

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

ALU-MET GmbH

CERTIFICATE
NUMBER

203

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

TÜV
RHEINLAND
CERT GMBH

DATE OF ISSUE

26 MAY 2022

DATE OF EXPIRY

1 MARCH 2027

CERTIFIED SINCE

26 MAY 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Production of extrusion billets from recycled aluminium scrap at production sites Aluminium GmbH Nachrodt (Germany) and Speedline Aluminium-Gießerei GmbH (Austria) and relevant functions at Head Office in Austria.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME	Alu-met GmbH
ENTITY NAME	Alu-met GmbH
CERTIFICATION SCOPE	Production of extrusion billets from recycled aluminium scrap at production sites Aluminium GmbH Nachrodt (Germany) and Speedline Aluminium-Gießerei GmbH (Austria) and relevant functions at Head Office in Austria.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthouses
ASI STANDARD	<ul style="list-style-type: none">Chain of Custody Standard V1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	21 February – 9 March 2022
AUDIT REPORT SUBMISSION	22 April 2022
AUDIT SCOPE	<p>The audit scope includes the relevant functions at Head Office in Austria and production sites Aluminium GmbH Nachrodt, Germany and Speedline Aluminium-Gießerei GmbH, Austria.</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthouses <p>All applicable criteria in the ASI Chain of Custody Standard were included in the audit scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

-
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
-

CERTIFICATION PERIOD	26 May 2022 – 1 March 2027
----------------------	----------------------------

NEXT AUDIT TYPE	Re-Certification Audit
-----------------	------------------------

NEXT AUDIT DUE DATE	1 March 2027
---------------------	--------------

CERTIFICATION NUMBER	203
----------------------	-----

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	The Entity is an ASI Member. For further information please refer to the ASI website: https://aluminium-stewardship.org/about-asi/asi-members/alu-met-gmbh-austria
1.2 Management system	Conformance	The Entity's Integrated Management System has been designed to specify the internal processes as well as competences and responsibilities to ensure compliance with all applicable requirements of the ASI Chain of Custody Standard. The Entity has implemented a set of Group-wide and local procedures for the CoC Management System which covers all relevant requirements.
1.3 Management system reviews	Conformance	The Entity has established a mechanism for the periodic review and update of the CoC Management System in accordance with the Entity's Quality Management System. A formal first review has been scheduled.
1.4 Management representative	Conformance	The Entity's Managing Directors are jointly responsible for the implementation and compliance with the ASI Chain of Custody Standard requirements. Within the Entity, there is a team assisting these roles in the implementation of the ASI Chain of Custody Standard.
1.5 Training	Conformance	The Entity has prepared and conducted ASI Chain of Custody Standard specific training to relevant personnel and has communicated CoC related information to all employees.
1.6 Record keeping	Conformance	The Entity has implemented a system to maintain records covering all applicable requirements of the ASI Chain of Custody Standard for at least seven years (greater than the required five year period).
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented in a procedure. They are suitable and appear to be robust. The reporting will include Input and Output Quantities of CoC Materials over the calendar year.
1.7b Reporting to ASI (Input Percentage)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented in a procedure. They are suitable and appear to be robust.
1.7c Reporting to ASI (Positive Balance)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented in a procedure. They are suitable and appear to be robust.

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Internal Overdraw)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented in a procedure. They are suitable and appear to be robust.
1.7e Reporting to ASI (Eligible Scrap)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented in a procedure. They are suitable and appear to be robust.
1.7f Reporting to ASI (ASI Credits from Casthouses)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented in a procedure. They are suitable and appear to be robust.
1.7g Reporting to ASI (ASI Credits purchased)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented in a procedure. They are suitable and appear to be robust.
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	This Criterion is not applicable, as the Entity does not outsource ASI Material to Contractors.
2.2a Control of CoC Material	Not Applicable	This Criterion is not applicable, as the Entity does not outsource ASI Material to Contractors.
2.2b No further outsourcing	Not Applicable	This Criterion is not applicable, as the Entity does not outsource ASI Material to Contractors.
2.2c Risk assessment	Not Applicable	This Criterion is not applicable, as the Entity does not outsource ASI Material to Contractors.
2.3 Output Quantity	Not Applicable	This Criterion is not applicable, as the Entity does not outsource ASI Material to Contractors.
2.4 Verification and record-keeping	Not Applicable	This Criterion is not applicable, as the Entity does not outsource ASI Material to Contractors.
2.5 Error management	Not Applicable	This Criterion is not applicable, as the Entity does not outsource ASI Material to Contractors.
3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL		
3.1a CoC Certification Scope - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Performance Standard - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.3a CoC Certification Scope - Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Performance Standard - Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL

