

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

AUDI

CERTIFICATE NUMBER

6

ASI STANDARD

**PERFORMANCE
STANDARD
(V3.1 2023)**

CERTIFICATION LEVEL

**FULL
CERTIFICATION**

ASI ACCREDITED
AUDITING FIRM

CERTAINABLE GmbH

DATE OF ISSUE

6 MARCH 2025

DATE OF EXPIRY

31 MAY 2027

CERTIFIED SINCE

17 SEPTEMBER 2018

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Central functions at Audi
Headquarters in Ingolstadt
(Germany) and developing and
producing passenger cars at Audi
factories Ingolstadt (Germany),
Neckarsulm (Germany) and
Hungaria (Hungary).

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Audi
ENTITY NAME	Audi
CERTIFICATION SCOPE	Central functions at Audi Headquarters in Ingolstadt (Germany) and developing and producing passenger cars at Audi factories Ingolstadt (Germany), Neckarsulm (Germany) and Hungaria (Hungary).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion – Principles 1 to 4 (transition)
ASI STANDARD	Performance Standard V3
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (3 – 6 September 2018)Re-Certification Audit and Scope Change (29 – 30 November 2021)Re-Certification Audit and Scope Change (20 November – 4 December 2024)
AUDIT FIRM	<ul style="list-style-type: none">DNV Business Assurance Services UK Ltd. (Initial Certification Audit)DNV Business Assurance Services UK Ltd. (Re-Certification Audit and Scope Change)Certainable GmbH (Re-Certification Audit and Scope Change)
AUDIT DATE	<ul style="list-style-type: none">3 – 6 September 2018 (Initial Certification Audit)29 – 30 November 2021 (Re-Certification Audit and Scope Change)20 November – 4 December 2024 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">16 September 2018 (Initial Certification Audit)4 March 2022 (Re-Certification Audit and Scope Change)14 February 2025 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (3 – 6 September 2018)</u></p> <p>The Audit Scope included the production of Aluminium parts for and assembling of high-voltage batteries C-BEV for the Audi e-tron at Audi Hungaria Zrt (Győr, Hungary), Audi Neckarsulm (Neckarsulm, Germany) and Audi Brussels S.A./N.V. (Brussels, Belgium).</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion (Industrial User) <p>All criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Re-Certification Audit and Scope Change (29 – 30 November 2021)</u></p> <p>The Audit Scope included central functions at Audi Headquarters in Ingolstadt (Germany) and developing and producing passenger cars at factories Audi Ingolstadt (Germany), Audi Neckarsulm (Germany), Audi Brussels S.A./N.V. (Belgium) and Audi Hungaria Zrt. (Hungary).</p>

Supply chain activities included in the Audit Scope:

- Material Conversion (Industrial User)

All criteria from Principle 4 Material Stewardship in the ASI Performance Standard were included in the Audit Scope.

At the time of the Audit (November 2021), access to the sites was not possible, due to COVID-19 related travel restrictions. The Audit has been undertaken as a 'desktop' exercise, in accordance with the ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4), and included a remote review of relevant documentation.

Re-Certification Audit and Scope Change (20 November – 4 December 2024)

The Audit Scope included central functions at Audi Headquarters in Ingolstadt (Germany) and developing and producing passenger cars at factories Audi Ingolstadt (Germany), Audi Neckarsulm (Germany) and Audi Hungaria Zrt. (Hungary).

Supply chain activities included in the Audit Scope:

- Material Conversion – Principles 1 to 4 (transition)

All applicable criteria from Principles 1 to 4 in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME

- Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the company's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

6 March 2025 – 31 May 2027

NEXT AUDIT TYPE

Re-Certification Audit

NEXT AUDIT DATE

30 May 2027

CERTIFICATE NUMBER

6



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The Certification Scope for the Entity, 'AUDI' includes three major manufacturing plants as well as Audi's Global Headquarters, located in Ingolstadt, Germany. The Ingolstadt plant is Audi's largest production site and headquarters. Operating for over 70 years, it produces a wide range of models, including the fully electric Audi Q6 e-tron. In 2023, the plant manufactured over 400,000 vehicles. It employs approximately 40,000 people and includes manufacturing halls, research and development centres, administrative offices, training facilities, and medical centres. The Plant is situated directly adjacent to the city, with the Altmühltal Nature Park nearby as a sensitive environmental area.

