

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

BALL BEVERAGE PACKAGING NORTH & CENTRAL AMERICA

CERTIFICATE
NUMBER

180

ASI
STANDARD

CHAIN OF CUSTODY
(V1 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITOR

BUREAU
VERITAS
CERTIFICATION

DATE OF ISSUE

9 MARCH 2022

DATE OF EXPIRY

8 MARCH 2025

CERTIFIED SINCE

9 MARCH 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a horizontal line extending to the right.

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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Management activities for centralized operational functions, global support functions, quality assurance and graphics at the regional headquarters in Westminster (CO), in the United States of America.

Manufacturing of Beverage Can Bodies at facilities in the United States including Fairfield, (CA); Fort Atkinson (WI); Fort Worth (TX); Glendale (AZ); Goodyear (AZ); Kapolei (HI); Monticello (IN); Phoenix (AZ); Pittston (PA); Rome (GA); Saratoga Springs (NY); St. Paul (MN); Tampa (FL); Wallkill (NY); and Williamsburg (VA); and Whitby in Canada; and Queretaro in Mexico.

Manufacturing of Beverage Can Ends at: Bowling Green (KY), United States.

Manufacturing of Beverage Can Bodies and Can Ends at: Findlay (OH), United States and Monterrey, Mexico.

Manufacturing of Beverage Can Bodies and Alumi-Tek Bottles at: Conroe (TX), United States.

Manufacturing of Beverage Can Bodies, Can Ends and Alumi-Tek Bottles at: Golden (CO) and BTIC (Technology and Innovation Centre) (CO), United States.

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY STANDARD

OVERVIEW

MEMBER NAME	Ball Corporation
ENTITY NAME	Ball Beverage Packaging North & Central America
CERTIFICATION SCOPE	<p>Management activities for centralized operational functions, global support functions, quality assurance and graphics at the regional headquarters in Westminster (CO), in the United States of America.</p> <p>Manufacturing of Beverage Can Bodies at facilities in the United States including Fairfield, (CA); Fort Atkinson (WI); Fort Worth (TX); Glendale (AZ); Goodyear (AZ); Kapolei (HI); Monticello (IN); Pittston (PA); Rome (GA); Saratoga Springs (NY); Tampa (FL); and Williamsburg (VA); and Whitby in Canada; and Queretaro in Mexico.</p> <p>Manufacturing of Beverage Can Ends at: Bowling Green (KY), United States.</p> <p>Manufacturing of Beverage Can Bodies and Can Ends at: Findlay (OH), United States and Monterrey, Mexico.</p> <p>Manufacturing of Beverage Can Bodies and Alumi-Tek Bottles at: Conroe (TX), United States.</p> <p>Manufacturing of Beverage Can Bodies, Can Ends and Alumi-Tek Bottles at: Golden (CO) and BTIC (Technology and Innovation Centre) (CO), United States.</p>
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Post-Casthouse
ASI STANDARD	<ul style="list-style-type: none">• Chain of Custody Standard V1
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit (14 June – 16 December 2021)• Surveillance Audit and Scope Change (7 May – 17 September 2024)
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	<ul style="list-style-type: none">• 14 June – 14 December 2021 (Initial Certification Audit)• 7 May – 17 September 2024 (Surveillance Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 19 January 2022 (Initial Certification Audit)• 3 January 2025 (Surveillance Audit and Scope Change)

AUDIT SCOPE

Initial Certification Audit (14 June – 14 December 2021)

The Audit Scope covers Ball Beverage Packaging North and Central America at headquarters (Beverage Packaging Office Centre), Colorado (USA); manufacturing of Beverage Can Bodies at Fort Atkinson (USA), Goodyear (USA) and Queretaro (Mexico) facilities; and manufacturing of Beverage Can Bodies and Ends at Monterrey (Mexico) and BTIC (Beverage Technology and Innovation Center) (USA).

The ASI multi-site sampling approach was undertaken to include facilities in the United States including Fairfield, Fort Worth, Glendale, Golden, Kapolei, Kent, Monticello, Phoenix, Pittston, Rome, Saratoga Springs, St. Paul, Tampa, Wallkill, Williamsburg, Bowling Green, Findlay, Conroe and Golden (USA) and Whitby in Canada.

