

ASI Chain of Custody and Claims Working Group – Call 2 Meeting Notes

11 June 2025

Agenda

1. Welcome and introduction
2. Context setting
3. ASI Work product A: Potential new PS requirement around passing on supply chain information
4. ASI Work product B: Supply chain mapping
5. Introducing focus for next call – Streamlined CoC Model

Link to the recording: [Recap: ASI Chain of Custody and Claims WG Call 2 11 June](#)

Participants

Christine Carey	Juliana Silva
Emma Tooley	Khaled Largui
Fernanda Tonizza Moraes	Marc Bissérié
Miles Prosser	Melanie Williams
Sanaa Zinbi	Julio Oliveira
Sunny Sun	Yeoh Kerlee
Thomas Holte	Manuel Sobreviela Pena
Panos Tserolas	Marcel Pfitzer
Jorgen Hanson	Alison Lee
Björn Kulmann	Marientina Lazaidou
Johanne Milliard	Aysha Isa-Karawan
Alois Winkler	
Daniil Ukhanov	

Discussion Notes:

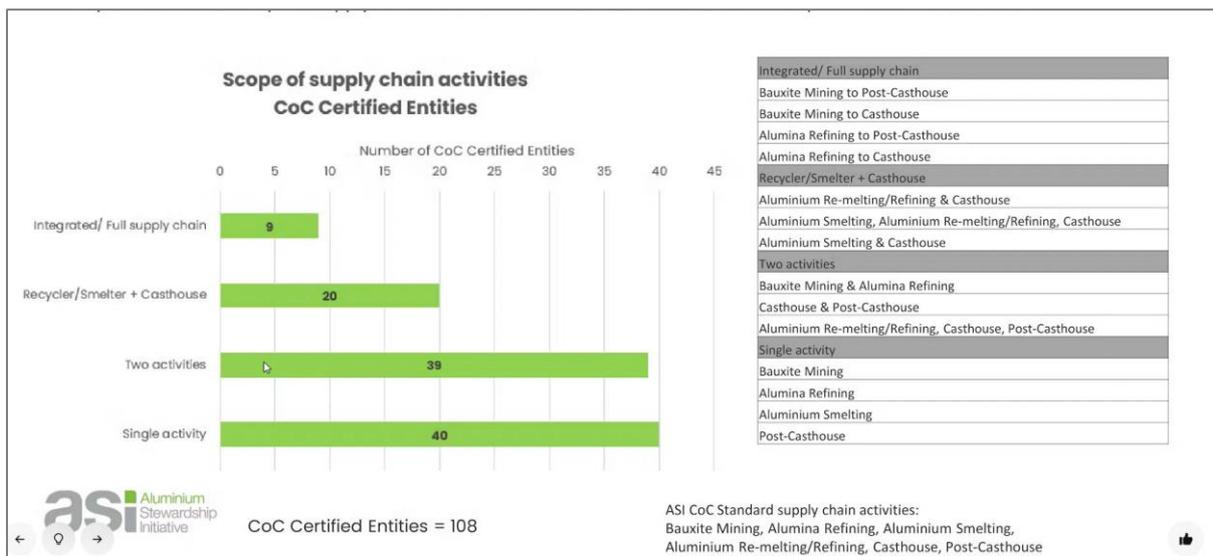
1. Welcome and introduction

- ASI team shared a reminder on Working Group processes and it was clarified that anonymised working group notes will now be shared (for all working groups) via the ASI Member Newsletter (not publicly available).
 - Let the ASI team know if you would like your name removed from the participants list
- ASI presented the agenda for the meeting, focused on supply chain information flow, including the potential for updated requirements in the Performance Standard and options for supply chain mapping.

