

# ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

# Constantia Nusser

CERTIFICATE NUMBER

445

ASI STANDARD

CHAIN OF CUSTODY  
STANDARD  
(V2 2022)

DATE OF ISSUE

11 APRIL 2025

CERTIFICATION LEVEL

FULL  
CERTIFICATION

DATE OF EXPIRY

10 APRIL 2028

ASI ACCREDITED  
AUDITING FIRM

BUREAU VERITAS  
CERTIFICATION

CERTIFIED SINCE

11 APRIL 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', with a long horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd  
ACN 606 661 125, Australia  
info@aluminium-stewardship.org

*Validity of this Certificate is subject to  
continued conformance with the  
applicable ASI Standard and can be  
verified at*

[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)

CERTIFICATION SCOPE

The conversion of basic Aluminium  
to final conversion by printing,  
cutting, lasering and punching  
Aluminium foils at Constantia  
Nusser, Germany.

# SUMMARY AUDIT REPORT

## CHAIN OF CUSTODY

## STANDARD

### OVERVIEW

MEMBER NAME	Constantia Flexibles International GmbH
ENTITY NAME	Constantia Nusser
CERTIFICATION SCOPE	The conversion of basic Aluminium to final conversion by printing, cutting, lasering and punching Aluminium foils at Constantia Nusser, Germany.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>• Post-Casthouse</li></ul>
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none"><li>• Initial Certification Audit</li></ul>
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	<ul style="list-style-type: none"><li>• 3 – 4 December 2024</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>• 1 February 2025</li></ul>
AUDIT SCOPE	<p>The Audit Scope included the conversion of basic Aluminium to final conversion by printing, cutting, lasering and punching Aluminium foils at Constantia Nusser, Germany.</p> <p>The supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none"><li>• Post-Casthouse</li></ul> <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.</li><li><input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.</li><li><input checked="" type="checkbox"/> The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.</li><li><input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.</li></ul>
CERTIFICATION PERIOD	11 April 2025 – 10 April 2028

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NEXT AUDIT TYPE                      Surveillance Audit

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NEXT AUDIT DUE DATE                10 April 2027

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CERTIFICATE NUMBER                 445

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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

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## ENTITY OVERVIEW

Constantia Nusser GmbH (the 'Entity'), based in Wangen im Allgäu in Germany, employs 100 people and produces packaging for the food and pharmaceutical industries. The company was founded in 1923 and has been part of the Constantia Flexibles Group since 1981. The value chain includes printing, cutting, die-cutting and lasering of Aluminium packaging, of which 27 million square metres (m<sup>2</sup>) of finished product was produced in 2024. The primary destination of products is predominantly Germany, Switzerland, Austria and other European markets.

## MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

	OVERALL
<b>SYSTEMS</b>	High
<b>RISKS</b>	High
<b>PERFORMANCE</b>	High
<b>OVERALL</b>	<b>HIGH</b>