The Neckarsulm Plant, located in Germany has been producing vehicles in Neckarsulm for over 100 years. The site, originally a textile machinery factory, has evolved into a high-tech automotive production hub. Current production includes models such as the Audi A4, A5, A6, A7, A8, and the fully electric Audi e-tron GT quattro and RS e-tron GT. The plant employs approximately 15,400 people and features production halls, administrative buildings, and a visitor centre (Audi Forum). The site is located adjacent to the town of Neckarsulm, with the Schwäbisch-Fränkischer Forest Nature Park as an ecologically significant area nearby.

The Győr Plant in Hungary was founded in 1993 and has grown into one of the largest engine production sites in the world. The plant produces combustion and electric powertrains, including those for the Audi Q6 e-tron. In 2023, approximately 176,000 vehicles were produced, including the Audi Q3 and Audi Q3 Sportback. The site currently employs approximately 11,600 people, and includes production halls, development centres, administrative offices, and employee well-being facilities. Located near Győr, one of Hungary's key industrial cities, the plant is close to the Danube River, a major European waterway.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	High	High	HIGH
RISKS	High	High	High	HIGH
PERFORMANCE	High	High	High	HIGH
OVERALL	HIGH			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	<p>The Entity has established a comprehensive compliance framework, ensuring integrity, legal adherence, and ethical business conduct across its operations. The Entity has demonstrated strong governance through corporate Policies, a structured whistleblowing system, and compliance management systems covering product and environmental regulations.</p> <p>Alignment with ISO 14001, EMAS, and ISO 45001 further supports its commitment to legal and ethical standards. More details on the Entity's compliance initiatives are available at: https://www.audi.com/de/company/compliance-riskmanagement/compliance.html</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has implemented a comprehensive and effective anti-Corruption framework across all sites within the Certification Scope, ensuring compliance with ethical and legal standards. The Entity has established clear guidelines on lobbying, anti-money laundering and anti-Corruption, which are systematically implemented at all Certified sites. Compliance is reinforced through training programs and a structured whistleblowing system to detect and prevent misconduct. Interviews with key personnel during the Audit confirmed that the Entity's anti-Corruption measures are effectively embedded within its operations.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has established a comprehensive Code of Conduct that outlines principles relevant to Environmental, Social and Governance (ESG) performance. This Code is publicly disclosed and undergoes regular reviews to ensure its relevance and effectiveness.</p> <p>Further information on the Audi Code of Conduct is available at: https://www.audi.com/content/dam/gbp2/downloads/Corporate-guidelines/en/Audi_CoC_3.1_EN.pdf</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has implemented a structured sustainability management system, ensuring clear governance, responsibilities, and integration of Environmental, Social and Governance (ESG) principles across its operations. The Entity's Corporate Guideline provides a broad and holistic approach to identifying and managing ESG risks. The Entity's Sustainability Policy is publicly disclosed, reinforcing transparency and accountability.</p> <p>Further information on the Corporate Guidelines are available at: https://www.audi.com/de/sustainability/ethical-leadership/documents-policies.html</p>
2.2a-c Leadership	Conformance	<p>The Entity has implemented a structured governance framework to ensure clear role definitions, accountability, and compliance with the ASI Performance Standard. The Entity applies a tasks, competencies, and responsibilities (Aktuelle Kompetenz - und Verantwortungsprofile Methode (AKV Method)) framework, which transparently defines and assigns mandates across all relevant organisational units.</p>

CRITERION	RATING	COMMENT
		A designated senior management group oversees ASI Performance Standard compliance, ensuring effective governance and continuous monitoring.
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity has implemented a comprehensive Environmental Management System, ensuring compliance with internationally recognised standards across all certified sites. The Entity's 'Mission:Zero' environmental program supports its commitment to net carbon-neutral production by 2025, with all production sites certified to EMAS, ISO 14001, or ISO 50001. The Entity's environmental management approach includes risk assessments, energy efficiency improvements, and transparent public reporting, reinforcing its dedication to sustainable production and logistics.
2.3b Environmental and Social Management Systems - Social	Conformance	The Entity's Human Rights Guideline, Social Charter, and Code of Conduct outline its commitments to diversity, non-Discrimination, and corporate integrity. Governance structures and compliance frameworks are in place to monitor and continuously improve social responsibility initiatives.
2.4a-e Responsible Sourcing	Conformance	The Entity has established a Responsible Sourcing Policy that integrates Environmental, Social and Governance (ESG) principles into its procurement strategy. The Entity ensures transparency and accountability by publicly disclosing its sourcing policy and reviewing it regularly to align with business developments, material ESG risks, and control measures. The Entity applies risk assessment tools, supplier compliance requirements, and continuous monitoring to uphold ethical and sustainable sourcing practices. More information on the Entity's Responsible Sourcing Policy is available at: https://www.audi-mediacycenter.com/en/procurement-and-supply-chain-10639 and https://media.audi.com/is/content/audi/microsites/audi-com/assets/downloads/corporate-guidelines/CoC%20fu%CC%88r%20Gescha%CC%88ftspartner%203.0.pdf and https://www.audi.com/en/sustainability/ethical-leadership/documents-policies/
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to the existing Facility since ASI membership, and none are there any currently planned.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity, as there have been no New Projects or Major Changes to the existing Facility since ASI membership, and none are there any currently planned.
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has implemented a structured emergency preparedness and response system, ensuring compliance with occupational safety, corporate security, and crisis management standards. The Entity has established clear Policies, defined emergency roles, and conducts regular drills to mitigate risks and enhance preparedness.</p> <p>Both the Ingolstadt and Neckarsulm plants are classified as hazardous incident facilities under the German 12th Federal Immission Control Ordinance (12. BImSchV). The Entity provides public information in accordance with Sections 8a and 11 of the 12 BImSchV, detailing relevant hazardous substances and key safety measures at these locations.</p>

