

# ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

# Crown Mexico - Crown Holdings, Inc.

CERTIFICATE NUMBER  
173

ASI STANDARD  
PERFORMANCE  
STANDARD  
(V3 2022)

CERTIFICATION LEVEL  
FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITING FIRM  
DNV BUSINESS  
ASSURANCE  
SERVICES UK LTD.

DATE OF ISSUE  
19 JANUARY 2025

DATE OF EXPIRY  
18 JANUARY 2028

CERTIFIED SINCE  
19 JANUARY 2022

## AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. Hall', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd  
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*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at  
[www.Aluminium-stewardship.org](http://www.Aluminium-stewardship.org)*

## CERTIFICATION SCOPE

Manufacturing of Aluminium Can Bodies at production facilities Crown Ensenada (Ensenada B.C), Crown Guadalajara (Guadalajara, Jal.), Crown Toluca (Toluca, Edo.) and Crown Latas Monterrey (Juarez, N.L.); of Aluminium Can Ends and ROPP closures at production facility Crown Cierres Monterrey (Monterrey, N.L.); and the Headquarters at Crown Cierres in Monterrey (Monterrey, N.L.), in Mexico.

# AUDIT REPORT PERFORMANCE STANDARD

## OVERVIEW

MEMBER NAME	Crown Holdings, Inc.
ENTITY NAME	Crown Mexico - Crown Holdings, Inc.
CERTIFICATION SCOPE	Manufacturing of Aluminium Can Bodies at Crown Ensenada (Ensenada B.C), Crown Guadalajara (Guadalajara, Jal.), Crown Toluca (Toluca, Edo.) and Crown Latas Monterrey (Juarez, N.L.); Aluminium Can Ends and ROPP closures at Crown Cierres Monterrey (Monterrey, N.L.); and the Headquarters in Monterrey (Monterrey, N.L.), Mexico.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>Material Conversion</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>Performance Standard V3</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>Initial Certification Audit (24 November - 3 December 2021)</li><li>Re-Certification Audit and Scope Change (10 - 14 November 2024)</li></ul>
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none"><li>24 November - 3 December 2021 (Initial Certification Audit)</li><li>10 - 14 November 2024 (Re-Certification Audit and Scope Change)</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>23 December 2021 (Initial Certification Audit)</li><li>26 December 2024 (Re-Certification Audit and Scope Change)</li></ul>
AUDIT SCOPE	<p><u>Initial Certification Audit (24 November - 3 December 2021)</u></p> <p>The Audit Scope includes the manufacturing of Aluminium Can Bodies at Crown Latas Monterrey, and Aluminium Can Ends and ROPP closures at Crown Cierres Monterrey and Headquarters in Monterrey, Mexico.</p> <p>The ASI multi-site sampling approach was undertaken to include the Crown Ensenada, Crown Guadalajara and Crown Toluca facilities in Mexico.</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>Material Conversion (Production and Transformation)</li></ul> <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Re-Certification Audit and Scope Change (10 - 14 November 2024)</u></p> <p>The Audit Scope includes the manufacturing of Aluminium Can Bodies at Crown Guadalajara, Aluminium Can Ends and ROPP closures at Crown Cierres Monterrey and Headquarters in Monterrey, Mexico.</p> <p>The ASI multi-site sampling approach was undertaken to include the Crown Latas Monterrey, Crown Toluca and Crown Ensenada facilities in Mexico.</p> <p>The supply chain activities included in the Audit Scope:</p>

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- Material Conversion

All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.

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AUDIT OUTCOME

- Certification

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AUDIT METHODOLOGY  
DECLARATION

The Auditors confirm that:

- The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

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CERTIFICATION PERIOD

19 January 2025 – 18 January 2028

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NEXT AUDIT TYPE

Re-Certification Audit

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NEXT AUDIT DATE

18 January 2028

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CERTIFICATE NUMBER

173



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://Aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

The Entity, Crown Mexico Metal Division, is responsible for the manufacturing of Aluminium Can Bodies at production facilities Crown Ensenada, Crown Guadalajara, Crown Toluca, and Crown Latas Monterrey and Aluminium Can Ends and ROPP closures at production facility Crown Cierres Monterrey. Employing 1112 people, 151 of whom are women, the Entity is headquartered in Monterrey, Mexico. The Entity is not surrounded by, or within any sensitive areas of influence, and no significant changes are currently planned.

The Entity is continuing to reduce the use of materials and resources and decreasing energy consumption, even as its production footprint and volumes increase. In 2020, it committed to accelerating progress in sustainability with the launch of the 'Twentyby30' initiative, which outlines twenty measurable environmental, social and governance (ESG) goals to be completed by or before 2030.

