

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Guangdong Haomei New Materials Co., LTD.

CERTIFICATE NUMBER
452

ASI STANDARD
**PERFORMANCE
STANDARD
(V3 2022)**

DATE OF ISSUE
17 JULY 2025

CERTIFICATION
LEVEL
**FULL
CERTIFICATION**

DATE OF EXPIRY
16 JULY 2028

ASI ACCREDITED
AUDITING FIRM
**SGS-CSTC STANDARDS
TECHNICAL SERVICES**

CERTIFIED SINCE
17 JULY 2025

AUTHORISED BY

A stylized, handwritten signature in black ink, appearing to be 'J. H.' followed by a long horizontal line.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*
www.aluminium-stewardship.org

CERTIFICATION SCOPE

The manufacture of Aluminium
alloy building profiles and
Aluminium alloy industrial profiles
at Guangdong Haomei New
Materials Co., LTD.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Guangdong Haomei New Materials Co., LTD.
ENTITY NAME	Guangdong Haomei New Materials Co., LTD.
CERTIFICATION SCOPE	The manufacture of Aluminium alloy building profiles and Aluminium alloy industrial profiles at Guangdong Haomei New Materials Co., LTD.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">• Casthouses• Material Conversion
ASI STANDARD	<ul style="list-style-type: none">• Performance Standard V3
AUDIT TYPE	<ul style="list-style-type: none">• Initial Certification Audit
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none">• 11 – 14 November 2024 and 9 – 10 January 2025
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">• 24 February 2025
AUDIT SCOPE	<p>The Audit Scope included the manufacture of Aluminium alloy building profiles and Aluminium alloy industrial profiles at Guangdong Haomei New Materials Co., Ltd.</p> <p>Supply Chain Activities included in the Audit Scope:</p> <ul style="list-style-type: none">• Casthouses• Material Conversion <p>All relevant criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">• Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.<input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.<input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	17 July 2025 – 16 July 2028

NEXT AUDIT TYPE	Surveillance Audit
-----------------	--------------------

NEXT AUDIT DATE	16 January 2027
-----------------	-----------------

CERTIFICATE NUMBER	452
--------------------	-----



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Guangdong Haomei New Material Co., Ltd. ('the Entity') is located in Taiji Industrial City, High-tech Industrial Development Zone, Qingyuan, Guangdong, China. The Entity specialises in the production of Aluminium alloy building profiles and Aluminium alloy industrial profiles, which are primarily distributed across China, South America, Europe, Southeast Asia, and other global markets.

The Entity operates within a Government-established industrial zone, and the surrounding area is free from sensitive locations such as residential areas, schools, scenic spots, or drinking water sources. The Entity's has an annual production of approximately 140,000 tonnes. The main production processes include Aluminium rod extrusion, surface treatments (including oxidation, spraying, and electrophoresis), and packaging.

The Entity operations cover approximately 500,000 square metres (m²). Key facilities on the premises include two office buildings, ten factory buildings, eight dormitory buildings, two canteens, a sewage treatment plant, air pressure stations, Hazardous Waste storage facilities and general solid Waste warehouses, among other essential infrastructure. The factory also offers sports fields and recreational areas for employee leisure.

