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# ASI CERTIFICATION PERFORMANCE STANDARD

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PRESENTED TO

# PRESS METAL INTERNATIONAL LTD

CERTIFICATE  
NUMBER

216

ASI  
STANDARD

PERFORMANCE  
STANDARD  
(V2 2017)

CERTIFICATION  
LEVEL

FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITOR

TÜV  
RHEINLAND  
CERT GmbH

DATE OF ISSUE

17 MAY 2023

DATE OF EXPIRY

16 MAY 2026

CERTIFIED SINCE

17 AUGUST 2022

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', written over a horizontal line.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
info@aluminium-stewardship.org

Validity of this Certificate is subject to continued  
conformance with the applicable ASI Standard  
and can be verified at

[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)

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CERTIFICATION SCOPE

Press Metal International Ltd (China): whole  
operation, include: Casthouse, Extrusion, Anodizing,  
Powder Coating, PVDF and fabricated extrusion  
profile.

# SUMMARY AUDIT REPORT

## PERFORMANCE STANDARD

### OVERVIEW

MEMBER NAME	Press Metal
ENTITY NAME	Press Metal International Ltd
CERTIFICATION SCOPE	Press Metal International Ltd (China): whole operation, include: Casthouse, Extrusion, Anodizing, Powder Coating, PVDF and fabricated extrusion profile.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li><li>Other manufacturing or sale of products containing Aluminium</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Performance Standard V2</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit (25 – 28 April 2022)</li><li>Surveillance Audit (8 – 10 February 2023)</li><li>Surveillance Audit (27- 29 November 2024)</li></ul>
AUDIT FIRM	TÜV Rheinland Cert GmbH
AUDIT DATE	<ul style="list-style-type: none"><li>25 – 28 April 2022 (Initial Certification Audit)</li><li>8 – 10 February 2023 (Surveillance Audit)</li><li>27 – 29 November 2024 (Surveillance Audit)</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>23 June 2022 (Initial Certification Audit)</li><li>25 April 2023 (Surveillance Audit)</li><li>5 January 2025 (Surveillance Audit)</li></ul>
AUDIT SCOPE	<p><u>Initial Certification Audit (25 – 28 April 2022)</u></p> <p>The Audit Scope covers the design and manufacturing of Architectural and Industrial Aluminium Alloy Profiles (Anodized Oxide Profiles, Electrophoretic Painting Profiles, Powder Spraying Profiles, Fluorocarbon Spraying Profiles, Anti-thermal Profiles).</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none"><li>Aluminium Re-melting/Refining</li><li>Casthouses</li><li>Semi-Fabrication</li></ul>

- Other manufacturing or sale of products containing Aluminium

All applicable criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit (8 – 10 February 2023)

The Audit Scope covers the design and manufacturing of Architectural and Industrial Aluminium Alloy Profiles (Anodized Oxide Profiles, Electrophoretic Painting Profiles, Powder Spraying Profiles, Fluorocarbon Spraying Profiles, Anti-thermal Profiles).

Supply chain activities included in the audit scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Other manufacturing or sale of products containing Aluminium

All applicable criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit (27 – 29 November 2024)

The Audit Scope covers the design and manufacturing of Architectural and Industrial Aluminium Alloy Profiles (Anodized Oxide Profiles, Electrophoretic Painting Profiles, Powder Spraying Profiles, Fluorocarbon Spraying Profiles, Anti-thermal Profiles).

Supply chain activities included in the audit scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Other manufacturing or sale of products containing Aluminium

Selected criteria in the ASI Performance Standard relating to previous non-conformances and other issues considered Material to the Entity were included in the Audit Scope.