The Entity is comprised of five production facilities with a Headquarters site. The Entity's products are destined for domestic markets and North and South America. The Aluminium production at each Facility includes:

- Cierres Monterrey – beverage can end and ROPP closures, 6.7 billion and 0.4 billion per annum, respectively
- Ensenada – beverage cans, 1.6 billion per annum
- Guadalajara – beverage cans, 0.7 billion per annum
- Latas Monterrey – beverage cans, 2.0 billion per annum
- Toluca – beverage cans, 2.5 billion per annum

On a broader scale, the Crown Group has 200 manufacturing facilities located in 40 countries, making it positioned to support customers in the growing beverage can markets in the Americas and Southeast Asia, as well as in Europe, the Middle East, North America and Africa.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
<b>SYSTEMS</b>	High	High	High	HIGH
<b>RISKS</b>	High	High	High	HIGH
<b>PERFORMANCE</b>	High	High	High	HIGH
<b>OVERALL</b>		<b>HIGH</b>		

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. BUSINESS INTEGRITY</b>		
1.1 Legal Compliance	Conformance	The Entity has systems to maintain knowledge and ensure compliance with the Applicable Law. Legal compliance requirements are verified through external audits, and legal requirements applicable to each unit are monitored through the applicable regulations and Management System.
1.2 Anti-Corruption	Conformance	The Entity works against Corruption in all its forms, including Extortion and Bribery, in accordance with Applicable Law and current international standards through the implementation of the Anti-Corruption Policy. <a href="https://www.crowncork.com/sites/default/files/2022-10/Anti-Corruption-Anti-Money-Laundering-Certifications.pdf">https://www.crowncork.com/sites/default/files/2022-10/Anti-Corruption-Anti-Money-Laundering-Certifications.pdf</a>
1.3a-e Code of Conduct	Conformance	The Entity has implemented a Code of Business Conduct and Ethics, including relevant principles for environmental, social and governance performance, available on the Entity's website at: <a href="https://www.crowncork.com/sites/default/files/2024-07/2024.07.25%20CCK%20-%20Code%20of%20Business%20Conduct%20%28FINAL%20effective%2007.25.2024%29.pdf">https://www.crowncork.com/sites/default/files/2024-07/2024.07.25%20CCK%20-%20Code%20of%20Business%20Conduct%20%28FINAL%20effective%2007.25.2024%29.pdf</a>  The Entity has also implemented a Supplier Code of Conduct: <a href="https://www.crowncork.com/investors/policies/supplier-code-conduct">https://www.crowncork.com/investors/policies/supplier-code-conduct</a>
<b>2. POLICY AND MANAGEMENT</b>		
2.1a-f Environmental, Social, and Governance Policy	Conformance	The Entity has developed, implemented, and maintained its Environmental, Social and Governance Policies, which have been approved by management: <a href="https://www.crowncork.com/sites/default/files/2024-08/EHSPolicy_English.pdf">https://www.crowncork.com/sites/default/files/2024-08/EHSPolicy_English.pdf</a>  Environmental Sustainability Policy: <a href="https://www.crowncork.com/investors/policies/environmental-sustainability-policy">https://www.crowncork.com/investors/policies/environmental-sustainability-policy</a>  Corporate Governance Guidelines: <a href="https://www.crowncork.com/investors/governance/corporate-governance-guidelines">https://www.crowncork.com/investors/governance/corporate-governance-guidelines</a>  Human Rights Policy: <a href="https://www.crowncork.com/sites/default/files/2024-04/HumanRights24_English_a.pdf">https://www.crowncork.com/sites/default/files/2024-04/HumanRights24_English_a.pdf</a>
2.2a-c Leadership	Conformance	The Entity has appointed several managers as representatives responsible for the ASI Performance Standard Certification.
2.3a Environmental and Social Management Systems - Environmental	Conformance	The Entity ensures that its operations in Mexico comply with local environmental regulations and environmental standards, including ISO 14001. This includes compliance with environmental protection laws relating to the use of natural resources, pollutant emissions and waste management. Environmental, social, health, and safety policies are available in computerised systems. Internal communication on