2. Context setting

- ASI shared an overview of the 4 main categories of claims/ information flow which are being discussed in the scope of the COC/ Claims revision. These include:

1. Certified material claims/ sourcing (based on current or future streamlined mass balance COC) – *Current/ existing offering*
 2. Performance related claims (e.g. emissions reductions, alignment with 1.5 degree sector pathway) - *New*
 3. Supply chain information/ due diligence support – *New [focus for today’s discussion]*
 4. Allocated environmental claims (e.g. product carbon footprint) - *New*
- It was clarified that not all claims require the same type of chain of custody system/ verification system
 - As requested on the previous call, ASI shared data on the breakdown of supply chain activities across all 108 currently certified Entities.
 - It was noted that the majority of Entities are certified for either one or two activities (in terms of number of companies – however, in terms of volume of material, majority would be upstream with multiple activities/ integrated supply chains in scope).
 - It was clarified that the definition of a single activity could cover multiple sites doing the same activity, although there are no bauxite mining Entities in this category (one activity multiple sites)

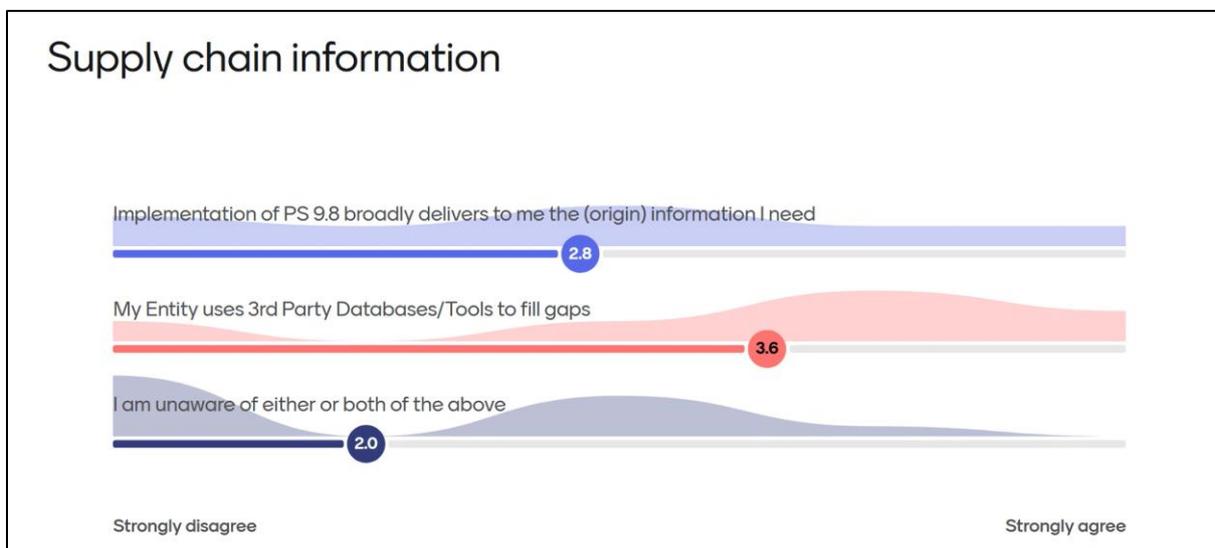


- One participant asked whether the working group also be looking at scaling up in future?
 - ASI explained that the original COC built to help drive demand for the Performance Standard and was successful in this.
 - Looking at material flow data from ASI website together with ASI CoC Certification scopes over the years, there is growth in CoC certification coming from downstream. This growth does not necessarily translate into increased access to or demand for COC material from upstream.
 - Better defining what the downstream wants in terms of claims and information is a primary focus of this working group
- ASI clarified that, unique to the ASI system, PS certification is required across the whole value chain, rather than only focusing on CoC certification post mining stage. As a result,

some downstream companies may put more emphasis on the need for their suppliers to be ASI certified – and less emphasis on sourcing ‘COC’ (ASI) material specifically

