

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Thai Beverage Can Ltd.

CERTIFICATE NUMBER

141

ASI STANDARD

CHAIN OF CUSTODY
STANDARD
(V2 2022)

CERTIFICATION LEVEL

FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM

CETIZION VERIFICA

DATE OF ISSUE

1 DECEMBER 2024

DATE OF EXPIRY

30 NOVEMBER 2027

CERTIFIED SINCE

9 JULY 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a long horizontal line.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at*

www.aluminium-stewardship.org

CERTIFICATION SCOPE

The manufacture of Aluminium can body, Aluminium bottle, Aluminium can end and Aluminium cap (Roll On Pilfer Proof (ROPP)) for the Alcoholic and Non-Alcoholic beverage industry at Thai Beverage Can Plant 1 and Plant 2, in Saraburi, Thailand.

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Thai Beverage Can Ltd.
ENTITY NAME	Thai Beverage Can Ltd.
CERTIFICATION SCOPE	The manufacture of Aluminium can body, Aluminium bottle, Aluminium can end and Aluminium cap (Roll On Pilfer Proof (ROPP)) for the Alcoholic and Non-Alcoholic beverage industry at Thai Beverage Can Plant 1 and Plant 2, in Saraburi, Thailand.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Post-Casthouse
ASI STANDARD	Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (2 – 7 April 2021)Surveillance Audit (5 – 6 September 2022)Re-Certification Audit and Scope Change (8 November 2024)
AUDIT FIRM	CETIZION Verifica
AUDIT DATE	<ul style="list-style-type: none">2 – 7 April 2021 (Initial Certification Audit)5 – 6 September 2022 (Surveillance Audit)8 November 2024 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">11 June 2021 (Initial Certification Audit)4 November 2022 (Surveillance Audit)27 May 2025 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (2 – 7 April 2021)</u></p> <p>The Audit Scope covers Thai Beverage Can (Thailand) for the manufacture of aluminium can body and end for the beverage and beer industry.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Post-Casthouse <p>All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p> <p>At the time of the audit (April 2021), access to the site was not possible, due to COVID-19 related travel restrictions. The Audit has been undertaken as a 'desktop' exercise, in accordance with ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4), and included a remote review of relevant documentation.</p> <p><u>Surveillance Audit (5 – 6 September 2022)</u></p> <p>The Audit Scope covers Thai Beverage Can (Thailand) for the manufacture of aluminium can body and end for the beverage and beer industry.</p>

Supply chain activities included in the Audit Scope:

- Post-Casthouse

All applicable criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

Re-Certification Audit and Scope Change (8 November 2024)

The Audit Scope covers the manufacture of Aluminium can body, Aluminium bottle, Aluminium can end and Aluminium cap (Roll On Pilfer Proof (ROPP)) for the Alcoholic and Non-Alcoholic beverage industry at Thai Beverage Can Plant 1 and Plant 2, in Saraburi, Thailand.

The supply chain activities included in the Audit Scope:

- Post-Casthouse

All relevant Criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

AUDIT OUTCOME

Certification

AUDIT METHODOLOGY
DECLARATION

The Auditors confirm that:

- ✓ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
- ✓ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ✓ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ✓ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD

1 December 2024 – 30 November 2027

NEXT AUDIT TYPE

Surveillance Audit

NEXT AUDIT DUE DATE

30 May 2026

CERTIFICATE NUMBER

141



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Thai Beverage Can Ltd. (‘the Entity’) is a manufacturer of Aluminium cans and bottles for beverages in Thailand. The Entity is a joint venture between Berli Jucker Public Co., Ltd., Standard Can Co., Ltd., and Ball Corporation from the United States, utilising production technology from Ball Corporation. The Entity commenced operations in March 1997 on an area of approximately 9 hectares in the WHA Industrial Estate in Nong Khae District, Saraburi Province, Thailand. In 2023, it expanded its production capacity with a second facility on an area of approximately 8.7 hectares and two kilometres apart, both within the same industrial estate.

The original plant operates four can lines with an annual production capacity of 2.4 billion units and four can end lines with an annual production capacity of 2.6 billion units. The second plant commenced operations in 2023 and has a hybrid can line with an annual production capacity of 222 million units and a bottle line with production capacity of 2 million units in sizes of 310 ml and 510 ml. The Entity’s production is for both domestic use and export. The two plants collectively employ approximately 600 Workers.

