

ANASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Yong Jie New Material Co.,
Ltd and Zhejiang Yongjie
Aluminum Co., Ltd.

CERTIFICATE NUMBER
476

ASI STANDARD
PERFORMANCE
STANDARD
(V3.1 2023)

DATE OF ISSUE
23 JULY 2025

CERTIFICATION LEVEL
FULL
CERTIFICATION

DATE OF EXPIRY
22 JULY 2028

ASI ACCREDITED
AUDITING FIRM
SGS-CSTC STANDARDS
TECHNICAL SERVICES
CERTIFIED SINCE
23 JULY 2025

AUTHORISED BY

A stylized, handwritten signature in black ink, consisting of a series of loops and a long horizontal stroke.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Production of Aluminium sheets,
strips, and foil at Yong Jie New
Material Co., Ltd and Zhejiang
Yongjie Aluminum Co., Ltd. located
in Zhejiang Province, China.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Yongjie New Material Co., Ltd.
ENTITY NAME	Yong Jie New Material Co., Ltd and Zhejiang Yongjie Aluminum Co., Ltd.
CERTIFICATION SCOPE	Production of Aluminium sheets, strips, and foil at Yong Jie New Material Co., Ltd and Zhejiang Yongjie Aluminum Co., Ltd. located in Zhejiang Province, China.
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/Refining,CasthousesSemi-Fabrication
ASI STANDARD	<ul style="list-style-type: none">Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none">20 – 23 January 2025
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">9 May 2025
AUDIT SCOPE	<p>The Audit Scope included the production of Aluminium sheets, strips, and foil at Yong Jie New Material Co., Ltd and Zhejiang Yongjie Aluminum Co., Ltd. located in Zhejiang Province Region, China.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/Refining,CasthousesSemi-Fabrication <p>All applicable criteria in the ASI Performance Standard were included in the Audit Scope.</p>
AUDIT OUTCOME	<ul style="list-style-type: none">Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.<input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.<input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.<input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD	23 July 2025 - 22 July 2028
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NEXT AUDIT TYPE	Surveillance Audit
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NEXT AUDIT DATE	23 July 2027
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CERTIFICATE NUMBER	476
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If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Zhejiang Yongjie Aluminium Co., Ltd. is a wholly owned subsidiary of Yong Jie New Material Co., Ltd Both companies (the ‘Entity’) operate independently. Under the General Management Office, governance Policies, performance indicators, and other management frameworks are uniformly established for both entities. Both companies are certified to ISO 45001 and ISO 14001 standards, with established social responsibility Management Systems and ASI manuals. Internal audits and management reviews for all systems are conducted annually consolidated.

Yong Jie New Material Co., Ltd established in 2003, specialises in the production of Aluminium plates, strips, and foils. Its production processes include smelting, Casting and rolling, cold rolling, finishing, foil rolling, slitting, carbon coating, and packaging. In 2024, the company produced approximately 80,000 metric tonnes of Aluminium plates, strips, and foils. It manages a site area of approximately seven hectares with over 450 employees. Key Facilities include dormitories, a canteen, an administrative office building (with a physical laboratory), a hardware warehouse, a finished product warehouse, and a chemical warehouse.

The nearest village to the facility is Chunguang Village, Yipeng Town, Qiantang District, Hangzhou City, located approximately one kilometre away. The facility operates within a government-established industrial zone, with no Protected Areas within a ten kilometre radius. Its southern, western, and northern boundaries are adjacent to factories. The Tingqinwan Public Rental Housing complex is situated approximately one and a half kilometres west of the site, and a river is situated 100 metres east of the Entity. The primary external Stakeholder is the Qiantang District Government. Yong Jie New Material Co., Ltd currently has no plans for expansion or operational suspension.

The second facility of the Entity, Zhejiang Yongjie Aluminium Co., Ltd., was established in 2009 and primarily produces Aluminium plates, strips, and foils. Its production processes include smelting, ingot Casting, hot rolling, cold rolling, finishing, foil rolling, slitting, and packaging. In 2024, the facility produced approximately 300,000 metric tonnes of Aluminium plates, strips, and foils. It manages a site area of approximately 19 hectares with 1,000 employees. Key Facilities at the facility include dormitories, a canteen, an administrative office building, a laboratory, a hardware warehouse, a finished product warehouse, and a chemical warehouse.