The Entity employs approximately 1,200 Workers. Key Stakeholders include shareholders, customers, business partners, the downstream supply chain, and relevant government bodies, such as tax authorities.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	Medium	Medium	Low	MEDIUM
RISKS	Medium	Medium	Medium	MEDIUM
PERFORMANCE	Low	Low	Low	LOW
OVERALL	MEDIUM			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	<p>The Entity has established a Collection and Control Procedure for Laws, Regulations and Other Requirements. This Procedure stipulates responsibilities and the method, timing and frequency of identifying and evaluating Compliance with Applicable Laws and regulations related to Environmental, Social and Governance (ESG) aspects. The Procedure also requires developing a periodic written compliance evaluation report. Information on non-Compliances are published on the Credit China website at:</p> <p>https://www.creditchina.gov.cn/xinyongxinxiangqing/xyDetail.html?se archState=1&entityType=1&keyword=%E5%B9%BF%E4%B8%9C%E8%B1%A A%E7%BE%8E%E6%96%B0%E6%9D%90%E8%82%A1%E4%BB%BD%E6%9C%8 9%E9%99%90%E5%85%AC%E5%8F%B8&uid=3837342a815b34c549df3d 91cf53a9b2&tyshxydm=91441800765734276T</p> <p>Since becoming an ASI member, the Entity has not committed any serious violations, and whilst there have been some minor non-conformities, these were addressed and rectified by the Entity prior to the ASI Audit.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has evaluated the risk of commercial Bribery within its operations and established the Anti-Corruption and Anti-Bribery Procedure which stipulates the prohibition of Corruption and Bribery in all business practices and transactions. These anti-Corruption and anti-Bribery Policies and procedures have been communicated to and understood by employees and others acting on behalf of the Entity. The Entity's anti-Corruption commitment is established in multiple public documents including:</p> <p>The Entity's ASI Policy: https://www.haomei-alu.com/ckeditor/upload/file/1733279089834797.pdf</p> <p>The Entity's Code of Conduct: https://www.haomei-alu.com/ckeditor/upload/file/1728608176402309.pdf</p> <p>The Entity's Environmental, Social, and Governance (ESG) Policy that includes the Anti-corruption and Anti-Bribery Policy: https://www.haomei-alu.com/ckeditor/upload/file/1731314693306201.pdf</p> <p>The Entity has further established a Complaints and Resolution Mechanism that allows for the reporting of illegal behaviour, disclosed at: https://www.haomei-alu.com/ckeditor/upload/file/1728608221869845.pdf</p> <p>The Audit did not identify any indications of illegal behaviour, and the anti-Bribery and anti-Corruption Management System is considered effective.</p>
1.3a-e Code of Conduct	Conformance	<p>The Entity has published the ASI Code of Conduct on its official website at: https://www.haomei-alu.com/ckeditor/upload/file/1728608176402309.pdf</p> <p>The Entity has established related documents including the ASI governance manual and employee's handbook. The Entity conducts an internal audit and management review at least annually to review ASI Performance Standard related documents, including the Code of Conduct. The Entity's ASI governance manual states that the Policy should be reviewed or revised if significant changes occur in environmental, social, or governance risks, or if control deficiencies</p>

CRITERION	RATING	COMMENT
		emerge. Since the establishment of the Code of Conduct, no important changes or major control defects have occurred.
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has implemented and maintained integrated Policies consistent with the ESG practices included in the ASI Performance Standard. The Policies have been approved by the General Manager. The Entity has provided resources for the implementation of these Policies and committed to a periodic review every five years, or upon other changes. No review has been required to date.</p> <p>The Entity's Environmental, Social and Governance Policy is disclosed at: https://www.haomei-alu.com/ckeditor/upload/file/1731314693306201.pdf</p>
2.2a-c Leadership	Conformance	<p>The Entity has nominated a senior Management Representative, to lead the implementation and communication of the ESG Policy. The Management Representative is responsible for providing the resources needed to establish, implement, maintain and improve the management and operational controls as required by the ASI Performance Standard. The implementation responsibility and authority of each department including key roles are defined.</p>
2.3a Environmental and Social Management Systems – Environmental	Conformance	<p>The Entity has documented and implemented an Environmental Management System (EMS) and has obtained an ISO 14001:2015 Certificate. The last certification Audit was conducted in June 2024, and no Corrective Action Requests were raised.</p>
2.3b Environmental and Social Management Systems – Social	Conformance	<p>The Entity has documented and implemented relevant Social Management Systems. No non-compliances or Stakeholder requests for corrective action have been raised with respect to the Social Management System.</p>
2.4a-e Responsible Sourcing	Conformance	<p>The Entity has established documents relevant to responsible sourcing including the ASI Management Manual, Supplier Management Control Procedure, Sourcing Control Procedure and Responsible Sourcing Policy. The Responsible Sourcing Policy has been communicated to all suppliers and contractors. The Entity has committed to a periodic review every five years or upon other changes. No review has been required to date.</p> <p>The Entity discloses its Responsible Sourcing Policy at: https://www.haomei-alu.com/ckeditor/upload/file/1733279089834797.pdf</p>
2.5a-g Environmental and Social Impact Assessments	Conformance	<p>Environmental, social, cultural and Human Rights Impact Assessments processes are well implemented at various departments. Risks are assessed and the associated control measures are established and implemented. Since becoming an ASI member, the Entity has not undertaken any New Projects or Major Changes, except for a technical upgrade to the vertical painting production line, which is not considered a Major Change.</p> <p>Documents on the painting production line transformation include: The project announcement is available at: https://gongshi.qsyhbgi.com/h5public-detail?id=434376</p>