AUDIT  
OUTCOME

- Certification

AUDIT  
METHODOLOGY  
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION  
PERIOD

17 May 2023 – 16 May 2026

NEXT AUDIT  
TYPE

Re-Certification Audit

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NEXT AUDIT  
DUE DATE

16 May 2026

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CERTIFICATE  
NUMBER

216

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## SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has established procedures to collect the Applicable Law/regulation which covers labour, ethics, health and safety and the environment. The Human Resources, Administration and Environment, Health and Safety Departments are responsible for the collection and assessment at least once per quarter. Two qualified law officers and the Legal Department ensure the Entity operates according to Applicable Law.
1.2 Anti-Corruption	Conformance	The Entity has established a Business Ethics Policy and procedure, which covers Anti-Extortion and Bribery, and training is provided to employees. The Anti-Corruption and Anti-Bribery Policy is available at: <a href="https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251011007323.pdf">https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251011007323.pdf</a> The Ethics Reporting Policy and channel is available to the public via the Entity's website: <a href="https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251011002888.pdf">https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251011002888.pdf</a> The Entity has conducted a Due Diligence investigation which included a review of high-risk positions such as purchasing, sales and finance. Employees in these departments have signed the Honesty Commitment Letter.
1.3 Code of Conduct	Conformance	The Entity has established a Code of Conduct and has provided training to employees on the Code. The Supplier Code of Conduct has been communicated to suppliers, who have signed the relevant commitment letters. The Code of Conduct and the Supplier Code of Conduct are available to interested Stakeholders at: <a href="https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251011009310.pdf">https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251011009310.pdf</a> <a href="https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251010594108.pdf">https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251010594108.pdf</a>
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	The Entity has established a Management System that includes a Policy on environmental, social and governance compliance: <a href="https://www.pressmetal.com.cn/upload/ueditor/20241127/202411271115009508.pdf">https://www.pressmetal.com.cn/upload/ueditor/20241127/202411271115009508.pdf</a>
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	Commitment to implementing the ASI Management System is established by the senior management

CRITERION	RATING	COMMENT
		team. The effectiveness of the system is reviewed during the annual management review.
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	The Policies are available to internal Stakeholders through training. External Stakeholders can access the Policies at: <a href="https://www.pressmetal.com.cn/upload/ueditor/20241127/202411271115009508.pdf">https://www.pressmetal.com.cn/upload/ueditor/20241127/202411271115009508.pdf</a>
2.2 Leadership	Conformance	The Environment, Health and Safety (EHS) Manager has been appointed as the Management Representative to ensure the social, environmental and governance requirements are reflected in the Entity. The authorities and responsibilities of the role are defined in the appointment letter. An ASI team has been established to support the implementation of the ASI Management System.
2.3a Environmental and Social Management Systems (environmental)	Conformance	The Entity has established and implemented an ISO 14001:2015 certified Environmental Management System: <a href="http://www.certipedia.com/certificates/01+104+060460?locale=zh-CN">www.certipedia.com/certificates/01+104+060460?locale=zh-CN</a>
2.3b Environmental and Social Management Systems (social)	Conformance	The Entity has established the ASI Management System, which covers the social Management System. Internal audits and management reviews are conducted annually to ensure the effectiveness of the Management System. For the non-conformances, the Entity conducts a root cause analysis and implemented corrective and preventive action.
2.4 Responsible Sourcing	Minor Non-Conformance	The Entity is committed to responsible sourcing and requires suppliers to sign a commitment letter, available at: <a href="http://www.pressmetal.com.cn/upload/ueditor/20231212/202312121420088301.pdf">www.pressmetal.com.cn/upload/ueditor/20231212/202312121420088301.pdf</a> The Entity has conducted Due Diligence on all its suppliers through the supplier self-assessment covering environmental, social and governance issues. However, it was identified that the Entity has not yet reviewed Due Diligence records, in order to identify improvement opportunities for key suppliers.
2.5 Impact Assessments	Conformance	The Entity has conducted a Social Accountability Risk Assessment, which includes gender analysis and social, cultural and Human Rights impacts, and no high risks have been identified. The Entity's ASI System Manual defines the requirement for Impact Assessments to be undertaken for all New Projects and major changes to existing Facilities. The