The nearest village to the facility is Chunguang Village, Yipeng Town, Qiantang District, Hangzhou City, located 1 kilometre away. The company operates within a Government-established industrial zone, with no Protected Areas within a 10-kilometer radius. Its western and northern boundaries are adjacent to factories. The Tingqinwan Public Rental Housing complex lies 3.5 kilometres west of the site, while rivers are located both adjacently south and approximately 100 metres east of the facility. The primary external Stakeholder is the Qiantang District Government. Zhejiang Yongjie Aluminum Industry Co., Ltd. currently has no plans for expansion or operational suspension.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	High	High	HIGH
RISKS	High	High	High	HIGH
PERFORMANCE	High	High	Medium	HIGH
OVERALL	HIGH			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has systems to maintain awareness of, and to ensure Compliance with Applicable Law. This includes an established procedure for monitoring changes in Applicable Law, communicating the implications of the changes, and regularly evaluating Compliance with Applicable Law.
1.2 Anti-Corruption	Conformance	The Entity works against Corruption in all its forms, including Extortion and Bribery, consistent with Applicable Law and prevailing international Standards, through training, limited cash transactions, gift registers, internal audits, and whistle-blowing mechanisms.
1.3a-e Code of Conduct	Conformance	<p>The Entity has established an ASI Code of Conduct, which addresses areas of environmental, social accountabilities and governance, available at:</p> <p>http://www.dongnanal.com/index/index/detailus.html?id=37</p> <p>Induction training undertaken includes the Code of Conduct with annual refresher training also provided to Workers.</p>
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity has implemented Management Policies approved by the General Manager, including an ASI general Policy, quality, environmental, Occupational Health and Safety (OH&S), energy management, responsible sourcing, social accountability, and a commitment not to access World Heritage sites. The Policies are reviewed at the annual management review.</p> <p>The Management Policies are publicly disclosed in the 2023 Sustainability Report, pages 6 and 7:</p> <p>http://www.dongnanal.com/index/index/detailus.html?id=37</p>
2.2a-c Leadership	Conformance	The Entity has appointed the Vice General Manager to lead the implementation of the Management Policies.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity has implemented an integrated Management System, including an ISO 14001:2015 certified Environmental Management System.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity has established an ISO 45001:2018 certified Occupational Health and Safety (OH&S) Management System. Additionally, the Entity has implemented a Social Accountability Management System according to SA8000:2014.
2.4a-e Responsible Sourcing	Minor Non-Conformance	<p>The Entity has implemented a Responsible Sourcing Policy included in the Management Policies, covering environmental, social and governance issues and is available at:</p> <p>http://www.dongnanal.com/upload/20250624/6f7e0b362e04b027f6a15c1bac7a692f/%E7%AE%A1%E7%90%86%E6%96%B9%E9%92%88%E6%B1%87%E7%BC%96.pdf</p> <p>The Responsible Sourcing Policy is to be reviewed at least every five years, or when any changes to the Business alter the Material</p>

CRITERION	RATING	COMMENT
		environment and any indication of a control gap. It was identified that the required on-site audit of the supplier's social performance was not conducted for a supplier, which does not meet the procedure's requirements.
2.5a-g Environmental and Social Impact Assessments	Conformance	<p>The Entity has recently completed a 'New Energy Vehicle Cold Plate Technical Renovation Project', and a 'Carbon Coated Battery Aluminium Foil Technical Renovation Project', which is publicly announced at: https://epb.hangzhou.gov.cn/art/2024/8/6/art_1229779339_59029881.html https://epb.hangzhou.gov.cn/art/2024/4/2/art_1229779339_59028955.html</p> <p>The Entity has conducted Environmental and Social Impact Assessments for New Projects or Major Changes to existing Facilities according to Chinese Law. Both assessments are publicly available at: https://epb.hangzhou.gov.cn/art/2024/10/29/art_1229779339_59030453.html https://epb.hangzhou.gov.cn/art/2024/4/18/art_1229779339_59029061.html</p>
2.6a-h Human Rights Impact Assessment	Conformance	<p>The Entity has recently completed a 'New Energy Vehicle Cold Plate Technical Renovation Project', and a 'Carbon Coated Battery Aluminum Foil Technical Renovation Project', which are both publicly available at: https://epb.hangzhou.gov.cn/art/2024/8/6/art_1229779339_59029881.html https://epb.hangzhou.gov.cn/art/2024/4/2/art_1229779339_59028955.html</p> <p>The Entity has conducted Environmental and Social Impact Assessments for New Projects or Major Changes to existing Facilities according to Chinese Laws. Both assessments are publicly available at: https://epb.hangzhou.gov.cn/art/2024/10/29/art_1229779339_59030453.html https://epb.hangzhou.gov.cn/art/2024/4/18/art_1229779339_59029061.html</p> <p>The Entity is located in an industrial park, and there are no gender-sensitive Human Rights impacts identified on local people.</p>
2.7a-f Emergency Response Plan	Conformance	The Entity has established emergency plans for production safety accidents and environmental emergencies. These plans have been submitted to the local authorities for registration. During the Audit, the Entity demonstrated that the emergency plans were made available to Stakeholders.
2.8a-d Suspended Operations	Conformance	The Entity has developed a suspended operations procedure, which includes a business resilience plan to address situations where it may have to suspend or significantly alter operations due to factors outside its control, which takes into account Material adverse environmental, social and governance impacts.

