG

CERTIFICATE NUMBER

105

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED AUDITING
FIRM

TÜV RHEINLAND CERT
GMBH

DATE OF ISSUE

17 JULY 2024

DATE OF EXPIRY

16 JULY 2027

CERTIFIED SINCE

7 DECEMBER 2020

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Chain of Custody for rolled material
press shop operations to provide
ASI Material through Aluminium
closed Loop, located at Audi
Brussels S.A./N.V., Brussels, Belgium,
Audi Hungaria Zrt., Győr, Hungary,
AUDI AG, Ingolstadt, Germany and
AUDI AG, Neckarsulm, Germany.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	AUDI
ENTITY NAME	Audi AG
CERTIFICATION SCOPE	Chain of Custody for rolled material press shop operations to provide ASI Material through Aluminium closed Loop, located at Audi Brussels S.A./N.V., Brussels, Belgium, Audi Hungaria Zrt., Győr, Hungary, AUDI AG, Ingolstadt, Germany and AUDI AG, Neckarsulm, Germany.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (20 – 21 October 2020)Re-Certification and Scope Change Audit (4 – 6 March 2024)
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	<ul style="list-style-type: none">20 – 21 October 2020 (Initial Certification Audit)4 – 6 March 2024 (Re-Certification and Scope Change Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">10 November 2020 (Initial Certification Audit)22 April 2024 (Re-Certification and Scope Change Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (20 – 21 October 2020)</u></p> <p>The Audit Scope included Chain of Custody (CoC) for Neckarsulm and Ingolstadt facilities.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">Post-Casthouse <p>All relevant criteria from the ASI Chain of Custody Standard were included in the Audit Scope.</p> <p><u>Re-Certification and Scope Change Audit (4 – 6 March 2024)</u></p> <p>The Audit Scope included Chain of Custody (CoC) for Neckarsulm, Ingolstadt, Brussels and Győr facilities.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">Post-Casthouse <p>The Audit has been undertaken as a 'desktop' exercise in accordance with the ASI Assurance Manual and included a remote review of relevant documentation. All relevant criteria from the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

17 July 2024 – 16 July 2027

NEXT AUDIT TYPE

Re-Certification Audit

NEXT AUDIT DUE DATE

16 July 2027

CERTIFICATE NUMBER

105



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Audi (the 'Entity') is a Germany headquartered car manufacturer which in 2023, produced approximately 1.9 million units, assembled by over 69,000 employees worldwide. The major European production sites include Ingolstadt (Germany; 274 hectares), Neckarsulm (Germany; 130 hectares), Brussels (Belgium; 59 hectares) and Győr (Hungary; 514 hectares). Aluminium is a very important raw material for Audi, especially in the transition phase to battery electric vehicles (BEV). Own Aluminium manufacturing is focused on stamping and pressing sheet metal. There are no mining or refining activities in the Group.

Key external stakeholders include end customers, the European Community with the national governments, global civil society and Non-Governmental Organisations (NGOs) like Transport & Environment, business partners both upstream and downstream and the neighbours of the Entity's production sites.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	High
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity is an ASI Member in good standing, committed to complying with ASI's membership obligations and the ASI complaints mechanism. The Entity is in the Industrial User membership class and holds a valid Performance Standard certificate. For further information please see the ASI website: https://aluminium-stewardship.org/about-asi/asi-members/audi
1.2 CoC Management System	Conformance	The Entity has established a Management System for handling ASI Material under the requirement of the Chain of Custody Standard. The Chain of Custody (CoC) Management System is integrated into the Entity's Sustainability Management System. A dedicated procedure addressing all ASI CoC Criteria is implemented. The system is reviewed annually on a technical and managerial level.
1.3 CoC Management System Monitoring	Conformance	The Entity has established procedures and processes to regularly review its ASI Chain of Custody Management System. The latest review occurred in October 2023. The review confirmed the effectiveness of the system.
1.4 Management Representative	Conformance	The Entity has appointed an ASI Manager who is responsible for the implementation and conformance of the ASI Chain of Custody Standard. A defined work contract is established to address responsibility and authority.
1.5 Communications and Training	Conformance	The Entity has developed and implemented communications and training measures that make relevant personnel aware of and competent in their responsibilities under the ASI Chain of Custody Standard. Adequate training has been demonstrated.
1.6 Records Management	Conformance	The Entity maintains up-to-date records covering all applicable requirements of the ASI Chain of Custody Standard. The Entity's standard operating procedures define the retention time as a minimum of five years and prescribes systems for record storage.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity's operating procedure specifies that the Entity will report Input and Output quantities of CoC Material to/from the Entity over the calendar year to the ASI Secretariat by June 30 th each year.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity's operating procedure specifies that the Entity will report Input and Output quantities of Eligible Scrap to/from the Entity over the calendar year to the ASI Secretariat by June 30 th each year. The Entity is not engaged in Aluminium Re-Melting/Refining to produce Recycled Aluminium.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity's operating procedure specifies that the Entity will report Inflow and Outflow quantities of Non-CoC Material/s to/from the Entity over the calendar year to ASI Secretariat by June 30 th each year.

