

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Tangshan Jinhengtong Bicycle Parts Co., Ltd.

CERTIFICATE NUMBER

456

ASI STANDARD

CHAIN OF CUSTODY
STANDARD
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

SHANGHAI KYLIN
CERTIFICATION
SERVICE CO., LTD.

DATE OF ISSUE

27 JUNE 2025

DATE OF EXPIRY

26 JUNE 2028

CERTIFIED SINCE

27 JUNE 2025

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'Jha', followed by a horizontal line extending to the right.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

Production of Aluminium profiles,
bars and components for bicycles
and electric bicycles at Tangshan
Jinhengtong Bicycle Parts Co., Ltd,
located in Tangshan, China.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Tangshan Jinhengtong Bicycle Parts Co., Ltd.
ENTITY NAME	Tangshan Jinhengtong Bicycle Parts Co., Ltd.
CERTIFICATION SCOPE	Production of Aluminium profiles, bars and components for bicycles and electric bicycles at Tangshan Jinhengtong Bicycle Parts Co., Ltd, located in Tangshan, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	Shanghai Kylin Certification Service Co., Ltd.
AUDIT DATE	<ul style="list-style-type: none">9 – 11 December 2024
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">27 February 2025
AUDIT SCOPE	<p>The Audit Scope included the main processes of melting and casting, Aluminium extrusion, stamping, forming, machining, heat treatment, welding, surface treatment and finished product assembly for the production of Aluminum profiles, bars and components for bicycles and electric bicycles at Tangshan Jinhengtong Bicycle Parts Co., Ltd.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse <p>All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.

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- ☑ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
 - ☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
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CERTIFICATION PERIOD 27 June 2025 – 26 June 2028

NEXT AUDIT TYPE Surveillance Audit

NEXT AUDIT DUE DATE 26 June 2027

CERTIFICATE NUMBER 456



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Tangshan Jinhengtong Bicycle Parts Co., Ltd. (the 'Entity'), established in 2002, is situated in the fifth community of the Agricultural Corporation within the Lutai Economic Development Zone of Tangshan, China. The Entity specialises in the production and sales of Aluminium profiles and components used in bicycles and electric bicycles, including wheels, ladders, luggage racks, frames, and baskets. With a focus on innovation and integrated manufacturing, the Entity combines research and development, testing, production, warehousing, and logistics to deliver high-quality products to both domestic and international markets including Europe, America and Southeast Asia.

The Entity produces approximately 15 million wheels, 600,000 brackets (Aluminium/iron), 500,000 luggage racks (Aluminium /iron), 200,000 baskets, and 400,000 frames annually. To support this scale of production, the facility is equipped with two Aluminium melting furnaces, 14 Aluminium extrusion machines, four heat treatment furnaces, and 16 dedicated production lines for various components. The manufacturing process includes melting and casting, Aluminium extrusion, stamping, forming, machining, heat treatment, welding, surface treatment, and final product assembly.

The Entity has approximately 420 employees including over 160 female employees. The nearest residential area is located two kilometres away.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Low
RISKS	Low
PERFORMANCE	Medium
OVERALL	LOW

