

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

NANJING CHERVON AUTO PRECISION TECHNOLOGY CO., LTD.

CERTIFICATE
NUMBER

348

ASI
STANDARD

PERFORMANCE
STANDARD
(V2 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

TÜV SÜD

DATE OF ISSUE

15 NOVEMBER 2024

DATE OF EXPIRY

14 NOVEMBER 2027

CERTIFIED SINCE

21 FEBRUARY 2024

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', written over a horizontal line.

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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Production and sale of key automotive components
at the Nanjing Chervon Auto Precision Technology
Co., Ltd. facility in Nanjing, China.

SUMMARY AUDIT REPORT

PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Nanjing Chervon Auto Precision Technology Co., Ltd.
ENTITY NAME	Nanjing Chervon Auto Precision Technology Co., Ltd.
CERTIFICATION SCOPE	Production and sale of key automotive components at the Nanjing Chervon Auto Precision Technology Co., Ltd. facility in Nanjing, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">● Casthouses● Semi-Fabrication● Material Conversion (Industrial Users)
ASI STANDARD	Performance Standard V2
AUDIT TYPE	<ul style="list-style-type: none">● Initial Certification Audit (13 March – 17 October 2023)● Surveillance Audit (6 – 8 August 2024)
AUDIT FIRM	TÜV SÜD
AUDIT DATE	<ul style="list-style-type: none">● 13 March – 17 October 2023 (Initial Certification Audit)● 6 – 8 August 2024 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">● 28 November 2023 (Initial Certification Audit)● 6 September 2024 (Surveillance Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (13 March – 17 October 2023)</u></p> <p>The audit scope covers the Nanjing Chervon Auto Precision Technology Co., Ltd facility in Nanjing, China.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">● Casthouses● Semi-Fabrication● Material Conversion (Industrial Users) <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Surveillance Audit (6 – 8 August 2024)</u></p> <p>The audit scope covers the Nanjing Chervon Auto Precision Technology Co., Ltd facility in Nanjing, China.</p>

Supply chain activities included in the audit scope:

- Casthouses
- Semi-Fabrication
- Material Conversion (Industrial Users)

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT
OUTCOME

- Certification
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AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
 - The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION
PERIOD

15 November 2024 – 14 November 2027

NEXT AUDIT
TYPE

Re-Certification Audit

NEXT AUDIT
DUE DATE

14 November 2027

CERTIFICATE
NUMBER

348

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	<p>The Entity has established a process to monitor, identify and ensure Compliance with Applicable Law and customer requirements. The Entity's Legal Department is responsible for the identification of Applicable Law and its assessment at least annually, which covers labour, ethics, health and safety and environmental issues.</p> <p>Training is provided for relevant employees at least twice per year. Compliance audits are conducted by clients, with the latest conducted in May 2024.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has established a system, including the implementation of ethics procedures, which addresses Bribery, Corruption, antitrust and conflicts of interest. There is no identified risk or evidence of Bribery or obtaining undue or improper advantage being promised, offered, authorised, given or accepted.</p>
1.3 Code of Conduct	Conformance	<p>The Entity has established a Code of Conduct in its ASI Performance Manual, which addresses environmental, social and governance performance. The Code of Conduct is communicated with employees, suppliers and other Stakeholders.</p>
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	<p>The Entity has established an environmental, social and governance Policy as part of its Management System. The Entity has obtained ISO 14001, ISO 45001, ISO 5001 and ISO14064 certificates.</p> <p>The Policy is disclosed on-site and communicated with Workers and is available on the Entity's website.</p>
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	<p>The Entity's senior management are committed to the implementation of the Management System. A Management Representative has been appointed with responsibility for the Entity's Policies that address labour, ethics, health and safety and environment. The effectiveness of the Management System is reviewed during annual internal audits and management reviews.</p>
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	<p>The Entity has established an ASI Performance Standard Manual, which includes relevant Environmental, Social and Governance (ESG) Policies and Procedures and is communicated internally via on-site posts and the internal website</p>