CRITERION	RATING	COMMENT
		<p>Environmental Impact Assessment (EIA) is covered by the ISO 14001 certified Management System.</p> <p>The Entity has prepared an Environmental Impact Assessment (EIA) Report, EIA Approval and Environmental Protection Check Approval (EPCA) for all construction projects.</p> <p>The Entity has obtained a valid Pollution Discharge Permit and has recorded all pollution discharge information for the Entity, available at:  <a href="https://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&amp;dataid=b6e698d1d00d45ccb3786f2a6ecb8687">https://permit.mee.gov.cn/perxxgkinfo/xkgkAction!xkgk.action?xkgk=getxxgkContent&amp;dataid=b6e698d1d00d45ccb3786f2a6ecb8687</a></p>
2.6 Emergency Response Plan	Conformance	In collaboration with potentially affected Stakeholder groups, the Emergency Response Plans have been established and implemented and training on the plans is provided periodically.
2.7 Mergers and Acquisitions	Conformance	The Entity has established a merger or acquisition control procedure, including the Due Diligence process. In the past three years, there has been no merger or acquisition in the Entity.
2.8 Closure, Decommissioning and Divestment	Conformance	The Entity has established a closure, decommissioning and divestment control procedure in accordance with the requirement of the ASI Performance Standard. There has been no such case since the ASI Management System became operational or in the past three years.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	As part of the Press Metal Group, the Entity has published an Integrated Annual Report 2023, available at: <a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a>
3.2 Non-compliance and liabilities	Conformance	In 2023, the Entity has not received any fines or penalties. However, in the event of non-compliance and liabilities, the non-compliance issues (such as significant fines, judgments, penalties, and non-monetary sanctions) will be publicly disclosed in the Integrated Annual Report and the Aiqicha (an enterprise business data platform). <a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a> <a href="https://aiqicha.baidu.com/company_detail_32409229172145?tab=risk">https://aiqicha.baidu.com/company_detail_32409229172145?tab=risk</a>
3.3a Payments to governments (legal and contractual)	Conformance	The Entity has developed and implemented anti-Corruption Policies, systems, procedures, and

CRITERION	RATING	COMMENT
		<p>processes. The Entity's 2023 Financial Audit Report is issued by a qualified third party and indicates that all payments to governments are based on legal or contractual requirements. The Entity has disclosed payments to governments in the Integrated Annual Report 2023, page 217:</p> <p><a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a></p>
3.3b Payments to governments (disclosure – bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Conformance	<p>The Entity has developed and implemented Policies, systems, procedures, and processes to manage Stakeholder complaints, grievances, and requests for information. The Entity's Management System monitors Stakeholder requests and complaints and has an appropriate complaint resolution mechanism. Communication channels are available to internal and external Stakeholders and are included in the supplier Code of Conduct and on the Entity's website.</p> <p><a href="http://www.pressmetal.com.cn/Suggestions.html">www.pressmetal.com.cn/Suggestions.html</a></p>
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	The Entity has conducted a Life Cycle Assessment (LCA) for its main product (certificate number: CO 50582662 0001). The LCA assessment data boundary is 'cradle to gate'.
4.1b Environmental Life Cycle Assessment (cradle to gate)	Conformance	<p>The Entity has developed and implemented Policies and procedures for LCAs. LCA information is provided upon request, and the request channel is included in the Integrated Annual Report 2023:</p> <p><a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a></p>
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	<p>The Entity has developed and implemented Policies and processes for LCA. LCA information will be provided upon request, and the Entity will ensure communication of LCA information is adequate and accurate according to ASI requirements. The request channel is included in the Sustainable Development Report, page 64:</p> <p><a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a></p>
4.2 Product design	Conformance	The Entity has established procedures for LCA in the product design process to consider environmental impacts, including energy consumption, water, air emissions and waste. In the design phase, all environmental factors of the LCA have been identified