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity became an ASI Member in 2024 and committed to upholding the ASI membership obligations and ASI Complaints Mechanisms. Member details are available at: https://aluminium-stewardship.org/about-asi/members/Tangshan-Jinhengtong-Bicycle-Parts-Co---Ltd-
1.2 CoC Management System	Conformance	The Entity has established an ASI CoC Management Manual, management procedures for CoC Material accounting and CoC Documents, and CoC Document templates. The Entity has established an ISO 9001 certified Management System.
1.3 CoC Management System Monitoring	Minor Non-Conformance	The Entity has conducted internal audits that address environmental, safety and social responsibility. The Entity has conducted management reviews during 2024 that addressed some requirements of the ASI Chain of Custody Standard. However, the Entity's internal audit checklist does not include ASI CoC-related content.
1.4 Management Representative	Conformance	The Entity has appointed the Vice President as the Management Representative of the Entity's CoC management, responsible for establishing, implementing, and improving the Management System.
1.5 Communications and Training	Minor Non-Conformance	The Entity has conducted training for the ASI-CoC procurement, finance, and management departments. However, the training records do not include the Sales Department, and employee interviews confirmed that some personnel do not have a clear understanding of the definition of CoC Material.
1.6 Records Management	Conformance	The Entity's ASI CoC Manual requires relevant records to be retained for at least five years.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Not Applicable	This Criterion is not applicable to the Entity, as this is the initial Certification Audit and the Entity is not yet required to report information to the ASI Secretariat.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Not Applicable	This Criterion is not applicable to the Entity, as this is the initial Certification Audit and the Entity is not yet required to report information to the ASI Secretariat.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Not Applicable	This Criterion is not applicable to the Entity, as this is the initial Certification Audit and the Entity is not yet required to report information to the ASI Secretariat.
1.7d Reporting to ASI (Positive Balance carried over)	Not Applicable	This Criterion is not applicable to the Entity, as this is the initial Certification Audit and the Entity is not yet required to report information to the ASI Secretariat.

CRITERION	RATING	COMMENT
1.7e Reporting to ASI (Positive Balance used)	Not Applicable	This Criterion is not applicable to the Entity, as this is the initial Certification Audit and the Entity is not yet required to report information to the ASI Secretariat.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Not Applicable	This Criterion is not applicable to the Entity, as this is the initial Certification Audit and the Entity is not yet required to report information to the ASI Secretariat.
1.7g Reporting to ASI (Intra-Entity Flows)	Not Applicable	This Criterion is not applicable to the Entity, as this is the initial Certification Audit and the Entity is not yet required to report information to the ASI Secretariat.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity, as it does not use Outsourcing Contractors.

3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM

3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP

4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity's ASI CoC Manual addresses the requirement for Recycled Aluminium to be produced from within the Entity's CoC Certification Scope. The Entity does not use Liquid Aluminium as input and has no plans to use it as raw material in the future.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity's ASI CoC Manual addresses the requirement for Recycled Aluminium to be produced from Facilities certified against the ASI Performance Standard.
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity's ASI CoC Manual stipulates that the Procurement Department is responsible for confirming Eligible Scrap before consumption, including Scrap returned by the Entity through Due Diligence, or Scrap confirmed by CoC Certified Entities as CoC Material or waste materials, or Eligible Scrap that can be determined by Traders as originating from CoC Certified Entities and CoC Documents are provided.
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity's ASI CoC Manual stipulates that Post Consumer Scrap from non-CoC Certified Entities can only be recognised as Eligible Scrap following a Due Diligence process.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity, as it has not plan to recover Aluminium from external Aluminium slag.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity's ISO 9001 Management System includes an established Supplier Management Procedure and the Entity has a qualified supplier list. The Entity has conducted Due Diligence on Recycled Aluminium trading suppliers.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Minor Non-Conformance	The transaction records between the Entity and suppliers are registered by the Procurement Department. The Entity's main suppliers include an Aluminium profile company and a recycled resources

CRITERION	RATING	COMMENT
		<p>company. The majority of procurement in 2024 was through bank transfers, with a small portion as cash transactions.</p> <p>However, the Entity made a cash payment during 2024 that was over US\$10,000.</p>
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity has established an ASI CoC Management System and CoC Document system, providing guidance for the implementation of process control. ASI Aluminium is produced only from the Casthouse within its CoC Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity has established an ASI CoC Management System that addresses the requirement for the Casthouse to be certified against the ASI Performance Standard. The Entity has undergone a Certification Audit for the ASI Performance Standard and the casting workshop is included within the Certification Scope.
5.1c ASI Aluminium (Aluminium sourcing)	Minor Non-Conformance	<p>The Entity has established a procedure for procurement management related to ASI Aluminium and collected the qualification materials of ASI Aluminium certified suppliers. The Entity has not yet purchased any CoC Materials.</p> <p>However, it was identified that the Entity has not yet established a clear and controllable implementation process for the sourcing of ASI Aluminium from another CoC Certified Entity or Trader.</p>
5.2 Unique Identification	Conformance	The Entity has established a control procedure for product identification and traceability. By combining the Entity's ERP system with unique codes, the entire production process of Products can be identified. Currently, the Entity does not have any CoC Material products, and therefore the identification control is not yet implemented.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity ensures that production of ASI Aluminium is only from the Facilities within its CoC Certification Scope through identification control and systematic management of the process. The Production Department has been designated as the main responsible department.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity has established an ASI CoC Management System that ensures production of ASI Aluminium only from Facilities that can certify against the ASI Performance Standard. The Entity joined ASI in April 2024 and is currently undergoing the certification process.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has established a procurement management procedure for ASI Aluminium. Through the supplier evaluation process, it collects the qualification documents of ASI CoC Certified Entities. Based on the evaluation results, these Entities are included on the list of qualified suppliers. Since there has been no procurement or transfer of CoC Materials, there is no effective implementation of this system.

