

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Crown Embalagens Metálicas da Amazônia S/A – Crown Beverage Can Brazil Division

CERTIFICATE NUMBER
160

ASI STANDARD
PERFORMANCE
STANDARD
(V3 2022)

CERTIFICATION LEVEL
FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM
DNV BUSINESS
ASSURANCE SERVICES
UK LTD.

DATE OF ISSUE
28 OCTOBER 2024

DATE OF EXPIRY
27 OCTOBER 2027

CERTIFIED SINCE
28 OCTOBER 2021

AUTHORISED BY

A stylized, handwritten signature in black ink, consisting of a large, flowing 'A' followed by a horizontal line.

Aluminium Stewardship Initiative Ltd
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*


CERTIFICATION SCOPE

The Crown Beverage Can Brazil
Division including Can Plants at
Cabreúva, São Paulo; Estancia,
Sergipe; Ponta Grossa, Parana; Rio
Verde, Goias; Teresina, Piaui;
Uberaba, Minas Gerais; the Can End
Plant at Manaus, Amazonas and
the Corporate Office at Cabreúva,
São Paulo.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Crown Holdings, Inc.
ENTITY NAME	Crown Embalagens Metálicas da Amazônia S/A - Crown Beverage Can Brazil Division
CERTIFICATION SCOPE	The Crown Beverage Can Brazil Division including Can Plants at Cabreúva, São Paulo; Estancia, Sergipe; Ponta Grossa, Parana; Rio Verde, Goiás; Teresina, Piaui; Uberaba, Minas Gerais; the Can End Plant at Manaus, Amazonas and the Corporate Office at Cabreúva, São Paulo.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion
ASI STANDARD	<ul style="list-style-type: none">Performance Standard V3
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (30 August – 17 September 2021)Re-Certification Audit (2 – 18 September 2024)
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">30 August – 17 September 2021 (Initial Certification Audit)2 – 18 September 2024 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">1 October 2021 (Initial Certification Audit)27 November 2024 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (30 August – 17 September 2021)</u></p> <p>The Audit Scope includes the activities across all Crown Beverage Can Brazil Division, where the ASI multi-site sampling approach was undertaken to include audits at Can Plants at Cabreúva, São Paulo and Ponta Grossa, Parana; the Can End Plant at Manaus, Amazonas and the Corporate Office at Cabreúva, São Paulo, whilst Can Plants at Estancia, Sergipe; Rio Verde, Goiás; and Teresina, Piaui were audited but not visited.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Material Conversion (Production and Transformation) <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p> <p><u>Re-Certification Audit and Scope Change (2 – 18 September 2024)</u></p> <p>The Audit Scope included the activities across the Crown Beverage Can Brazil Division at Can Plants at Cabreúva (São Paulo), Rio Verde (Goiás), and Uberaba (Minas Gerais) and the Corporate Office at Cabreúva, São Paulo.</p> <p>The Entity uses the ASI multi-site sampling approach and Can Plants at Estancia (Sergipe); Ponta Grossa (Parana); Teresina (Piaui); Uberaba (Minas Gerais), and the Can End Plant at Manaus (Amazonas) were not visited.</p>

	<p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Material Conversion <p>All relevant Criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">• Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none">☑ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.☑ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.☑ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	28 October 2024 – 27 October 2027
NEXT AUDIT TYPE	Surveillance Audit
NEXT AUDIT DATE	27 April 2026
CERTIFICATE NUMBER	160
	<p>If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: https://aluminium-stewardship.ethicspoint.com/</p> <p>EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.</p> <p>Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.</p>

ENTITY OVERVIEW

Crown Holdings, Inc., has 200 plants in 40 countries, and supports customers in emerging beverage cans markets in the Americas and Southeast Asia as well as established markets such as Europe, the Middle East and North Africa. Aluminium beverage cans represent more than 60% of Crown's business.

Crown Embalagens Metálicas da Amazônia S/A – Crown Beverage Can Brazil Division ('the Entity') comprises the Corporate Office at Cabreúva, São Paulo and seven production Facilities across Brazil, including:

- Cabreúva, São Paulo (Can Plant) – commenced operations in 1996, and has close to 200 Workers, with an annual production of 3.4 billion cans from three process lines.
- Estancia, Sergipe (Can Plant) – commenced operations in 2009, and has approximately 140 Workers, with an annual production of two billion cans from two process lines.
- Manaus, Amazonas (Can End Plant) – commenced operations in 2006, and has approximately 207 Workers, with an annual production of 14 billion cans from 12 process lines.
- Ponta Grossa, Parana (Can Plant) – commenced operations in 2011, and has approximately 136 Workers, with an annual production of 2.4 billion cans from two process lines.
- Rio Verde, Goiás (Can Plant) – commenced operations in 2019, and has over 120 Workers, with an annual production of 2.4 billion cans from two process lines.
- Teresina, Piaui (Can Plant) – commenced operations in 2014, and has approximately 90 Workers, with an annual production of one billion cans from one process line.
- Uberaba, Minas Gerais (Can Plant) – commenced operations in 2022, and has approximately 185 Workers, with an annual production of 2.4 billion cans from two process lines.