CRITERION	RATING	COMMENT
		<p>Government approval: https://www.haomei-alu.com/ckeditor/upload/file/1736232609169117.pdf</p> <p>Environmental Protection Acceptance Opinion: https://www.haomei-alu.com/ckeditor/upload/file/1736232600479075.pdf</p> <p>Environmental and Social Impact Management Plan: https://www.haomei-alu.com/ckeditor/upload/file/1736232622164506.pdf</p> <p>Environmental and Social Impact Assessment Report: https://www.haomei-alu.com/ckeditor/upload/file/1736232629803304.pdf</p>
2.6a-h Human Rights Impact Assessment	Conformance	<p>The Entity has established a Human Rights Impact Assessment procedure and disclosed its Human Rights Impact Assessment Report at: https://www.haomei-alu.com/ckeditor/upload/file/1730170278637571.pdf</p>
2.7a-f Emergency Response Plan	Conformance	<p>The Entity has established an Emergency Response Plan Management Procedure and Emergency Response Plans (ERPs). The ERPs were established in collaboration with potentially affected Stakeholders and filed with and approved by the local Emergency Management Department. The Entity conducts comprehensive environmental and safety drills. The Entity has committed to a periodic review every five years or upon other changes. No review has been required to date.</p> <p>The Entity has publicly disclosed the latest version of the ERPs at: https://www.haomei-alu.com/ckeditor/upload/file/1729045122884162.pdf and https://www.haomei-alu.com/ckeditor/upload/file/1730170746801603.pdf</p>
2.8a-d Suspended Operations	Conformance	<p>The Entity has established a Business Resilience Plan to address situations where it may have to suspend or significantly alter operations due to factors outside its control, and the Plan considers Material adverse ESG impacts. The Entity has committed to a periodic review every five years or upon other changes. No review has been required to date.</p>
2.9a-b Mergers and Acquisitions	Conformance	<p>The Entity has established a management procedure for Mergers and Acquisitions. No Mergers and Acquisition events have occurred in recent years.</p>
2.10a-b Closure, Decommissioning and Divestment	Conformance	<p>The Entity has established a procedure for Closure, Decommissioning and Divestment that addresses ESG aspects. No Closure, Decommissioning and Divestment events occurred in recent years.</p>
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	<p>The Entity has developed and issued its Environmental, Social and Corporate Governance Report that addresses governance aspects such as related parties, communication and compliance management, as well as its environmental and social performance in areas such as environment and energy, Labour and Human Rights, working conditions, Health and Safety, responsible sourcing, community relations and public charity. The Environmental, Social and Corporate Governance Report is available at: https://www.haomei-alu.com/ckeditor/upload/file/1736844506829202.pdf</p>