CRITERION	RATING	COMMENT
		these policies occurs during onboarding, sector training sessions and on notice boards.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has documented and implemented an integrated Social Management System through corporate division governance procedures, the Code of Conduct framework, and Corporate Social Responsibility initiatives. The Entity adopts social responsibility guidelines for its operations and community relations. Actions include investments in education, health, and support for local initiatives and promoting a safe and inclusive working environment. The Entity's Social Management System constantly engages with employees, clients, suppliers, and communities, seeking to understand their needs to improve its social and governance policies.
2.4a-e Responsible Sourcing	Conformance	The Entity has implemented a Responsible Purchasing Policy and a Supplier Code of Conduct that address environmental, social and governance issues. The Supplier Code of Conduct is available at: <a href="https://www.crowncork.com/investors/policies/supplier-code-conduct">https://www.crowncork.com/investors/policies/supplier-code-conduct</a>  Responsible and Ethical Sourcing Policy: <a href="https://www.crowncork.com/investors/policies/responsible-and-ethical-sourcing-policy">https://www.crowncork.com/investors/policies/responsible-and-ethical-sourcing-policy</a>
2.5a-g Environmental and Social Impact Assessments	Not Applicable	This Criterion is not applicable to the Entity as no New Projects or Major Changes have occurred since the Entity became an ASI Member in 2020. However, Environmental and Social Impact Assessments are implemented in each of the Entity's various departments, where the identified social, environmental, OH&S, and governance risks were assessed, and the associated control measures were established and implemented.
2.6a-h Human Rights Impact Assessment	Not Applicable	This Criterion is not applicable to the Entity as no New Projects or Major Changes have occurred since the Entity became an ASI Member in 2020. However, the Entity implements Human Rights and Labour Rights Due Diligence and Human Rights Impact Assessments, including a gender analysis, is undertaken for New Projects or Major Changes to existing Facilities.
2.7a-f Emergency Response Plan	Conformance	The Entity has implemented specific Emergency Response Plans for each production unit included in the scope of certification, that have been developed in collaboration with potentially affected Stakeholder groups, such as Communities, Workers and their representatives and relevant agencies. The Emergency Response Plan is available upon request to relevant external Stakeholders through the appropriate communication channels.
2.8a-d Suspended Operations	Conformance	The Entity has developed a Business Continuity Plan (BCP) for situations where it is forced to suspend or significantly change operations due to factors beyond its control. When planning, implementing, and reviewing the BCP, the Entity uses its sustainability management framework to consider ESG issues.
2.9a-b Mergers and Acquisitions	Conformance	The Entity has implemented a Global Mergers and Acquisitions Policy, which is used to assess the environmental, social and governance impacts of New Projects, mergers and acquisitions or major changes to existing facilities. A Site Evaluation Checklist is used to support social

CRITERION	RATING	COMMENT
		impact assessments. The Entity has established a procedure for suspending operations. It includes a Business Resilience Plan (BRP) to address situations where it may have to suspend or significantly alter operations due to factors outside its control. This procedure considers Material adverse environmental, social, and governance impacts.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has implemented a Closure Decommissioning and Divestment Policy, assessing the environmental, social and governance impacts of the closure, decommissioning and divestment planning process. No plan for closure, decommissioning, or divestment of the Entity was announced during the Audit. The site systematically reviews environmental, social, and governance issues as part of the Entity's planning and due diligence processes. Closure, decommissioning, and divestments are not managed locally but rather by the Entity's corporate headquarters, where both a process and procedure are in place.
<b>3. TRANSPARENCY</b>		
3.1a-b Sustainability Reporting	Conformance	The Entity publicly discloses its governance approach and material environmental, social and economic impacts through the 2023 Sustainability Report: <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>
3.2 Non-Compliance and Liabilities	Conformance	The Entity includes information on fines, judgments, penalties, and significant non-monetary sanctions for non-compliance with Applicable Legislation for Governance, Social, Safety, and Environmental issues in its public financial Annual Report 2023, page 69: <a href="https://www.crowncork.com/investors/financial-reports">https://www.crowncork.com/investors/financial-reports</a>
3.3a-c Payments to Governments	Conformance	The Entity adequately pays taxes, as evidenced by the debt clearance certificates issued by each unit's federal, state and municipal governments. Information on payments are available in the 2023 Annual Report, pages 109-114: <a href="https://www.crowncork.com/sites/default/files/2024-03/2023_Annual_Report.pdf">https://www.crowncork.com/sites/default/files/2024-03/2023_Annual_Report.pdf</a>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has defined accessible, transparent, understandable, gender-sensitive and culturally appropriate grievance-resolving mechanisms suitable for handling complaints and requests from interested parties related to its operation using the form provided in the administrative office of each Facility.  Whistleblowing and Business Ethics Hotline Policy: <a href="https://www.crowncork.com/sites/default/files/2024-09/EnglishCrownGlobalWhistleblowingPolicy.pdf">https://www.crowncork.com/sites/default/files/2024-09/EnglishCrownGlobalWhistleblowingPolicy.pdf</a>  Toll-Free Reporting Line: <a href="https://www.crowncork.com/investors/policies/toll-free-reporting-line">https://www.crowncork.com/investors/policies/toll-free-reporting-line</a>  Syntrio Anonymous Reporting: <a href="https://report.syntrio.com/crowncork">https://report.syntrio.com/crowncork</a>  The CBE Line is supported by a third-party vendor, Lighthouse Services, and staffed by trained professionals 24 hours a day, 7 days a week: <a href="http://www.lighthouse-services.com/crowncork">www.lighthouse-services.com/crowncork</a>  Business Ethics line outside of North America:

CRITERION	RATING	COMMENT
		<a href="https://www.crowncork.com/sites/default/files/2024-08/CrownEthicsLine2024.pdf">https://www.crowncork.com/sites/default/files/2024-08/CrownEthicsLine2024.pdf</a>
<b>4. MATERIAL STEWARDSHIP</b>		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has assessed the life cycle impacts of its main product lines for which Aluminium is used. It has developed a Life Cycle Assessment study of Aluminium Beverage Cans – Crown Holdings, Mexico, which assessed the life cycle impacts of its main product lines for which Aluminium is considered or used: <a href="https://www.crowncork.com/sustainability/environment/sustainable-packaging">https://www.crowncork.com/sustainability/environment/sustainable-packaging</a>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	The Entity uses LCA software internally on a 'case-by-case' basis. It is undertaken through the request of interested parties, being undertaken in accordance with the ISO 14044:2006 standard and ensuring any public communication on LCA includes public access to the LCA information and its underlying assumptions, including system boundaries. The Entity has not received any requests from customers to date; however, if necessary, appropriate LCA information will be provided from 'cradle-to-gate' on its products (containing) Aluminium.
4.2 Product Design	Conformance	The Entity includes an 'Optimum Circularity' pillar in its 'Twentyby30' sustainability program with targets to reduce packaging material use via 10% weight reduction across their Aluminium Cans portfolio by 2030, and decrease the lifecycle footprint of products and processes through 'eco-design' and manufacturing innovation by allocating at least 50% of its R&D technology developments towards reducing its products and manufacturing footprint. <a href="https://www.crowncork.com/sustainability/twentyby30-overview">https://www.crowncork.com/sustainability/twentyby30-overview</a>
4.3a-b Aluminium Process Scrap	Conformance	The Entity collects all Aluminium Process Scrap at each Facility, and 100% of Scrap is sent for recycling. A long-term contract with a regular Aluminium supplier supports this operation. Process Scrap is minimised throughout the can manufacturing process, and spoiling is a headline Key Performance Indicator (KPI). When applicable, appropriate separation of Aluminium alloys is undertaken.
4.4a-c Collection and Recycling of Products at End of Life - Material Conversion and other Manufacturing	Conformance	The Entity has demonstrated through the 'Optimal Circularity' pillar of its 'Twentyby30' programme that it advances its circularity strategy across its value chain by: Eliminating any unnecessary resource use (Targets 10 and 12); Using design and innovation to reduce the footprint of products (Targets 11 and 18); Extending the lifecycle of products through higher recycling rates and increasing the circularity of products where market conditions make this possible through increasing recycled content (Targets 12, 13 and 14).  The Entity dedicates at least 50% of its Research and Development (R&D) expenditure to product circularity. Progress towards the 'Optimal Circularity' objectives is published in the 2023 Sustainability Report, pages 18-20: <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>



CRITERION	RATING	COMMENT
4.4d Collection and Recycling of Products at End of Life	Conformance	The Entity is a member of the industry body CANAFEM (National Chamber of Metal Packaging Manufacturers) and supports its activities to improve recycling rates. CANAFEM aims to achieve 97% recycling of Aluminium products in Mexican territory by the end of 2022. In 2024, the Entity, through the National Polytechnic Institute, conducted a study on the generation and recycling of metal containers in Mexico. CANAFEM was collaboratively responsible for developing the second stage of this study, where a material flow analysis was conducted to manufacture steel and Aluminium containers using the fixed-point method, also in collaboration with the National Polytechnic Institute.
<b>5. GHG EMISSIONS</b>		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	<p>The Entity records and publicly discloses its Material Greenhouse Gas (GHG) Emissions by source (Scope 1, 2 and 3) and energy use by source annually on the website and in its Sustainability Report, pages 7-11.</p> <p><a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a></p> <p>The Entity maintains an inventory with the emissions estimates requested by the Mexican Law of General Climate Change:</p> <p><a href="https://www.crowncork.com/sites/default/files/2024-07/CY2023CDPVerification.pdf">https://www.crowncork.com/sites/default/files/2024-07/CY2023CDPVerification.pdf</a></p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Conformance	<p>The Entity has established a GHG Emissions Reduction Plan and secured a GHG Emissions Reduction Pathway consistent with a 1.5°C warming scenario, using the ASI methodology the ASI Emissions Reduction Pathway Tool. The Entity has also demonstrated as part of its commitment to the GHG Emissions targets (Scopes 1, 2 and 3) published in the 'Twentyby30' program, with GHG Emissions reduction targets approved by the Science Based Target initiative (SBTi) as consistent with the reductions necessary to keep warming to 1.5°C – the target of the Paris Agreement. In addition, the Entity is committed to reducing absolute Scope 1 and Scope 2 GHG Emissions by 50% by 2030 (using 2019 as a baseline), as well as reducing absolute Scope 3 GHG Emissions by 16% over the same target period. The goal is to obtain 75% renewable energy consumption by 2030 and 100% by 2040.</p> <p><a href="https://www.crowncork.com/sustainability/twentyby30-goals/climate-action">https://www.crowncork.com/sustainability/twentyby30-goals/climate-action</a></p> <p>Through the 'Twentyby30' Program (Climate Action pillar), the Entity discloses the GHG reduction targets and the deadline to achieve them, as well as in the 2023 Sustainability Report, Pages 7 to 11:</p> <p><a href="https://www.crowncork.com/sustainability/reporting/all-reports">https://www.crowncork.com/sustainability/reporting/all-reports</a></p>