The Entity currently has no significant construction, upgrade, expansion or decommissioning activities underway or planned.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	High	Medium	HIGH
RISKS	High	High	Medium	HIGH
PERFORMANCE	High	High	Medium	HIGH
OVERALL	HIGH			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has systems in place to maintain knowledge and ensure Compliance with the Applicable Law. Legal Compliance requirements are verified through external audits, and the legal requirements applicable to each unit are monitored through the Entity's Management System.
1.2 Anti-Corruption	Conformance	<p>The Entity works against Corruption in all its forms, including Extortion and Bribery, in accordance with the Applicable Law and the current international standards through the implementation of an Anti-Corruption Policy, included in the Code of Conduct: https://www.crowncork.com/sites/default/files/2024-08/8_24_CBCE_Portuguese.pdf</p> <p>The Entity has established a process for an external, specialised company to receive complaints and perform critical analysis and reporting to the Entity, supported by Crown's Whistleblowing and Business Ethics Hotline Policy and the toll-free access codes: https://www.crowncork.com/investors/policies/whistleblowing-and-business-ethics-hotline-policy https://www.business.att.com/collateral/access.html</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has implemented a Code of Conduct including relevant principles for environmental, social and governance performance, which is available on the Entity's website: https://www.crowncork.com/sites/default/files/2024-08/8_24_CBCE_Portuguese.pdf</p> <p>All Workers receive training on the Code of Conduct via the Entity's integration process through an online electronic platform.</p> <p>Reporting of Code violations can be made through a specific e-mail (etica@crowncork.com.br) which is managed by the Crown Brazil President and the Human Resources Director.</p> <p>Crown Holdings Inc. also has a direct reporting channel for violations of the Code of Conduct and other complaints, available at: https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has implemented Policies that address environmental, social, and governance practices, which have been approved by management. The Policies are communicated internally, through employee display boards, and externally through its website.</p> <p>Environmental Sustainability Policy: https://www.crowncork.com/investors/policies/environmental-sustainability-policy https://www.crowncork.com/sites/default/files/2024-08/Translate_Environmental%20Sustainability%20Policy_PTBR2024.pdf</p> <p>Code of Business Conduct and Ethics, in English and Portuguese: https://www.crowncork.com/investors/policies/code-business-conduct-and-ethics</p>

CRITERION	RATING	COMMENT
		https://www.crowncork.com/sites/default/files/2024-08/8_24_CBCE_Portuguese.pdf Crown Human Rights Policy: https://www.crowncork.com/sites/default/files/2024-04/HumanRights24_English_a.pdf
2.2a-c Leadership	Conformance	The Entity has nominated the Quality Manager as the responsible Manager for ASI activities on site. The Global Sustainability Specialist is responsible for the Brazil region and this role has the responsibility to lead the implementation of the Policies and provide the resources needed to establish, implement, maintain and improve the Management System.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented an Integrated Management System that addresses issues of quality, environment, Occupational Health and Safety (OH&S), social responsibility and commitment to sustainability, and includes Policies, procedures and documented records. The Entity holds a valid ISO 14001 certificate.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established and implemented an Integrated Management System that addresses social risks related to Human Rights, OH&S and business ethics. The relevant impacts are identified and assessed, and the associated management provisions to prevent and/or mitigate these impacts are established and implemented.
2.4a-e Responsible Sourcing	Conformance	<p>The Entity has implemented the Crown Responsible and Ethical Purchasing Policy and a Code of Conduct for Suppliers, which covers environmental, social and governance issues. The Policy is communicated to the purchasing team and all the suppliers at each purchase order. The Entity has a procedure that describes qualification criteria for sourcing goods and services for environmental, social and governance issues. The Entity's Supplier Code of Conduct ensures, as far as possible, that Human Rights and sustainability are upheld across the value chain.</p> <p>Responsible and Ethical Sourcing Policy: https://www.crowncork.com/investors/policies/responsible-and-ethical-sourcing-policy</p> <p>Supplier Code of Conduct: https://www.crowncork.com/sites/default/files/2022-03/Translate_responsible-ethical-sourcing-policy_2021_PT_BR.pdf</p>
2.5a-g Environmental and Social Impact Assessments	Conformance	<p>The Entity has developed and implemented a Social Impact Policy procedure for environmental, social, cultural and Human Rights Impact Assessments, including gender analysis and for New Projects or Major Changes to existing Facilities, the Site Evaluation checklist was developed to support social Impact Assessments.</p> <p>The Entity demonstrated that in 2022 the production operations of the Uberaba, Minas Gerais site began, in accordance with the city's Master Plan, the area is located in a strictly industrial area. The change management procedures were applied and the hazard and risk assessment and the Site Evaluation Checklist were applied to the New Project.</p>