## FINDINGS

CRITERION	RATING	COMMENT
<b>1. MANAGEMENT SYSTEM AND RESPONSIBILITIES</b>		
1.1 ASI Membership	Conformance	<p>Constantia Flexibles is a founding member of the Aluminium Stewardship Initiative (ASI) and by becoming an ASI Member, has agreed to meet all associated obligations and ASI requirements and to comply with ASI Standards. The Entity communicates its Certification Status on their website at:</p> <p><a href="https://www.cflex.com/responsibility/social-responsibility/responsible-ethical-sourcing">https://www.cflex.com/responsibility/social-responsibility/responsible-ethical-sourcing</a></p> <p>Certification status can also be confirmed on the ASI website at:</p> <p><a href="https://aluminium-stewardship.org/about-asi/asi-members/constantia-flexibles-international-gmbh/">https://aluminium-stewardship.org/about-asi/asi-members/constantia-flexibles-international-gmbh/</a></p>
1.2 CoC Management System	Conformance	<p>The Entity has a well-established integrated Management System. ASI requirements are addressed by existing Management Systems and additional Policies and procedures. The Facility is certified against ISO 9001, ISO 50001, BRC Food Safety Standards, and SEDEX/SMETA Standards. Information on these certifications is available at:</p> <p><a href="https://www.cflex.com/about-us/quality/">https://www.cflex.com/about-us/quality/</a></p>
1.3 CoC Management System Monitoring	Conformance	<p>The Entity has undertaken a Management System review as part of the Certification self-assessment process. Guidelines and process descriptions have been created to implement the ASI requirements. The Management Systems required to address the ASI requirements are included in the Entity's management review, which occurs annually.</p>
1.4 Management Representative	Conformance	<p>The Entity has nominated the site management as the responsible person for the implementation of and compliance with the ASI Standards. The role receives support from the Constantia Flexibles headquarters.</p>
1.5 Communications and Training	Conformance	<p>All employees participate in annual e-learnings about environment and energy. Information on ASI requirements are included in this training and all relevant staff is trained additionally on the ASI requirements through the process instructions.</p> <p>The Entity has communicated and trained relevant personnel on the ASI Chain of Custody (CoC) Standard to meet their assigned responsibilities under the Standard, which is incorporated into the annual e-learning on environment and energy.</p>
1.6 Records Management	Conformance	<p>Legal requirements define the retention time of documents, which includes the five-year timeframe required by the ASI Chain of Custody Standard.</p>
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Not Applicable	<p>This Criterion is not applicable to the Entity, as a report has not yet been prepared as the Entity had not yet managed any CoC Material at the time of the first Audit. The Entity's requirements for annual reporting to the ASI Secretariat are documented in a procedure. The reporting procedure includes the Input and Output Quantities of CoC Materials to and from the Certified Entity during the calendar year.</p>

CRITERION	RATING	COMMENT
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity, as the Entity is not engaged in Aluminium Re-melting/Refining to produce recycled Aluminium.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Not Applicable	This Criterion is not applicable to the Entity, as a report has not yet been prepared as the Entity had not yet managed any CoC Material at the time of the first Audit. The Entity's requirements for annual reporting to the ASI Secretariat are documented in a procedure. The reporting procedure includes the Input and Output Quantities of CoC Materials to and from the Certified Entity during the calendar year.
1.7d Reporting to ASI (Positive Balance carried over)	Not Applicable	This Criterion is not applicable to the Entity, as a report has not yet been prepared as the Entity had not yet managed any CoC Material at the time of the first Audit. The Entity's requirements for annual reporting to the ASI Secretariat are documented in a procedure. The reporting procedure includes the Input and Output Quantities of CoC Materials to and from the Certified Entity during the calendar year.
1.7e Reporting to ASI (Positive Balance used)	Not Applicable	This Criterion is not applicable to the Entity, as a report has not yet been prepared as the Entity had not yet managed any CoC Material at the time of the first Audit. The Entity's requirements for annual reporting to the ASI Secretariat are documented in a procedure. The reporting procedure includes the Input and Output Quantities of CoC Materials to and from the Certified Entity during the calendar year.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Not Applicable	This Criterion is not applicable to the Entity, as a report has not yet been prepared as the Entity had not yet managed any CoC Material at the time of the first Audit. The Entity's requirements for annual reporting to the ASI Secretariat are documented in a procedure. The reporting procedure includes the Input and Output Quantities of CoC Materials to and from the Certified Entity during the calendar year.
1.7g Reporting to ASI (Intra-Entity Flows)	Not Applicable	This Criterion is not applicable to the Entity, as a report has not yet been prepared as the Entity had not yet managed any CoC Material at the time of the first Audit. The Entity's requirements for annual reporting to the ASI Secretariat are documented in a procedure. The reporting procedure includes the Input and Output Quantities of CoC Materials to and from the Certified Entity during the calendar year.

## 2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as there is no current or planned outsourcing of CoC Material.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as there is no current or planned outsourcing of CoC Material.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, as there is no current or planned outsourcing of CoC Material.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, as there is no current or planned outsourcing of CoC Material.

CRITERION	RATING	COMMENT
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as there is no current or planned outsourcing of CoC Material.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, as there is no current or planned outsourcing of CoC Material.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, as there is no current or planned outsourcing of CoC Material.