The supply chain activities included in the Audit Scope:

- Material Conversion (Production and Transformation)

All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

Surveillance Audit and Scope Change (7 May – 17 September 2024)

The Audit Scope covered the Ball Packaging North and Central America headquarters (Beverage Packaging Office Centre), Colorado (USA); manufacturing of Beverage Can Bodies at Goodyear (USA) and Rome (USA); and manufacturing of Beverage Can Bodies, Can Ends and Alumi-Tek Bottles at Golden (USA).

The ASI multi-site sampling approach was undertaken to include Bowling Green, Conroe, Fairfield, Findlay, Fort Atkinson, Fort Worth, Glendale, Kapolei, Monticello, Pittston, Rome, Saratoga Springs, and Williamsburg (USA); Monterrey and Queretaro (Mexico); and Whitby (Canada).

The supply chain activities included in the Audit Scope:

- Material Conversion (Production and Transformation)

Criteria in the ASI Chain of Custody Standard that were rated as Not Applicable in the previous Audit were not included in the Audit Scope, with all remaining Criteria included in the Audit Scope.

AUDIT
OUTCOME

Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
 - The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 9 March 2022 – 8 March 2025

NEXT AUDIT TYPE Re-Certification Audit

NEXT AUDIT DUE DATE 8 March 2025

CERTIFICATE NUMBER 180

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	Ball Corporation is an ASI Member and has been since 2013. This information is available at: https://aluminium-stewardship.org/about-asi/members/Ball-Corporation
1.2 Management system	Conformance	The Entity's Management Systems, specific to ASI, Quality, and EHS are outlined in the Entity's 'Operational Excellence' program, which has been implemented in all locations. Each discipline has a dedicated Manual that outlines the requirements for each element of the standards.
1.3 Management system reviews	Conformance	The Entity conducts regular Management Review meetings at the site level, which addresses elements specific to the ASI Standards. In addition, a Corporate-level Management Review is completed annually.
1.4 Management representative	Conformance	The Entity's Vice President of Operations is responsible and accountable for ensuring that the ASI Management System achieves its intended outcomes.
1.5 Training	Conformance	The Entity has delivered training specific to the ASI Chain of Custody Standard to all relevant personnel.
1.6 Record keeping	Conformance	Records are kept for activities related to monitoring and verifying incoming raw materials, outgoing Product shipments, and any Aluminium Scrap generated through the manufacturing process. Records are maintained at the Corporate and/or Facility-level as necessary.
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	Records are kept to monitor and verify incoming raw materials, outgoing product shipments, and any Aluminium Scrap generated through the manufacturing process. Records are maintained at the Corporate and/or Facility-level as necessary.
1.7b Reporting to ASI (Input Percentage)	Conformance	The Entity tracks total metal purchased, ASI Metal and eligible Scrap volumes for 2024. This information will be reported to the ASI Secretariat in Q1 of 2025.
1.7c Reporting to ASI (Positive Balance)	Conformance	The Entity's 'ASI Inbound Metal vs. Eligible Scrap Tracking' report is updated monthly, and any Positive Balance is noted.

CRITERION	RATING	COMMENT
1.7d Reporting to ASI (Internal Overdraw)	Conformance	The Entity's 'ASI Inbound Metal vs. Eligible Scrap Tracking' report is updated monthly, and any Internal Overdraw is noted.
1.7e Reporting to ASI (Eligible Scrap)	Conformance	The Entity's 'ASI Inbound Metal vs. Eligible Scrap Tracking' report is updated monthly and notes the volume of scrap eligible as CoC Material.
1.7f Reporting to ASI (ASI Credits from Casthouses)	Not Applicable	This Criterion is not applicable, as the Entity does not intend to purchase ASI Credits from Casthouses.
1.7g Reporting to ASI (ASI Credits purchased)	Not Applicable	This Criterion is not applicable, as the Entity does not intend to purchase ASI Credits from Casthouses.
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Not Applicable	This Criterion is not applicable, as the Entity does not utilise Outsourcing Contractors that take Custody of CoC Material for the purposes of further processing, treatment or manufacturing.
2.2a Control of CoC Material	Not Applicable	This Criterion is not applicable, as the Entity does not utilise Outsourcing Contractors that take Custody of CoC Material for the purposes of further processing, treatment or manufacturing.
2.2b No further outsourcing	Not Applicable	This Criterion is not applicable, as the Entity does not utilise Outsourcing Contractors that take Custody of CoC Material for the purposes of further processing, treatment or manufacturing.
2.2c Risk assessment	Not Applicable	This Criterion is not applicable, as the Entity does not utilise Outsourcing Contractors that take Custody of CoC Material for the purposes of further processing, treatment or manufacturing.
2.3 Output Quantity	Not Applicable	This Criterion is not applicable, as the Entity does not utilise Outsourcing Contractors that take Custody of CoC Material for the purposes of further processing, treatment or manufacturing.
2.4 Verification and record-keeping	Not Applicable	This Criterion is not applicable, as the Entity does not utilise Outsourcing Contractors that take Custody of CoC Material for the purposes of further processing, treatment or manufacturing.
2.5 Error management	Not Applicable	This Criterion is not applicable, as the Entity does not utilise Outsourcing Contractors that take Custody of CoC Material for the purposes of further processing, treatment or manufacturing.