CRITERION	RATING	COMMENT
5.4 GHG Emissions Management	Conformance	The Entity has implemented a Management System, assessment procedures and operational controls to achieve performance aligned with the GHG Emissions Reduction Plan. In addition, it has established three annual sessions per plant: 1. Establishment of objectives per plant, the first at the beginning of the year, where the objectives and activities for emission reduction are established (engineering team and EHS manager). 2. Biannual review; as a checkpoint to review progress towards established objectives; and, 3. An 'end-of-year' review: final review of progress and establishment of actions to be taken to monitor progress achieved. As a monthly follow-up, each plant records fuel and electricity consumption, which is used to calculate GHG Emissions on a monthly basis.
<b>6. EMISSIONS, EFFLUENTS AND WASTE</b>		
6.1a-f Emissions to Air	Conformance	The Entity quantifies and reports its Emissions to Air that may have adverse effects on humans and the environment in accordance with the conditions of the environmental licenses for each Facility. In addition, all Facilities control energy consumption (electricity, LPG and natural gas) and have annual reduction targets.
6.2a-g Discharges to Water	Conformance	<p>The Entity quantifies and reports its Discharges to Water that have adverse effects on humans and the environment in accordance with the conditions of the environmental licenses of each facility. All manufacturing facilities are certified to ISO 14001. The Entity's facilities hold local environmental licenses for discharges to water and must demonstrate compliance through monitoring and reporting.</p> <p>The Entity's 'Twentyby30' sustainability program includes water management goals, including reducing water use by 20% by 2025. The Entity identifies, assesses, and quantifies Material Discharges to Water from its activities, implements control plans to minimise exposure to and impacts from Discharges to Water, monitors the effectiveness of the control plans periodically, reviews the control plans regularly, and, in the case of major changes or non-conformance, publicly discloses its pollutant discharge information and the control plan in the Sustainability Report, pages 13-15:</p> <p><a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a></p> <p>Crown Holdings - Water Security 2023:</p> <p><a href="https://www.crowncork.com/sites/default/files/2023-08/CDP_WATER_SECURITY_2023.pdf">https://www.crowncork.com/sites/default/files/2023-08/CDP_WATER_SECURITY_2023.pdf</a></p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	The Entity assesses the main risk areas of operations where Spills and Leakage could contaminate air, water and/or the soil through emergency situation assessments. The Entity periodically performs simulations and drills according to the Emergency Response Plan.
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has established procedures within its Emergency Public Relations Guidelines for disclosing information in the event of a Spill or Leakage. In such an event, environmental reports are published and made available in the local community. To date, there have been no Spill or Leakage incidents at the Entity.

CRITERION	RATING	COMMENT
6.5a-c Waste Management and Reporting	Conformance	The Entity implements a waste management strategy through the 'Twentyby30' sustainability program that is designed following the Waste Mitigation Hierarchy, which includes a goal to send zero waste to landfills by 2030. The Entity mitigates the Material impacts through the recycling of wastes. The disposal of Hazardous Waste complies with the applicable legal requirements. The Entity publicly discloses the quantity of Hazardous and Non-Hazardous Waste generated from its activities in the Sustainability Report, pages 19, 21, 47, 59 and 62): <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