### 3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

### 4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM</b>		
5.1a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Unique Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
<b>6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM</b>		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's system is designed to ensure that it produces ASI Aluminium only from Facilities that are within its own ASI CoC Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity is certified against the ASI Performance Standard. The Entity has defined in its process instruction that it purchases ASI Aluminium only from ASI Certified Entities. If the supplier is not yet certified against the ASI Performance Standard, the Entity requires them to become ASI Certified within two years.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has defined in its process instruction that it purchases ASI Aluminium only from ASI Certified Entities. The Entity has a system in place that identifies ASI Aluminium with a special material code that can be tracked from the supplier for verification purposes.
<b>7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL</b>		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	<p>The Entity communicates its commitment regarding anti-Corruption, fair business practices in its Code of Conduct that must be accepted by all suppliers and subcontractors. The Code is available at: <a href="https://www.cflex.com/quality/code-of-conduct">https://www.cflex.com/quality/code-of-conduct</a></p> <p>The Entity communicates its commitments regarding anti-Corruption and fair business practices in its Code of Conduct, which must be</p>



CRITERION	RATING	COMMENT
		<p>accepted by all the Entity's suppliers and subcontractors. The Code is available at:  <a href="https://www.cflex.com/quality/code-of-conduct">https://www.cflex.com/quality/code-of-conduct</a></p>
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	<p>The Entity communicates in its Code of Conduct its commitment to responsible sourcing, and the Entity requests its suppliers to communicate any problem they may become aware of. The Code of Conduct must be accepted by all suppliers and subcontractors. The Entity has also published a Human Slavery and Trafficking Statement, accessible at: <a href="https://www.cflex.com/quality/code-of-conduct">https://www.cflex.com/quality/code-of-conduct</a></p> <p>The Entity's Responsible Sourcing Policy is accessible at:  <a href="https://www.cflex.com/sustainability/corporate-sustainability/cflex?tx_dwboilerplate_cflex%5Baction%5D=download&amp;tx_dwboilerplate_cflex%5Bcontroller%5D=Cflex&amp;tx_dwboilerplate_cflex%5Bfile%5D=1515&amp;cHash=2fc052d571f246766aaf7930426dc245">https://www.cflex.com/sustainability/corporate-sustainability/cflex?tx_dwboilerplate_cflex%5Baction%5D=download&amp;tx_dwboilerplate_cflex%5Bcontroller%5D=Cflex&amp;tx_dwboilerplate_cflex%5Bfile%5D=1515&amp;cHash=2fc052d571f246766aaf7930426dc245</a></p>
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	<p>The Entity communicates its commitment to responsible sourcing in its Code of Conduct. The Entity requires all suppliers to accept the Code and respect internationally proclaimed Human Rights. The Entity reserves the right to audit its suppliers for conformance with the Code of Conduct: <a href="https://www.cflex.com/quality/code-of-conduct">https://www.cflex.com/quality/code-of-conduct</a></p> <p>Constantia Flexibles is a founding member of ASI and the New Plastics Economy (NPEC), and endorses the ethical standards represented by the Supplier Ethical Data Exchange (SEDEX). Information on these commitments is accessible at:  <a href="https://www.cflex.com/sustainability/corporate-sustainability/cflex?tx_dwboilerplate_cflex%5Baction%5D=download&amp;tx_dwboilerplate_cflex%5Bcontroller%5D=Cflex&amp;tx_dwboilerplate_cflex%5Bfile%5D=1515&amp;cHash=2fc052d571f246766aaf7930426dc245">https://www.cflex.com/sustainability/corporate-sustainability/cflex?tx_dwboilerplate_cflex%5Baction%5D=download&amp;tx_dwboilerplate_cflex%5Bcontroller%5D=Cflex&amp;tx_dwboilerplate_cflex%5Bfile%5D=1515&amp;cHash=2fc052d571f246766aaf7930426dc245</a></p>
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	<p>The Entity communicates its commitment to responsible sourcing in its Code of Conduct, which must be accepted by all suppliers and subcontractors. The Entity requires its suppliers to respect the international proclaimed Human Rights. The Entity encourages its first-tier suppliers to join SEDEX. Information is accessible at:  <a href="https://www.cflex.com/quality/code-of-conduct">https://www.cflex.com/quality/code-of-conduct</a></p> <p><a href="https://www.cflex.com/sustainability/corporate-sustainability/cflex?tx_dwboilerplate_cflex%5Baction%5D=download&amp;tx_dwboilerplate_cflex%5Bcontroller%5D=Cflex&amp;tx_dwboilerplate_cflex%5Bfile%5D=1515&amp;cHash=2fc052d571f246766aaf7930426dc245">https://www.cflex.com/sustainability/corporate-sustainability/cflex?tx_dwboilerplate_cflex%5Baction%5D=download&amp;tx_dwboilerplate_cflex%5Bcontroller%5D=Cflex&amp;tx_dwboilerplate_cflex%5Bfile%5D=1515&amp;cHash=2fc052d571f246766aaf7930426dc245</a></p>
7.2 Risk Assessment and Mitigation	Conformance	<p>The Entity has established a Supplier Code of Conduct including a risk-assessment and qualification process for all new suppliers.</p>
7.3 Complaints Resolution Mechanism	Conformance	<p>The Entity has implemented a Code of Conduct and a Code of Conduct for Suppliers, and both Codes communicate a 'whistleblowing' hotline. The Entity encourages its suppliers and Stakeholders to report incidents and non-compliance with the Code of Conduct or other incidents that might have an adverse effect on the business of the Entity through the hotline, which is accessible at:  <a href="https://www.cflex.com/quality/code-of-conduct">https://www.cflex.com/quality/code-of-conduct</a></p>