CRITERION	RATING	COMMENT
		This information for the Ingolstadt and Neckarsulm Plant is available at: https://www.audi.com/de/company/strategy/locations/germany.html
2.8a-d Suspended Operations	Conformance	The Entity has implemented structured procedures and contingency plans to ensure business continuity and risk mitigation in cases of suspended operations. The Entity has established clear policies, defined emergency roles, and scenario-based business continuity plans to address operational disruptions effectively.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has implemented a structured Due Diligence process for mergers and acquisitions (M&A), and disinvestments, ensuring the integration of Environmental, Social and Governance (ESG) considerations in corporate decision-making. The Entity evaluates Human Rights, environmental risks, and regulatory compliance as part of its risk assessment procedures. Relevant Stakeholders are consulted as needed to ensure informed and responsible business decisions.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has implemented structured Due Diligence processes to ensure that business transitions, including site closures and major operational changes, consider Environmental, Social and Governance (ESG) aspects. The Entity follows legal compliance requirements, environmental assessments, and social planning procedures to mitigate potential impacts. Clear responsibilities and governance structures are in place to ensure responsible decision-making and Stakeholder consideration.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity regularly publishes comprehensive reports detailing its governance strategies, environmental and social impacts, and economic performance. These reports align with Sustainable Development Goals (SDGs) and Global Reporting Initiative (GRI) standards and include insights on corporate governance (p.121), Human Rights (p.103), and materiality analysis (p.63). The Entity ensures transparency and accountability by making this information publicly accessible. More information is available in the Audi Annual Report 2023: https://www.audi.com/content/dam/gbp2/downloads/report/annual-reports/2023/en/audi-report-2023.pdf
3.2 Non-compliance and Liabilities	Conformance	The Entity's parent company, the VW Group published an Annual Report which publicly discloses information on an annual basis on Material fines, judgments, penalties and non-monetary sanctions for failure to comply with Applicable Law. https://annualreport2023.volkswagen-group.com/notes/other-disclosures/litigation.html
3.3a-c Payments to Governments	Conformance	The Entity did not make or have made on its behalf, payments to political parties. As stipulated in the AUDI Group principle on Corruption prevention, sponsoring political organisations or persons in their capacity as a member is prohibited. The sponsoring of services for political events is permissible if they are event-related, short-term and not regular or in connection with the sole appearance of individual persons.