The Entity evaluates the satisfaction of stakeholders with its corporate governance and business ethics, product quality & safety, responsible sourcing and supply chain, climate strategy, energy management, water stewardship, and occupational health and safety through sustainability satisfaction assessments.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of Systems, Residual Risk and Performance.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	Medium
RISKS	Medium
PERFORMANCE	Medium
OVERALL	MEDIUM

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity has been an ASI member in the Production and Transformation class since October 2020.
1.2 CoC Management System	Minor Non-Conformance	<p>The Entity has implemented an Integrated Management System that describes its applicability and compatibility with other Management System standards that addresses CoC Material management. The Entity has implemented specific Standard Operating Procedures (SOPs) to address Chain of Custody (CoC) requirements.</p> <p>However, the Entity's CoC Management System has not been reviewed and updated since the previous CoC Audit in September 2022.</p>
1.3 CoC Management System Monitoring	Minor Non-Conformance	<p>The Entity conducts periodic sustainability performance management reviews, which are undertaken by the President and other relevant personnel.</p> <p>However, the Entity has not conducted internal monitoring of its ASI CoC Management Systems at least annually in accordance with the applicable SOP.</p>
1.4 Management Representative	Conformance	The Entity has changed its Management Representative since the previous ASI CoC Audit. The current Management Representative is assisted by personnel from the Environment, Health and Safety (EHS) and People Development (HR) departments.
1.5 Communications and Training	Minor Non-Conformance	<p>The Entity has implemented ASI CoC related documents including SOPs and has communicated these documents to responsible persons and process owners.</p> <p>However, there is no structured refresher training on the ASI CoC Standard v2 provided to the responsible employees.</p>
1.6 Records Management	Conformance	The Entity has defined a record retention time of five years. The CoC records are maintained accordingly and were reviewed during this Audit.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity has defined the reporting requirements to ASI in a specific SOP. The ASI reporting for CoC Material was completed for the relevant reporting periods.
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity has defined the reporting requirements to ASI in a SOP. ASI reporting for CoC Material has been completed for the relevant reporting periods.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has defined the reporting requirements to ASI in a SOP. ASI reporting for CoC Material has been completed for the relevant reporting periods.
1.7d Reporting to ASI (Positive Balance carried	Conformance	The Entity has defined the reporting requirements to ASI in a SOP. ASI reporting for CoC Material has been completed for the relevant

CRITERION	RATING	COMMENT
over)		reporting periods.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity has defined the reporting requirements to ASI in a SOP. ASI reporting for CoC Material has been completed for the relevant reporting periods.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity has defined the reporting requirements to ASI in a SOP. ASI reporting for CoC Material has been completed for the relevant reporting periods.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity has defined the reporting requirements to ASI in a SOP. ASI reporting for CoC Material has been completed for the relevant reporting periods.
2. OUTSOURCING CONTRACTORS		
2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors.
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope as the Entity does not use Outsourcing Contractors.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.1b Recycled Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2a Eligible Scrap (Pre-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2b Eligible Scrap (Post-Consumer)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.2c Eligible Scrap (Dross)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.1b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
5.1c ASI Aluminium (Aluminium sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2 Unique Identification	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity produces ASI Aluminium from its Facilities within its CoC Certification Scope and in which it holds legal interests.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity produces ASI Aluminium from Facilities certified under the ASI Performance Standard v2 and for which a Re-Certification Audit has been undertaken against the ASI Performance standard v3.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	<p>The Entity has documented procedures to address the sourcing of ASI Aluminium. There is an exclusivity contract signed between the Entity and its suppliers.</p> <p>The Entity is primarily sourcing ASI Aluminium directly from ASI CoC certified suppliers.</p>
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has developed its Supplier Guiding Principles (SGP), applicable to all metal suppliers including suppliers of non-CoC Material and Recyclable Scrap material. These principles address aspects such as Legal Compliance, anti-Corruption, Health and Safety and Human Rights. The SGPs are communicated to all suppliers that actively acknowledge them. Major suppliers are periodically audited and monitored.
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has developed its Supplier Guiding Principles (SGP), applicable to all metal suppliers including suppliers of non-CoC Material and Recyclable Scrap material. These principles address aspects such as Legal Compliance, anti-Corruption, Health and Safety and Human Rights. The SGPs are communicated to all suppliers that actively acknowledge them. Major suppliers are periodically audited and monitored.
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has developed Supplier Guiding Principles (SGP) and a Responsible Sourcing Policy, applicable to all metal suppliers that address Human Rights aspects.
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	<p>The Entity has implemented its Supplier Guiding Principles (SGP) and a Responsible Sourcing Policy, applicable to all metal suppliers including suppliers of non-CoC Material and Recyclable Scrap material. These principles and policies address Conflict-Affected and High-Risk Areas (CAHRAs).</p> <p>The Entity has a system to monitor supplier conformance status the SGPs. The Entity conducts periodic monitoring and audits of key suppliers using a structured checklist that includes CAHRAs risks.</p>
7.2 Risk Assessment and	Conformance	The Entity has developed and periodically reviewed its risk assessment