CRITERION	RATING	COMMENT
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	<p>The Entity has formulated an ESG and Responsible Procurement Policy, which is signed by the General Manager. The Entity has communicated both this Policy and the Supplier Code of Conduct to its major raw material suppliers. The Entity has received signed commitment letters from each of its suppliers. The Responsible Procurement Policy is available at: https://www.jhtcl.com/nd.jsp?id=53</p> <p>The Entity has disclosed its Anti-Corruption Policy at: https://www.jhtcl.com/nd.jsp?id=51</p>
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	<p>The Entity has undertaken Due Diligence on its major suppliers and requires commitment to the Responsible Procurement Policy. The Entity's Policy establishes the requirement for responsible procurement practices and prohibition of illegal and unethical behaviour. The Entity has publicly disclosed its Responsible Procurement Policy at: https://www.jhtcl.com/nd.jsp?id=53</p>
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	<p>The Entity has undertaken Due Diligence on its major suppliers. The content includes the requirement to establish and implement Human Rights Due Diligence. The Entity has clarified the scope and procedures of Human Rights Due Diligence, and has publicly disclosed its own Human Rights Impact Assessment at: https://www.jhtcl.com/nd.jsp?id=74</p>
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	<p>The Entity has undertaken Due Diligence on its major suppliers and the investigation content includes the committed Policies regarding Conflict-Affected and High-Risk Areas.</p>
7.2 Risk Assessment and Mitigation	Conformance	<p>The Entity has established a risk assessment system for Due Diligence of suppliers, and has carried out an assessment of the default risks of its major Scrap material suppliers. Additionally, it has formulated measures to deal with the default risks of suppliers. According to the supplier risk assessment form, the Entity has evaluated that the level of its suppliers' default risks is low.</p>
7.3 Complaints Resolution Mechanism	Conformance	<p>The Entity has established a clear Complaints Resolution Mechanism that allows interested parties to voice concerns about non-compliance with its Responsible Sourcing Policy.</p>
8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	<p>The Entity has implemented an ERP system to handle inventory registration, which distinguishes CoC Material and Eligible Scrap by labelling them for each purchase and shipment. As this is the initial Certification Audit, no CoC Material was available in the system.</p>
8.2 Material Accounting Period	Conformance	<p>The Entity's Material Accounting Period is one calendar year.</p>