4.1a CoC Certification Scope - Aluminium Re-Melting/Refining	Conformance	By design (the Entity has an integrated re-melting and casting process) it is ensured that ASI Liquid Metal is only produced in compliance with this Criterion, with Alu-met GmbH's production sites (Speedline Aluminium GmbH, Austria and Aluminium Gießerei Nachrodt, Germany) both within the CoC Certification Scope.
4.1b ASI Performance Standard - Aluminium Re-Melting/Refining	Conformance	Alu-met GmbH's production sites (Speedline Aluminium GmbH, Austria and Aluminium Gießerei Nachrodt, Germany) are both certified against the ASI Performance Standard, please refer the ASI website: https://aluminium-stewardship.org/about-asi/asi-members/alu-met-gmbh-austria
4.2a Pre-Consumer Scrap and Dross	Conformance	The Entity has established procedures and processes to verify Pre-Consumer Scrap as designated CoC Material. Internally generated scrap will be re-fed into the production. These quantities are reflected the Entity's Mass Balance System. Dross is being sold for external recycling.
4.2b Post-Consumer Scrap	Conformance	The Entity has established procedures and processes to verify the nature of the supplied scrap (both pre- and post-consumer). Post-Consumer Scrap is only accounted for as Eligible Scrap if the due diligence assessment confirmed an acceptable risk level and material is 'post-consumer'.
4.3a Supplier records	Conformance	The Entity has a documented process established to gather and verify information about its scrap suppliers, including their identity, principles and place(s) of operation.
4.3b Cash payments	Conformance	As witnessed by management and stated in the Entity's Code of Conduct, the Entity does not purchase any metal (scrap or primary) in cash, only via bank transfer.

5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM

5.1a CoC Certification Scope - Casthouses	Conformance	The Entity's Casthouses (Speedline Aluminium GmbH, Austria and Aluminium Gießerei Nachrodt
---	-------------	--

CRITERION	RATING	COMMENT
		GmbH, Germany) are within the CoC Certification Scope.
5.1b ASI Performance Standard - Casthouses	Conformance	The Entity's Casthouses are within the CoC Certification Scope, which is defined as Alu-met GmbH, Austria, Speedline Aluminium GmbH, Austria and Aluminium Gießerei Nachrodt GmbH, Germany (AGN). Both the Entity's Casthouses (Speedline and AGN) are certified according to the ASI Performance Standard: https://aluminium-stewardship.org/about-asi/asi-members/alu-met-gmbh-austria
5.2 Casthouse Products	Conformance	The Entity's Material Accounting System is designed to ensure that all input as well as output of CoC Material is identified by unique identification numbers. These numbers are traceable with in the Entity's IT system. All Output Quantity of CoC Material can be linked to the Input Quantity of CoC Material for a given Material Accounting Period. The aluminium billets are physically stamped with unique batch numbers.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope - Post-Casthouse	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1b ASI Performance Standard - Post-Casthouse	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1c Sourcing ASI Aluminium	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible sourcing policy (anti-corruption)	Conformance	The Entity has communicated its Code of Conduct in its supplier self-assessment form. This document contains an anti-corruption requirement. The Code has been communicated to all metal suppliers and is available at the following link: https://www.alu-met.com/werte-handeln
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	The Entity has communicated its Code of Conduct in its supplier self-assessment form. This document contains a responsible sourcing requirement. The Code has been communicated to all metal suppliers and is available at the following link: https://www.alu-met.com/werte-handeln
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	The Entity has communicated its Code of Conduct in its supplier self-assessment form. This document contains a requirement for Human Rights Due

