

ASI CERTIFICATION
CHAIN OF CUSTODY
STANDARD



PRESENTED TO

Constellium Neuf-Brisach

CERTIFICATE NUMBER

106

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED AUDITING
FIRM

GUTCERT (AFNOR
GROUP)

DATE OF ISSUE

2 DECEMBER 2023

DATE OF EXPIRY

1 MARCH 2027

CERTIFIED SINCE

2 DECEMBER 2020

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Casting, rolling, finishing and
recycling facilities of Constellium
Neuf-Brisach site (France).

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Constellium
ENTITY NAME	Constellium Neuf-Brisach
CERTIFICATION SCOPE	Casting, rolling, finishing and recycling facilities of Constellium Neuf-Brisach site (France).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (14 – 16 October 2020)Surveillance Audit (14 – 15 June 2022)Re-Certification and Scope Change Audit (9 – 10 November 2023)
AUDIT FIRM	GUTcert (AFNOR Group)
AUDIT DATE	<ul style="list-style-type: none">14 – 16 October 2020 (Initial Certification Audit)14 – 15 June 2022 (Surveillance Audit)9 – 10 November 2023 (Re-Certification and Scope Change Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">11 November 2020 (Initial Certification Audit)21 June 2022 (Surveillance Audit)17 November 2023 (Re-Certification and Scope Change Audit)
AUDIT SCOPE	<p><u>Initial Certification Audit (14 – 16 October 2020)</u> The audit scope included casting, rolling, finishing and recycling facilities at Neuf-Brisach facility.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse <p>Relevant criteria from the ASI Chain of Custody Standard were included in the audit scope.</p> <p><u>Surveillance Audit (14 – 15 June 2022)</u> The audit scope included casting, rolling, finishing and recycling facilities at Neuf-Brisach facility.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse

Relevant Criteria from the ASI Chain of Custody Standard were included in the Audit Scope.

Re-Certification and Scope Change Audit (9 – 10 November 2023)

The audit scope included casting, rolling, finishing and recycling facilities at Neuf-Brisach facility.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

Relevant Criteria from the ASI Chain of Custody Standard were included in the Audit Scope.

AUDIT OUTCOME Certification

AUDIT METHODOLOGY DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
 - The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 2 December 2023 – 1 March 2027

NEXT AUDIT TYPE Re-Certification Audit

NEXT AUDIT DUE DATE 1 March 2027

CERTIFICATE NUMBER 106



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The Constellium Neuf Brisach plant is located in the East of France near Colmar and was founded in 1967. It is one of Constellium's largest plants, with a capacity of 450,000 metric tonnes. It is an integrated facility with aluminium rolling, finishing, and recycling that designs and produces a wide portfolio of coil and sheet products, servicing customers in can stock and food stock, automotive, as well as industry application sectors. The facility has approximately 1,500 employees on site. A new recycling facility is scheduled to start construction at the end of 2024, doubling the plant's recycling capacity. The area under management is approximately 78 hectares (ha), along the river Rhine. The nearest towns are Vieux Brisach in Germany and Neuf Brisach in France which are both located approximately four kilometres from the Entity.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity has been an ASI Member since January 2015 under the Production and Transformation membership class: https://aluminium-stewardship.org/about-asi/asi-member-listing Constellium has operational Control over the Entity of Neuf-Brisach included in the scope of the ASI Chain of Custody Standard.
1.2 CoC Management System	Conformance	The Entity has implemented a Management System that addresses all applicable requirements of the ASI Chain of Custody Standard in all Facilities within the Certification Scope. The objectives, scope, and responsibilities are well defined, and resources are provided to ensure its implementation.
1.3 CoC Management System Monitoring	Conformance	The Entity ensures periodic reviews and updates (predominantly undertaken at quarterly quality meetings) of the Chain of Custody (CoC) Management System and integrated within the global Management System reviews of the Entity.
1.4 Management Representative	Conformance	The Entity has nominated a Management Representative (Head of Metal Manager) as having overall responsibility and authority for the Entity's Conformance with all applicable requirements of the ASI Chain of Custody Standard.
1.5 Communications and Training	Conformance	The Entity has established and implemented communications and training measures that make relevant personnel aware of, and competent in their responsibilities under the ASI Chain of Custody Standard. Adequate training was provided to the CoC operational team, as demonstrated by training records and interviews with personnel.
1.6 Records Management	Conformance	The Entity has implemented a procedure to maintain up-to-date records covering all applicable requirements of the ASI Chain of Custody Standard. According to the procedure, records are kept for a minimum of five years. All requested records were made available during the audit.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity has implemented a procedure to periodically report information to the ASI Secretariat. The reporting has been performed before the 30 th June 2023. The Input and Output Quantities of CoC Materials over the calendar year are reported.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity has implemented a procedure to periodically report information to the ASI Secretariat. The total Input/Output Quantities of Eligible Scrap to/from the Certified Entity are well reported in the last report.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has implemented a procedure to periodically report information to the ASI Secretariat. The total Inflow and Outflow Quantities of Non-CoC Material to/from the Entity over the calendar year were reported in the last report.