CRITERION	RATING	COMMENT
		as well as externally to clients and suppliers. Training is also provided for relevant employees.
2.2 Leadership	Conformance	The Entity's Vice General Manager has been appointed as the Management Representative with responsibilities being defined in a Letter of Appointment. This role also is responsible for the effective provision of resources required to establish, implement, maintain and improve the Entity's Management Systems.
2.3a Environmental and Social Management Systems (environmental)	Conformance	The Entity has established and implemented an Environmental, Health and Safety (EHS) Management System that has been endorsed by the executive management. The EHS Management Manual has been endorsed by the EHS Supervisor. The Entity has obtained ISO 14001 certification of their EHS Management System: www.chervonauto.com/web/toStaticHtml?type=5 Internal audits and management reviews of the EHS Management System are conducted.
2.3b Environmental and Social Management Systems (social)	Conformance	The Entity has implemented its Social Responsibility Management Manual and procedures for conducting risk assessments, internal audits and management review of the Social Management System.
2.4 Responsible Sourcing	Conformance	The Entity has implemented a Responsible Sourcing Policy, which has been communicated with its suppliers. The Entity has also undertaken supplier assessments in accordance with its Supplier Management Procedure. The Policy is reviewed at least every five years, or upon any significant changes to the Business. The Entity has conducted audits for all its major suppliers.
2.5 Impact Assessments	Conformance	The Entity has undertaken Impact Assessments addressing Human Rights, environmental, health and safety and social responsibility at least annually. The Entity's risk assessment procedures address the requirement for Environmental and Social Impact Assessments for New Projects or Major Changes to existing Facilities. The Environmental and Social Impact Management Plan is reviewed every five years, or when a significant change occurs. A Corrective Action Plan (CAP) is developed and implemented for any non-conformance identified. The Human Rights and Environmental and Social Impact Assessment is disclosed on the Entity's website.

CRITERION	RATING	COMMENT
2.6 Emergency Response Plan	Conformance	The Entity has developed Emergency Response Plans (ERP), which are based on the risk assessment and addresses crisis organisation, communication guidelines and business recovery plans. An Emergency Response Team (ERT) has been established and trained. The ERP is registered with the local bureau and has been communicated with the Local Community. Drills relating to the implementation of the ERP are performed annually.
2.7 Mergers and Acquisitions	Conformance	Mergers and acquisitions are managed at a group level by Chervon Group. The Due Diligence process is also managed and organised by Chervon Group. Group procedures are relevant for the Entity. There have been no mergers or acquisitions related to the Entity in the past three years.
2.8 Closure, Decommissioning and Divestment	Conformance	Closures, decommissioning and divestment activities are managed at a group level by Chervon Group. Procedures and investigations are established for the Entity.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Entity has developed and implemented Policies, procedures and processes that conform to the sustainability reporting requirements and has established a communication procedure for communication with Stakeholders including the government on environmental, social and economic impacts. The Entity prepared both a 2023 Corporate Social Responsibility Report (not publicly disclosed) and a 2023 Annual Report which discloses its governance approach and Material ESG issues, refer pages 38-59: https://www.sse.com.cn/disclosure/listedinfo/announcement/c/new/2024-04-25/603982_20240425_HLJ1.pdf
3.2 Non-compliance and liabilities	Conformance	The Entity has developed and implemented Policies, systems, procedures and processes that conform to the sustainability reporting requirements. Information on significant fines, judgments, penalties and non-monetary sanctions for failure to comply with Applicable Law is disclosed in the 2023 Annual Report. The Entity had not received such a sanction in the reporting period.
3.3a Payments to governments (legal and contractual)	Conformance	The Entity has publicly disclosed its governance approach which stipulates that it only makes, or has made on its behalf, payments to Governments on a legal and/or contractual basis. It has developed and