CRITERION	RATING	COMMENT
		Diligence. The Code has been communicated to all metal suppliers and is available at the following link: https://www.alu-met.com/werte-handeln
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	The Entity has communicated its Code of Conduct in its supplier self-assessment form. This document contains a requirement regarding Conflict-Affected and High-Risk Areas (CAHRAs). The Code has been communicated to all metal suppliers and is available at the following link: https://www.alu-met.com/werte-handeln
7.2 Risk assessment	Conformance	The Entity has implemented a procedure to assess the risks of non-compliance with its supplier sustainability guideline.
7.3 Complaints mechanism	Conformance	The Entity has established a system to receive and handle feedback, including complaints and grievances of stakeholders. This mechanism is in accordance with Criterion 3.2 of the ASI Performance Standard. Options to access the Entity are also mentioned on the Alu-met GmbH website: https://www.alu-met.com/kontakt
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity's Management System includes a Material Accounting System that records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass. The Material Accounting System is implemented in the Entity's Enterprise-Resource-Planning (ERP) system. Stock is separated per production site.
8.2a Post-Consumer Scrap	Conformance	The Entity has a Material Accounting System developed to govern and report on Input Quantity of Post-Consumer Scrap. At the time of the audit, CoC Material had not been processed.
8.2b Pre-Consumer Scrap (total)	Conformance	The Entity has a Material Accounting System developed to govern and report on Input Quantity of total Pre-Consumer Scrap.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Conformance	The Entity has a Material Accounting System developed to govern and report on Input Quantity of Pre-Consumer Scrap that is Eligible Scrap. At the time of the audit, CoC Material had not been processed.
8.3 Material Accounting Period	Conformance	The Entity has specified in writing, that the Material Accounting Period in the Entity's Material Accounting

CRITERION	RATING	COMMENT
		System is 12 months starting from the first day of the calendar year.
8.4 Input Percentage	Conformance	The Entity calculates and records the Input Percentage using the appropriate formula. The units in the numerator and the denominator are the same. At the time of this initial Certification Audit, actual ASI CoC Material had not yet been handled by the Entity. Note: Although the Entity is a re-melter, this Criterion applies as the Entity may buy Primary Aluminium (both CoC and Non-CoC Material).
8.5 Input Percentage (Aluminium Re-Melting/Refining)	Conformance	The Entity calculates and records the Input Percentage for a given Material Accounting Period. The Entity's Input Percentage calculations are aligned with the ASI Chain of Custody Standard requirements. The Entity uses consistent units (metric tonnes) and adequate material conversion rates in its calculations.
8.6 Output Quantity determination	Conformance	The Entity has specified to use the Input Percentage for the Material Accounting Period to determine the Output Quantity of CoC Material, by mass. At the time of the audit, no CoC Material had been handled by the Entity.
8.7 Output Quantity designation	Conformance	As specified in a documented procedure, the Entity has established that the Output Quantity of CoC Material is designated as 100% CoC Material. At the time of the audit, no CoC Material had been handled by the Entity.
8.8 Output Quantity - Pre-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity, as all aluminium scrap is being recycled internally and does not leave the system boundary. Dross is being recycled externally.
8.9 Outputs not exceed Inputs	Conformance	The Entity has implemented a procedure to check that the total output of CoC Material does not proportionally exceed the Input Percentage as applied to total input of CoC Material over the Material Accounting Period. At the time of the audit, no CoC Material had been handled by the Entity.
8.10a Internal Overdraws (not exceed 20%)	Conformance	The Entity's Material Accounting System is designed to ensure that a maximum 20% Internal Overdraw of total Input Quantity of CoC Material will occur within the Material Accounting Period in case of force majeure. At the time of the audit, no CoC Material had been handled by the Entity.
8.10b Internal Overdraws (not exceed affected amount)	Conformance	The Entity's Material Accounting System is designed to ensure the Internal Overdraw will not exceed the

CRITERION	RATING	COMMENT
		amount of CoC Material affected by the force majeure situation. At the time of the audit, no CoC Material had been handled by the Entity.
8.10c Internal Overdraws (period to make up)	Conformance	The Entity's Material Accounting System is designed to ensure the Internal Overdraw will be made up within the subsequent Material Accounting Period. At the time of the audit, no CoC Material had been handled by the Entity.
8.11a Positive Balance (carry over)	Conformance	The Entity's Material Accounting System is designed to ensure any carry over of a Positive Balance is clearly identified. At the time of the audit, no CoC Material had been handled by the Entity.
8.11b Positive Balance (expiry)	Conformance	The Entity's Material Accounting System is designed to ensure that any Positive Balance of output CoC Material at the end of the Material Accounting Period will be carried over to the subsequent period and that such carry over will expire at the end of that period if not drawn down. At the time of the audit, no CoC Material had been handled by the Entity.
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities as evidenced by a review of the Entity's ASI Chain of Custody related procedures, review of 'dummy' CoC Documents and interviews with personnel. The Entity will issue dedicated CoC Documents which will be handed over together with the other shipping documents.
9.2a Date of issue	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the date of issue.
9.2b Reference number	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes a reference number.
9.2c Issuing Entity	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the identity, address and CoC Certification number of the issuer.