CRITERION	RATING	COMMENT
2.6a-h Human Rights Impact Assessment	Conformance	<p>The Entity has developed and implemented a Social Impact Policy procedure for environmental, social, cultural and Human Rights Impact Assessments, including gender analysis and for New Projects or Major Changes to existing Facilities, the Site Evaluation checklist was developed to support social Impact Assessments.</p> <p>The Entity demonstrated that in 2022 the production operations of the Uberaba, Minas Gerais site began, in accordance with the city's Master Plan, the area is located in a strictly industrial area. The change management procedures were applied and the hazard and risk assessment and the Site Evaluation Checklist were applied to the New Project.</p>
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has developed and implemented Emergency Response Plans that address emergency scenarios/crisis organisation, communication guidelines and business continuity plans. The emergency response team has been established and undergo training annually in accordance with the established schedule.</p> <p>The site-specific Emergency Response Plans have been developed in collaboration with potentially affected Stakeholder groups such as Communities, Workers and their representatives and relevant agencies through the Emergency Response Plan.</p>
2.8a-d Suspended Operations	Conformance	<p>The Entity has established a procedure for suspending operations, including a Business Resilience Plan to address situations where it may have to suspend or significantly alter operations due to factors outside its control. This procedure considers Material adverse environmental, social, and governance impacts.</p>
2.9a-b Mergers and Acquisitions	Conformance	<p>The Entity has developed and implemented a Policy for mergers and acquisitions that addresses the environmental, social and governance impacts for mergers and acquisitions, New Projects or Major Changes to existing Facilities, A Site Evaluation Checklist was developed to support social Impact Assessments.</p> <p>There are no plans for investments and acquisitions within the Certification Period.</p>
2.10a-b Closure, Decommissioning and Divestment	Conformance	<p>The Entity has implemented a process to review the environmental, social and governance issues related to the closure, decommissioning and divestment planning process through corporate procedures, principles and guidelines. The Entity has no current plans for closure, decommissioning and divestment for the unit.</p>
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	<p>The Entity publicly discloses its governance approach and its Material environmental, social and economic impacts via the integrated corporate 2023 Sustainability Report: https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf https://www.crowncork.com/investors/reports-filings</p>
3.2 Non-compliance and Liabilities	Conformance	<p>The Entity has publicly disclosed information on fines, judgments, penalties and significant non-monetary sanctions for non-</p>

CRITERION	RATING	COMMENT
		<p>Compliance with the Applicable Law through the materiality criteria to be reported in the Annual Report 2023:</p> <p>https://crown cork.gcs-web.com/static-files/dbab6f7c-dbc2-48d4-9a0a-e6bf86697a79</p>
3.3a-c Payments to Governments	Conformance	<p>The Entity pays its taxes and duties and in its the business name, observing the clearance certificates issued by the federal, state and municipal governments and environmental agencies. The Entity does not make political party contributions directly nor via intermediaries. The Entity discloses its payments to Governments in the Annual Report 2023, available at:</p> <p>https://crown cork.gcs-web.com/static-files/dbab6f7c-dbc2-48d4-9a0a-e6bf86697a79</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has established a Transparency Hotline that is disclosed on the Entity's website, bulletin boards, intranet and at the entrances of the Facilities. Direct and indirect Workers, suppliers, the community and other Stakeholders can anonymously submit reports to the Hotline or indicate how they wish to be contacted.</p> <p>Whistleblowing and Business Ethics Hotline Policy:</p> <p>https://www.crown cork.com/investors/policies/whistleblowing-and-business-ethics-hotline-policy</p> <p>It was verified that a specialised external company receives complaints, carries out a critical analysis and reports back to the Entity.</p> <p>Complaints can be made through the following channels:</p> <p>Website:</p> <p>https://report.syntrio.com/_StandardCustomURL/LHILandingPage.asp</p> <p>E-mail: etica@crowncork.com.br</p> <p>Telephone: Brazil: 0-800-890-0288 / Brazil: 0-800-888-8288</p> <p>Ethics Hotline Access Codes (other countries):</p> <p>https://www.crown cork.com/investors/policies/whistleblowing-and-business-ethics-hotline-policy</p> <p>https://www.business.att.com/collateral/access.html</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	<p>The Entity has assessed the life cycle impacts of its main Product lines for which Aluminium is used and developed the Life Cycle Assessment (LCA) study of Aluminium Beverage Cans – Crown Holdings, Brazil.</p> <p>Crown globally communicates the advantages of metal packaging and examples of continuous improvement on its sustainability website:</p> <p>https://www.crown cork.com/sustainability/sustainability-metal-packaging</p>
4.1b-c Environmental Life Cycle Assessment – Disclosure	Conformance	<p>The Entity uses LCA software internally on a case-by-case basis. It is undertaken through the request of interested parties, being undertaken in accordance with the ISO 14044:2006 standard and ensuring any public communication on LCA includes public access to the LCA information and its underlying assumptions, including system boundaries.</p>

