

# ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

# SCHÜCO INTERNATIONAL KG

CERTIFICATE  
NUMBER

209

ASI  
STANDARD

CHAIN OF CUSTODY  
(V1 2017)

CERTIFICATION  
LEVEL

FULL  
CERTIFICATION

ASI ACCREDITED  
AUDITOR

GUTCERT  
(AFNOR GROUP)

DATE OF ISSUE

22 JUNE 2022

DATE OF EXPIRY

1 MARCH 2027

CERTIFIED SINCE

22 JUNE 2022

AUTHORISED BY

A stylized white signature on a dark grey background, representing the Aluminium Stewardship Initiative Ltd.

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*Validity of this Certificate is subject to continued  
conformance with the applicable ASI Standard  
and can be verified at*

[www.aluminium-stewardship.org](http://www.aluminium-stewardship.org)

CERTIFICATION SCOPE

Schüco International KG with headquarters in Bielefeld (Germany) and the subsidiaries Schüco International KG Wertingen (Germany), Schüco International S.C.S Le Perray-en-Yvelines (France), Schüco International Italia S.r.l. Padova (Italy) and Schüco UK Ltd. Milton Keynes (United Kingdom). Design, development, sale and distribution of aluminium systems and object solutions for the construction of buildings, which also includes the anodizing or coating of aluminium profiles by Outsourcing Contractors.

# SUMMARY AUDIT REPORT

## CHAIN OF CUSTODY

## STANDARD

### OVERVIEW

MEMBER NAME	OTTO FUCHS KG & Schüco International KG
ENTITY NAME	Schüco International KG
CERTIFICATION SCOPE	Schüco International KG with headquarters in Bielefeld (Germany) and the subsidiaries Schüco International KG Wertingen (Germany), Schüco International S.C.S Le Perray-en-Yvelines (France), Schüco International Italia S.r.l. Padova (Italy) and Schüco UK Ltd. Milton Keynes (United Kingdom). Design, development, sale and distribution of aluminium systems and object solutions for the construction of buildings, which also includes the anodizing or coating of aluminium profiles by Outsourcing Contractors.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none"><li>• Post-Casthouse</li></ul>
ASI STANDARD	<ul style="list-style-type: none"><li>• Chain of Custody Standard V1</li></ul>
AUDIT TYPE	<ul style="list-style-type: none"><li>• Initial Certification Audit</li></ul>
AUDIT FIRM	GUTcert (AFNOR Group)
AUDIT DATE	<ul style="list-style-type: none"><li>• 31 January – 4 March 2022</li></ul>
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none"><li>• 21 May 2022</li></ul>
AUDIT SCOPE	<p>The audit scope included headquarters, Schüco International KG Bielefeld (Germany) and subsidiaries in Wertingen (Germany), Le Perray-en-Yvelines (France), Padova (Italy) and Milton Keynes (United Kingdom).</p> <p>Supply chain activities included in the audit scope:</p> <ul style="list-style-type: none"><li>• Post-Casthouse</li></ul> <p>All applicable criteria in the ASI Chain of Custody Standard were included in the audit scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none"><li>• Certification</li></ul>
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.</li></ul>