CRITERION	RATING	COMMENT
Mitigation	Conformance	in line with Environmental, Governance and Social (ESG) risks in its supply chain according to its Supplier Guiding Principles and Responsible Sourcing Policy.
7.3 Complaints Resolution Mechanism		<p>The Entity has a documented procedure for complaint resolution which applies to all stakeholders including suppliers. The overall responsibility for complaints management sits with the Standard Systems department who in turn work with other sections within the organisation. No external complaints have been received since the previous Audit.</p> <p>Any Stakeholder may contact the Entity through the contact form on the Entity's website: https://thaibeveragecan.com/contact-us or through the Hotline: +66(0)36373600.</p>
8. MATERIAL ACCOUNTING SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	<p>The Entity has implemented a SOP for its Material Accounting System and uses Enterprise Resource Planning (ERP)/SAP for inventory management.</p> <p>The Entity has developed the SAP Production System with a specific material code for CoC Material. In addition, the Entity has established an Excel-based tool to record Input, Output, spoilage, sales quantities and other relevant data.</p>
8.2 Material Accounting Period	Conformance	<p>The Entity has defined its Material Accounting Period as twelve months.</p>
8.3 Input and Inflow Quantities	Conformance	<p>The Entity has implemented a SOP for its Material Accounting System and uses ERP/SAP for inventory management.</p> <p>The Entity has developed the SAP Production System with a specific material code for CoC Material. In addition, the Entity has established an Excel-based tool to record Input, Output, spoilage, sales quantities and other relevant data.</p>
8.4 Output Quantities of CoC Material	Conformance	<p>The Entity has implemented a SOP for its Material Accounting System and uses ERP/SAP for inventory management.</p> <p>The Entity has developed the SAP Production System with a specific material code for CoC Material. In addition, the Entity has established an Excel-based tool to record Input, Output, spoilage, sales quantities and other relevant data.</p>
8.5 Indivisibility of CoC Material	Conformance	<p>The Entity has developed a SOP that includes requirements to designate Output Quantity as 100% CoC Material, where the total Output quantity of CoC Material produces is determined based on the Input percentage.</p>
8.6 Output Quantity of Eligible Scrap	Conformance	<p>The Entity has implemented and defined the process to designate Pre-Consumer Scrap from manufacturing processes as Eligible Scrap. The Entity resells Eligible Scrap to its raw material suppliers who are ASI Certified Entities.</p>
8.7 Consistency Between Input Percentage and Total	Conformance	<p>The Entity has developed a SOP that requires that the total Output of CoC Material and/or Eligible Scrap does not proportionally exceed the Input Percentage as applied to the total input of CoC Material and/or</p>

CRITERION	RATING	COMMENT
Output		Eligible Scrap over the Material Accounting Period.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	<p>The Entity has developed a SOP that establishes that the Internal Overdraw limit is up to 20% of the total Input quantity of CoC Material for a given Material Accounting Period.</p> <p>There have been no Internal Overdraw or Force Majeure events in recent years.</p>
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	<p>The Entity has developed a SOP that establishes that the Internal Overdraw limit is up to 20% of the total Input quantity of CoC Material for a given Material Accounting Period and the Overdraw shall not exceed the quantity affected by the Force Majeure situation.</p> <p>There have been no Internal Overdraw or Force Majeure events in recent years.</p>
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	<p>The Entity has developed a SOP that establishes that the Internal Overdraw will be made up within the subsequent Material Accounting Period.</p> <p>There have been no Internal Overdraw or Force Majeure events in recent years.</p>
8.9a Positive Balance (Carry over)	Conformance	<p>The Entity has developed a SOP that defines the requirements related to a Positive Balance carry over to the subsequent Material Accounting Period.</p> <p>There have been no Positive Balances carried over since the last Audit.</p>
8.9b Positive Balance (Expiry)	Conformance	<p>The Entity has developed a SOP that defines the requirements related to a Positive Balance carry over to the subsequent Material Accounting Period. The SOP establishes that any Positive Balance carry over will expire automatically at the end of the Material Accounting Period if not drawn.</p> <p>There have been no Positive Balances carried over since the last Audit.</p>
9. ISSUING CoC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity has implemented a CoC procedure that outlines the requirements related to issuing ASI CoC Material documentation such as defined responsibilities, format, and requirements for shipments.
9.2a CoC Document Content (Date of issue)	Conformance	The Entity has developed the ASI CoC Document to include information including the date of issue.
9.2b CoC Document Content (Reference number)	Conformance	The Entity has developed the ASI CoC Document to include information including reference numbers.
9.2c CoC Document Content (Issuing Entity)	Conformance	The Entity has developed the ASI CoC Document to include information including the company name, address, and CoC Certification number.
9.2d CoC Document Content (Receiving	Conformance	The Entity has developed the ASI CoC Document to include information about the receiving customer (Entity) including name,