CRITERION	RATING	COMMENT
3.2 Non-compliance and Liabilities	Conformance	No significant fines, judgments, penalties and non-monetary sanctions for failure to comply with Applicable Law in the previous reporting period. This was confirmed against the official websites of the relevant government agencies and Non-Governmental Organisations (NGOs). Additional relevant disclosures are available at: http://www.szse.cn/application/search/index.html?keyword=%E8%B1%AA%E7%BE%8E%E6%96%B0%E6%9D%90&r=1731375202704
3.3a-c Payments to Governments	Conformance	The Entity has established a management process regarding payments to governments. Legitimate payments to governments are made for tax, water and electricity fees and the insurance premium for employees. The Entity makes payments to governments on a legal and/or contractual basis only. The Entity's financial report and additional information is disclosed on the Shenzhen Stock Exchange at: http://www.szse.cn/application/search/index.html?keyword=%E8%B1%AA%E7%BE%8E%E6%96%B0%E6%9D%90&r=1731375202704
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has developed an accessible Complaints Resolution Mechanism open to all Stakeholders. The Complaints Resolution Mechanism including contact information is available at: https://www.haomei-alu.com/ckeditor/upload/file/1728608221869845.pdf
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Minor Non-Conformance	<p>The Entity has considered the life cycle impacts of its Products through a process of environmental factor identification and evaluation, conducted as part of its ISO 14001:2015 certified Management Systems. The Entity has collected data on life cycle impacts of its Products on a 'cradle-to-gate' basis and has completed its first Life Cycle Assessment (LCA) Report.</p> <p>However, the Audit noted that the carbon footprint data for purchased Aluminium materials—including billets, ingots, and Scrap—in the Entity's LCA report is not based on primary data, potentially affecting the reliability of the LCA outcomes.</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The Entity has publicly disclosed information on its Product Life Cycle Assessment on its website. Relevant disclosures include:</p> <p>Aluminium Alloy Industrial Profiles (Blank Profiles - 6 Series) LCA Report: https://www.haomei-alu.com/ckeditor/upload/file/1736406871942952.pdf</p> <p>LCA Report for Painted Aluminium Profiles: https://www.haomei-alu.com/ckeditor/upload/file/1736406882218931.pdf</p> <p>Life Cycle Assessment Verification Statement pursuant to ISO 14044:2006: https://www.haomei-alu.com/ckeditor/upload/file/1736232794281868.pdf</p>
4.2 Product Design	Conformance	The Entity has established a control procedure for new Product development which stipulates that the selection and utilisation of materials are considered during the production development and process planning process to consider factors such as reuse and disposal as well as other factors such as process optimisation, service life, convenient maintenance and Scrap reduction.