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- ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
  - ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
  - ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD	22 June 2022 – 1 March 2027
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NEXT AUDIT TYPE	Re-Certification Audit
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NEXT AUDIT DUE DATE	1 March 2027
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CERTIFICATION NUMBER	209
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## SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
1 MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI membership	Conformance	<p>The Entity provides aluminium windows and facade systems for buildings.</p> <p>Schüco Group is a founding member of ASI (Production and Transformation group) and has committed to ASI's membership obligations.</p> <p>For further information please check the ASI website <a href="https://aluminium-stewardship.org/about-asi/asi-members/otto-fuchs-kg-schueco-international-kg">https://aluminium-stewardship.org/about-asi/asi-members/otto-fuchs-kg-schueco-international-kg</a></p>
1.2 Management system	Conformance	<p>Schüco's integrated Management System applies to the whole Entity (comprising the sites in France, Germany, Italy and UK). The system has been designed to specify the internal processes as well as competences and responsibilities to ensure compliance with all applicable requirements of the ASI Chain of Custody Standard.</p> <p>The Entity has implemented common procedures for the CoC management system for their sites which covers all relevant requirements.</p>
1.3 Management system reviews	Conformance	<p>The Entity has established a mechanism for the periodic review and update of the CoC management system.</p> <p>Due to the lack of CoC Material processed at the time of the initial audit, the review was limited to the CoC system design. The system's performance will be reviewed at the next audit.</p>
1.4 Management representative	Conformance	<p>Schüco's Senior Expert - Sustainability is responsible for the implementation of and compliance with ASI Chain of Custody Standard and the responsibilities of this role are defined in writing and are communicated within the Entity. There is a team assisting this role in the implementation of the requirements.</p>
1.5 Training	Conformance	<p>The Entity has prepared and conducted Chain of Custody (CoC) specific training to relevant personnel and has communicated CoC related information to all employees.</p>
1.6 Record keeping	Conformance	<p>The Entity has implemented a procedure to maintain records covering all applicable requirements of the ASI Chain of Custody Standard for at least the required five year period.</p>
1.7a Reporting to ASI (Inputs and Outputs)	Conformance	<p>The provisions for annual reporting to the ASI Secretariat are documented in a procedure, are suitable and appear to be robust. Reporting will include Input and Output Quantities of CoC Materials</p>

CRITERION	RATING	COMMENT
		over the calendar year. A report has not yet been issued as at the time of the initial audit, CoC Material had not been handled by the Entity.
1.7b Reporting to ASI (Input Percentage)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented, are suitable and appear to be robust. The reporting will include Input Percentages over the calendar year. A report has not yet been issued as at the time of the initial audit, CoC Material had not been handled by the Entity.
1.7c Reporting to ASI (Positive Balance)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented, are suitable and appear to be robust. Reporting will include the maximum Positive Balance in the calendar year carried over to the subsequent Material Accounting Period, if any. A report has not yet been issued as at the time of the initial audit, CoC Material had not been handled by the Entity.
1.7d Reporting to ASI (Internal Overdraw)	Conformance	The provisions for annual reporting to the ASI Secretariat are documented, are suitable and appear to be robust. Reporting will include the maximum Internal Overdraw within the calendar year, if any, and the percentage of Input Quantity of CoC Material this represents. A report has not yet been issued as, at the time of the audit, CoC Material had not been handled by the Entity.
1.7e Reporting to ASI (Eligible Scrap)	Not Applicable	This Criterion is not applicable as the Entity is not engaged in Aluminium Re-melting/Refining.
1.7f Reporting to ASI (ASI Credits from Casthouses)	Not Applicable	This Criterion is not applicable as the Entity is not engaged in producing Casthouse Products.
1.7g Reporting to ASI (ASI Credits purchased)	Not Applicable	This Criterion is not applicable as the Entity does not use and does not intend to use the Market Credit System.
2 OUTSOURCING CONTRACTORS		
2.1 Outsourcing Contractors in CoC Certification Scope	Conformance	The Entity has identified Outsourcing Contractors that take custody of their CoC Material. When engaging new Outsourcing Contractors, a procedure is implemented to ensure conformance with the ASI Chain of Custody Standard.
2.2a Control of CoC Material	Conformance	Material shipped to an Outsourcing Contractor is specific to a customer order (building project). The operational system and processes implemented