## 7. WATER STEWARDSHIP

7.1a-b Water Assessment and Disclosure	Conformance	The Entity has mapped its water withdrawal by source and type for each Facility, and consumption complies with the authorisation of the Environmental Agency. The Entity's 'Twentyby30' sustainability goal is to replenish watersheds with a high scarcity risk with 100% of the water consumed in operations by 2030. The Entity's water extraction volumes are within the licensing limits. In the case of water shortage, municipal water is used and monitored under the Environmental Management System to ensure ongoing compliance. Further information is available in the Crown Sustainability Report, pages 12-15: <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>
7.2a-e Water Management	Conformance	The Entity has implemented water management plans which have been developed with Affected Populations and Organisations, with contextual and time-bound targets, and risks have been assessed through the World Resources Institute (WRI) Aqueduct platform. In addition, the Water Management Plan for Mexico provides an assessment of the water balance and water risk by plant. Through the 'Twentyby30' program, the Entity is committed to replenishing 100% of the water consumed in its operations in river basins at high risk of scarcity by 2030. Progress is reported in the Crown Sustainability Report, pages 12-15: <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>  Key actions include reducing water usage by 20% by 2025 and 100% of wastewater to continue to meet local standards each year. By 2030, the plan is to replenish 100% of water consumed from high-security risk watersheds back to those watersheds (Carbon Disclosure Project (CDP) Analysis): <a href="https://www.crowncork.com/sites/default/files/2024-10/Crown-CDP2024.pdf">https://www.crowncork.com/sites/default/files/2024-10/Crown-CDP2024.pdf</a>

## 8. BIODIVERSITY AND ECOSYSTEM SERVICES

CRITERION	RATING	COMMENT
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>The Entity has assessed risks to Biodiversity, which determined only low risks that are controlled by existing on-site environmental controls.</p> <p>Both the Monterrey Cierres and Monterrey Latas units are located near a Protected Area, however in an industrialised region. The species on the IUCN Red List appear only when they are considered 50 kilometres from both sites and were not mapped as key or critical for biodiversity areas around the Entity sites. The actions presented above, including prevention, mitigation, correction, and recovery/compensation undertaken by Government authorities, are considered sufficient in protecting biodiversity. The Entity identified no direct impacts on Biodiversity from its operations.</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as there is no identification that the Entity impacts Priority Ecosystem Services relevant to Affected Populations and Organisations within its Area of Influence.
8.2a-g Biodiversity Management	Conformance	<p>The Entity has assessed the risk and Materiality of the impacts on Biodiversity. The analysis and management controls are available as an internal procedure in the Risk Assessment and Biodiversity Protection Plan Reports. The study concluded that no impacts on Biodiversity were identified. Thus, the Entity has control measurements to comply with the applicable legislation and identify improvement opportunities and non-compliance, guaranteeing the protection of the environment and biodiversity where its sites are located and within its Area of Influence. For further information, see: <a href="https://www.crowncork.com/sustainability/environment/biodiversity">https://www.crowncork.com/sustainability/environment/biodiversity</a></p>
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as there are no Priority Ecosystem Services identified that are relevant to Affected Populations and Organisations in its Area of Influence.
8.4 Alien Species	Conformance	<p>The Entity prevents the accidental or deliberate introduction of Alien Species that may have significant adverse impacts on Biodiversity in its Area of Influence, evaluating the risk and material impacts on Biodiversity arising from land use and activities via the Integrated Biodiversity Assessment Tool (IBAT). The analysis and management controls are available in the Biodiversity Risk and Risk Assessment Plan. The Entity has implemented a pest control program on each plant, which is monitored periodically. This control is performed monthly by subcontracted companies in all plants, both internal and external, and in accordance with quality procedures.</p>
8.5a-b Commitment to 'No Go' in World Heritage Properties	Conformance	The Entity does not explore in or develop New Projects or make Major Changes to World Heritage Properties. The site evaluation checklist was developed to support social Impact Assessments for New Projects or Major Changes to existing Facilities.
8.6a-d Protected Areas	Conformance	<p>The Entity has assessed risks to Biodiversity, which determined only low risks that are controlled by existing on-site environmental controls.</p> <p>Both the Monterrey Cierres and Monterrey Latas plants are located near Protected Areas within its Area of Direct Influence. The two sites identified as Parque Lineal y Parque Público Cerro del Obispado are in the Monterrey Metropolitan Area, which is a highly urban and industrialised zone, in addition, the two sites identified by IBAT as Protected Areas are not included in the list of the 18 Natural Protected</p>