CRITERION	RATING	COMMENT
<b>8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM</b>		
8.1 Material Accounting System	Conformance	The Entity's Management System includes a Material Accounting System that records Input Quantities and Output Quantities of CoC Material and Non-CoC Material by mass. The Material Accounting System is based in the Entity's Enterprise Resource Planning (ERP) system.
8.2 Material Accounting Period	Conformance	The Entity has specified their Accounting Period as one year. If necessary, the Entity's Material Accounting System can calculate the material balance for any defined period.
8.3 Input and Inflow Quantities	Conformance	The Entity's Material Accounting System can identify all Input and Output Material Quantities along the material flow and can guarantee that different classes of material are not mixed.
8.4 Output Quantities of CoC Material	Conformance	The Entity's Material Accounting System can identify all Input and Output Material Quantities along the material flow. The Material Accounting System calculates exact quantities of material types and guarantees that different classes of material are not mixed. To support material tracking, the Entity has established a system for identification and physical marking of CoC Material.
8.5 Indivisibility of CoC Material	Conformance	The Entity only uses CoC Material for the ASI orders of its clients. The Entity can classify all CoC Material output as 100% ASI Aluminium.
8.6 Output Quantity of Eligible Scrap	Not Applicable	This Criterion is not applicable to the Entity, as it does not seek to designate Process Scrap as Eligible Scrap. Process Scrap is pressed and sold to an authorised Scrap dealer.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity uses ASI Aluminium for the ASI orders of its clients. The Entity's Material Accounting System ensures there is no risk that the Output of ASI Aluminium exceeds the Input of ASI Aluminium.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI procedure defines that in the case of a Force Majeure situation, the Material Accounting System can carry over an Internal Overdraw of up to 20% of the total Input Quantity of CoC Material to the subsequent Material Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity has defined in its ASI procedure that in the case of a Force Majeure situation, the Material Accounting System can carry over an Internal Overdraw to the subsequent accounting period. The amount of Internal Overdraw must not exceed the amount of material that was affected by the Force Majeure situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity has defined in its ASI procedure that in the case of a Force Majeure situation the Material Accounting System can carry over an Internal Overdraw to the subsequent accounting period however the amount of material has to be made up within the subsequent Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity has defined in its ASI procedure that CoC Material that cannot be used in the current year may be transferred to the subsequent Material Accounting Period. The procedure defines the