CRITERION	RATING	COMMENT
		and listed in the final design reports and the Entity has established quantification data for Greenhouse Gas (GHG) and for all environmental factors of the LCA, including wastewater, air emissions and solid waste, based on the LCA assessment results.
4.3a Aluminium Process Scrap (targets)	Conformance	The Entity has established targets and improvement programs for each process to reduce scrap during production. 100% of the Aluminium Process Scrap is recycled by the internal re-melting workshop. The scrap generation rate is reviewed at monthly management meetings to ensure targets are met.
4.3b Aluminium Process Scrap (alloy separation)	Conformance	The Entity has adequate and effective procedures to classify and dispose of the different kinds of Aluminium scrap. All scrap is classified for alloy separation and recycled at different smelters.
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	The Entity has established a strategy for the collection and recycling of Aluminium products at End of Life. The collection and recycling data are maintained by the materials system.
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	The Entity has a system and process to improve the reuse rate of Aluminium. At present, the Entity has signed recycling contracts with customers and recycling companies to collect the scrap of products at End of Life, and related recycling records are maintained. Currently, the Entity is involved in collection and recycling systems at the local level by signing contracts with local recycling companies.
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	The Entity has published data on Greenhouse Gas (GHG) emissions and their source in the Integrated Sustainable Development Report 2023, pages 129-133: <a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a> GHG emissions calculations are performed internally, and the GHG source inventory and raw data are provided for third-party verification. The Entity's main sources of GHG emissions are electricity and natural gas, with the electricity source approximately 81% coal-generated and 19% solar-generated.
5.2 GHG emissions reductions	Conformance	The Entity has set a GHG emissions reduction target:

CRITERION	RATING	COMMENT
		<ul style="list-style-type: none"> <li>- Reduce GHG emissions intensity (Scope 1 and Scope 2) by 15% by 2025, compared to the 2020 baseline.</li> <li>- Reduce GHG emissions intensity (Scope 1 and Scope 2) by 30% by 2030, compared to 2020 baseline</li> <li>- Achieve carbon neutrality by 2050</li> </ul> <p>The Entity has implemented improvement programmes to achieve these targets, and the management team reviews progress against the target annually. The Entity has implemented processes to conduct root cause analysis and implement corrective actions if the target is not to be achieved.</p>
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE</b>		
6.1 Emissions to Air	Conformance	<p>The Entity has established an air emissions inventory for all air emission sources and a qualified third party monitors all outlets according to the monitoring plan. The Entity has established continuous reduction targets for key indicators such as sulphur dioxide (SO<sub>2</sub>), oxides of nitrogen (NO<sub>x</sub>) and Volatile Organic Compounds (VOCs), which are tracked and reviewed annually by the management team. The Entity has implemented improvement programmes to reduce air emissions. Air emissions data is included in the Integrated Annual Report 2023, pages 134-135: <a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a></p> <p>The Entity has conducted air emissions monitoring in accordance with its Pollutant Discharge Permit and Applicable Law.</p>
6.2 Discharges to Water	Conformance	<p>The Entity has established a wastewater inventory to control Discharges to Water. Industrial wastewater is collected and treated at the Entity's parent company-owned Wastewater Treatment Plant (WWTP) prior to discharge to the local municipality WWTP. The Entity has monitored the wastewater quarterly and the results are within the limit. The Entity has established a reduction plan for wastewater discharge and the improvement programs are monitored. Wastewater management information is included in the Integrated Annual Report 2023, pages 144:</p>

CRITERION	RATING	COMMENT
		<a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a>
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	The Entity has conducted annual Spills and Leakages assessments. There are no high risks identified, and the Entity has implemented preventive actions and improvement programs for all potential risks.
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	The Entity has conducted annual Spills and Leakages assessments. There are no high risks identified, and the Entity has implemented preventive actions and improvement programs for all potential risks. Emergency response programs are established and registered with the local Environment Bureau.
6.4a Reporting of Spills (immediate disclosure)	Conformance	The Entity has established an environment protection procedure that covers the management and reporting of Spills.
6.4b Reporting of Spills (regular reporting)	Conformance	The Entity had no Spill incidents during the past year, which were managed in accordance with the emergency response process. Any incidents are disclosed in the Integrated Annual Report: <a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a> There were no reported Spills in 2023 and 2024.
6.5a Waste management and reporting (strategy)	Conformance	The Entity has defined a solid waste management procedure to cover the collection and disposal of all waste. Hazardous Waste is transferred to qualified third parties according to legal requirements and inventory and disposal receipts are kept. The Entity has established continual improvement targets to reduce waste generation and targets are reviewed annually by the senior management team.
6.5b Waste management and reporting (disclosure)	Conformance	The Entity has included the waste management information in the Integrated Annual Report 2023, pages 136 - 141: <a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a>
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (state of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Conformance	The Entity complies with the Recycled Materials Management Regulation which defines an adequate and effective process to collect and recycle Aluminium from Dross. Prior to being sent to specialised vendors, the Entity recycles approximately 70% of Dross internally.
6.8b Dross (recycling)	Conformance	Dross is recycled and refined by internal smelters. It is used as a material rather than as waste. The portion of the Dross that cannot be recycled internally is sold to qualified vendors for conversion into high Aluminium content materials that can also be recycled.
6.8c Dross (review of alternatives)	Conformance	The Entity has reviewed Dross recycling management monthly. Dross is reused internally or recycled externally for use in building materials. There is no landfilling of Dross residues.
PRINCIPLE 7 WATER STEWARDSHIP		
7.1a Water assessment (mapping)	Conformance	The Entity has assessed the water consumption via a qualified third party during the Environment Impact Assessment associated with the Facility's founding, which indicated the water source is compliant with legal requirements and approved by the local bureau. The Entity has established water mapping and regularly reviews the updates and changes. A water risk assessment is conducted annually, and no high risks are identified.

