

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Press Metal Bintulu Sdn Bhd

CERTIFICATE NUMBER

441

ASI STANDARD

CHAIN OF CUSTODY
STANDARD
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

DNV BUSINESS
ASSURANCE SERVICES
UK LTD.

DATE OF ISSUE

18 FEBRUARY 2025

DATE OF EXPIRY

17 FEBRUARY 2028

CERTIFIED SINCE

18 FEBRUARY 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'Jha', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Aluminium Smelting, Aluminium Re-melting/Refining, Casthouses and Aluminium Dross Internal Recovery at Press Metal Bintulu Sdn Bhd (Sarawak, Malaysia).

SUMMARY AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Press Metal
ENTITY NAME	Press Metal Bintulu Sdn Bhd
CERTIFICATION SCOPE	Aluminium Smelting, Aluminium Re-melting/Refining, Casthouses and Aluminium Dross Internal Recovery at Press Metal Bintulu Sdn Bhd (Sarawak, Malaysia).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium SmeltingAluminium Re-melting/RefiningCasthouses
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">14 – 15 November 2024
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">20 January 2025
AUDIT SCOPE	<p>The Audit Scope covered Aluminium Smelting, Aluminium Re-melting/Refining, Casthouses and Aluminium Dross Internal Recovery at Press Metal Bintulu Sdn Bhd (Sarawak, Malaysia).</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none">Aluminium SmeltingAluminium Re-melting/RefiningCasthouses <p>All relevant criteria from the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.<input checked="" type="checkbox"/> The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.

The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD 18 February 2025 – 17 February 2028

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DUE DATE 18 August 2026

CERTIFICATE NUMBER 441



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Press Metal Bintulu Sdn. Bhd. ("PMBTU") (the 'Entity') is an 80% owned subsidiary of Press Metal Aluminium Holdings Berhad ("PMAHB"), and the remaining 20% is owned by Bunga Raya Aluminium Sdn. Bhd., a wholly-owned subsidiary of Sumitomo Corporation ("SC") from Japan. The Entity has an Aluminium Smelting plant located opposite the Samalaju Industrial Port at Samalaju Industrial Park (SIP) which is approximately 75 kilometres from Bintulu. The Smelting plant is strategically located in Sarawak State's 'Corridor of Renewable Energy' economic region, where electricity is predominantly generated by hydro-electric plants.

The Entity was established in 2010 and with the recent completion of the new Phase 3 smelter in 2021, with an additional 320,000 tonnes of annual smelting capacity, the Entity's total annual smelting capacity is approximately 960,000 metric tonnes. Products manufactured in the facility are Aluminium ingots, Aluminium wire rods and Aluminium billets.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity has been an ASI Member since 2020 and has committed to ASI's membership obligations. The Entity has been ASI Certified against the ASI Performance Standard since 2022. The membership status can be verified at: https://aluminium-stewardship.org/about-asi/members/Press-Metal
1.2 CoC Management System	Conformance	<p>An ASI CoC Management Manual has been developed at the corporate level in alignment with the ASI CoC Standard and applies to two Smelter facilities, including the Entity.</p> <p>An ASI Certification Committee, comprising key personnel from both the Corporate Office and the Entity has been established and is led by the senior management. ASI CoC Standard-related training has been provided to the committee members and their understanding of the process was confirmed during the Audit.</p>
1.3 CoC Management System Monitoring	Conformance	The ASI CoC Management Manual adopted by the Entity clearly states the requirement for a review at least every five (5) years, or as needed, to ensure the effectiveness and completeness of the Management System, including addressing potential areas of Non-Conformance.
1.4 Management Representative	Conformance	In addition to the ASI Certification Committee established to administer and monitor the implementation of the ASI Standards, the Entity's General Manager has been appointed as the Management Representative, with overall responsibility and authority to ensure the compliance of the ASI CoC Standard requirements at the Entity.
1.5 Communications and Training	Conformance	The Entity has established and implemented communications and training measures to ensure all relevant personnel are aware of and are competent in their responsibilities under the ASI CoC Standard. Focused group training/discussion sessions were also held with relevant personnel.
1.6 Records Management	Conformance	The Entity has implemented a Management System to maintain up to date records covering all applicable requirements of the ASI Chain of Custody Standard and retain them for a minimum of five (5) years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	<p>The Entity's ASI CoC Management Manual outlines the reporting requirement to the ASI Secretariat within the timeframe specified under the Standard. As this is the Initial Certification Audit, the Entity will commence reporting in 2025, which will include data for the 2024 calendar year.</p> <p>Currently, the Entity does not source nor manufacture CoC Material.</p>
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	<p>The Entity's ASI CoC Management Manual outlined the annual reporting requirement to the ASI Secretariat by 30 June of the year following the end of each calendar year.</p> <p>Currently, the Entity does not source nor supply any Eligible Scrap.</p>