CRITERION	RATING	COMMENT
		ensure that all outsourced material remains the property of the Entity.
2.2b No further outsourcing	Conformance	The Entity has implemented a system to ensure that contracts with Outsourcing Contractors involving ASI Material includes a clause to prohibit any processing, treatment or manufacturing of CoC Material to any other contractor.
2.2c Risk assessment	Conformance	Due to way the business operates (customer specific configuration of material shipped to Outsourcing Contractors), there is no material risk of non-conformance. An according risk assessment has been documented by the Entity.
2.3 Output Quantity	Conformance	There is no risk of the Outsourcing Contractors mixing Schüco system components with those of other market participants. All material shipped to an Outsourcing Contractor is sent back to the Entity or their clients. The Entity's material accounting of CoC Material provides exactly the same data that is required.
2.4 Verification and record-keeping	Conformance	The Entity has implemented an IT system to track and report all CoC Material that is handled by Outsourcing Contractors. At the time of the audit, no CoC Material had been shipped to an Outsourcing Contractor.
2.5 Error management	Not Applicable	The Entity has established an adequate error management system, which is in accordance with the requirements.

### 3 PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI LIQUID METAL

3.1a CoC Certification Scope - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Performance Standard - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a CoC Certification Scope - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Performance Standard - Alumina Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a CoC Certification Scope - Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Performance Standard - Aluminium Smelting	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

### 4 RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP AND ASI LIQUID METAL

CRITERION	RATING	COMMENT
4.1a CoC Certification Scope - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b ASI Performance Standard - Aluminium Re-Melting/Refining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Pre-Consumer Scrap and Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Supplier records	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Cash payments	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5 CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a CoC Certification Scope - Casthouses	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Performance Standard - Casthouses	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Casthouse Products	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6 POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a CoC Certification Scope - Post-Casthouse	Conformance	The Entity is certified against the ASI Performance Standard, as can be verified on the ASI website: <a href="https://aluminium-stewardship.org/about-asi/asi-members/otto-fuchs-kg-schueco-international-kg">https://aluminium-stewardship.org/about-asi/asi-members/otto-fuchs-kg-schueco-international-kg</a> The Entity's system ensures that Schüco is itself producing ASI Aluminium only from Facilities within their CoC Certification Scope.
6.1b ASI Performance Standard - Post-Casthouse	Conformance	The Entity is certified against the ASI Performance Standard, as can be verified on the ASI website: <a href="https://aluminium-stewardship.org/about-asi/asi-members/otto-fuchs-kg-schueco-international-kg">https://aluminium-stewardship.org/about-asi/asi-members/otto-fuchs-kg-schueco-international-kg</a> The certification was obtained within two years of joining ASI.
6.1c Sourcing ASI Aluminium	Conformance	The Entity has defined that its Post-Casthouse Facilities shall only source ASI Aluminium from other internally approved ASI Certified Entities. The internal system is designed to ensure this. At the time of audit, ASI Aluminium had not been sourced.
7 DUE DILIGENCE FOR NON-COC INPUTS AND RECYCLABLE SCRAP MATERIAL		

CRITERION	RATING	COMMENT
7.1a Responsible sourcing policy (anti-corruption)	Conformance	The Entity has communicated its supplier sustainability guideline to all suppliers. The guideline contains an anti-corruption requirement. The guideline is not publicly available, however it has been communicated to the Entity's aluminium suppliers.
7.1b Responsible sourcing policy (responsible sourcing)	Conformance	The Entity has communicated its supplier sustainability guideline to all suppliers. The guideline contains a responsible sourcing requirement. The guideline is not publicly available, however it has been communicated to the Entity's aluminium suppliers.
7.1c Responsible sourcing policy (human rights due diligence)	Conformance	The Entity has communicated its supplier sustainability guideline to all suppliers. The guideline contains a human rights due diligence requirement. The guideline is not publicly available, however it has been communicated to the Entity's aluminium suppliers.
7.1d Responsible sourcing policy (conflict affected and high risk areas)	Conformance	The Entity has communicated its supplier sustainability guideline to all suppliers. The guideline includes a requirement to assess risks related to Conflict-Affected and High-Risk Areas. The code is not publicly available, however it has been communicated to the Entity's aluminium suppliers.
7.2 Risk assessment	Conformance	The Entity has implemented procedures to assess the risks of non-compliance/compliance with its supplier sustainability guideline.
7.3 Complaints mechanism	Conformance	The Entity has established a system to receive and handle feedback, including complaints and grievances of stakeholders. This mechanism is in accordance with Criterion 3.2 of the ASI Performance Standard.
8 MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity's Management System includes a Material Accounting System that records Input Quantity and Output Quantity of CoC Material and Non-CoC Material, by mass. The material accounting system is based in the Entity's Enterprise Resource Planning (ERP) system.
8.2a Post-Consumer Scrap	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.2b Pre-Consumer Scrap (total)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.