CRITERION	RATING	COMMENT
7.1b Water assessment (risk assessment)	Conformance	The Entity has conducted an annual water risk assessment, the most recent being in March 2024, which fully covers the Entity's Area of Influence and did not identify any high risks.
7.2a Water management (management plans)	Conformance	The Entity has annual targets to continually reduce water consumption and has established programs to achieve the targets.
7.2b Water management (monitoring)	Conformance	The Entity has annual targets to continually reduce water consumption and has established programs to achieve the targets. The targets and progress of programs are reviewed monthly.
7.3 Disclosure of water usage and risks	Conformance	The Entity has disclosed the water usage and risks in the Integrated Annual Report 2023, pages 142-144: <a href="https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf">https://www.pressmetal.com.cn/upload/ueditor/20240927/202409271534186920.pdf</a>
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	The Entity's biodiversity assessment is included in the Environment Impact Assessment reports, which were prepared by a qualified third party and identified no negative impacts on biodiversity.
8.2a Biodiversity management (biodiversity action plans)	Not Applicable	This Criterion is not applicable as the Entity's biodiversity assessment determined there were no negative impacts on biodiversity.
8.2b Biodiversity management (consultation and mitigation hierarchy)	Not Applicable	This Criterion is not applicable as the Entity's biodiversity assessment determined there were no negative impacts on biodiversity.
8.2c Biodiversity management (reporting)	Not Applicable	This Criterion is not applicable as the Entity's biodiversity assessment determined there were no negative impacts on biodiversity.
8.3 Alien Species	Conformance	The Entity has annually assessed the Alien Species, and no Material risk has been identified.
8.4a Commitment to "No Go" in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4b Commitment to "No Go" in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The Entity has established a Human Rights Policy and procedures in its ASI Management Manual and Code of Conduct, available at: <a href="https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251011009310.pdf">https://www.pressmetal.com.cn/upload/ueditor/20230525/202305251011009310.pdf</a> The Entity has identified Human Rights risks and provides relevant training to all employees.
9.1b Human Rights Due Diligence (process)	Conformance	The Entity has established a procedure to conduct Human Rights Due Diligence. The Entity conducts Human Rights Due Diligence for Communities, suppliers/Contractors and internally according to the procedure.
9.1c Human Rights Due Diligence (remediation)	Conformance	The Entity has established and published the complaints/grievance channel to Stakeholders: <a href="http://www.pressmetal.com.cn/Suggestions.html">www.pressmetal.com.cn/Suggestions.html</a> No adverse impacts have been reported, therefore no remedial actions are required.
9.2 Women's Rights	Conformance	Women's rights and interests are respected. The Entity has identified legal rights for women and implemented control measures to ensure these are met, such as providing sufficient protection to pregnant Workers and nursing mothers. Around 50% of the management staff are female. Female Workers are treated the same as male Workers, with equal pay, access to training and promotion.
9.3 Indigenous Peoples	Not Applicable	This Criterion is not applicable, as there are no Indigenous Peoples in the areas where the Entity operates.
9.4 Free, Prior, and Informed Consent (FPIC)	Not Applicable	This Criterion is not applicable, as there are no Indigenous Peoples in the areas where the Entity operates.
9.5 Cultural and sacred heritage	Conformance	The Entity's Environmental Impact Assessment (EIA) process determined that cultural and sacred heritage sites and values are not affected.
9.6a Resettlements (avoid or minimise)	Conformance	The Entity's Environmental Impact Assessment (EIA) report determined that resettlement was not necessary.