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		implemented Policies and Procedures to address anti-Corruption requirements, including the Entity's Anti-Corruption and Anti-Bribery Policy.
3.3b Payments to governments (disclosure - bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	The Entity has established a Stakeholder Grievance Mechanism and communication process to address complaints, grievances and requests for information from internal and external Stakeholders. Further information is available in the 2023 Annual Report: https://www.sse.com.cn/disclosure/listedinfo/announcement/c/new/2024-04-25/603982_20240425_HLJ1.pdf
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	The Entity has conducted Life Cycle Assessments (LCA) for its major Products, which was determined based on sales volumes. The LCA currently addresses only the Products' carbon footprint.
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	The Entity has implemented its LCA Procedure and developed LCAs, consistent with ISO 14040 and ISO 14044, for its major Products. The LCA certificates (ISO 14067) are available at: www.chervonauto.com/web/toStaticHtml?type=5
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	The Entity's LCAs for its major Products are available at: http://www.chervonauto.com/web/toStaticHtml?type=5 and http://www.chervonauto.com/web/toNewsDesc?newId=76
4.2 Product design	Conformance	The Entity has established procedures for LCA in the Product design process, and the LCA is required to consider various environment impacts including energy, material consumption, water, air emissions and waste. To date, GHG emissions have been integrated into the product design process.
4.3a Aluminium Process Scrap (targets)	Conformance	The Entity has established targets for managing Process Scrap. The objectives and targets are issued for each process and are reviewed monthly. The Entity has implemented a procedure for the classification and disposal of the different types of Aluminium Scrap. Scrap is recycled and monitoring records are maintained to demonstrate recycling rates.

CRITERION	RATING	COMMENT
4.3b Aluminium Process Scrap (alloy separation)	Conformance	The Entity has established clear targets for Process Scrap. The targets are issued for each process and are reviewed monthly. The Entity separates Aluminium alloys and grades for recycling. The volume data for recycled Aluminium is kept.
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	The Entity has informed its customers on potential opportunities to influence recycling rates through Product design and by providing additional information on the Product. The Entity has established a recycling plan which includes specific timelines, activities and targets.
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	There are no local, regional, or national collection and recycling systems where the Entity is located. The Entity has issued a Policy to increase recycling rates in their respective markets for their Products containing Aluminium and has developed plans to cooperate with customers and recycling companies. These plans are reviewed annually.
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	The Entity has communicated its GHG (Greenhouse Gas) emissions and energy reduction achievements in its Carbon Verification Report. A GHG emissions management procedure with a documented methodology for determining Material GHG Emissions and energy use by source has been established. http://www.chervonauto.com/web/toNewsDesc?newsId=76
5.2 GHG emissions reductions	Conformance	The Entity has established GHG Emissions reduction targets, which are communicated to employees via the Social Responsibility Report. A summary of this Report is publicly available at: http://www.chervonauto.com/web/toNewsDesc?newsId=76 Improvement programs to reduce both Direct and Indirect GHG Emissions have been established and the Entity regularly reviews its progress against these programs.
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		
6.1 Emissions to Air	Conformance	<p>The Entity's Emissions to Air are monitored annually and the emissions inventory by source and type are published in the 2023 Annual Report, page 53-56: https://www.sse.com.cn/disclosure/listedinfo/announcement/c/new/2024-04-25/603982_20240425_HLJ1.pdf</p> <p>The Entity has established a procedure with a documented methodology for the monitoring and management of Emissions to Air. Calibration records for instrumentation used for monitoring emissions are maintained. A risk assessment associated with air emissions and air quality to determine effects on humans and the environment is conducted annually.</p>
6.2 Discharges to Water	Conformance	<p>The Entity has established a wastewater inventory to control Discharges to Water. Industrial wastewater is collected as a Hazardous Waste and transferred by a third party. Domestic wastewater is treated at the Entity's internal wastewater treatment plant before discharge into the local municipal system. A documented procedure has been established addressing the management and monitoring of its wastewater discharges. The Entity's wastewater data by source and type are published in the Annual Report, page 53-55: https://www.sse.com.cn/disclosure/listedinfo/announcement/c/new/2024-04-25/603982_20240425_HLJ1.pdf</p>
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	<p>The Entity has engaged a qualified third party to assess the risks of Spills and Leakages and to develop Emergency Response Plans (ERP). These Plans have been approved by local bureau. Site observations confirmed control measures have been appropriately implemented for the identified potential risks. No Spills or Leakages have been reported.</p>
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	<p>The Entity has implemented a Spills and Leakages Management Plan, which is reviewed at least every three years, or upon any significant change. Corrective action plans are developed as required.</p>
6.4a Reporting of Spills (immediate disclosure)	Conformance	<p>The Entity has implemented an Emergency Response Plan (ERP), which addresses the reporting of Spills and Leakages to affected parties, emergency organisations and the local bureau. The</p>