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity has implemented a procedure to periodically report information to the ASI Secretariat. The Positive Balance carried over to the subsequent Material Accounting Period has been adequately reported.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity has implemented a procedure to periodically report information to the ASI Secretariat. The Positive Balance used is well reported.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Not Applicable	This Criterion is not applicable to the Entity as there has been no Internal Overdraw drawn down from the subsequent Material Accounting Period.
1.7g Reporting to ASI (Intra-Entity Flows)	Not Applicable	This Criterion is not applicable to the Entity, as there is only one type of CoC Material Output.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable, as the Entity does not have Outsourcing Contractors included within the Entity's Certification Scope.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable, as the Entity does not have Outsourcing Contractors included within the Entity's Certification Scope.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable, as the Entity does not have Outsourcing Contractors included within the Entity's Certification Scope.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable, as the Entity does not have Outsourcing Contractors included within the Entity's Certification Scope.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable, as the Entity does not have Outsourcing Contractors included within the Entity's Certification Scope.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable, as the Entity does not have Outsourcing Contractors included within the Entity's Certification Scope.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable, as the Entity does not have Outsourcing Contractors included within the Entity's Certification Scope.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
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CRITERION	RATING	COMMENT
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	ASI Aluminium is produced only from the recycling Facility of the Entity, which is within the ASI Chain of Custody Standard Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Conformance	ASI Aluminium is produced only from the recycling Facility of the Entity, which is within the Entity's ASI Performance Standard Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has implemented a process to account for Eligible Scrap as only Pre-Consumer Scrap following the ASI Chain of Custody Standard requirements. Currently, the only Pre-Consumer Scrap considered eligible is supplied by a CoC Certified Entity.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity has implemented a process to account for Eligible Scrap as Post-Consumer Scrap that is subject to supplier Due Diligence. The correct implementation of the process has been confirmed.
4.2c Eligible Scrap (Dross)	Conformance	The Entity has correctly implemented a process to account for Eligible Scrap, Aluminium recovered from Dross and other Aluminium-containing wastes that are subject to supplier Due Diligence.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity has implemented a system to record the identity and places of operation of all direct suppliers of Recyclable Scrap Material through its SAP software. This process has been reviewed and is correctly implemented.

CRITERION	RATING	COMMENT
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	Cash transactions with direct suppliers of Recyclable Scrap Material are not allowed nor undertaken at the Entity.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity ensures that it produces ASI Aluminium only from its Casthouse within its CoC Certification Scope
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity ensures that it produces ASI Aluminium only from its Casthouse that is certified against the ASI Performance Standard
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity ensures that its Casthouse is only sourcing ASI Aluminium directly from its recycling Facility, which is included in the CoC Certification Scope of the Entity.
5.2 Unique Identification	Conformance	The Entity has a system to ensure full traceability via product identification on all shipments and deliveries from the Casthouses. The unique identification system, supported by the Enterprise Resource Planning (ERP) and production systems, can be linked to the Input Quantity of CoC Material for the Material Accounting Period.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's rolling and finishing Facilities are within the Entity's CoC Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity's rolling and finishing Facilities are within the Entity's ASI Performance Standard Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity ensures that its Post-Casthouse Facility sources only ASI Aluminium originating from its Casthouse and from other ASI CoC-Certified Entities, directly or via a Trader. When using a Trader, the Entity confirms that the source of the ASI Aluminium can be identified through a verified CoC Document.
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	<p>The Entity has adopted and communicated a Sustainable Sourcing Policy and a Supplier Code of Conduct for Suppliers of Non-CoC Material, Recyclable Scrap Material and CoC Material supplied through a Trader. These documents are available at: https://www.constellium.com/sustainability/downloads/policies-codes-conduct</p> <p>The Supplier Code of Conduct includes an Anti-Corruption criterion.</p>
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has adopted and communicated a Sustainable Sourcing Policy and a Supplier Code of Conduct for Suppliers of Non-CoC Material, Recyclable Scrap Material and CoC Material supplied through a Trader. These documents are available at:

CRITERION	RATING	COMMENT
		<p>https://www.constellium.com/sustainability/downloads/policies-codes-conduct</p> <p>The Supplier Code of Conduct includes a Responsible Sourcing criterion.</p>
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	<p>The Entity has adopted and communicated a Sustainable Sourcing Policy and a Supplier Code of Conduct for Suppliers of Non-CoC Material, Recyclable Scrap Material and CoC Material supplied through a Trader. These documents are available at: https://www.constellium.com/sustainability/downloads/policies-codes-conduct</p> <p>The Code of Conduct includes a Human Rights Due Diligence criterion.</p>
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	<p>The Entity has adopted and communicated a Sustainable Sourcing Policy and a Supplier Code of Conduct for Suppliers of Non-CoC Material, Recyclable Scrap Material and CoC Material supplied through a Trader. These documents are available at: https://www.constellium.com/sustainability/downloads/policies-codes-conduct</p> <p>The Code of Conduct includes a Conflict-Affected and High-Risk Areas (CAHRAs) criterion.</p>
7.2 Risk Assessment and Mitigation	Conformance	<p>The Entity has implemented a procedure to assess the risks of non-compliance with its Responsible Sourcing Policy by direct suppliers (including Traders) of Non-CoC Materials, Recyclable Scrap and CoC Material and Eligible Scrap supplied by a Trader. Results from the risk assessments and Due Diligence process are documented and appropriate actions are undertaken, if necessary.</p>
7.3 Complaints Resolution Mechanism	Conformance	<p>The Entity has established an appropriate complaints mechanism through various procedures and tools (notably the Constellium integrity line which is presented in the Code of Conduct). There have been no recent complaints received by interested parties for the Facility.</p>
8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	<p>The Entity has defined and implemented a Material Accounting System to manage the integrity of CoC Material and Eligible Scrap Mass Balance within the Certification Scope. Instructions are defined to collect the Input data. Input and Output Quantities of CoC and Non-CoC Material are recorded in tonnes in the Mass Balance System. The weighing scales used for the Mass Balance System are well calibrated.</p>
8.2 Material Accounting Period	Conformance	<p>The Entity has defined a Material Accounting Period of 12 months (from January to December).</p>
8.3 Input and Inflow Quantities	Conformance	<p>The Entity has defined and implemented a Material Accounting System recording Input Quantities of CoC Material and Eligible Scrap and Inflow Quantities of Non-Coc Material and Recyclable Scrap, by mass. Instructions are defined to collect the Input Data. Input and Output Quantities of CoC and Non-CoC Material are recorded in tonnes in the Mass Balance System. The quantities of eligible and</p>

CRITERION	RATING	COMMENT
		recyclable scrap are based on an assessment of Aluminium content. The weighing scales used for the Mass Balance System are calibrated.
8.4 Output Quantities of CoC Material	Conformance	The Entity has defined in a procedure that over the given accounting period, the Input Quantities for each CoC Material are used to determine the available quantities of CoC Material for Output, proportional to total Inflows of CoC and Non-CoC Materials, by mass. It has been verified the Mass Balance System correctly calculates the available quantities of CoC Material.
8.5 Indivisibility of CoC Material	Conformance	The Entity has defined in the CoC procedure that the Output Quantity of CoC Material is designated as 100% CoC Material. This principle is correctly implemented in the Mass Balance System.
8.6 Output Quantity of Eligible Scrap	Not Applicable	This Criterion is not applicable, as the Entity does not want to designate its scrap as Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity has implemented a procedure to ensure that the total Output of CoC Material and Eligible Scrap does not proportionally exceed the Input Percentage as applied to the total Input of CoC Material and Eligible Scrap over the Material Accounting Period. This was verified during the audit in the Mass Balance System file.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's Material Accounting System is designed to ensure Internal Overdraws do not exceed 20% of the total Input Quantity of CoC Material within the Material Accounting Period in the case of a Force Majeure situation. There have been no Internal Overdraws to date.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's Material Accounting System is designed to ensure that the Internal Overdraw will not exceed the amount of CoC Material affected by a Force Majeure situation. There have been no Internal Overdraws to date.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's Material Accounting System ensures that the Internal Overdraw will be made up within the subsequent Material Accounting Period. No Internal Overdraws have been recorded to date.
8.9a Positive Balance (Carry over)	Conformance	The Entity identifies the carry over of a Positive Balance in the Material Accounting System.
8.9b Positive Balance (Expiry)	Conformance	The Entity's Material Accounting System is designed to ensure that any Positive Balance of Output CoC Material at the end of the Material Accounting Period will be carried over to the subsequent period and any carry over will expire at the end of that period if not drawn down. The implementation has been verified in the Mass Balance system file.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity has issued a monthly CoC Document to each customer to account for all batches shipped. There is a link between the monthly CoC Document and individual shipments.