CRITERION	RATING	COMMENT
4.3a-b Aluminium Process Scrap	Conformance	The Entity has set a recycling rate goal as 100% Process Scrap generating during the production of Aluminium products. The Entity regularly conducts evaluations to confirm it is meeting this goal.
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Minor Non-Conformance	<p>The Entity has developed its Waste Aluminium Recycling Strategy in which it commits to 100% recycling and reuse of Aluminium Waste as its strategic goal and has provided an associated schedule. The Entity intends to review the Strategy at least every five years.</p> <p>The Entity has publicly disclosed its Waste Aluminium Recycling Strategy at: https://www.haomei-alu.com/ckeditor/upload/file/1733279115120624.pdf</p> <p>However, the Entity's Scrap Aluminium Recycling strategy lacks sufficient content related to the recovery and use of Post-Consumer Scrap. The document also combines Pre-Consumer and Post-Consumer Scrap into a single, general target. The Entity may need to develop a separate strategy specifically for Post-Consumer Scrap Aluminium recycling, to establish clear recovery and utilisation targets, and implement an action plan.</p>
4.4d Collection and Recycling of Products at End of Life	Conformance	There are no local Aluminium collection and recycling systems established in Guangdong. To address this, the Entity actively participates in industry-related activities to influence and increase recycling rates for their Products. The Entity participated as a co-organiser in the 2025 China Aluminium Processing Industry Annual Conference which considered these topics. The Entity ensures that its own production process and the Waste from its Products generated by its clients can be 100% recycled. The specific recycling method is set out in the contractual agreement that is determined by both parties.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Minor Non-Conformance	<p>The Entity has established relevant Greenhouse Gas (GHG) management procedures and accounted for its Material GHG emissions on an annual basis. The Entity has provided relevant disclosures which include the following:</p> <p>Greenhouse Gas Inventory Report (2023): https://www.haomei-alu.com/ckeditor/upload/file/1737094622445674.pdf</p> <p>Greenhouse Gas Verification Report issued by an independent body: https://www.haomei-alu.com/ckeditor/upload/file/1736844554431021.pdf</p> <p>Greenhouse Gas Verification Statement issued by an independent body in accordance with ISO 14064-1:2018: https://www.haomei-alu.com/ckeditor/upload/file/1736232910298682.pdf</p> <p>However, the GHG report lacks primary data for raw materials and provides unclear emission factors.</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity –	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
In production up to and including 2020		
5.3a-e GHG Emissions Reduction Plans	Minor Non-Conformance	<p>The Entity has established its GHG Control and Management Procedure. The Entity has established and disclosed its GHG Emissions Reduction Plans. The targets in this plan cover both direct and indirect GHG Emissions. The GHG Emissions Reduction Plan is disclosed at: https://www.haomei-alu.com/ckeditor/upload/file/1736232934916870.pdf</p> <p>The Entity's procedure requires an annual management review of its GHG inventory. The Entity's GHG emission management is controlled in accordance with the Entity's documented procedures.</p> <p>However, deficiencies were identified in the Entity's Emissions Reduction Plan, where it only includes some indirect emissions such as procurement. The Emissions Reduction Plan should include all indirect emissions on a 'cradle-to-gate' basis. The Plan should also include the process intensity for Scope 1 and 2 emissions.</p>
5.4 GHG Emissions Management	Minor Non-Conformance	<p>The Entity has established its GHG Inventory Procedure, which specifies GHG sources, the qualification process for GHG emissions and reductions, and the GHG emissions reduction target. The measurement and monitoring instruments for assessing GHG emissions have been calibrated. The Entity has also implemented and disclosed its GHG Emissions Reduction Plan and disclosed its performance against the Plan. The conclusions of the third-party energy Audit report demonstrates that the comprehensive energy consumption of the Entity's products is at the advanced level of the domestic industry.</p> <p>However, it was identified during the Audit that there are deficiencies in the management of GHG emissions relating specifically to GHG emissions accounting and the establishment of GHG emission reduction targets.</p>
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity monitors its Emissions to Air in the form of exhaust gas emissions and disclosed the data. The data are traceable, and accessible at: https://permit.mee.gov.cn/perxxgkinfo/xgkAction!xgk.action?xgk=ge txgkContent&dataid=32154b4a90794b989e1af785d234c7f6</p> <p>The Entity has publicly disclosed its Air Pollutant Emission Management Plan at: https://www.haomei-alu.com/ckeditor/upload/file/1733279218490135.pdf</p> <p>The Entity has established regulations on the management and treatment of Waste gas which requires the review of the Air Pollutant Emission Management Plan and after any operational changes leading to an increased pollution risk. The Entity has reviewed its environmental targets including the Waste gas reduction target. The Entity's management of Emissions to Air is considered effective.</p>
6.2a-g Discharges to Water	Conformance	<p>The Entity has publicly disclosed its Water Pollutant Discharge Management Plan, which includes a quantification of its wastewater discharges in 2023, 2024 and 2025 at: https://www.haomei-alu.com/ckeditor/upload/file/1733279193123992.pdf</p> <p>The Entity conducts periodic reviews of the Water Pollutant Discharge Management Plan every five years, or immediately after discharge</p>

CRITERION	RATING	COMMENT
		event that exceeds internally or externally mandated limits, or on any indication of a control gap.
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has assessed its operations to identify major risk areas where Spills and Leakages may contaminate air, water and soil. The Entity has disclosed its latest Leakage Risk Management Plan at: https://www.haomei-alu.com/ckeditor/upload/file/1733279232991157.pdf</p> <p>The Entity reviews the Leakage Risk Management Plan at least every three years, or after any Spill or Leakage event or any changes to the business that after Spills and Leakage risks.</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	No Spills or Leakages have occurred since it joined ASI, however if occurring in future, would be publicly disclosed
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has implemented the Waste Management Regulations that require the separate collection and storage of Waste and established its Waste reduction target that is reviewed annually. The Entity has publicly disclosed its solid Waste outputs for the last reporting period in its Environmental Information Disclosure Report at: https://www.haomei-alu.com/ckeditor/upload/file/1728608327118853.pdf</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity has implemented Waste Management Regulations which specify procedures for the storage and management of Dross. The Entity measures and monitors Dross and Dross residues production and reuses this Dross within its operations where possible. Dross that cannot be reused within the Entity is transferred to a qualified third party as Hazardous Waste. The Entity currently achieves 100% reuse of Dross internally.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has established its Energy and Resource Conservation Management Procedure that includes water. All water used is sourced from a local water utility company. Water usage is tracked and documented. Production wastewater is treated by a wastewater treatment Facility and is discharged directly into any natural water body. The Entity has assessed the level of water-related risks as low. The Entity has disclosed its Water Resources Risk Assessment Report at: https://www.haomei-alu.com/ckeditor/upload/file/1733279275554568.pdf</p> <p>The Entity discloses its Water Balance Report at: https://www.haomei-alu.com/ckeditor/upload/file/1728608386121519.pdf</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity as its risk assessment determined water-related risks to be low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		