CRITERION	RATING	COMMENT
		ERP has been registered and approved by the local bureau.
6.4b Reporting of Spills (regular reporting)	Conformance	The Entity has implemented an Emergency Response Plan (ERP), which addresses the reporting of Spills and Leakages. Management actions associated with Spills and Leakages is disclosed in the publicly available summary of the Entity's internal Corporate Social Responsibility Report: http://www.chervonauto.com/web/toNewsDesc?newId=76
6.5a Waste management and reporting (strategy)	Conformance	The Entity's Waste management procedure addresses the process for receiving, storing, dispensing and disposal. The Entity has implemented processes to appropriately collect and dispose all Waste and has developed continual improvement targets to reduce Waste generation per unit. Targets are reviewed quarterly by the management team. The impact of waste on people and the environment is also assessed annually. Hazardous Waste is collected and treated by qualified companies and Waste Transfer Reports are recorded on the government platform.
6.5b Waste management and reporting (disclosure)	Conformance	The Entity's Waste management procedure addresses the publication of Waste management information. Waste information is disclosed in the 2023 Annual Report and the publicly available summary of the Social Responsibility Report: https://www.sse.com.cn/disclosure/listedinfo/announcement/c/new/2024-04-25/603982_20240425_HLJ1.pdf and http://www.chervonauto.com/web/toNewsDesc?newId=76
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (state of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Conformance	The Entity has implemented a Dross management procedure that addresses the collection and recycling of Aluminium Dross. Dross is collected and sent to a qualified company for treatment and recycling. Dross manifests and shipping papers are maintained. Training is provided for employees in the handling and collection of Dross.
6.8b Dross (recycling)	Conformance	The Entity has implemented a Dross management procedure that addresses the collection and recycling of Aluminium Dross. Dross is collected and sent to a qualified company for treatment and recycling. Dross manifest and shipping documentation is maintained.
6.8c Dross (review of alternatives)	Conformance	The Entity's Dross is collected and sent to a qualified company for treatment and recycling for use in other products such as construction materials. Dross is not disposed to landfill. The Entity annually performs on-site audits at the recycling company to ensure Dross residues are not landfilled.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Minor Non-Conformance	The Entity has assessed its water consumption as part of the Environmental Impact Assessment (EIA) and in development of its environmental emergency plans. The water balance assessment was conducted. The EIA has determined that the Entity's water source is compliant with legal requirements and approved by the local bureau. However, one water flow meter (purified water used for cleaning purpose) was not calibrated.

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7.1b Water assessment (risk assessment)	Conformance	The Entity has assessed its water-related risks as part of the EIA, which determined the risk as low.
7.2a Water management (management plans)	Conformance	The Entity has established annual water use reduction targets and implemented programs to achieve these targets.
7.2b Water management (monitoring)	Conformance	The Entity has established annual water use reduction targets and implemented programs to achieve the targets. The targets and progress of the programs are reviewed monthly.
7.3 Disclosure of water usage and risks	Conformance	The Entity has implemented a procedure to address the publication of water management information, including water-related risks and control programs. Water data are disclosed at: http://www.chervonauto.com/web/toNewsDesc?newId=76
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	The Entity has established a procedure with a documented methodology for determining the Materiality of impacts on Biodiversity from its land use and activities within its Area of Influence. The biodiversity assessment is addressed in the EIA, which includes affected peoples and external Stakeholders and was prepared by a third party. An updated Biodiversity assessment was completed in October 2023.
8.2a Biodiversity management (biodiversity action plans)	Conformance	The Entity has established action plans for Biodiversity management, approved by the local environment bureau during the EIA approval process. A revised action plan has been established and the Entity has prepared internal and external reports to support ongoing management actions. Affected personnel and external stakeholders (government and community) have been consulted, informed and trained on the management plans and controls. Relevant information is disclosed on the Entity's website at: http://www.chervonauto.com/web/toNewsDesc?newId=76
8.2b Biodiversity management (consultation and mitigation hierarchy)	Conformance	The Entity has established action plans for Biodiversity management, which were approved by the local environment bureau during the EIA approval process. An action plan has been established and the Entity has prepared internal and external reports to support ongoing