CRITERION	RATING	COMMENT
customer)		address, and CoC Certification number.
9.2e CoC Document Content (Responsible employee)	Conformance	The Entity has developed the ASI CoC document and a SOP which includes information on the responsible employee.
9.2f CoC Document Content (Conformance statement)	Conformance	The Entity has developed the ASI CoC Document to include the statement that "The information provided in the CoC Document is in conformance with the ASI CoC Standard."
9.2g CoC Document Content (Type of CoC Material)	Conformance	The Entity has developed the ASI CoC Document to include information on the type of CoC Material with the accompanying shipment.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	The Entity has developed the ASI CoC Document to include information on the mass of CoC Material with the accompanying shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	The Entity has developed the ASI CoC Document to include information about the mass of total material with the accompanying shipment.
9.3a Sustainability Data (optional) - Carbon footprint	Not Applicable	This Criterion is currently not applicable to the Entity, as it is not issuing this information to its customers, as there has been no downstream demand for this information.
9.3b Sustainability Data (optional) - Origin information	Not Applicable	This Criterion is currently not applicable to the Entity, as it is not issuing this information to its customers, as there has been no downstream demand for this information.
9.3c Sustainability Data (optional) - Recycled content	Not Applicable	This Criterion is currently not applicable to the Entity, as it is not issuing this information to its customers, as there has been no downstream demand for this information.
9.3d Sustainability Data (optional) - Post-Casthouse ASI Certification status	Conformance	The Entity has developed the ASI CoC Document to include information on the Entity's ASI Certification status for the ASI Performance Standard.
9.4 Supplementary Information (optional) - Objective evidence	Conformance	The Entity has developed the ASI CoC Document to contain Supplementary Information including the Entity's certification to ISO 14001 and ISO 45001 and an online reference to the Entity's Sustainable Development Report.
9.5 Verification of Information	Conformance	The Entity has developed the ASI CoC Document to contain information on the mechanism to manage requests for verification of disclosed information in the ASI CoC Document.
9.6 Error (Shipping)	Conformance	The Entity has implemented SOPs which contain information on internal mechanisms (for example responsibilities and processes to be followed) to manage any error encountered regarding disclosed information in the ASI CoC Document and other aspects of the Entity's ASI Certification.

CRITERION	RATING	COMMENT
10. RECEIVING CoC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity has developed a SOP to define the responsibilities to conduct a completeness check on received shipment/CoC Documents. The Entity verifies stated information in received CoC documents on every shipment of Aluminium from the supplier.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity has developed a SOP to define the responsibilities to conduct a consistency check on received shipments and CoC Documents accompanying shipment before entry into the Material Accounting System (ERP/SAP).
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity has developed a SOP to define the responsibilities of the ASI manager/representative to review quarterly the validity and scope of the supplier's ASI CoC Certification and any changes that might affect the status of the supplied CoC Material or Eligible Scrap.
10.4 Error (Reception)	Conformance	The Entity has developed a SOP and work instructions for internal mechanisms (e.g. responsibility and process to be followed) to manage any errors found in the ASI CoC Document, with CoC Material, Eligible Scrap received and other aspects of supplier ASI Certification. There has been no error reported since the previous ASI audit
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Not Applicable	This Criterion is not applicable to the Entity, as it does not make claims and/or representations about CoC Material outside of CoC Documents.
11.1b Claims and Communications (Verifiable evidence)	Not Applicable	This Criterion is not applicable to the Entity, as it does not make claims and/or representations about CoC Material outside of CoC Documents.
11.1c Claims and Communications (Employee training)	Not Applicable	This Criterion is not applicable to the Entity, as it does not make claims and/or representations about CoC Material outside of CoC Documents.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	9 July 2021	Initial Certification Audit – Full Certification
1	18 November 2022	Surveillance Audit (change of Audit Firm – LiberoAssurance)