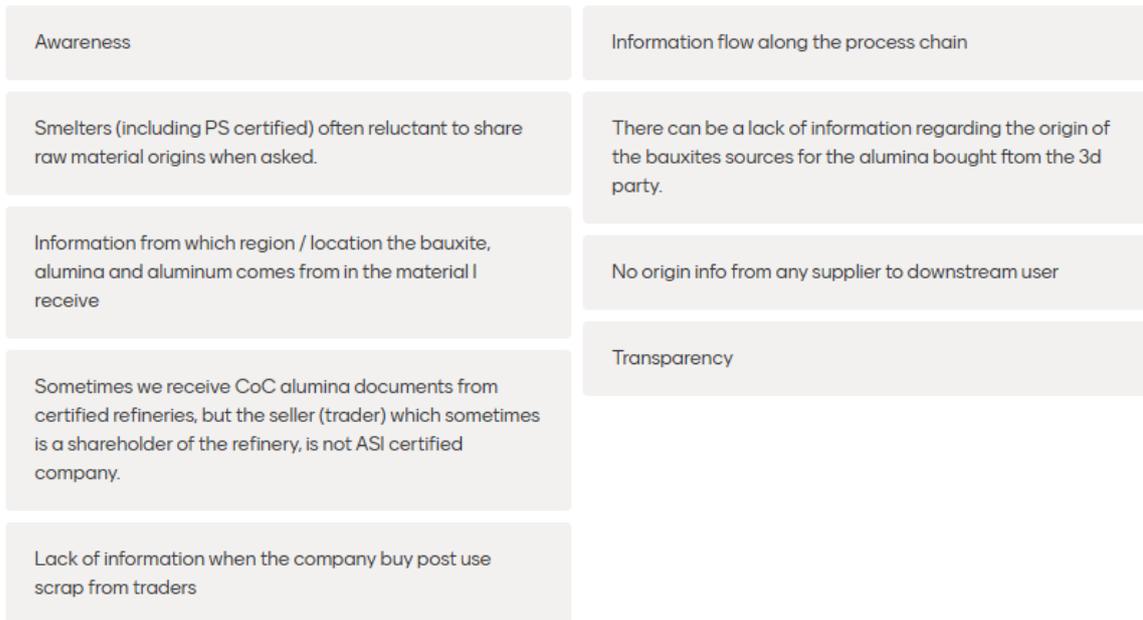
3. ASI Work Product A Discussion: New requirement in the performance standard around passing supply chain information

- It was asked why batch traceability is off the table for discussion - ASI clarified that in early discussions with Members and the Standards Committee, downstream Members expressed a desire for traceability – however, the upstream and midstream Entities expressed a strong position that this would not be feasible for the sector to implement at scale.
 - Further discussions highlighted that more work on supply chain mapping or origin information might at least partially meet the needs of downstream – this is the focus for today.
 - ASI clarified that all topics and priorities of WG members are welcome to be raised, including traceability, fitting more appropriately under COC model discussions in the next WG call.
 - **ACTION: ASI to set aside 30 mins at the start of the next call for a discussion on chain of custody models**
- ASI introduced the Performance Standard v3 requirements related to CAHRA due diligence, which already technically require the passing along of information about origin of aluminium (at least to the Smelter).
- ASI collected views from participants on whether current 9.8 requirements (and available third party data) are sufficient to provide the information Members need:

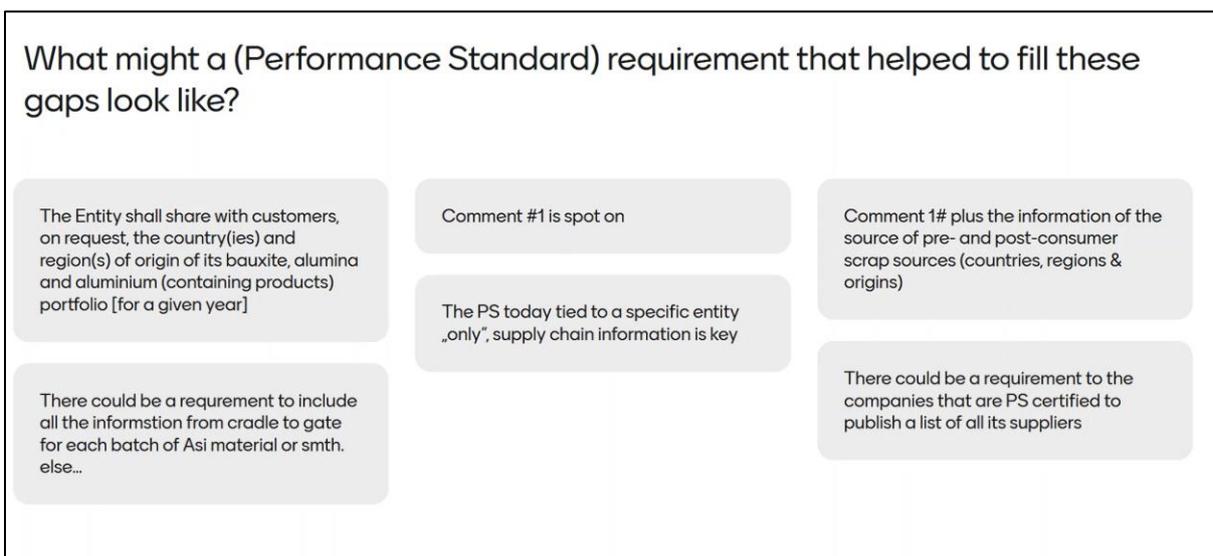


What is missing or in the way of my accessing the origin information I need?