CRITERION	RATING	COMMENT
		management actions. Affected personnel and external Stakeholders (government and Community) have been consulted, informed and trained on management plans and controls.
8.2c Biodiversity management (reporting)	Conformance	The Entity has established a procedure for the disclosure of Biodiversity risks and management outcomes. Relevant information is disclosed in the summary of the Entity's Corporate Social Responsibility Report, available at: http://www.chervonauto.com/web/toNewsDesc?newId=76
8.3 Alien Species	Conformance	The Entity has assessed the risk of introduction of Alien Species, which determine the risk is low. Biodiversity action plans have been implemented that include where relevant actions on Alien Species.
8.4a Commitment to "No Go" in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4b Commitment to "No Go" in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The Entity has committed to respecting Human Rights and has implemented its Code of Conduct. The Entity has identified the risk of Human Rights and provides training for all employees.
9.1b Human Rights Due Diligence (process)	Conformance	The Entity has established a Social Responsibility Manual and implemented processes that seek to identify, prevent, mitigate and account for how it addresses its actual and potential impacts on Human Rights. No negative issues have been identified during supplier audits, including the Social Management Questionnaire.
9.1c Human Rights Due Diligence (remediation)	Conformance	No negative Human Rights issues have been identified via the Entity's Due Diligence processes, nor the Complaints/Grievance Mechanism.

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9.2 Women's Rights	Conformance	The Entity has implemented a Gender Equity and Women's Empowerment Policy to address the respect for the legal rights and interests of women including issues such as voting and being elected, education, employment, promotion, and maternity health. No complaints relating to women's issues have been received and interviews confirmed Workers are satisfied with the working conditions at the Entity.
9.3 Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in areas where the Entity operates.
9.4 Free, Prior, and Informed Consent (FPIC)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in areas where the Entity operates.
9.5 Cultural and sacred heritage	Not Applicable	This Criterion is not applicable to the Entity, as there are no cultural or sacred heritage sites or values impacted by the Entity.
9.6a Resettlements (avoid or minimise)	Not Applicable	This Criterion is not applicable to the Entity, as Resettlement has not been required.
9.6b Resettlements (where unavoidable)	Not Applicable	This Criterion is not applicable to the Entity, as Resettlement has not been required.
9.7a Local Communities (rights and interests)	Conformance	The Entity has established an ASI Performance Assurance Manual to address the legal and customary rights and interests of Local Communities.
9.7b Local Communities (impacts)	Conformance	The Entity is located within an industrial park. The Entity is in close contact with surrounding Communities and some employees reside in the local area. Environmental protection equipment, including an air emission treatment facility is in operation to reduce the impact to the surrounding communities.
9.7c Local Communities (livelihoods)	Conformance	The Entity is in regular engagement with surrounding Communities and some employees reside in the local area. The Entity has established plans to support the surrounding communities.
9.8 Conflict-Affected and High-Risk Areas	Conformance	The Entity has developed a procedure for the management of conflict minerals, which stipulates that Aluminium in the Products they manufacture are sourced in a manner consistent with the OECD Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk

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		Areas (CAHRA) (OECD Due Diligence Guidance) or an equivalent and recognised Due Diligence framework. Due Diligence on suppliers' management of conflict minerals has been undertaken and suppliers have signed commitment letters. 'A whistleblowing' channel is also provided if required.
9.9 Security practice	Conformance	Security at the Entity is provided through an external security services company. Security guards are trained on Human Rights and they commit to respect Human Rights in accordance with recognised standards and good practices.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Not Applicable	This Criterion is not applicable to the Entity, as it complies with the Applicable Law in China regarding the Freedom of Association and right to Collective Bargaining. The Entity has implemented its Social Responsibility Manual to respect the Workers' rights to freely associate in Labour Unions.
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Not Applicable	This Criterion is not applicable to the Entity, as it complies with the Applicable Law in China regarding the Freedom of Association and right to Collective Bargaining. The Entity has implemented its Social Responsibility Manual to respect the Workers' rights to Collective Bargaining.
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Conformance	The Entity supports alternative means of association for Workers. A Workers' council has been established and Worker representatives are freely elected and have access to undertake their duties.
10.2a Child Labour (minimum age)	Conformance	The Entity neither engages in, nor tolerates the use of Child Labour as defined in ILO Conventions C138 and C182, and complies with related national and international law. The youngest Worker at the Entity is 18 years.
10.2b Child Labour (hazardous)	Conformance	The Entity neither engages in, nor tolerates the use of Child Labour, including Hazardous Child Labour. The youngest Worker at the Entity is 18 years.
10.2c Child Labour (worst forms)	Conformance	The Entity neither engages in, nor tolerates the use of Child Labour, including the Worst Forms of Child Labour. The youngest Worker at the Entity is 18 years.

CRITERION	RATING	COMMENT
10.3a Forced Labour (human trafficking)	Conformance	The Entity has established a Social Responsibility Manual and Prohibition of Forced Labour Procedure to prevent Forced Labour. The Entity expects its suppliers to comply with the prohibition of Forced Labour including slavery and Human Trafficking. A review of personnel files, and interviews with Workers and management, confirmed all employees entered employment voluntarily, and there were no incidents of slavery or Human Trafficking.
10.3b Forced Labour (deposits, fees, advances)	Conformance	The Entity has implemented a Policy and established a procedure to prevent Forced Labour. The Entity is not involved in Forced Labour. All Workers are employed directly by the Entity and no deposit, fee, or advance is required from Workers.
10.3c Forced Labour (migrant workers)	Conformance	The Entity has implemented a Policy and established a procedure to prevent Forced Labour. No foreign Migrant Workers are employed by the Entity. All Workers are Chinese. A document review and interviews with Workers confirmed all local and domestic Migrant Workers are not required to lodge deposits or security payments at any time.
10.3d Forced Labour (debt bondage)	Conformance	The Entity is not involved in Forced Labour. The Entity does not hold Workers in Debt Bondage or force them to work to pay off a debt, as confirmed during Worker interviews.
10.3e Forced Labour (freedom of movement)	Conformance	The Entity has established an ASI Management Manual and a procedure to prevent Forced Labour. There is no restriction on employees' movement throughout the site, all employees can access basic liberties freely during work hours and are also free to leave both the working and dormitory areas.
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	The Entity is not involved in Forced Labour. The Entity does not hold Workers' original documents, passport or permits, only copies of identification documents are kept. The Entity has implemented a Policy and established a procedure to prevent Forced Labour. The Entity does not retain any Workers' original documents, passports, or permits, and only copies of identification documents required for verifying the age of Workers are kept.
10.3g Forced Labour (freedom to terminate employment)	Conformance	The Entity is not involved in Forced Labour. Workers are free to terminate their employment contract with reasonable notice, and the time for