CRITERION	RATING	COMMENT
		relevant CoC Material must be marked as carry-over material and must be consumed in the subsequent Material Accounting Period.
8.9b Positive Balance (Expiry)	Conformance	The Entity has defined in its ASI procedure that CoC Material that cannot be used in the current year, may be transferred to the subsequent Material Accounting Period. The procedure defines the relevant CoC Material must be marked as carry-over material and must be consumed in the subsequent Material Accounting Period.
<b>9. ISSUING COC DOCUMENTS</b>		
9.1 CoC Document	Conformance	The ASI Chain of Custody Standard documentation requirements are implemented in the Entity's ERP System. If material is marked as CoC Material, all relevant information is printed on the associated CoC Documents, such as delivery documents, invoices and pallet labels.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity's CoC Document includes the date, which is the date of the delivery note.
9.2b CoC Document Content (Reference number)	Conformance	The Entity's CoC Document includes a reference number to enable verification of CoC Material. The reference number is the batch number or the order number of the Product.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity's CoC Document includes the Entity's name, address and CoC Certification number.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity's CoC Document includes the identity, address and the CoC Certification number (if the customer is another CoC Certified Entity) of the customer receiving the CoC Material.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity's CoC Document includes the name of the person who is responsible for the handling of the order ('Kundenbetreuer'). This is the first contact person who can verify information in the CoC Document. This person forwards queries to the Product Manager and/or the Quality Department as required.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity's CoC Document includes the confirmation that 'The information provided in the CoC Document is in accordance with the ASI CoC Standard'.
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity's CoC Document specifies the material in the shipment as 'ASI Aluminium'.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity's CoC Document includes information on the mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity's CoC Document includes information on the mass of CoC Material and the mass of total material in the shipment.

CRITERION	RATING	COMMENT
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is not applicable to the Entity, as there has been no customer request for additional information.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is not applicable to the Entity, as there has been no customer request for additional information.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	This Criterion is not applicable to the Entity, as there has been no customer request for additional information.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Not Applicable	This Criterion is not applicable to the Entity, as there has been no customer request for additional information.
9.4 Supplementary Information (optional) - Objective evidence	Not Applicable	This Criterion is not applicable to the Entity, as it has elected to not provide additional information.
9.5 Verification of Information	Conformance	The Entity's Quality Department is responsible for responding to requests for verification of information in close cooperation with both the Product Management and Sales teams.
9.6 Error (Shipping)	Conformance	The Entity has a Management System to record, analyse and document all errors identified and claimed by their customers. This System includes relevant ASI Standard requirements. The Entity's System requires that all errors in the mass balance must be reported in the management review.
<b>10. RECEIVING COC DOCUMENTS</b>		
10.1 Verification of CoC Documents	Conformance	The Entity has established a system where CoC Material is ordered as a special material with a unique material code. During delivery, the amount of material and the material code are checked before recording the CoC Material into the ERP system.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has defined a process where upon delivery the consistency of received material with the accompanying documents is checked. This process is completed for all CoC Material before recording the information in the Material Accounting System.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has a system in place to check the ESG data of its suppliers at least annually and includes the validity of relevant certificates and any change in Certification Scope. The Entity has extended this system to address the ASI Certification requirements for CoC Material.
10.4 Error (Reception)	Conformance	The Entity checks all deliveries upon arrival. If errors, damages or inconsistencies are identified, an internal complaint is opened in the ERP system and managed accordingly. The Quality Department is responsible for any follow-up, including root-cause analysis and implementing agreed measures with department heads and the suppliers (if necessary).

CRITERION	RATING	COMMENT
<b>11. CLAIMS AND COMMUNICATIONS</b>		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has defined the process for labelling of CoC Material (ASI-CoC-Claim) in a work instruction (a controlled document)
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has defined an internal procedure for making claims that references the ASI Claims Guide.
11.1c Claims and Communications (Employee training)	Conformance	All relevant employees have been trained regarding the relevant communication requirements from the ASI Claims Guide.

#### ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

#### DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	11 April 2025	Initial Certification Audit – Full Certification