CRITERION	RATING	COMMENT
9.6b Resettlements (where unavoidable)	Conformance	The Entity's Environmental Impact Assessment (EIA) report determined that resettlement was not necessary.
9.7a Local Communities (rights and interests)	Conformance	The Entity has established the ASI Management Manual, which includes the process by which the Entity respects the legal and customary rights and interests of Local Communities in their lands and livelihoods and their use of natural resources. The Entity has developed a communication channel to engage with Local Communities.
9.7b Local Communities (impacts)	Conformance	The Entity is located within an industrial park and the nearest Community is approximately 1.5km away. Some of its employees are from the local area. The Entity has installed environmental protection devices to reduce the impact of air emissions and boundary noise on the Local Communities: <a href="http://www.pressmetal.com.cn/Suggestions.html">www.pressmetal.com.cn/Suggestions.html</a>
9.7c Local Communities (livelihoods)	Conformance	The Entity employs some Workers from the Local Communities. The Entity has established plans to support the surrounding communities, such as providing employment opportunities and donations to Local Communities and environmental protection organisations, supporting sports activities, single mothers, children in difficult circumstances and supporting the elderly in Local Communities.
9.8 Conflict-Affected and High-Risk Areas	Conformance	The Entity has established the ASI management manual, which includes the procedure that the Entity commits to not contributing to armed conflict or Human Rights abuses in Conflict-Affected and High-Risk Areas (CAHRAs). The Entity's internal Due Diligence investigation reports and the signed supplier commitments, have identified that no materials are from CAHRAs.
9.9 Security practice	Conformance	Security at the Entity is provided by a qualified third party. The Entity has implemented the Security Code of Conduct to respect Human Rights. All security staff are trained on the Policy and procedure, which includes anti-harassment, anti-abuse, and anti-Forced Labour.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Conformance	There are laws that restrict Freedom of Association in China. However, the Entity commits to respecting the Workers' rights. There are twenty-two elected Worker representatives in the Association for Workers.



CRITERION	RATING	COMMENT
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Conformance	There are laws that restrict Collective Bargaining in China. However, the Entity respects the rights of Workers to Collective Bargaining, and to participate in any Collective Bargaining process.
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Conformance	Workers' representatives deal with Workers' concerns with management on behalf of the Workers.
10.2a Child Labour (minimum age)	Conformance	The Entity does not use Child Labour (under 16 years) or young Workers (between 16 to 18 years). The Entity has established procedures to ensure no Child Labour is hired and to protect young Workers. All interviewed Workers report that no Child Labour is used.
10.2b Child Labour (hazardous)	Conformance	The Entity does not use Child Labour or young Workers (between 16 to 18 years). If young Workers are used, they are under special protection and are not allowed to work in hazardous working environments.
10.2c Child Labour (worst forms)	Conformance	The Entity does not use Child Labour or young Workers. The Entity commits itself and expects its suppliers to comply with the prohibition of Child Labour.
10.3a Forced Labour (human trafficking)	Conformance	The Entity has established an ASI management manual and commits itself and expects its suppliers to comply with the prohibition of Forced Labour, slavery, and Human Trafficking. The Entity does not use Forced Labour.
10.3b Forced Labour (deposits, fees, advances)	Conformance	The Entity has established an ASI management manual and procedure to ensure it is not involved in Forced Labour. Employees are employed directly or through labour agents and no deposits, fees or advances are required from Workers.
10.3c Forced Labour (migrant workers)	Conformance	The Entity has established an ASI management manual and procedure to ensure it is not involved in Forced Labour. There are no foreign Migrant Workers at the Entity, all Workers are Chinese.
10.3d Forced Labour (debt bondage)	Conformance	The Entity has established an ASI management manual and procedure to ensure it is not involved in Forced Labour. The Entity does not hold Workers in Debt Bondage nor force them to work in order to pay off a debt.
10.3e Forced Labour (freedom of movement)	Conformance	The Entity has established an ASI management manual and procedure to ensure it is not involved in Forced Labour. There is no restriction on Workers' movement at the sites.