3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL

CRITERION	RATING	COMMENT
3.1a CoC Certification Scope – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope – Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Performance Standard – Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a CoC Certification Scope – Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Performance Standard – Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL		
4.1a CoC Certification Scope - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b ASI Performance Standard - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Pre-Consumer Scrap and Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Supplier records	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Cash payments	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope - Casthouses	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Performance Standard - Casthouses	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Casthouse Products	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope - Post-Casthouse	Conformance	The Entity has identified all Beverage Can and Ends plants within its CoC Certification Scope.

CRITERION	RATING	COMMENT
6.1b ASI Performance Standard - Post-Casthouse	Conformance	The Entity has identified all Beverage Can and Ends plants within its ASI Performance Standard Certification Scope.
6.1c Sourcing ASI Aluminium	Conformance	The Entity sources ASI Aluminium directly from ASI Certified Entities. The procurement team maintains evidence of CoC Materials received from suppliers.
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible sourcing policy (anti-corruption)	Conformance	The Entity has established Supplier Guiding Principles that outline the business conduct standards for all of its suppliers including employment practices, Human Rights, environment, health and safety, antitrust, Bribery and anti-Corruption.
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	The Entity has established Supplier Guiding Principles that outline the business conduct standards for all suppliers, including employment practices, Human Rights, environment, health and safety, antitrust, Bribery and anti-Corruption. https://www.ball.com/our-company/supplier-resources/ethics-compliance
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	The Entity has established Supplier Guiding Principles that outline the business conduct standards for all of its suppliers including employment practices, Human Rights, environment, health and safety, antitrust, Bribery and Anti-Corruption.
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	The Entity has a Conflict Minerals Policy and has published a Conflict Minerals Report, which addresses Conflict-Affected and High-Risk Areas and the Entity's expectations for suppliers, available at: https://www.ball.com/sustainability/sustainability-reporting/downloads
7.2 Risk assessment	Conformance	The Entity has considered the risks of not meeting Stakeholder needs and expectations and identifies potential controls currently in place or are required to mitigate risks. Suppliers providing goods or services to the Entity or any of its affiliates must expressly agree to abide by all terms, conditions, and instructions as outlined in the Supplier Quality Manual.
7.3 Complaints mechanism	Conformance	The Entity's Global Business Ethics Code of Conduct, published on the Ball website, lists multiple ways to submit complaints via

CRITERION	RATING	COMMENT
		compliance hotlines, including country-specific phone numbers. The Entity's employees, suppliers, and any other external Stakeholders can access the hotlines.
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has established a Material Accounting System (using JDE) specific to CoC Material and based on the calendar year that records (by mass) the Input Quantity of CoC Material, Input Quantity of Non-CoC Material, Output Quantity of CoC Material (in product shipments) and Output Quantity of Non-CoC Material (scrap returned to metal suppliers).
8.2a Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2b Pre-Consumer Scrap (total)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2c Pre-Consumer Scrap (Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3 Material Accounting Period	Conformance	The Entity has established a Material Accounting System specific to CoC Material that is based on the calendar year.
8.4 Input Percentage	Conformance	The Entity records received Aluminium as either CoC Material or Non-CoC Material. Based on this classification, a report can be generated at the end of each Accounting Period that shows the weights and percentages of CoC Material and Non-CoC Material inputs in the period.
8.5 Input Percentage (Aluminium Re-Melting/Refining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6 Output Quantity determination	Conformance	The Entity considers two forms of metal output for the Material Accounting Period calculations including the mass in the CoC Material supplied to an ASI Certified customer and the corresponding Scrap that is returned to the ASI Certified metal supplier.
8.7 Output Quantity designation	Conformance	The Entity has established procedures to ensure the Output Quantity of CoC Material as a subset of production is designated as 100% CoC Material.
8.8 Output Quantity - Pre-Consumer Scrap	Conformance	The Entity currently tracks and calculates Scrap rates from all of its lines based on online efficiencies and spoilage targets, taking into