CRITERION	RATING	COMMENT
		<p>announced termination is regulated in the labour contract.</p> <p>The Entity has established an Employee Manual, which outlines that employees are free to terminate their employment with reasonable notice according to legal requirements and no penalty is applied (written notice 30 days in advance). The time for the announcement of termination is regulated in the labour contract. Workers within the probation period can end their employment with written notice three days in advance.</p>
10.4 Non-Discrimination	Conformance	<p>The Entity has implemented its Code of Conduct and Human Rights Policy, which ensure equal opportunities regarding hiring, salary, promotion, and training, and does not allow Discrimination based on gender, race, national origin, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age, or any other condition that could give rise to Discrimination. Workers are provided training on Discrimination and can access the Entity's grievance channel to report concerns if required.</p>
10.5 Communication and engagement	Conformance	<p>The Entity encourages Workers to participate in the ASI Management System, with direct and frequent communication with Workers and their representatives during Workers' Council meetings established. Workers have mentioned a positive working climate and direct communication. Additionally, the Entity has conducted an annual satisfaction survey to collect Workers' feedback. Workers can report their concerns through various channels, such as suggestion boxes, email and hotline. The Entity has provided feedback to relevant Workers or external parties regarding corrective actions and status relevant to their concerns.</p>
10.6 Disciplinary practices	Conformance	<p>The Entity has implemented a Harassment and Abuse Management Procedure, which addresses the Entity's disciplinary procedures and the prohibition of Harassment and abuse in all its forms. The procedure ensures there is no threat of retaliation, intimidation, harassment and no deduction of wages is used as a disciplinary practice.</p>
10.7a Remuneration (living wage)	Conformance	<p>The Entity has signed labour contracts with the employees, which includes detailed, clear employment conditions and terms of salary and</p>

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		<p>benefits. The Entity has used labour agent workers (no more than 10% of total number employees) from four labour hire companies that have a labour dispatch permit. The labour hire companies have signed contracts with the labour hir Workers. The wage record review confirmed that all Workers (contract Workers and labour hire Workers) were paid a monthly rate. The basic wage is paid through bank transfer on approximately the 10th day of the following month.</p> <p>Workers are paid Overtime based on their basic wage (at least RMB 2490 per month as local legal requirement), and the Entity pays 150% of the basic wage for Overtime on weekdays, 200% on rest days and 300% on statutory holiday days. Provided records confirmed that the salary and benefits are paid to the Workers as local legal requirements. Based on review of social insurance records, the Entity provides social insurance for all Workers and the labour hire companies provides social insurance for all labour hire Workers.</p>
10.7b Remuneration (method of payment)	Conformance	Wages are paid to employees in the local currency via bank transfer on the 10 th day of the following month. Resigned Workers are paid in compliance with the legal requirements. There have been no delayed payments in the past according to bank transfer receipts.
10.8 Working Time	Minor Non-Conformance	<p>The Entity has established a working hours management policy and procedure, which specify working hours, holidays and paid annual leave in accordance with the relevant provisions of the National Labour Law.</p> <p>The Entity has a comprehensive working hour system waiver that defines the total annual working hours (as 2,432 hours). The waiver is valid for a one year period between September 2023 - 2024. Samples of the employees' Overtime working hours records revealed the Entity is in compliance with the waiver requirements.</p> <p>However, approximately 15% of the sampled Workers working hours are at risk of exceeding the annual working hours and overtime limits if they remain in a regular shift scheduling arrangement from August to September 2024.</p>
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	The Entity has established a formal Policy on Occupational Health and Safety (OH&S), under its

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		ISO 45001:2018 certified Management System, and has communicated this with relevant Stakeholders.
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity has implemented its OH&S Policy and related procedures to ensure all Workers and Visitors adhere to internal OH&S rules by means of orientation training and regular monitoring.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Entity has implemented its OH&S Policy with commitment to comply with Applicable Law on Workers' Health and Safety, international standards, and the ILO Conventions on Occupational Health and Safety including, where relevant, ILO Conventions 155 and 176.
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity has implemented its OH&S Policy which includes the right to stop unsafe work.
11.2 OH&S Management System	Conformance	The Entity has implemented its ISO 45001:2018 certified Management System and has established adequate procedures for OH&S control.
11.3 Employee engagement on health and safety	Conformance	The Entity has implemented an Environment, Health and Safety Committee, which holds regular meetings to understand the health and safety conditions at the factory and promote the development of OH&S engagement at the Entity.
11.4 OH&S performance	Conformance	The Entity evaluates its OH&S performance during management reviews, which includes the use of lagging and leading indicators in its comparison benchmarking.

Document Control and Version History

Revision	Date	Notes
0	21 February 2024	Certification Audit - Provisional Certification The audit was undertaken over two audit periods (13 – 16 March 2023 and 15 – 17 October 2023).
1	15 November 2024	Surveillance Audit – Full Certification