CRITERION	RATING	COMMENT
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	The Entity has established an ASI management manual and procedure to ensure that it is not involved in Forced Labour. The Entity or labour agents do not hold original documents, passports or permits, only copies are kept in personnel files.
10.3g Forced Labour (freedom to terminate employment)	Conformance	The Entity has established an ASI management manual and procedure to ensure it is not involved in Forced Labour. The time for announced termination of the working contract is regulated in the labour contract.
10.4 Non-Discrimination	Conformance	The Entity has established a procedure for anti-Discrimination which includes processes for hiring, salary, promotion and. The Entity provides equal pay for Workers with a disability.
10.5 Communication and engagement	Conformance	The Entity undertakes direct and frequent communication with Workers and the Worker representatives on the Worker's councils. A positive working environment and direct communication were noted by interviewed Workers. The Entity conducts a monthly satisfaction survey to collect Workers' feedback.
10.6 Disciplinary practices	Conformance	In accordance with the Entity's ASI management manual, the Entity does not tolerate any form of punishment or harassment. It requires its suppliers to comply with relevant Policies and procedures. Disciplinary measures are regulated by law and require written evidence and the involvement of the Worker representative. Workers are trained and communicated on the disciplinary control procedure. All disciplinary records are confirmed by both Workers and management.
10.7a Remuneration (living wage)	Conformance	The Entity's wage structure complies with the legal standard and cover the basic needs of the Workers. All employees are also covered by social security.
10.7b Remuneration (method of payment)	Conformance	In accordance with payroll records, wage payments are documented and paid to Workers on the 15 <sup>th</sup> of each month directly into the employees' bank accounts. There have been no delayed payments over the past 12 months.
10.8 Working Time	Minor Non-Conformance	The Entity has established and implemented a procedure to provide Workers with paid annual leave, sick leave, maternity leave, and marriage leave. Working hours are recorded and monitored. All Workers have one day off every seven days, weekly working hours do not exceed 60 hours and daily Overtime hours do not exceed the legal requirements.

CRITERION	RATING	COMMENT
		However, it was identified in some cases, monthly Overtime hours exceeded 36 hours.
<b>PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	The Entity has a valid ISO 45001:2018 certification covering the scope of the certification. The Entity has established a formal Policy for Occupational Health and Safety (OH&S) and has posted this Policy in the internal public areas.
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity has a valid ISO 45001:2018 certificate. The Entity has adequate and effective Policies and procedures to ensure all Workers and Visitors follow internal OH&S requirements, including orientation training and regular monitoring.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Entity has a valid ISO 45001:2018 certificate. The Entity has established formal Policies for OH&S including legal compliance.
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity has a valid ISO 45001:2018 certificate. The Entity has established formal Policies for OH&S including the right to stop unsafe work and providing a safe working environment.
11.2 OH&S Management System	Conformance	The Entity has established a documented and ISO 45001:2018 certified OH&S Management System that complies with applicable national and international standards.
11.3 Employee engagement on health and safety	Conformance	The Entity has adequate and effective mechanisms to collect Workers' feedback on OH&S. The mechanisms include suggestion boxes, Worker representative meetings, Worker surveys and accident and injury analysis.
11.4 OH&S performance	Conformance	The Entity has established targets and control programs for accident/injury/fire on OH&S. The Entity's management has reviewed the programs and targets monthly.

#### **Document Control and Version History**

Revision	Date	Notes
0	17 August 2022	Initial Certification Audit – Provisional Certification
1	16 May 2023	Surveillance Audit – Full Certification
2	4 February 2025	Surveillance Audit