CRITERION	RATING	COMMENT
		account the amount of metal not used from the coil and any production Scrap that is generated as the Product (can) is being manufactured. The Entity ships Aluminium Scrap back to the metal suppliers and/or recyclers and has specific volume thresholds they must meet that are defined in the supplier contracts. Eligible Scrap can then be calculated by taking the total Scrap shipments divided by total metal consumption and applying the Scrap rate as Input.
8.9 Outputs not exceed Inputs	Conformance	The Entity's processes for tracking metal through the manufacturing network ensure that the total Output of CoC Material and the Eligible Scrap does not proportionally exceed the Input Percentage as applied to the total Input of CoC Material and Eligible Scrap over the calendar year.
8.10a Internal Overdraws (not exceed 20%)	Conformance	The Entity's processes for tracking metal through the manufacturing network ensure that the total Output of CoC Material and the Eligible Scrap does not proportionally exceed the Input Percentage as applied to the total Input of CoC Material and Eligible Scrap over the calendar year. If required, the Entity will make adjustments to the CoC Material Input volume required for the subsequent Material Accounting Period by carrying over the difference, which cannot exceed 20%.
8.10b Internal Overdraws (not exceed affected amount)	Conformance	The Entity's processes indicate, that in the case of a Force Majeure situation, the Material Accounting System can carry over an Internal Overdraw to the subsequent Accounting Period. If there is an Overdraw, the Entity will make adjustments to the CoC Material input volume required for the subsequent Material Accounting Period by carrying over the difference.
8.10c Internal Overdraws (period to make up)	Conformance	The Entity's processes indicate that in the case of a force majeure situation, the Material Accounting System can carry over an Internal Overdraw to the subsequent Accounting Period but has to be made up within the subsequent Material Accounting Period.
8.11a Positive Balance (carry over)	Conformance	The Entity identifies any carry over of a Positive Balance. For each shipment of customer purchased goods, the CoC Documentation is captured on a Bill of Lading where the weight of CoC Material is noted based on the specific

CRITERION	RATING	COMMENT
		product SKU code that is included in the shipment.
8.11b Positive Balance (expiry)	Conformance	The Entity's procedures indicate that in the case of a Positive Balance of Output CoC Material at the end of a Material Accounting Period, this can be carried over to the subsequent Material Accounting Period and expires at the end of the period, if it is not drawn down.
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	The CoC Documentation is captured on a Bill of Lading where the weight of CoC Material is noted based on the specific product SKU that is included in the shipment. The Entity has only shipped Scrap metal as CoC Material.
9.2a Date of issue	Conformance	The Bill of Lading is the source of record and carries all shipment information – including the date of issue, reference number, Entity and customer information, all conformance statements, and Material type and mass.
9.2b Reference number	Conformance	The Bill of Lading is the source of record and carries all shipment information – including the date of issue, reference number, Entity and customer information, all conformance statements, and Material type and mass.
9.2c Issuing Entity	Conformance	The Bill of Lading is the source of record and carries all shipment information – including the date of issue, reference number, Entity and customer information, all conformance statements, and Material type and mass.
9.2d Receiving customer	Conformance	The Bill of Lading is the source of record and carries all shipment information – including the date of issue, reference number, Entity and customer information, all conformance statements, and Material type and mass.
9.2e Responsible employee	Conformance	The CoC Documentation issued to customers or metal suppliers includes the name of the Entity's responsible employee who can verify information in the CoC Document.
9.2f Conformance statement	Conformance	The CoC Documentation issued to customers or metal suppliers includes a statement confirming that the information provided in the CoC Document is in conformance with the ASI CoC Standard.