CRITERION	RATING	COMMENT
8.2c Pre-Consumer Scrap (Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.3 Material Accounting Period	Conformance	The Entity has specified in writing that the Material Accounting Period in the Entity's Material Accounting System is 12 months commencing from the first day of the calendar year.
8.4 Input Percentage	Conformance	The Entity calculates and records the Input Percentage using the appropriate formula. Units in the numerator and the denominator are the same. At the time of the audit, CoC Material had not been handled by the Entity.
8.5 Input Percentage (Aluminium Re-Melting/Refining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.6 Output Quantity determination	Conformance	The Entity has specified to use the Input Percentage for the Material Accounting Period to determine the Output Quantity of CoC Material, by mass. At the time of the audit, CoC Material had not been handled by the Entity.
8.7 Output Quantity designation	Conformance	As prescribed in the Entity's CoC procedure, the Entity has established that the Output Quantity of CoC material is designated as 100% CoC Material. At the time of the audit, CoC Material had not been handled by the Entity.
8.8 Output Quantity - Pre-Consumer Scrap	Not Applicable	This Criterion is not applicable, as generated Pre-Consumer Scrap quantities are not material and, at present, the Entity does not wish to designate the relevant proportion as Eligible Scrap.
8.9 Outputs not exceed Inputs	Conformance	The Entity has implemented a documented procedure to check that the total Output of CoC Material does not proportionally exceed the Input Percentage as applied to total Input of CoC Material over the Material Accounting Period. At the time of the audit, CoC Material had not been handled by the Entity.
8.10a Internal Overdraws (not exceed 20%)	Conformance	The Entity's Material Accounting System is designed to ensure that a maximum 20% Overdraw of total Input Quantity of CoC Material will happen within the Material Accounting Period in case of force majeure. At the time of the audit, CoC Material had not been handled by the Entity.
8.10b Internal Overdraws (not exceed affected amount)	Conformance	The Entity's Material Accounting System is designed to ensure the Internal Overdraw will not exceed the amount of CoC Material affected by the force

CRITERION	RATING	COMMENT
		majeure situation. At the time of the audit, CoC Material had not been handled by the Entity.
8.10c Internal Overdraws (period to make up)	Conformance	The Entity's Material Accounting System is designed to ensure the Internal Overdraw will be made up within the subsequent Material Accounting Period. At the time of the audit, CoC Material had not been handled by the Entity.
8.11a Positive Balance (carry over)	Conformance	The Entity's Material Accounting System is designed to ensure any carry over of a Positive Balance is clearly identified. At the time of the audit, CoC Material had not been handled by the Entity.
8.11b Positive Balance (expiry)	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Documents includes the identity, address and CoC Certification number of the receiving Entity.
9 ISSUING COC DOCUMENTS		
9.1 Shipments and transfers	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities as evidenced by a review of the Entity's ASI Chain of Custody related procedures, review of 'dummy' CoC Documents and interviews with personnel. The Entity will issue dedicated CoC Documents.
9.2a Date of issue	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the date of issue.
9.2b Reference number	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes a reference number, which is linked to the Entity's Material Accounting System.
9.2c Issuing Entity	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the identity, address and CoC Certification number of the issuer.
9.2d Receiving customer	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC

CRITERION	RATING	COMMENT
		Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the identity, address and CoC Certification number of the receiving Entity.
9.2e Responsible employee	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the name of the responsible employee.
9.2f Conformance statement	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes a statement confirming that "The information provided in the CoC Document is in Conformance with the ASI CoC Standard."
9.2g Type of CoC Material	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the type of CoC Material in the shipment.
9.2h Mass of CoC Material	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the mass of CoC Material in the shipment.
9.2i Mass of total material	Conformance	The Entity ensures that a CoC Document accompanies each shipment or transfer of CoC Material dispatched to other CoC Certified Entities. The design of the CoC Document includes the mass of total Material in the shipment.
9.3a Sustainability Data (optional)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.3b Sustainability Data (passing on)	Not Applicable	This Criterion is not applicable as the Entity does not intend to include data related to greenhouse gas emissions in its CoC Documents.
9.3c Post-Casthouse ASI Certification status	Conformance	The Entity has decided to provide information on its ASI Certification status for the ASI performance Standard on its CoC Documents.
9.4 Supplementary Information (optional)	Not Applicable	This Criterion is not applicable, as the Entity does not wish to include Supplementary Information in its CoC Documents.

CRITERION	RATING	COMMENT
9.5 Response to verification requests	Conformance	A provision for responding to requests related to CoC Documents is contained in the Entity's ASI management procedure.
9.6 Error management	Conformance	The Entity has a documented procedure which defines the approach for how errors regarding CoC Material shipments shall be handled.
10 RECEIVING COC DOCUMENTS		
10.1 Verify required information included	Conformance	The Entity has a documented procedure which defines the method to verify received CoC Documents. As there had been no sourcing of CoC Material at the time of the audit, the effectiveness of this verification will be assessed at the next surveillance audit.
10.2 Verify consistency with shipments	Conformance	The Entity has a documented procedure which defines the method to verify received CoC Documents. All deliveries are to be verified when entering the site. As there had been no sourcing of CoC Material at the time of the audit, the effectiveness of this verification will be assessed at the next surveillance audit.
10.3 Verify supplier CoC Certification status	Conformance	The Entity has a documented procedure which defines an annual check of the validity and scope of suppliers' ASI CoC Certification.
10.4 Error management	Conformance	The Entity has defined an annual check of the validity and scope of suppliers' ASI CoC Certification.
11 MARKET CREDITS SYSTEM: ASI CREDITS		
11.1a Material Accounting System – allocation	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.1b Link to Casthouse Products	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.1c No double counting	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.1d No Positive Balance for ASI Credits	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.2a Date of issue	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.

CRITERION	RATING	COMMENT
11.2b Reference number	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.2c Issuing Entity	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.2d Receiving Entity	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.2e Conformance statement	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.2f ASI Credits statement	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.2g Quantity	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.3a CoC Certification Scope – purchasing ASI Credits	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.3b Material Accounting System – purchasing	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.3c Expiry	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.3d No re-trading	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.3e No allocation to physical products	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.3f Verify supplier CoC Certification status	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.
11.3g Five years maximum for ASI Credits purchasing	Not Applicable	This Criterion is not applicable as the Entity has not used and does not intend to use the Market Credits System.

CRITERION	RATING	COMMENT
12.1a ASI Claims Guide	Conformance	The Entity has implemented a documented procedure for claims related to CoC Material consistent with the ASI Claims Guide. As there had been no claims regarding CoC Material at the time of the audit, the effectiveness of the procedure will be assessed at the next surveillance audit.
12.1b Verifiable evidence	Conformance	The Entity has implemented a written procedure for claims related to CoC Material (outside of CoC Documents) consistent with the ASI Claims Guide. The Entity has demonstrated awareness that all ASI-related claims are to be supported by verifiable evidence. As there had been no claims regarding CoC Material at the time of the audit, the effectiveness of the procedure will be assessed at the next surveillance audit.
12.1c Employee training	Conformance	Relevant personnel (marketing/communications) have been trained on CoC Material claims and competence was demonstrated during the audit.

#### **Document Control and Version History**

Revision	Date	Notes
0	22 June 2022	Initial Certification Audit – Full Certification
1	16 May 2025	Extension to the Certification expiry date (from 21 June 2025) and the Next Audit Type and Due Date, consistent with ASI's voluntary option for CoC Standard Certification extensions