CRITERION	RATING	COMMENT
		Areas of the 'La Region Norte y Sierra Madre Oriental' published by the Government of México. Therefore, there are no material impacts on such sites. Actions presented for prevention, mitigation, correction, and recovery/compensation carried out by the Crown are considered efficient in protecting biodiversity. The Entity has identified no impacts on Biodiversity from its operations. For further information, see: <a href="https://www.crowncork.com/sustainability/environment/biodiversity">https://www.crowncork.com/sustainability/environment/biodiversity</a>
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>9. HUMAN RIGHTS</b>		
9.1a-d Human Rights Due Diligence	Conformance	The Entity respects and supports individual and collective Human Rights and is committed to assessing, preventing and remedying potential adverse impacts on Human Rights in a way consistent with international instruments on Human Rights. The Entity has implemented a Human Rights Due Diligence process, which includes a Risk Assessment for Human Rights issues and a relevance matrix to map the impact and importance of communities within the Area of Influence. The Entity has established a commitment to Human Rights through its Human Rights Policy, available at: <a href="https://www.crowncork.com/sites/default/files/2024-04/HumanRights24_English_a.pdf">https://www.crowncork.com/sites/default/files/2024-04/HumanRights24_English_a.pdf</a>
9.2a-e Gender Equity and Women's Empowerment	Conformance	The Entity has implemented Policies and processes to ensure respect for women's rights and interests, following international standards. The Entity has developed the 'Why Not' program and the corporate movement '#WeAreQueensWeAreCrown' to include women in new jobs and promote gender equity and women's empowerment. The program is reviewed periodically, and the effectiveness of the measures taken to promote gender equality are publicly disclosed annually in the Crown Sustainability Report, page 24: <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>  Furthermore, the Entity works to eliminate gender Discrimination in its Policies and practices. It has adopted measures to guarantee equal pay for men and women who perform similar functions and to prevent sexual harassment and other forms of gender-based violence: <a href="https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance">https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance</a>
9.3a-i Indigenous Peoples	Conformance	The Entity has established both a Code of Conduct and a Human Rights Policy, affirming its commitment to respecting Human Rights and recognising the importance of preserving and respecting cultural diversity, including the rights of Indigenous Peoples. The Entity has researched and identified the geographic areas where Indigenous communities have a historical or current presence and has concluded that they are not located near Indigenous Peoples' lands, territories, or resources.  Code of Business Conduct and Ethics: <a href="https://www.crowncork.com/sites/default/files/2024-07/2024.07.25%20CCK%20">https://www.crowncork.com/sites/default/files/2024-07/2024.07.25%20CCK%20</a>

CRITERION	RATING	COMMENT
		<p><a href="#">%20Code%20of%20Business%20Conduct%20%28FINAL%20effective%2007.25.2024%29.pdf</a></p> <p>Human Rights Policy:  <a href="https://www.crowncork.com/sites/default/files/2024-04/HumanRights24_English_a.pdf">https://www.crowncork.com/sites/default/files/2024-04/HumanRights24_English_a.pdf</a></p>
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as Indigenous Peoples or their lands, territories and resources are not present or directly affected by the Entity's operations.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as Indigenous Peoples or their lands, territories and resources are not present or directly affected by the Entity's operations.
9.5a Cultural and Sacred Heritage – Identification	Conformance	The Entity has implemented an assessment to identify and preserve cultural and sacred heritage in its Area of Influence to ensure respect for the community's rights and interests. According to the UNESCO list of World Heritage Sites, there are no sacred sites, values, or cultural heritage within the Entity's Area of Influence.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no sacred sites, values, or cultural heritage within the Entity's Area of Influence.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, as no ongoing or planned expansion projects will require any displacement activity.
9.7a-h Affected Populations and Organisations	Conformance	The Entity respects the legal and customary rights and interests of Local Communities in their lands and their means of subsistence and use of natural resources. The Entity's Human Rights Due Diligence identified that they do not cause or contribute to adverse impacts on Human Rights in their Area of Influence. However, through a materiality matrix, the Entity has established the importance of the communities in the Entity's Area of Influence through development actions listed in the 2023 Sustainability Report, pages 37-40: <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>
9.8a Conflict-Affected and High-Risk Areas – Strong Management Systems	Not Applicable	This Criterion is not applicable to the Entity as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.