CRITERION	RATING	COMMENT
9.2g Type of CoC Material	Conformance	The CoC Documentation identifies the type of CoC Material, each material's weight, and the total weight.
9.2h Mass of CoC Material	Conformance	The CoC Documentation identifies the type of CoC Material, each material's weight, and the total weight.
9.2i Mass of total material	Conformance	The CoC Documentation issued to customers or metal suppliers identifies the type of CoC Material and the weight of each material, along with the total weight.
9.3a Sustainability Data (optional)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3b Sustainability Data (passing on)	Conformance	The Entity is not currently including optional sustainability data on issued CoC documents.
9.3c Post-Casthouse ASI Certification status	Conformance	The Quarterly Summary Report template includes the Entity's ASI Performance Standard and Chain of Custody Standard Certification number and status.
9.4 Supplementary Information (optional)	Conformance	The Entity CoC Documents provided for outgoing CoC Material do not include supplemental Sustainability Information.
9.5 Response to verification requests	Conformance	The Entity has systems in place to respond to reasonable requests for verification of information in CoC Documents issued. The Entity's Metal Procurement team is responsible for addressing any CoC requests for information.
9.6 Error management	Conformance	The Entity has a system in place that if any errors are discovered in the documentation after CoC Material has been shipped, the Ball Commercial team will agree on steps with the receiving party to correct it and implement actions to avoid a recurrence.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Conformance	The metal supply transaction used by the Entity's suppliers is documented on an Advanced Shipping Notice (ASN) that is submitted to the Entity electronically. The ASN is the CoC Document for CoC Material and suppliers provide the Entity with a quarterly summary to verify that shipments and volumes match information recorded in the Material Accounting System, JDE (ERP system).

CRITERION	RATING	COMMENT
10.2 Verify consistency with shipments	Conformance	The metal supply transaction used by the Entity's suppliers is documented on an Advanced Shipping Notice (ASN) that is submitted to The Entity electronically. The ASN is the CoC Document for CoC Material and the Entity reviews the documentation against the metal received to assure consistency. Suppliers provide the Entity with a quarterly summary to verify shipments and volumes match information recoded in the Material Accounting System, JDE (ERP system).
10.3 Verify supplier CoC Certification status	Conformance	The Entity checks the ASI website on a regular basis to verify the validity and scope of the supplier's ASI CoC Certification for any changes that might affect the status of the supplied CoC Material or Eligible Scrap.
10.4 Error management	Conformance	In the case that an error is discovered after CoC Material has been received, the Entity and the supplier will follow a reconciliation process and implement an action plan to avoid a recurrence.
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System - allocation	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.1b Link to Casthouse Products	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.1c No double counting	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.1d No Positive Balance of ASI Credits	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.2a Date of issue	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.2b Reference number	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.2c Issuing Entity	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.2d Receiving Entity	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.2e Conformance statement	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.2f ASI Credits statement	Not Applicable	The Entity does not intend to utilise the Market Credits System.

CRITERION	RATING	COMMENT
11.2g Quantity	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.3a CoC Certification Scope - purchasing ASI Credits	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.3b Material Accounting System - purchasing	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.3c Expiry	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.3d No re-trading	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.3e No allocation to physical products	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.3f Verify supplier CoC Certification status	Not Applicable	The Entity does not intend to utilise the Market Credits System.
11.3g Five year maximum for ASI Credits purchasing	Not Applicable	The Entity does not intend to utilise the Market Credits System.

12 CLAIMS AND COMMUNICATIONS

12.1a ASI Claims Guide	Conformance	The Entity proactively communicates with their suppliers and customers about their support for a responsible supply chain and commitment to reinforce consumer and stakeholder confidence in aluminium by promoting responsible sourcing, production and material stewardship practices. All claims and communication follow the ASI Claims Guide.
12.1b Verifiable evidence	Conformance	The Entity consults the ASI Claims Guide to ensure all internal and external statements are in accordance with the Guide and has a system to ensure that verifiable evidence supports the claims.
12.1c Employee training	Conformance	The Entity provides appropriate training for relevant employees to properly understand and communicate any claims and/or representations.

Document Control and Version History

Revision	Date	Notes
0	9 March 2022	Initial Certification Audit – Full Certification
1	25 February 2025	Surveillance Audit and Scope Change Scope Change to remove Kent, Phoenix, St. Paul and Wallkill sites. Extension to Audit Expire date granted for three months.