CRITERION	RATING	COMMENT
		<p>No requests have been received from customers, however, if necessary, appropriate cradle-to-gate LCA information will be provided on its Products (containing) Aluminium.</p> <p>Crown globally communicates the advantages of metal packaging and examples of continuous improvement on its sustainability website: https://www.crowncork.com/sustainability/sustainability-metal-packaging</p>
4.2 Product Design	Conformance	<p>Crown has an 'Optimum Circularity' pillar in its 'Twentyby30' program, which includes reducing packaging material use via a 10% weight reduction across the Aluminium cans portfolio by 2030. To decrease the lifecycle footprint of the Products and processes through eco-design and manufacturing innovation, Crown allocates at least 50% of its R&D technology developments toward this cause: https://www.crowncork.com/sustainability/twentyby30-overview</p> <p>The strategy for reducing the weight of the Aluminium can and lid is being verified in its development process, in conjunction with the sheet manufacturer and end customers.</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>All Aluminium Process Scrap is collected at each Facility and sent for recycling. The Entity has a long-term contract with a national supplier to send all Scrap generated for recycling. The generation of Process Scrap is minimised throughout the can manufacturing process and spoiling is a headline key performance indicator for the Entity. Further information is available in the 2023 Sustainability Report: https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</p>
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Conformance	<p>The Entity has defined a recycling strategy and increased recycled materials in the Aluminium coils used in conjunction with the main supplier of Aluminium coils in Brazil annually, ensuring that all Aluminium related to losses throughout the production process is sent for recycling (in conjunction with the Entity's ASI CoC Certification) and in conjunction with the local entity 'Abralatas' (Brazilian Association of Aluminum Can and End Manufacturers for the metal packaging industry sector), and supports activities to improve recycling rates. Brazil's current recycling rate for Aluminium beverage cans is 99%. Further information is available at: https://www.abralatas.org.br/ministerio-do-meio-ambiente-assina-termo-de-compromisso-da-lata-aluminum-for-beverages https://cadalataconta.com.br</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The Entity guarantees that all Scrap from the process is collected at the units and sent for recycling and, where applicable, segregation of Aluminium alloys occurs. The Entity has a long-term contract with a national supplier to send all Scrap generated for recycling. The Entity supports activities to improve recycling rates and is part of the Abralatas organisation. Further information is available at: https://cadalataconta.com.br https://www.abralatas.org.br</p>

5. GREENHOUSE GAS EMISSIONS

CRITERION	RATING	COMMENT
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	<p>The Entity has a computerised system for recording electricity consumption and Greenhouse Gas (GHG) emissions by source. The Entity's Scope 1, 2 and 3 GHG emissions data are available in the 2023 Sustainability Report, page 11: https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</p> <p>The Entity's Scope 1 and 2 emissions are included as the 'Brazil Beverage' data, whilst Scope 3 emissions are combined with other regional data and reported as a figure for the Metal Packaging division.</p> <p>The GHG emissions data have been independently verified, and the Verification Statement is available at: https://www.crowncork.com/sites/default/files/2024-07/CY2023CDPVerification.pdf</p>
5.2a Aluminium Smelter GHG Emissions Intensity - Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity - In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a-e GHG Emissions Reduction Plans	Conformance	<p>The Entity has established a GHG Emissions Reduction Plan and ensures a GHG Emissions Reduction Pathway consistent with a 1.5°C warming scenario, using a methodology endorsed by ASI and through the GHG Protocol methodology defined by the Entity.</p> <p>Each Facilities controls energy consumption (electric, LPG and natural gas) and has annual reduction targets. These consumptions are reported in a global platform.</p> <p>The Entity has demonstrated that it has achieved its 2023 reduction target previously set from a 2019 baseline. The 'Twentyby30' goal is to further reduce VOC emissions by 10% per unit of product, Scopes 1 and 2 by 50%, and Scope 3 by 16% by 2030. The Entity's GHG Emissions Reduction Plan and reduction targets are included on the website and in the 2023 Sustainability Report: https://www.crowncork.com/sustainability/twentyby30-goals/climate-action https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</p>
5.4 GHG Emissions Management	Conformance	<p>The Entity has implemented a Management System in accordance with ISO 14064 which includes energy management processes. The Entity has demonstrated they have implemented reduction initiatives such as capital expenditure programs and business improvement strategies for emissions management.</p> <p>Each Facility periodically monitors their air emissions according to the environmental permit constraints. In addition, the Facilities control the energy consumption (electric, LPG and natural gas) and have annual reduction targets. These consumptions are reported in a global platform.</p>