CRITERION	RATING	COMMENT
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has adopted the example CoC Document template issued by ASI to record all Inflow and Outflow Quantities of Non-CoC Material(s) to/from the Certified Entity, starting in this initial certification year (2024). The Entity's management and responsible personnel are aware of and understand the requirement to report to ASI annually by 30 June.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The ASI CoC Management Manual adopted by the Entity outlines the annual reporting process to ASI by 30 June, including Positive Balance. As this is the Initial Certification Audit, the Entity will begin reporting in 2025 with 2024 data. Currently, the Entity does not source nor manufacture CoC Material.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The ASI CoC Management Manual adopted by the Entity outlines the annual reporting process to ASI by 30 June, including Positive Balance. As this is the Initial Certification Audit, the Entity will begin reporting in 2025 with 2024 data. Currently, the Entity does not source nor manufacture CoC Material.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The ASI CoC Management Manual adopted by the Entity outlines the annual reporting process to ASI by 30 June, including Overdraw drawn down (if any). As this is the Initial Certification Audit, the Entity will begin reporting in 2025 with 2024 data. Currently, the Entity does not source nor manufacture CoC Material.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The ASI CoC Management Manual adopted by the Entity outlines the annual reporting process to ASI by 30 June, including Intra-Entity Flows (if any). As this is the Initial Certification Audit, the Entity will begin reporting in 2025 with 2024 data. Currently, the Entity does not source nor manufacture CoC Material.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable, as the Entity does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable, as the Entity does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable, as the Entity does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable, as the Entity does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable, as the Entity does not use Outsourcing Contractors for further processing, treatment or manufacturing.
2.4 Consistency in Inflow and Outflow Quantity of	Not Applicable	This Criterion is not applicable, as the Entity does not use Outsourcing Contractors for further processing, treatment or manufacturing.

CRITERION	RATING	COMMENT
CoC Material to/from Outsourcing Contractor		
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable, as the Entity does not use Outsourcing Contractors for further processing, treatment or manufacturing.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has a Management System in place ensuring the ASI Aluminium is produced only from its own smelter which is within the scope of this CoC Certification.
3.3b ASI Aluminium (Performance Standard)	Conformance	The Entity has a Management System in place, ensuring the ASI Aluminium is produced only from its own smelter which is certified under the ASI Performance Standard. The certification details are available at: https://aluminium-stewardship.org/wp-content/uploads/2023/09/ASI-Audit-Report-Press-Metal-Certificate-226-PS-Rev-1.pdf
3.3c ASI Aluminium (Alumina sourcing)	Conformance	The Entity has not yet sourced ASI Alumina, however, the Entity has established a system to ensure that when ASI Alumina is sourced, it will be from an ASI CoC Certified Entity, either directly or through a Trader. In these cases, the source of the ASI Alumina will be identifiable, and a verified CoC Document will be provided.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity has a management system in place, ensuring the ASI Aluminium is produced only from its own recycling facility which is within the scope of this CoC Certification.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity has a Management System in place, ensuring that ASI Aluminium is produced only from its own recycling facility which is certified under the ASI Performance Standard. The certification details are available at: https://aluminium-stewardship.org/wp-