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity's operating procedure specifies that the Entity will report any Positive Balance carried over to the subsequent Material Accounting Period to the ASI Secretariat by June 30 th each year.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity's operating procedure specifies that the Entity shall report the Positive Balance used, if any, to the ASI Secretariat by June 30 th each year.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity's operating procedure specifies that the Entity must report the Internal Overdraw drawn down from the subsequent Material Accounting Period, if any, to the ASI Secretariat by June 30 th each year.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity's operating procedure specifies that the Entity shall report the quantities of CoC Material/s transferred between supply chain activities within the CoC Certified Entity (Intra-Entity Flows) over the calendar year (if applicable, as this is required only for Entities with more than one type of CoC Material Output) to ASI Secretariat by June 30 th each year.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is currently not applicable, as the Entity does not have outsourcing activities.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is currently not applicable, as the Entity does not have outsourcing activities.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is currently not applicable, as the Entity does not have outsourcing activities.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is currently not applicable, as the Entity does not have outsourcing activities.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is currently not applicable, as the Entity does not have outsourcing activities.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is currently not applicable, as the Entity does not have outsourcing activities.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is currently not applicable, as the Entity does not have outsourcing activities.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
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CRITERION	RATING	COMMENT
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Unique Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	<p>Volkswagen Group has established a Code of Conduct for business partners as a basis for cooperation: https://www.audi.com/de/sustainability/ethical-leadership/documents-policies.html</p> <p>Business partners must reject and prevent all forms of corruption, including what are known as facilitation payments (payments to speed up the performance of routine tasks by officials) and business partners must ensure that their employees, sub-Contractors and agents do not grant, offer or accept bribes, kickbacks, improper donations or other improper payments or benefits to or from customers, officials or other third parties.</p>
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	<p>Volkswagen Group has established a Code of Conduct for business partners as a basis for cooperation: https://www.audi.com/de/sustainability/ethical-leadership/documents-policies.html</p> <p>Business partners must comply with their due diligence obligations as described in the "OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas" regarding relevant raw materials.</p>

CRITERION	RATING	COMMENT
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	<p>Volkswagen Group has established a Code of Conduct for business partners as a basis for cooperation: https://www.audi.com/de/sustainability/ethical-leadership/documents-policies.html</p> <p>The Code of Conduct addresses Human Rights and labour rights, and it requires business partners to adhere to the Code and to contractually pass on all sustainability requirements to those business partners that affect the contractual relationship with the Volkswagen Group.</p>
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	<p>Volkswagen Group has established a Code of Conduct for business partners as a basis for cooperation: https://www.audi.com/de/sustainability/ethical-leadership/documents-policies.html</p> <p>The Code of Conduct requires business partners to demonstrate a 'duty of care' to promote responsible raw material supply chains statement and supports the use of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas. The Code is accessible at: https://www.audi.com/de/sustainability/ethical-leadership/documents-policies.html</p>
7.2 Risk Assessment and Mitigation	Conformance	<p>Sustainability in the Entity's supply chain is a fully integrated part of the procurement practice. A "Sustainability management in supplier relations" framework has been established and described in a company Policy. The framework consists of a Sustainability Rating System, known as 'S-rating', to assess supplier risk and performance in many dimensions. The S-rating evaluates aspects from the Code of Conduct for business partners and is integrated into supplier agreements. Minimum requirements and scoring have to be fulfilled to be an approved supplier to the Entity.</p>
7.3 Complaints Resolution Mechanism	Conformance	<p>The Entity has established a complaints mechanism process to make it possible for persons to voice concerns about non-compliance with its Responsible Sourcing Policies in the Code of Conduct for business partners. The reporting options and points of contact for reporting misconduct are available on the Entity's website (https://www.audi.com/de/company/compliance-riskmanagement/whistleblower-system.html) and Code of Conduct Document (page 34): https://www.volkswagenag.com/presence/nachhaltigkeit/documents/policy-intern/2019_Code_of_Conduct_for_Business_Partners-DE-EN.pdf</p>
8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	<p>The Entity has established a Material Accounting System that safeguards the integrity of CoC Material. The system consists of a specific Material Accounting System keeping track of the Chain of Custody material flow fit for governance and reporting. It is based on the Entity's IT system.</p>
8.2 Material Accounting Period	Conformance	<p>The Entity has decided to use the calendar year as its accounting period.</p>