CRITERION	RATING	COMMENT
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity has identified, assessed, and quantified its Material Emissions to Air from its activities, implements the control plans to minimise exposure to, and impacts from, Emissions to Air, monitors the effectiveness of the control plans periodically, and reviews the control plans regularly and in the case of a major change or if a non-conformance is found. Each Facility monitors the Emissions to Air in accordance with the environmental permits. Information is reported in the 2023 Sustainability Report:</p> <p>https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</p> <p>Crown's 'Twentyby30' goals include the reduction of VOC (volatile organic compounds) emissions by 10% per unit of product, GHG Scopes 1 and 2 by 50%, and Scope 3 by 16% by 2030:</p> <p>https://www.crowncork.com/sustainability/twentyby30-goals/climate-action</p> <p>The High-Level Climate Transition Plan 2023 is available at:</p> <p>https://www.crowncork.com/sites/files/2024-01/HighLevelClimateTransitionActionPlan.pdf</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity identifies, assesses, and quantifies Material Discharges to Water from its activities, implements control plans to minimise exposure to and impacts from Discharges to Water, monitors the effectiveness of the control plans periodically, and reviews the control plans regularly and in the case of major changes or non-conformance. Each Facility quantifies and reports Discharges to Water in accordance with their environmental licenses. The Facilities demonstrate compliance through monitoring and reporting. Pollutant discharge information and the control plan is disclosed in the 2023 Sustainability Report, pages 13-15, and the Crown Holdings - Water Security 2023 report:</p> <p>https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</p> <p>https://www.crowncork.com/sites/default/files/2023-08/CDP_WATER_SECURITY_2023.pdf</p> <p>Crown's 'Twentyby30' water management goals, include reducing water use by 20% by 2025.</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has undertaken an assessment of risk areas of operations where Spills and Leakages could contaminate air, water, or soil. A Management Plan has been developed and implemented. The Entity reviews the plans periodically or after a Spill/Leakage event or major Business change.</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Entity has undertaken an assessment of risk areas of operations where Spills and Leakages could contaminate air, water or soil through emergency situations assessment procedures and periodically performs simulated efficiency tests according to the Emergency Response Plans.</p> <p>The Entity has established procedures within its Emergency Public Relations Guidelines for the disclosure of information in the event of a Spill or Leakage. In such an event, environmental reports are published and made available in the Local Community. There have been no Spill or Leakage incidents at the Entity.</p>

CRITERION	RATING	COMMENT
6.5a-c Waste Management and Reporting	Conformance	<p>Waste at each production Facility is managed according to the ISO 140001 certified Management System. The Entity has implemented a waste management strategy in accordance with the Waste Mitigation Hierarchy. The Entity mitigates the Material impacts through the recycling of wastes. The disposal of Hazardous Waste is in compliance with the applicable legal requirements. The Entity has publicly disclosed the quantity of Hazardous and Non-Hazardous Waste generated from its activities in the 2023 Sustainability Report, pages 19, 57-28, and 60:</p> <p>https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

7. WATER STEWARDSHIP

7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has mapped the water withdrawal by source and type for each Facility, and consumption complies with the authorisation of the Environmental Agency. Water consumption and sources (groundwater, surface or municipal concessionaire) for each Facility are recorded on the corporate platform.</p> <p>Crown's 'Twentyby30' program includes the goal of replenishing 100 percent of the water consumed in operations back to Watersheds with a high risk of scarcity by 2030. Further information is available at: https://www.crowncork.com/sustainability/twentyby30-overview</p> <p>https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</p> <p>The Crown Holdings - Water Security 2023 report is available at: https://www.crowncork.com/sites/default/files/2023-08/CDP_WATER_SECURITY_2023.pdf</p> <p>Refer to the 2023 Sustainability Report, pages 12-15 and 56: https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</p>
7.2a-e Water Management	Conformance	<p>Water consumption is a key performance indicator for the Entity with a target of four percent reduction per annum for all Facilities. The data are monitored monthly and presented as an environmental indicator linked to the corporate objectives and goals.</p> <p>The Entity has a water and wastewater committee, which monitors the water consumption of each Facility. The Entity provided evidence of Stakeholder mapping and engagement with Local Communities. Further information is available:</p> <p>Water Management: https://www.crowncork.com/sustainability/twentyby30-goals/resource-efficiency/water-management</p> <p>Water Management: https://www.crowncork.com/sustainability/twentyby30/resource-efficiency</p>