CRITERION	RATING	COMMENT
		content/uploads/2023/09/ASI-Audit-Report-Press-Metal-Certificate-226-PS-Rev-1.pdf
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has developed an ASI CoC Management Manual, which outlines that Eligible Scrap must be accounted for in its Material Accounting System as only from sources defined in the ASI Chain of Custody Standard.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity has implemented an ASI CoC Management Manual to ensure that Post-Consumer Scrap is subject to supplier Due Diligence and is verified by the Entity as originating from Post-Consumer sources.
4.2c Eligible Scrap (Dross)	Conformance	The Entity has established an ASI CoC Management Manual that outlines the process for accounting Eligible Scrap in its Material Accounting System, specifically for Aluminium recovered from Dross and other Aluminium-containing wastes, provided these materials are subject to supplier Due Diligence.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity has established an ASI CoC Management Manual that outlines the requirement to document relevant details of direct suppliers of Recyclable Scrap.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	The Entity does not encourage or engage in cash transactions with direct suppliers of Recyclable Scrap Material.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has a Management System in place, ensuring the ASI Aluminium is produced only from its own Casthouse facility which is within the scope of this CoC Certification.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has a Management System in place, ensuring the ASI Aluminium is produced only from its own Casthouse facility which is certified under the ASI Performance Standard. The certification details are available at: https://aluminium-stewardship.org/wp-content/uploads/2023/09/ASI-Audit-Report-Press-Metal-Certificate-226-PS-Rev-1.pdf
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity's Casthouse Input material is sourced from its 'in-house' Smelter and under ASI CoC Certification Scope.
5.2 Unique Identification	Conformance	The Entity has implemented systems to ensure that unique identification numbers, stamped or printed on ASI Aluminium, correspond to the Input Quantities of CoC Material for each designated Material Accounting Period.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		

CRITERION	RATING	COMMENT
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL

7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has communicated the Responsible Sourcing Policy and Supplier Code of Conduct to all its major suppliers. The suppliers are required to adhere to the commitment and expectations outlined in this Policy, including the requirement on anti-Corruption. The Policies are publicly accessible on the corporate website.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity's Responsible Sourcing Policy has been communicated to all mineral suppliers (including Non-CoC Material and Recyclable Scrap Material suppliers). The suppliers are required to adhere to the commitment and expectation outlined in this Policy.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity's Responsible Sourcing Policy has been communicated to suppliers of Non-CoC Material and Recyclable Scrap Material. The suppliers are required to adhere to the commitment and expectation outlined in this Policy.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity's Responsible Sourcing Policy has been communicated to the suppliers of Non-CoC Material and Recyclable Scrap Material. The suppliers are required to adhere to the commitment and expectation outlined in this Policy.
7.2 Risk Assessment and Mitigation	Minor Non-Conformance	The Entity has implemented the Supplier Management Programme which is in line with the OECD's Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas (CAHRA). It considers all suppliers of mineral related products, including the suppliers for both CoC and Non-CoC Material. The risk assessment and mitigation in the Due Diligence process however has not sufficiently covered all Recyclable Scrap Material suppliers.
7.3 Complaints Resolution Mechanism	Conformance	The Entity's Whistle-Blowing Policy acts as a formal, anonymous and confidential channel for both internal and external Stakeholders to report grievances and complaints, and is published on the website at: https://pressmetal.com/investor-relations/corporate-governance.php