CRITERION	RATING	COMMENT
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Not Applicable	This Criterion is not applicable to the Entity as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.8e Conflict-Affected and High-Risk Areas - Report annually	Not Applicable	This Criterion is not applicable to the Entity as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.9 Security practice	Conformance	The Entity does not use armed security forces. Security personnel on site are used primarily as 'gatekeepers'. A Human Rights Risk Assessment found no relevant risks related to security practices. Worker interviews confirmed no known Human Rights incidents caused by the security service. In its involvement with private security providers, the Entity respects Human Rights by recognised standards and good practices.
<b>10. LABOUR RIGHTS</b>		
10.1a-c Freedom of Association and Right to Collective Bargaining	Conformance	The Entity respects the rights of Workers to associate in Labour Unions freely, to seek representation, and to join Workers' Councils as defined in the Human Rights Policy. The Freedom of Association and the right to Collective Bargaining are respected. The Entity's Code of Ethics and Conduct and Human Rights Policy ensures that employees can join a Trade Union and bargain collectively with their employer. This includes negotiations on wages, benefits, working conditions and other terms and conditions of employment.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity as it operates in a country (Mexico) where Applicable Law does not restrict the right to Freedom of Association and Collective Bargaining.
10.2a Child Labour	Conformance	The Entity is committed to respecting Human Rights and not using or supporting Child Labor that could harm the health, safety, or morals of any child under 18 years of age, as specified in its Human Rights Policy.
10.3a-c Forced Labour	Minor Non-Conformance	The Entity has implemented a Human Rights Policy referring to the UN's Guiding Principles, with a commitment against modern slavery including to not use or support any form of Forced Labour, Human Trafficking, Recruitment Fees, or requiring Migrant Workers to make security deposits for accommodation. However, the Entity has not publicly released a stand-alone annual Modern Slavery Statement detailing its actions to address Modern Slavery.
10.4a-c Non-Discrimination	Conformance	The Entity guarantees equal opportunities and does not engage in nor support Discrimination in the hiring, salary, promotion, training, promotion opportunities, or termination of any Employee based on gender, race, national or social origin, religion, disability, affiliation politics, sexual orientation, marital status, family responsibilities, age, or any other condition that may give rise to Discrimination.  Diversity & Inclusion Policy: <a href="https://www.crowncork.com/sustainability/social/diversity-inclusion">https://www.crowncork.com/sustainability/social/diversity-inclusion</a>

CRITERION	RATING	COMMENT
		2023 Sustainability Report: <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>
10.5 Communication and engagement	Conformance	The Entity ensures open communication and direct involvement with Workers and their representatives in relation to working conditions and resolution of work and compensation issues, without threat of reprisal, intimidation or Harassment. Human Rights are preserved in the workplace. Workers can use the complaints channel for complaints and claims.
10.6a-g Violence and Harassment	Conformance	The Entity implements a Policy that expresses the commitment to respect Human Rights and does not engage in or use corporal punishment, mental or physical coercion, Harassment and gender-based Violence, including sexual Harassment or verbal abuse of Workers. Human Rights are preserved in the workplace. Workers can use the complaints channel for complaints and claims. In consultation with Workers and their representatives, the Entity has implemented the Hazard Survey and Risk Analysis Tool that includes an approach to Violence and Harassment in the workplace.  All Workers are trained on identifying dangers and risks of Violence and Harassment and associated prevention and protective measures. The Entity ensures open communication and direct engagement with Workers and their representatives regarding Violence and Harassment in the workplace, as defined in the Human Rights Policy. <a href="https://www.crowncork.com/investors/policies/human-rights-policy">https://www.crowncork.com/investors/policies/human-rights-policy</a>
10.7a-d Remuneration	Conformance	The Entity respects Workers' rights to a minimum wage and a work contract that ensures payment for a standard working day as per local legislation. The Entity also guarantees that Workers are paid the wages defined in their employment contracts. The Entity provides Workers with extracts of working hours and payment calculations.
10.8a-c Working Time	Conformance	The Entity respects Workers' rights to a standard working day, including Overtime, public holidays, paid annual leave, and at least one day off per week, as per local legislation and the Collective Bargaining agreement signed with the Union.
10.9a-b Informing Workers of Rights	Conformance	The Entity ensures open communication and direct engagement with Workers and their representatives. Workers are informed of their rights, benefits, and working conditions without threat of reprisal, intimidation or Violence and Harassment, as defined in the Code of Conduct and Human Rights Policy.
<b>11. OCCUPATIONAL HEALTH AND SAFETY</b>		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity is ISO 45001 certified and has implemented a documented Occupational Health and Safety (OH&S) Management System that complies with applicable national and international standards.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	The Entity has implemented a documented Occupational Health and Safety (OH&S) Management System that complies with applicable national and international standards. The Entity reviews its OH&S Management Systems at least every five years. The effectiveness of the OH&S Management System is reported on annually in the Crown



CRITERION	RATING	COMMENT
		Sustainability Report, page 25: <a href="https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf">https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</a>
11.2 Employee engagement on Health and Safety	Conformance	The Workers participate in improving the Entity's culture of safety and awareness through involvement in various committees (for example, the Safety Committee and the COVID Committee), Safety Dialogues, SAFE Behavioural Observations, SafeStart, Safety of Culture, and audits of the Entity's programs.

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#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	19 January 2022	Initial Certification Audit – Full Certification
1	12 March 2025	Re-Certification Audit and Scope Change – Full Certification Scope change to apply PS V3, with corresponding change to the title of the relevant supply chain activity. Update to the expression of the Headquarters site in the Certification Scope and Audit Scope description for the Initial Certification Audit.