CRITERION	RATING	COMMENT
		Refer to the 2023 Sustainability Report, pages 12-15 and 56: https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>The Entity has assessed the risks to Biodiversity, which determined that the risks are low, and are controlled by the existing environmental controls.</p> <p>One Facility is located within a Protected Area, which is also part of an industrialised region. The species on the IUCN Red List appear only when it is considered a distance of 50 kilometres from the Facility and it was not mapped as key or critical for the Biodiversity areas surrounding the Facility. The actions undertaken for the prevention, mitigation, correction and recovery/compensation carried out by the Entity are considered sufficient in protecting Biodiversity.</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as there is no identification that the Entity has an impact on Priority Ecosystem Services that are relevant to the Affected Populations and Organisations within its Area of Influence.
8.2a-g Biodiversity Management	Not Applicable	<p>This Criterion is not applicable to the Entity, as it has assessed the risk and Materiality of the impacts on Biodiversity from the land use and activities in its Area of Influence using the Integrated Biodiversity Assessment Tool (IBAT), which concluded that there were no identified impacts on Biodiversity.</p> <p>The analysis and the management controls are available as an internal procedure for Risk Assessment and Biodiversity Protection Plan Reports. Thus, the Entity has control measurements in order to comply with the applicable legislation and to identify improvement opportunities and non-compliance.</p>
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as there is no identification that the Entity has an impact on Priority Ecosystem Services that are relevant to the Affected Populations and Organisations within its Area of Influence.
8.4 Alien Species	Conformance	The Entity proactively prevents the accidental or deliberate introduction of Alien Species that could have significant adverse impacts on Biodiversity in each Facilities' Area of Influence, having assessed the risk and Materiality of the impacts arising from the land use and its activities via the IBAT tool. The analysis and management controls are implemented as an internal procedure for Risk Assessment and Biodiversity Protection Plan Reports.
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	The Entity does not explore or develop New Projects or make Major Changes to World Heritage Properties. A Site Evaluation Checklist has been developed to support Impact Assessments for New Projects or Major Changes to existing Facilities.
8.6a-d Protected Areas	Conformance	The Entity does not explore or develop New Projects or make Major Changes to Facilities in World Heritage Properties and/or Protected Areas within its Area of Influence. No records of Protected Areas were identified within the Entity's Area of Influence. A Site Evaluation

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		Checklist has been developed to support Impact Assessments for New Projects or Major Changes to existing Facilities.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has implemented and published a Policy expressing a commitment to respect Human Rights, making reference to the UN Guiding Principles on Business and Human Rights. This Policy is communicated to all employees by training and has been published on the website:</p> <p>https://www.crowncork.com/investors/policies/human-rights-policy</p> <p>The Entity has implemented a Human Rights Due Diligence process, which evaluates the risks for Human Rights-related issues. The key Stakeholders were identified and considered. The Human Rights Due Diligence assessment determined that the Entity has not caused or contributed to adverse impacts on Human Rights in its Area of Influence.</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has implemented Policies and processes to ensure respect for the rights and interests of women, in accordance with international standards. The Entity has developed the 'Why Not' program and the corporate movement '#WeAreQueensWeAreCrown' to include women in new jobs and promote gender equity. The Entity promotes its actions, which are publicly disclosed at:</p> <p>https://www.crowncork.com/news/all-about-cans/our-success-depends-gender-balance</p> <p>https://www.crowncork.com/sustainability/social/diversity-inclusion</p> <p>https://www.crowncork.com/news/inspiring-inclusion-production-floor-and-everywhere</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as the Entity undertook an assessment of Indigenous Peoples and Quilombolas within its Area of Influence and determined there was no impact. The Entity has implemented the Social Impact Policy procedure for environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis for New Projects or Major Changes to its Facilities, and the Site Evaluation Checklist was developed to support Impact Assessments.
9.4a Free, Prior, and Informed Consent (FPIC) – New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as the Entity undertook an assessment of Indigenous Peoples and Quilombolas within its Area of Influence and determined there was no impact. The Entity has implemented the Social Impact Policy procedure for environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis for New Projects or Major Changes to its Facilities, and the Site Evaluation Checklist was developed to support Impact Assessments.