CRITERION	RATING	COMMENT
8.3 Input and Inflow Quantities	Conformance	The Entity has implemented an ERP system to handle inventory registration for each purchase and shipment. As this is the initial Certification Audit, no CoC Material was available in the system.
8.4 Output Quantities of CoC Material	Conformance	The Entity's ASI CoC Manual requires that at the end of the Material Accounting Period the total Input Quantities of CoC Material and Non-CoC Material shall be calculated.
8.5 Indivisibility of CoC Material	Conformance	The Entity's ASI CoC Manual requires CoC Material be designated as 100% CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	The Entity has a melting process and Scrap generated is remelted internally, no Aluminium Scrap is not sold.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity's ASI CoC Manual stipulates that the proportion of CoC Material sold during the Material Accounting Period cannot exceed the Input Percentage of CoC Material and Eligible Scrap purchased.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity's ASI CoC Manual requires that in the event of a Force Majeure situation leading to Internal Overdraws, the Overdraw shall not exceed 20% and must be replenished in the subsequent Accounting Period.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The Entity's ASI CoC Manual requires that in the event of a Force Majeure situation leading to Internal Overdraws, the Overdraw shall not exceed the amount affected by the Force Majeure.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity's ASI CoC Manual requires that in the event of a Force Majeure situation leading to Internal Overdraws, the Overdraw shall not exceed 20% and must be replenished in the subsequent Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity's ASI CoC Manual stipulates that a Positive Balance can be transferred to the next accounting cycle, and the amount of the Positive Balance will be calculated during the Accounting Period.
8.9b Positive Balance (Expiry)	Conformance	The Entity's ASI CoC Manual stipulates that a Positive Balance can be transferred to the next accounting cycle, used within the next cycle, and cleared to zero at the end of the next cycle.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity's ASI CoC Manual stipulates that the Production Department prepares CoC Documents, which need to be transferred to CoC Certified Entities or Traders along with each batch of CoC Material.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the issuance date of the CoC Document.
9.2b CoC Document Content (Reference number)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes a record number for the CoC Document.

CRITERION	RATING	COMMENT
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the company name, address, and CoC Certification number.
9.2d CoC Document Content (Receiving customer)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the name and address of the customer receiving the CoC Material, as well as the customer's CoC Certification number if applicable.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes contact information for the employee that can verify the CoC Document information.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes a statement "The information provided in the CoC Document is in Conformance with the ASI CoC Standard".
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the type of CoC Material.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the volume of CoC Material shipped.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the total shipment volume.
9.3a Sustainability Data (optional) - Carbon footprint	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the carbon footprint, from cradle-to-gate, and the accounting methods used.
9.3b Sustainability Data (optional) - Origin information	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes information on the source of Aluminium.
9.3c Sustainability Data (optional) - Recycled content	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the Recycled Aluminium content.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity has developed a CoC Document based on the ASI CoC Document template, which includes the Entity's ASI Performance Standard Certification Status.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity's ASI CoC Manual stipulates if Supplementary Information is included in the CoC Document, it should be supported by objective evidence.
9.5 Verification of Information	Conformance	The Entity's ASI CoC Manual stipulates the Management Department as responsible for external confirmation of CoC Document

CRITERION	RATING	COMMENT
		information. The Management Department can verify information based on the ERP system.
9.6 Error (Shipping)	Conformance	The Entity's ASI CoC Manual stipulates that when shipping errors are discovered, the Logistics and Warehousing Departments and the receiving party should record the errors and take action to avoid recurrence in accordance with the ISO 9001 Management System requirements.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has not yet purchased any CoC Material. However, it has established the documentation requirements, clearly defined the verification process for received CoC Documents, and appointed responsible persons.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has not yet purchased any CoC Material. However, it has established the documentation requirements and clearly specified the requirements for confirming consistency between CoC Documents and CoC Material.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has not yet purchased any CoC Material. However, it has established the documentation requirements and the process to verify the validity and scope of the supplier's CoC Certification.
10.4 Error (Reception)	Conformance	The Entity has not yet purchased any CoC Material. However, it has established the documentation requirements, and clearly defined the measures to be taken after errors are discovered, as well as the requirements for recording such errors.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The Entity has established the requirement that declarations and/or statements regarding CoC Material are made in the manner and form required by the ASI Claims Guide. The Entity has not yet made any claim or representation about CoC Material.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The Entity has clearly defined the requirements for making declarations and/or statements regarding CoC Material, and established an internal approval system. It requires compliance with the requirements of the ASI Claims Guide, and the ASI approval application should be submitted in a table. The Entity has not yet made any claim or representation about CoC Material.
11.1c Claims and Communications (Employee training)	Conformance	The Entity has established a training plan and commenced the training for relevant employees. Further training is planned to ensure the relevant employees properly communicate claims and representations. The Entity has not yet made any claim or representation about CoC Material.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a

Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	27 June 2025	Initial Certification Audit – Full Certification