8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM

8.1 Material Accounting System	Conformance	The Entity has established and implemented an ASI CoC Management Manual and CoC Material Accounting Tool to govern and report on the
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CRITERION	RATING	COMMENT
		Input and Output Quantities of both CoC and Non-CoC Materials within the Certification Scope.
8.2 Material Accounting Period	Conformance	The Entity has defined a Material Accounting Period of twelve months, commencing from 1 January to 31 December of each calendar year.
8.3 Input and Inflow Quantities	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to calculate and record the Input and Inflow Quantities.
8.4 Output Quantities of CoC Material	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to calculate and record the Output Quantities of CoC Material.
8.5 Indivisibility of CoC Material	Conformance	The Entity's ASI CoC Management Manual requires the Output Quantity of CoC Material, which may be a subset of total production, to be designated as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Not Applicable	This Criterion is not applicable, as the Entity does not designate its Scrap as Eligible Scrap.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures of undertaking regular reconciliations of inflows and outflows to keep track and ensure that the Output of CoC Material and/or Eligible Scrap does not proportionally exceed the Input Percentage of CoC Material and/or Eligible Scrap over the Material Accounting Period.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to ensure that the Internal Overdraw does not exceed 20% of the total Input Quantity of CoC Material for the Material Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to ensure that the Internal Overdraw does not exceed 20% of the total amount of CoC Material affected by a Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to ensure that, in a Force Majeure situation, the Internal Overdraw would be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to ensure that any carry over of a Positive Balance is clearly identified.
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to ensure that a Positive Balance carried over to the subsequent Material Accounting Period will expire at the end of that period, if not drawn down.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to ensure that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities or Traders.

CRITERION	RATING	COMMENT
		The CoC Document template has been issued, and system readiness has been confirmed. To date, no CoC Material has been dispatched.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's ASI CoC Management Manual ensures that all CoC Documents include the date of issue. The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the reference number, which is linked to the Entity's Material Accounting System. The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the issuing Entity's identity, address and CoC Certification number. The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the identity and address of the customer receiving the CoC Material. If the customer is another CoC Certified Entity, their CoC Certification number will also be included in the CoC Documents. The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the name of responsible employee who can verify information contained in the Document. The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include a statement confirming: "The information provided in the CoC Document is in Conformance with the ASI CoC Standard." The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include the information on the type of CoC Material in the shipment. The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include information on the mass of CoC Material in the shipment. The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's ASI CoC Management Manual ensures that the CoC Documents include information on the mass of total material in the shipment. The CoC Document template is reviewed and confirmed its availability. To date, there has been no CoC Material shipment.

CRITERION	RATING	COMMENT
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity as its CoC Document does not include Sustainability Data.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable to the Entity as its CoC Document does not include Sustainability Data.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4 Supplementary Information (optional) - Objective evidence	Not Applicable	This Criterion is not applicable to the Entity as its CoC Document does not include Supplementary Information.
9.5 Verification of Information	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures for responding to verification requests regarding information in CoC Documents issued. To date, there has been no CoC Material shipment.
9.6 Error (Shipping)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures for managing error discovered after CoC Material has been shipped. These procedures include documenting the error as well as the agreed steps taken with the receiving party to correct them, and to implement corrective actions to avoid a recurrence. To date, there has been no CoC Material shipment.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to verify all information in the received CoC Documents. To date, there has been no CoC Material shipment.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to verify the consistency of received CoC Documents with the accompanying CoC Material or Eligible Scrap before recording information in the Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures of requiring periodic checks of the ASI website to verify the validity and scope of the Entity's upstream supplier's ASI CoC Certification for any changes that might affect the status of the supplied CoC Material or Eligible Scrap.
10.4 Error (Reception)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures for error management. It covers the actions to be taken if an error is discovered after CoC Material or Eligible Scrap has been received, including documenting the error, the agreed steps to correct it, and

CRITERION	RATING	COMMENT
		implementing measures to prevent recurrence. To date, there is no CoC Material or Eligible Scrap used.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to ensure that any claims and/or representations on CoC Material outside of CoC Documents are made in a consistent manner with the ASI Claims Guide. Relevant personnel have been trained on the ASI Claims Guide and have demonstrated understanding of its content.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity's ASI CoC Management Manual has outlined the procedures to ensure that any claims and/or representations about CoC Material outside of CoC Documents shall be supported by verifiable evidence as per ASI Claims Guide.
11.1c Claims and Communications (Employee training)	Conformance	The Entity's ASI CoC Management Manual has outlined the requirement of providing appropriate training to the relevant employees to ensure that they are aware of the requirements of the ASI Claims Guide and are kept updated on any internal changes to the Entity's certification status.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	18 February 2025	Initial Certification Audit – Full Certification