CRITERION	RATING	COMMENT
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has established its Biodiversity Management Procedure and conducted a Biodiversity assessment and an Environmental Impact Assessment. The Entity is located in an industrial park established by local government. Based its assessments, the Entity has not identified any protected flora or fauna in the area. The risks and potential impacts of the Entity's activities on Biodiversity and Ecosystem Services are considered low.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity as the impacts on Biodiversity and Ecosystem Service are assessed as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity as the impacts on Biodiversity and Ecosystem Service are assessed as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity as the impacts on Biodiversity and Ecosystem Service are assessed as low.
8.4 Alien Species	Conformance	The Entity has established its Alien Species Management Mechanism. The Entity proactively prevents accidental or deliberate introduction of Alien Species that could have significant adverse impacts on Biodiversity, in particular careful management of inbound deliveries and ensuring that all imported wooden pallets have been fumigated. The Entity communicates the management requirements for Alien Species to relevant parties.
8.5a-b Commitment to 'No Go' in World Heritage Properties	Not Applicable	This Criterion is not applicable to the Entity. The Entity is located in the Taiji industrial park established by local government. No World Heritage properties are present near the Entity.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity. The Entity is located in the Taiji industrial park established by local government. No Protected Areas are present near the Entity.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has made a policy commitment to respect Human Rights in its ASI Management Policy, disclosed at: https://www.hoomei-alu.com/ckeditor/upload/file/1733279089834797.pdf</p> <p>The Entity has developed its internal Human Rights Due Diligence Procedure and employee handbook that seeks to identify, prevent, mitigate and account for how it addresses its actual and potential impacts on Human Rights. The Entity has established its Due Diligence process covering the supply chain. No Human Rights violations by the Entity have been identified to date. The Entity has developed and disclosed its Human Rights Impact Assessment Report at:</p>

CRITERION	RATING	COMMENT
		https://www.haomei-alu.com/ckeditor/upload/file/1730170278637571.pdf The Entity has established and published its Complaints and Grievance channel accessible to all Stakeholders at: https://www.haomei-alu.com/ckeditor/upload/file/1728608221869845.pdf
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has identified legal rights for women and implemented control measures to ensure these are met. The Entity has established the Regulations on Labor Protection and Protection of Rights and Interests of Female Employees. The Regulations address equal pay, equality in recruitment, promotion, professional development, advancement and benefits.</p> <p>The Labour Union has implemented a female Workers' committee to protect the rights and fight for the welfare of female Workers. A 'tip-off' hotline has been set up to receive Complaints and requests for assistance from female employees who may have been treated unfairly.</p> <p>The Entity reports on its performance on the protection of the rights and interests of women in its Environmental, Social and Corporate Governance Report at: https://www.haomei-alu.com/ckeditor/upload/file/1736844506829202.pdf</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples in the Entity's Area of Influence.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples in the Entity's Area of Influence.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity as there are no Indigenous Peoples in the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity as it is located in the Taiji industrial park established by local government where no cultural or sacred heritage features have been identified.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as no cultural or sacred heritage features have been identified within the Entity's Area of Influence.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity, which is located in the Taiji Industrial Park established by the local government. The Entity has no New Projects proposed that would cause Displacement.
9.7a-h Affected Populations and Organisations	Conformance	The Entity's Environment Impact Assessment (EIA) Report has evaluated the project's environmental, health and safety, social, cultural, and Human Rights impacts on nearby residents. During the