CRITERION	RATING	COMMENT
8.3 Input and Inflow Quantities	Conformance	The Entity's Management System includes a Material Accounting System that records the quantities of transboundary movements of Aluminium (received, transferred and shipped).
8.4 Output Quantities of CoC Material	Conformance	The Material Accounting System is based on the Entity's Enterprise Resource Planning (ERP) system. The Entity does not have a Certified or declared Outflow of products containing CoC Material. In a closed loop, the generated Aluminium Scrap from CoC Material is supplied back to ASI CoC Certified Aluminium suppliers.
8.5 Indivisibility of CoC Material	Conformance	The Entity's Output Quantity of Chain of Custody Material is designated as 100%. A closed loop system and material accounting are established to govern the flow and documentation of Chain of Custody Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity's Material Accounting System calculates the Output Quantity from internal eligible Pre-Consumer Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's Material Accounting System is set up and internal control mechanisms ensure that Outputs do not exceed Inputs.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity has established a process to control the material accounting and to make sure that Internal Overdraw does not exceed 20% of CoC Material Input quantities to the next accounting period, if subjected to a Force Majeure situation.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's Material Accounting System and internal control mechanisms ensure that the Internal Overdraw does not exceed the amount of Chain of Custody material affected by a Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's Material Accounting System and internal control mechanisms ensure that Internal Overdraw is to be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's Material Accounting System and internal control mechanisms ensures that a Positive Balance is identified and carried over to the subsequent Material Accounting Period.
8.9b Positive Balance (Expiry)	Conformance	The Entity's Material Accounting System and internal control mechanisms ensure that a Positive Balance carried over to the subsequent Material Accounting Period will expire at the end of that period if not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities . This is evidenced through a review of the Entity's ASI Chain of Custody related procedures, review of CoC Documents and interviews with personnel.

CRITERION	RATING	COMMENT
9.2a CoC Document Content (Date of issue)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The CoC Documents include the date of issue.
9.2b CoC Document Content (Reference number)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The CoC Documents include a reference number.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified entities. The CoC Documents include information about the issuing Entity.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The CoC Documents include the identity and address of the recipient of the CoC Material, and if it is another CoC Certified Entity, their CoC Certification number.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The CoC Documents include the responsible employee of the Entity who can verify information in the CoC Document.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The CoC Documents include a statement confirming that "The information provided in the CoC Document is in conformance with the ASI CoC Standard."
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The CoC Documents include the type of CoC Material in the shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The CoC Documents include mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The CoC Documents include the mass of the total Material in the shipment.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable, as the Entity does not intend to provide optional data in its CoC Documents.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable, as the Entity does not intend to provide optional data in its CoC Documents.

CRITERION	RATING	COMMENT
9.3c Sustainability Data (optional) – Recycled content	Not Applicable	This Criterion is not applicable, as the Entity does not intend to provide optional data in its CoC Documents.
9.3d Sustainability Data (optional) – Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable, as the Entity does not intend to provide optional data in its CoC Documents.
9.4 Supplementary Information (optional) – Objective evidence	Not Applicable	This Criterion is not applicable, as the Entity does not intend to provide optional data in its CoC Documents.
9.5 Verification of Information	Conformance	Relevant personnel have been trained and are aware of their duties under the ASI Chain of Custody Standard. The system appears to be robust to document verification requests, errors, corrective actions and any potential preventive measures. A document review during the Audit confirmed that the Entity responds appropriately to requests for verification of information in CoC Documents issued by the Entity.
9.6 Error (Shipping)	Conformance	The Entity has developed an error management procedure and practice. A detailed review of Chain of Custody Material flow is established through meetings and regular contact with suppliers and customers.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has implemented a procedure and has established routines to regularly check the consistency of the received CoC Documents.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has implemented a procedure and established routines to regularly check the consistency of the CoC Documents before recording information in the accounting system.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has implemented a procedure and established routines to regularly check the ASI website to verify the validity and scope of the supplier's ASI Certification Status.
10.4 Error (Reception)	Conformance	The Entity has designed verification steps to review the consistency of received CoC Documents. Relevant staff have been trained accordingly. The Entity's approach to error handling for received CoC Documents is the same as for issued CoC Documents.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Not Applicable	This Criterion is currently not applicable, as the Entity does not want to make claims and/or representations about CoC Material outside of CoC Documents.
11.1b Claims and Communications (Verifiable evidence)	Not Applicable	This Criterion is currently not applicable, as the Entity does not want to make claims and/or representations about CoC Material outside of CoC Documents.

CRITERION	RATING	COMMENT
11.1c Claims and Communications (Employee training)	Not Applicable	This Criterion is currently not applicable, as the Entity does not want to make claims and/or representations about CoC Material outside of CoC Documents.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	7 December 2020	Initial Certification Audit – Full Certification
1	17 July 2024	Re-Certification and Scope Change Audit from Chain of Custody Standard V1 to V2