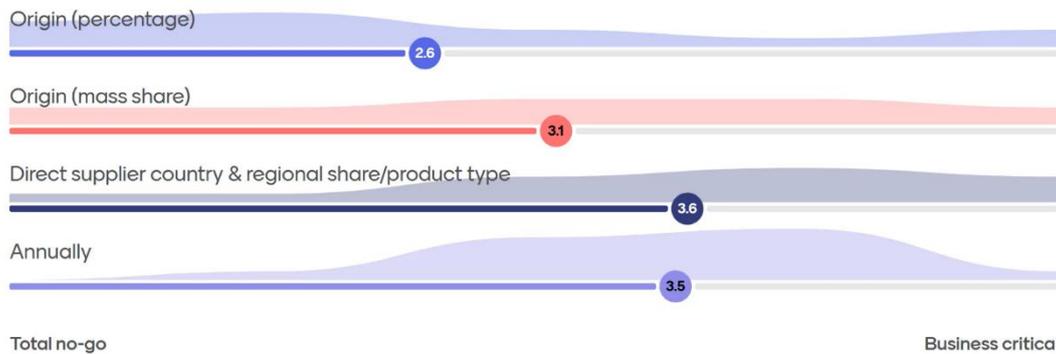
9 9



- It was discussed that technically PS certified Entities should already be required to pass origin information on to customers. It was noted that WG participants can come to ASI directly to raise confidential concerns if suppliers are not meeting these requirements, as ASI can pass this information through to the assurance system.
- ASI clarified that the proposal to introduce new requirements in the Performance Standard (vs CoC Standard) is because the PS has greater uptake across the value chain than the (optional) CoC standard, and it would align well with existing due diligence related requirements already in the PS.
- WG Members were asked to suggest what types of requirements in the PS might provide additional information about supply chain/ origin:



Reporting my Entity's data to ASI...



In addition to material sources and high-level flows (and excluding carbon footprint/recycled content), supply chain maps should include info on...

origin and type of scrap
 risks for selected topics
 sustainability-related ri
 humsn rights quantities
 origin of bauxite in alum
 biodiversity impact
 country esg risk
 performance on human righ
 origin on regional level
 historic negative impact



- It was discussed that under other schemes, it is common to have supplier information and even volumes reported to the standard scheme. However, how data is held and used is an important point for companies and it was noted that for US companies the requirements may be more strict
 - It was suggested that data could be hosted by ASI so there is confidence from Members that the data is not being shared anywhere else.
 - Value to Members will be important as well as the trust in ASI.
 - **ACTION: ASI to draft a potential (PS) requirement for WG review**
- Participants were asked what other information might be helpful – answers included risks, performance on human rights, scrap information, origins
-

5. Next meeting focus – streamlined CoC Standard

- The next meeting will focus on the streamlined Chain of Custody Standard – ASI explained the aim is to simplify and reduce the complexity and implementation/ audit burdens, without changing the basic requirements of the group mass balance and material accounting system.
- ASI explained that the next call will include a discussion on the CoC models (e.g. site vs group level mass balance, segregation COC, etc).

6. Actions

Supply chain & due diligence information

- Participants are asked to submit proposals/ ideas for early draft of the new PS criterion that could address access to supply chain information – Product A.
- Participants are asked to submit input on how to explore the idea of reporting Entities' data to ASI on direct supplier country & regional share / product
- ASI will identify potential risks for selected topics around information from supply chain.
- ASI will share links for the WG to explore country to country data.
- Topics for further discussion: explore solutions for developing a system to collect supply chain data – system hosted by ASI.
- Alumina swaps information session

DRAFT – streamlined CoC Standard

- Participants were asked to review and provide feedback on the draft streamlined CoC standard ahead of the next call.