CRITERION	RATING	COMMENT
		<p>Report's preparation, the Entity gathered feedback from local residents and publicly shared project construction details with the community.</p> <p>The Entity is located in Taiji Industrial Park, established by the local government, with no residential communities in the immediate vicinity.</p> <p>All Stakeholders including Communities can contact the Entity to raise concerns as required, via the contact information disclosed at: https://www.haomei-alu.com/ckeditor/upload/file/1728608221869845.pdf</p> <p>No complaints from Local Communities have been received to date.</p>
9.8a Conflict-Affected and High-Risk Areas – Strong Management Systems	Minor Non-Conformance	<p>The Entity has established its Supplier Due Diligence Management Procedure, its Conflict-Affected and High-Risk Areas (CAHRAs) Management Procedure and implemented its Responsible Sourcing Policy that is disclosed at: https://www.haomei-alu.com/ckeditor/upload/file/1728608451405795.pdf</p> <p>The Entity conducts Due Diligence on suppliers in accordance with its procedures, which demonstrate the Entity is not purchasing materials from CAHRAs.</p> <p>However, in a review of a sample of supplier Due Diligence forms, the form for one supplier had not been completed as required under the Entity's own Due Diligence process.</p>
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Conformance	<p>The Entity has established its Supplier Due Diligence Management Procedure, its Conflict-Affected and High-Risk Areas (CAHRAs) Management Procedure and communicated its Responsible Sourcing Policy to all suppliers and contractors. Following these procedures, the Entity has identified and evaluated risks in its supply chain.</p>
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	<p>This Criterion is not applicable to the Entity as no supply chain risks were identified in its Due Diligence assessment.</p>
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	<p>This ASI Performance Standard Audit satisfies the requirements of this Criterion. The Entity has established a supplier Due Diligence management procedure, including specific measures for CAHRAs. As part of its sourcing management process, the Purchasing Policy, which addresses environmental, social, and governance (ESG) aspects in accordance with the ASI Performance Standard, must be communicated to all suppliers and contractors.</p>
9.8e Conflict-Affected and High-Risk Areas – Report annually	Conformance	<p>The Entity reports annually on its supply chain Due Diligence. The Entity's 2024 Supply Chain Due Diligence Management Report is available at: https://www.haomei-alu.com/ckeditor/upload/file/1736479402869005.pdf</p>
9.9 Security practice	Conformance	<p>The Entity has implemented security practices that respect Human Rights including its Guard Security Management Procedure. All security guards had been trained on Human Rights requirements, which was confirmed via Worker interviews. The Entity establishes and publishes a Complaints and Grievance channel for stakeholders. Contact information is available at: https://www.haomei-alu.com/ckeditor/upload/file/1728608221869845.pdf</p>

CRITERION	RATING	COMMENT
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Chinese Applicable Law.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	<p>The Entity has established internal management documents and procedures to protect Workers' rights to freely join Labour Unions and engage in Collective Bargaining through alternative means. These documents are communicated to Workers and publicly displayed at the Entity.</p> <p>Workers have formed the Labour Union, a branch of the local government Labour Union, to better represent their rights and interests and to facilitate communication and negotiation with the Entity.</p>
10.2a Child Labour	Conformance	The Entity has established a Personnel Management System alignment with International Labour Organization (ILO) Conventions C138 and C182 relating to Child Labour. Under this system, the Entity does not employ Child Labour, which is defined as any person under 16, and that any underage Workers will not be engaged in hazardous work. The Audit identified that the youngest employee at the time was 18 years old.
10.3a-c Forced Labour	Conformance	<p>The Entity has established an Employee Management Procedure that includes a prohibition on Forced Labour. The Entity has developed and disclosed its anti-Slavery and anti-Human Trafficking Statement, available at: https://www.haomei-alu.com/ckeditor/upload/file/1728608459605841.pdf</p> <p>Interviews across a wide sample of Workers conducted in this Audit did not identify any indications of Forced Labour. The Entity does not conduct practices such as the retention of personal effects, nor require payments or security deposits from its Workers.</p>
10.4a-c Non-Discrimination	Conformance	The Entity has established an Anti-Discrimination Management Procedure, which stipulates that the Entity shall not discriminate in hiring based on factors such as ethnicity, nationality, party affiliation, sex, race, political orientation, sexual orientation, marital status, family responsibilities, age, or any other such circumstance. The Entity provides training on social responsibility to all its employees to address Discrimination risks.
10.5 Communication and engagement	Conformance	The Entity has implemented a Communication and Engagement Procedure which clearly prescribes that it provides open lines of communication for employees or employee representatives. The Entity has made a commitment that 'whistleblowers' will not be threatened with retaliation, intimidation, or Harassment. This Audit reviewed and confirmed that both the employee suggestion box and 'whistleblower' phone number are active.
10.6a-g Violence and Harassment	Conformance	<p>The Entity has made a Policy commitment towards the elimination of Violence and Harassment. The Entity has established its Anti-Harassment Control Procedure and committed to reviewing the Procedure at least annually. The Entity publicly discloses the Policy at: https://www.haomei-alu.com/ckeditor/upload/file/1728608467335404.pdf</p> <p>Interviews with male and female Workers, representatives of the</p>