CRITERION	RATING	COMMENT
9.2d Receiving customer	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the identity, address and CoC Certification number of the receiving Entity, if applicable.
9.2e Responsible employee	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the name of the responsible employee.
9.2f Conformance statement	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes a statement confirming that "The information provided in the CoC Document is in Conformance with the ASI CoC Standard."
9.2g Type of CoC Material	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the type of CoC Material in the shipment.
9.2h Mass of CoC Material	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the mass of CoC Material in the shipment.
9.2i Mass of total material	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the mass of total Material in the shipment.
9.3a Sustainability Data (optional)	Not Applicable	Currently, the Entity does not intent to include optional Sustainability Data (including CO ₂) in the CoC Document. Such data would be available on request and provided in a separate document.
9.3b Sustainability Data (passing on)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3c Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4 Supplementary Information (optional)	Not Applicable	This Criterion is not applicable as the Entity does not currently intend to include Supplementary Information in the CoC Documents.

CRITERION	RATING	COMMENT
9.5 Response to verification requests	Conformance	A provision for responding to requests related to CoC Documents is contained in a documented procedure of the Entity.
9.6 Error management	Conformance	The Entity has a documented procedure which defines the way in which errors regarding CoC shipments shall be handled.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Conformance	The Entity has a documented procedure which defines the way to verify the received CoC documents. As there has been no sourcing of CoC Material at the time of the first certification audit, the effectiveness of this verification will be assessed at the next surveillance audit.
10.2 Verify consistency with shipments	Conformance	The Entity has a documented procedure which defines how to verify the received CoC Documents. All deliveries are to be verified when entering the site prior being entered in the internal IT system. As there has been no sourcing of CoC Material at the time of the first certification audit, the effectiveness of this verification will be assessed at the next surveillance audit.
10.3 Verify supplier CoC Certification status	Conformance	The Entity has specified a quarterly check of the validity and scope of suppliers' ASI CoC Certification.
10.4 Error management	Conformance	The Entity has designed verification steps to review the consistency of received CoC Documents as required by the ASI Chain of Custody Standard. At the time of the audit, there were no examples of effective implementation as the Entity has not yet sourced CoC Material.
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System – allocation	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.1b Link to Casthouse Products	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.1c No double counting	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.1d No Positive Balance for ASI Credits	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.2a Date of issue	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.

CRITERION	RATING	COMMENT
11.2b Reference number	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.2c Issuing Entity	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.2d Receiving Entity	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.2e Conformance statement	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.2f ASI Credits statement	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.2g Quantity	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.3a CoC Certification Scope – purchasing ASI Credits	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.3b Material Accounting System – purchasing	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.3c Expiry	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.3d No re-trading	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.3e No allocation to physical products	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.3f Verify supplier CoC Certification status	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
11.3g Five years maximum for ASI Credits purchasing	Not Applicable	This Criterion is not applicable, as the Entity neither used nor intends to use the Market Credits System.
12 CLAIMS AND COMMUNICATIONS		
12.1a ASI Claims Guide	Conformance	The Entity has implemented a procedure for claims related to CoC Material consistent with the ASI Claims Guide. As there has been no claims regarding CoC Material at the time of the first certification audit, the effectiveness of the procedure will be assessed at the next surveillance audit.
12.1b Verifiable evidence	Conformance	The Entity has implemented a procedure for claims related CoC Material consistent with the ASI Claims Guide. The Entity has demonstrated awareness that all ASI-related claims have to be supported by verifiable evidence. As there have been no claims regarding CoC Material at the time of the first

CRITERION	RATING	COMMENT
		certification audit, the effectiveness of the procedure will be assessed at the next surveillance audit.
12.1c Employee training	Conformance	The Entity provided evidence that relevant personnel have received training about CoC claims. All external communication which relates to ASI or ASI material need approval from the ASI Manager prior to its release.

Document Control and Version History

Revision	Date	Notes
0	26 May 2022	Initial Certification Audit - Full Certification
1	21 January 2025	Extension to the Certification expiry date (from 25 May 2025) and the Next Audit Type and Due Date, consistent with ASI's voluntary option for CoC Standard Certification extensions. Update to the Next Audit Type and Due Date also incorporates approval for exemption to the initial Surveillance Audit as the Entity had not received/dispatched any CoC Material; Correction to expression of the Member Name.