CRITERION	RATING	COMMENT
		Support provided by AUDI Group to German Federal and Bavarian state administration has been made publicly available at: https://www.lobbyregister.bundestag.de/suche/R001702/44179?backUrl=%2Fsuche%3Fq%3Daudi%26pageSize%3D25%26filter%25BactiveLobbyist%25D%25Btrue%25D%3Dtrue%26sort%3DRELEVANCE_DESC
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has established a Complaints Resolution Mechanism (helpline for compliance questions and notifications) to address Stakeholder complaints, grievances and requests for information relating to its operations. It can be reached via telephone or e-mail: https://www.audi.com/de/company/compliance-riskmanagement/whistleblower-system.html
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity conducts Life Cycle Assessments (LCA) during the development of its cars and its products, using internal and external data and databases (e.g. GaBi). The Entity has committed itself to publishing LCAs for its cars and components, and detailed analysis is available on request. Further information is available at: https://www.audi.com/en/company/sustainability/life-cycle-assessment.html
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity conducts Life Cycle Assessments (LCA) during the development of its cars and its products, using internal and external data and databases (e.g. GaBi). The 'cradle to grave' approach is used. The Entity has committed itself to publishing LCAs for its cars and components, and detailed analysis is available on request. Further information is available at: https://www.audi-mediacenter.com/de/ueberblick-nachhaltigkeit-beim-fahren-14699#lebenszyklusanalyse https://www.audi.com/en/company/sustainability/life-cycle-assessment.html
4.2 Product Design	Conformance	The Entity includes sustainability aspects in the whole product development process. Reparability, disassembly of the product and recycling of the components are considered both for the phase of the product use and at the End of Life. Three months after the start of production, the whole product and its components are taken apart and analysed, and suggestions for improvement are input into the further product development process. The VERON database – Vehicle Recycling Online – is used for this analysis.
4.3a-b Aluminium Process Scrap	Conformance	The Entity has established strategies targeting 100% Scrap for collection, recycling and/or reuse, supporting a Circular Economy thinking. This includes systems for the collection and separation of materials, including Aluminium. Aluminium Pre-Consumer Scrap (Process Scrap) is included and controlled in a closed-loop system, ensuring 100% re-circulation. Further information is available at: https://www.audi.com/en/sustainability/environment-resources/circular-economy/material-loop.html
4.4a-c Collection and Recycling of Products at End of Life - Material	Conformance	The Entity has a structured approach related to 'end-of-life' elements, including collection and recycling with targets set on re-use and recycling. Stakeholders have been identified and vehicle recycling partnerships established including a 'Take-back Network'. This forms

CRITERION	RATING	COMMENT
Conversion and other Manufacturing		the centre of the Entity's recycling strategy. The Entity is also involved in establishing concepts and strategies with several industry partners and initiatives, such as the Global Battery Alliance within the World Economic Forum. Further information is available at: https://www.audi.com/en/sustainability/environment-resources/circular-economy
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity has a structured approach related to 'end-of-life' elements, including collection and recycling with targets set on re-use and recycling. Stakeholders have been identified and vehicle recycling partnerships established including a 'Take-back Network'. The Entity is also involved in establishing concepts and strategies with several industry partners and initiatives, such as the Global Battery Alliance within the World Economic Forum. Further information is available at: www.audi.com/en/company/sustainability/core-topics/value-creation-and-production/promoting-circular-economy.html

5. GREENHOUSE GAS EMISSIONS

5.1a-b Disclosure of GHG Emissions and Energy Use	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b-e GHG Emissions Reduction Plans - Targets, review and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.4 GHG Emissions Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

6. EMISSIONS, EFFLUENTS AND WASTE

6.1a-f Emissions to Air	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.2a-g Discharges to Water	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.3a-g Assessment and Management of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.4a-b Public Disclosure of Spills and Leakages	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5a-c Waste Management and Reporting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4 Alien Species	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a-b Commitment to "No Go" in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		

CRITERION	RATING	COMMENT
9.1a-d Human Rights Due Diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.2a-e Gender Equity and Women's Empowerment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3a-l Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4a Free, Prior, and Informed Consent (FPIC)-- New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4b Free, Prior, and Informed Consent (FPIC)-- Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC)-- Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5a Cultural and Sacred Heritage-- Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.7a-h Affected Populations and Organisations	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8a Conflict-Affected and High-Risk Areas - Strong management systems	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
9.9 Security practice	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.2a-c Child Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.3a-c Forced Labour	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.4a-c Non-Discrimination	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.5 Communication and engagement	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.6a-g Violence and Harassment	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.7a-d Remuneration	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.8a-c Working Time	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
10.9a-b Informing Workers of Rights	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.1b-e Occupational Health and Safety (OH&S) Management System – Reviews and disclosure	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
11.2 Employee engagement on Health and Safety	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	17 September 2018	Initial Certification Audit – Full Certification
1	29 March 2022	<p>Re-Certification Audit and Scope Change – Certification Scope updated to include manufacturing facility at Ingolstadt (Germany); Certification Scope updated to more accurately reflect the Facility Level approach taken; Entity Name updated to more accurately reflect the Certification Scope and the Facility Level approach.</p> <p>A three month extension was granted to the Initial Certification Period as a result of significant disruptions arising from COVID-19 related travel restrictions.</p> <p>The document title for the ASI Summary Audit Report issued following the Initial Certification Audit amended from “rev1” as this was the original published version.</p>
2	28 February 2025	<p>Re-Certification Audit and Scope Change – Certification Scope updated to ‘Material Conversion – Principles 1 to 4 (transition)’ and removal of Audi Brussels S.A./N.V (Belgium) from Certification Scope.</p> <p>Certification Expiry set for 31 May 2027 as per ASI Assurance Manual requirement for all Entities to be certified to the PS (1-11) without exception by this date.</p>