CRITERION	RATING	COMMENT
9.2a CoC Document Content (Date of issue)	Conformance	The Entity has included CoC information (including the date of issue of the CoC Document) in CoC Documents.
9.2b CoC Document Content (Reference number)	Conformance	The Entity has included CoC information (including a reference number for the CoC Document linked to the Material Accounting System) in CoC Documents.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity has included CoC information (including the identity, address and CoC Certification number of the Entity issuing the CoC Document) in CoC Documents.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity has included CoC information (including the identity and address of the customer receiving the CoC Material, and their CoC Certification number) in CoC Documents.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity has included CoC information (including the details of the responsible employee of the Entity who can verify the information in the CoC Document) in CoC Documents.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity has included CoC information (including the following statement, "The information provided in the CoC Document is in conformance with the ASI CoC Standard") in CoC Documents.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity has included CoC information (including the type of CoC Material in shipments) in CoC Documents.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity has included CoC information (including the mass of CoC Material in the shipment) in CoC Documents.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity has included CoC information (including the mass of total Material in the shipment) in CoC Documents.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable, as the Entity does not include information relating to the carbon footprint of the CoC Material in its CoC Document for shipment.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable, as the Entity does not include information to support the origin of Aluminium in the CoC Document for shipment.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	This Criterion is not applicable, as the Entity does not include information regarding the recycled content of the CoC Material in the CoC Document for shipment.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity has included information on its ASI Performance Standard Certification in its CoC Documents.

CRITERION	RATING	COMMENT
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity has defined that any Supplementary Information included in the CoC Document is to be supported by Objective Evidence as required.
9.5 Verification of Information	Conformance	The Entity has defined and implemented systems (e.g., a generic mailbox) to respond to requests for verification of information in CoC Documents issued by the Entity. There have been no requests received to date.
9.6 Error (Shipping)	Conformance	The Entity has a procedure that defines how errors regarding CoC Material shipments are to be managed (e.g., documentation of the error, root cause analysis, communication, and improvement actions to avoid recurrence).

10. RECEIVING COC DOCUMENTS

10.1 Verification of CoC Documents	Conformance	The Entity has established a procedure to verify information in the received CoC Documents.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has established a procedure to verify the consistency of received CoC Documents with the accompanying CoC Material or Eligible Scrap. Verification occurs prior to the recording of information in the Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has defined and implemented regular checks on the validity and scope of the supplier's ASI CoC Certification.
10.4 Error (Reception)	Conformance	The Entity has defined in its procedure how errors are managed regarding CoC Documents, including documenting the error, performing a root cause analysis, communicating the error, and implementing actions to avoid a recurrence.

11. CLAIMS AND COMMUNICATIONS

11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has implemented a procedure for claims related to CoC Material consistent with the ASI Claims Guide. The Entity has only made claims regarding its CoC Certification on its website and within CoC Documents and they were made in a manner and form consistent with the ASI Claims Guide.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has implemented a procedure for claims related to CoC Material consistent with the ASI Claims Guide. The Entity has only made claims regarding its CoC Certification on its website and within CoC Documents. There is verifiable evidence to support the claims and/or representations made.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has provided appropriate training to relevant employees regarding ASI claims and/or representations, as demonstrated by training records and interviews.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	2 December 2020	Initial Certification Audit – Full Certification
1	8 July 2022	Surveillance Audit
2	2 December 2023	Re-Certification and Scope Change Audit – Full Certification Scope Change to apply PS V3.
3	15 January 2025	Extension to the Certification expiry date (from 1 December 2026) and the Next Audit Type and Due Date, consistent with ASI's voluntary option for CoC Standard Certification extensions