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9.4b Free, Prior, and Informed Consent (FPIC) – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) – Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as the Entity undertook an assessment of Indigenous Peoples and Quilombolas within its Area of Influence and determined there was no impact. The Entity has implemented the Social Impact Policy procedure for environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis for New Projects or Major Changes to its Facilities, and the Site Evaluation Checklist was developed to support Impact Assessments.
9.5a Cultural and Sacred Heritage – Identification	Not Applicable	This Criterion is not applicable to the Entity, as there are no sacred or cultural heritage sites or values within the Entity's Area of Influence, according to the list of World Heritage Sites declared by the National Historic and Artistic Heritage Institute (IPHAN). Indigenous Peoples or their lands, territories and resources are not present or directly affected by the Entity's operations.
9.5b Cultural and Sacred Heritage – Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no sacred or cultural heritage sites or values within the Entity's Area of Influence, according to the list of World Heritage Sites declared by IPHAN. Indigenous Peoples or their lands, territories and resources are not present or directly affected by the Entity's operations.
9.6a-i Displacement	Conformance	<p>The Entity has implemented the Social Impact Policy procedure for environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis for New Projects or Major Changes to its Facilities, and the Site Evaluation Checklist was developed to support Impact Assessments, which includes considering viable alternatives to avoid or minimise physical and/or economic displacement, whilst balancing environmental, social and financial costs and benefits, and paying special attention to impacts on the poor and vulnerable, including women.</p> <p>There has been no need for physical displacement of community members, and a Resettlement Action Plan was not necessary.</p>
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity respects the legal and customary rights and interests of Local Communities in their lands and livelihoods and use of natural resources. Social projects that are relevant to the locations of the Facilities are considered annually. The allocation of fiscal incentives is undertaken in conjunction with management at each Facility and through the request of the Communities and local entities. Examples of social sustainability projects include the support of judo and music in the Local Communities. Further information is available in the Sustainability Reports:</p> <p>https://www.crowncork.com/sustainability/reporting/all-reports</p>
9.8a Conflict-Affected and High-Risk Areas – Strong Management Systems	Not Applicable	This Criterion is not applicable to the Entity, as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.

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9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity, as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.8d Conflict-Affected and High-Risk Areas – Audit of Due Diligence	Not Applicable	This Criterion is not applicable to the Entity, as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Not Applicable	This Criterion is not applicable to the Entity, as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.9 Security practice	Conformance	<p>The Entity's security practices are part of the Human Rights Risk Assessment, which determined that the security practices are suitable and aligned with Human Rights. The security service providers and staff recognise and implement good practices in respecting Human Rights when carrying out their security practices. The Human Rights Policy is available at:</p> <p>https://www.crowncork.com/investors/policies/human-rights-policy</p> <p>https://www.crowncork.com/sites/files/2024-04/HumanRights24_English_a.pdf</p>
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Conformance	<p>The Entity respects the rights of Workers to freely associate with Unions, seek representation, and join Workers' Councils as defined in the Human Rights Policy and the Code of Conduct, both of which address Freedom of Association and Collective Bargaining.</p> <p>During interviews with Workers, it was confirmed that the Entity does not restrict the rights Workers to engage with Trade Unions. Labour Collective Bargaining Agreements (CBA) are implemented at the Entity in conjunction with the Union.</p>
10.1d Freedom of Association and Right to Collective Bargaining – Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity, as the Freedom of Association or Collective Bargaining is not limited by law where the Entity operates (Brazil).
10.2a Child Labour	Conformance	<p>The Entity has implemented and published a Policy expressing a commitment to respect Human Rights, including not using Child Labour. In accordance with ILO Labour Standards and Article 7, item XXXIII of the Federal Constitution, the Entity does not hire Workers under 18 years of age, except in an apprenticeship program. The Human Rights Policy is available at:</p> <p>https://www.crowncork.com/investors/policies/human-rights-policy</p>
10.3a-c Forced Labour	Minor Non-Conformance	The Entity has implemented and published a Modern Slavery Policy and Statement which expresses a commitment to respect Human Rights and not support Human Trafficking directly or through any