CRITERION	RATING	COMMENT
		female Workers' committee of the Labour Union and suppliers did not identify any incidents of Violence or Harassment at the Entity.
10.7a-c Remuneration	Conformance	The Entity has established its Working Hours, Payroll and Benefits Administration Procedure to ensure timely, fully documented wage payments in legal tender. Overtime compensation is calculated in Compliance with regulatory requirements. Employee interviews indicate satisfaction with their salaries.
10.8a-c Working Time	Minor Non-Conformance	<p>The Entity has formulated its Rules for the Control of Working Hours and Management of Voluntary Overtime Work, which specify working hours, holidays and paid annual leave in accordance with the relevant provisions of the National Labour Law. The Entity achieves the requirement of an eight-hour workday on average over a six-month period.</p> <p>However, a review of a sample of employee working hours identified an isolated instances of an employee working longer hours. One Worker had worked 42 hours of Overtime in September 2024, and although the Overtime was voluntary, this exceeded the maximum 36 hours of Overtime allowable under National Labor Law.</p>
10.9a-b Informing Workers of Rights	Conformance	The Entity has communicated with employees regarding their rights though employment contracts and the Staff Handbook. In addition, social responsibility training addresses aspects such as Workers' rights to lawful free association.
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	<p>The Entity has established an Occupational Health and Safety (OH&S) Management System and has compiled a management manual and procedural documents. The Entity conducts an internal Audit and management review of the OH&S Management System on an annual basis.</p> <p>The Entity's OH&S Management System has been certified against ISO 45001:2018 through an independent third-party audit. The Entity's ISO45001:2018 Certificate is available at: http://cx.cnca.cn/CertECloud/result/skipResultList</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Conformance	<p>The Entity has established an OH&S Management System and has compiled a management manual and procedural documents. The Entity conducts an internal Audit and management review of the OH&S Management System on an annual basis.</p> <p>The Entity's OH&S Management System has been independently certified to ISO 45001:2018. The Entity's ISO45001:2018 Certificate is available at: http://cx.cnca.cn/CertECloud/result/skipResultList</p> <p>The Entity has publicly disclosed the performance of the OH&S Management System its Occupational Health and Safety Management System Report at: https://www.haomei-alu.com/ckeditor/upload/file/1740042308988560.pdf</p>
11.2 Employee engagement on Health and Safety	Conformance	The Entity has established an Occupational Health and Safety (OH&S) Committee and a Labor Union. The OH&S Committee is responsible for developing and updating safety Management Systems, conducting regular safety inspections, maintaining records, and organising annual employee satisfaction surveys. It also collates employee

CRITERION	RATING	COMMENT
		<p>feedback on OH&S issues and provides updates on implemented suggestions.</p> <p>Both the Labour Union and OH&S Committee investigate workplace safety incidents, develop improvement measures, and implement them annually. The Entity has also created a system to identify and address potential hazards. Employees conduct weekly self-inspections and report potential risks at the shift level, while the OH&S Committee oversees corrective actions and verifies their effectiveness.</p>

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable Law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	17 July 2025	Certification Audit – Full Certification