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		<p>employment or recruitment agency, referencing the United Nations Guiding Principles on Business and Human Rights. The Policy is available at: https://www.crowncork.com/investors/corporate-governance/human-rights-policy</p> <p>It was confirmed during interviews with Workers and Union leaders that employees are free to leave work voluntarily and there was no evidence of any type of Human Trafficking.</p> <p>However, the Entity has not published a Modern Slavery Statement. The Modern Slavery Statement currently disclosed on the Crown website addresses the European Division and does not extend to cover the activities of the Entity.</p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity has implemented and published a Policy that expresses the commitment to respect Human Rights and does not engage or support Discrimination in the hiring, salary, promotion, training, promotion opportunities or termination of any Worker based on gender, race, national origin or social, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age or any other condition that may give rise to Discrimination. The Entity communicates the relevant Policies and procedures to Workers and Stakeholders and provides training on Discrimination.</p> <p>The Entity periodically engages salary survey providers to ensure that Workers receive fair pay and benefits and to ensure that the value of work is the same regardless of the Worker's gender.</p>
10.5 Communication and engagement	Conformance	<p>The Entity ensures open communication and direct engagement with Workers and their representatives regarding working conditions, and the resolution of labour or compensation issues without the threat of reprisal, intimidation, Violence or Harassment.</p> <p>Worker interviews during the Audit confirmed that the Workers felt respected, that Human Rights are preserved in the workplace, and are aware of the channels for complaints and claims.</p>
10.6a-g Violence and Harassment	Conformance	<p>The Entity has implemented Policies to commit to respect Human Rights and does not engage in nor tolerate the use of corporal punishment, mental or physical coercion, Harassment and gender-based Violence, including sexual Harassment or verbal abuse of Workers. This commitment is stated in the Human Rights Policy and in the meeting minutes of the Internal Commission for the Prevention of Accidents and Harassment in compliance with Brazilian legislation. https://www.crowncork.com/sites/default/files/2024-04/HumanRights24_PT_BR.pdf https://www.crowncork.com/investors/corporate-governance/toll-free-reporting-line</p>
10.7a-c Remuneration	Conformance	<p>The Entity respects Workers' rights to a minimum wage and a work contract that ensures payment for a standard working day as per local legislation. The Entity also guarantees that Workers are paid the wages defined in their employment contracts. The Entity provides Workers with extracts of working hours and payment calculations.</p> <p>The Entity pays a premium equivalent of 50% for work that exceeds 44 hours per week, as defined by local legislation.</p>

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10.8a-c Working Time	Minor Non-Conformance	<p>The Entity respects Workers' rights to a standard working day as per local legislation. Working hours at the Entity are within national legal limits and are recorded using a biometric attendance system. The Entity monitors working hours and Overtime using statistical tools such as run charts. Information on working hours, paid leave and public holidays is included in the employee handbook.</p> <p>The Entity respects the rights of Workers to a minimum wage and ensures that wages are paid for a working day (including Overtime), holidays and paid annual vacations, as determined by local law and that Workers are paid through the salary agreed in individual employment contracts.</p> <p>However, during a review of documents relating to the control of Working Time, it was determined that Workers from a service provider exceeded Overtime beyond that permitted by Brazilian law.</p>
10.9a-b Informing Workers of Rights	Conformance	The Entity is regulatorily required to respect the rights of Workers to Freedom of Association and Collective Bargaining. The Entity ensures employees are aware of their rights, as confirmed by Worker interviews.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has a documented Occupational Health and Safety (OH&S) Management System, which is ISO 45001 certified.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	<p>The Entity has implemented a documented OH&S Management System comprising of OH&S Policies, procedures, and records. This also includes review and disclosure mechanisms.</p> <p>The Entity is a member of Associação Brasileira do Alumínio (ABAL) (Brazilian Aluminum Association), which monitors and informs its members about regulatory developments and represents the association in initiatives such as the 'Special Study Commission on Occupational Health and Safety' and the 'Working Group on Occupational Health and Safety' of the National Confederation of Industry, periodically publishing information on records of occupational accidents in the Aluminium industry. Comparative analyses of performance with peer Businesses and leading practice are undertaken: https://abal.org.br/sustentabilidade/seguranca-no-trabalho</p> <p>OH&S lagging indicators is disclosed in the 2023 Sustainability Report, pages 24-25, and 29: https://www.crowncork.com/sites/default/files/2024-07/Crown_Sustainability_Report_2023.pdf</p> <p>However, it was identified during the Audit that there was a failure in the monitoring of fire-fighting equipment at one of the Facilities.</p>
11.2 Employee engagement on Health and Safety	Conformance	The Entity facilitates various forums, such as Safety Committee meetings, SAFE behavioural observations, and internal audit programs. The Entity also maintains an official communication channel to receive complaints of behaviour that violates the principles and directives of the Code of Conduct, as well as violations of laws, regulations, Policies and other internal standards. This channel can be accessed by all Stakeholders including Workers, collaborators,

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		communities, suppliers, clients and business partners. The communication channels are disclosed in the Code of Conduct: https://www.crowncork.com/investors/policies/code-business-conduct-and-ethics

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	25 June 2024	Initial Certification Audit – Full Certification
1	29 April 2025	Re-Certification Audit and Scope Change – Full Certification Scope Change to apply PS V3, including change in title of supply chain activity Material Conversion; Scope Change to add Can Plant Uberaba, Minas Gerais