CRITERION	RATING	COMMENT
2.9a-b Mergers and Acquisitions	Conformance	The Entity has developed a Mergers and Acquisitions procedure, which includes Due Diligence processes for mergers and acquisitions and a review of its environmental, social and governance practices, including those associated with Historical Aluminium Operations. There have been no merger or acquisition activities at the Entity.
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity has established a procedure for closure, decommissioning, and divestment, which includes a review of environmental, social, and governance practices. No closures, decommissioning, or divestments were planned.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	The Entity publicly disclosed its governance approach and their environmental, social and economic impacts. The 2023 Sustainable Development Report is available at: http://www.dongnanal.com/index/index/detailus.html?id=37
3.2 Non-compliance and Liabilities	Conformance	The Entity will publicly disclose as required, the information related to non-compliance and liabilities when received. There was no administrative penalty information available, no information listed in the list of abnormal business operations, and no information listed on the serious illegal and dishonest list (blacklist) in the National Enterprise Credit Information Publicity System (http://www.gsxt.gov.cn/index.html).
3.3a-c Payments to Governments	Conformance	The Entity makes payments to governments on its behalf on a legal basis. The Entity has defined the procedure to prohibit improper payments to government officials. Currently, only taxes, education surcharges, employment security funds for disabled persons, local education surcharges, etc, are paid to the government according to legal requirements. Information on Payments to Governments is included in the Sustainable Development Report, page 16: http://www.dongnanal.com/index/index/detailus.html?id=37
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	The Entity has an accessible Complaints Resolution Mechanism in place. Stakeholders can submit complaints via the contact details available on the website at: http://www.dongnanal.com/index/index/contact_men.html?id=42
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	The Entity has evaluated life cycle impacts for their major Product lines.
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	Upon customer request, the Entity will provide adequate 'cradle-to-gate' Life Cycle Assessment (LCA) information on its Aluminium product(s).
4.2 Product Design	Conformance	The Entity designs products, including semi-fabricated products, according to customer requirements. The Entity's product development process enhances sustainability by improving the rolling

CRITERION	RATING	COMMENT
		<p>yield and considering the environmental life cycle impacts of the end product.</p> <p>During the research and development stage, the targets for each process yield rate and the comprehensive yield rate are determined according to the yield performance of similar products. The development of the following projects was sampled and assessed on-site.</p>
4.3a-b Aluminium Process Scrap	Conformance	<p>The Entity has implemented the overall and process yield as a Key Performance Indicator (KPI) on the operation control, including the improvement of the generation of Aluminium Process Scrap and 100% collection of Aluminium Process Scrap.</p> <p>All Aluminium Process Scrap is collected and input into the Aluminium remelting process. The Entity separates Aluminium alloys and grades for recycling purposes.</p>
4.4a-c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4.4d Collection and Recycling of Products at End of Life	Conformance	An Aluminium recycling strategy is in place. The set goals are fulfilled, and thus a continuous increase of the recycling rate is aimed for. Key actions include developing local suppliers of discarded Aluminium, ensuring full collection of waste returns from customers, and expanding recycling within the Aluminium industry. The plan also promotes recycling awareness across Stakeholders, and the Entity supports recycling through participation in research and development projects on recycled Aluminium by relevant industry associations and technical institutions.
5. GREENHOUSE GAS EMISSIONS		
5.1a-b Disclosure of GHG Emissions and Energy Use	Conformance	<p>The Entity has publicly disclosed Scope 1, 2 and 3 Greenhouse Gases (GHG) emissions data by in its GHG Verification Statement, available at: http://www.dongnana.com/upload/20250120/996e8a69d26b4d4c9084b1d57782d1cd/%E6%B0%B8%E6%9D%B0%E8%82%A1%E4%BB%BD2023%E5%B9%B4%E6%B8%A9%E5%AE%A4%E6%B0%94%E4%BD%93%E6%A0%B8%E6%9F%A5%E5%A3%B0%E6%98%8E.pdf</p> <p>The Entity has established regulations for energy management, and publicly discloses energy consumption information and data on an annual basis, available at: http://www.dongnana.com/index/index/detailus.html?id=38.</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity –	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
In production up to and including 2020		
5.3a GHG Emissions Reduction Plans	Conformance	<p>The Entity has publicly disclosed its five-year (2024 to 2028) GHG emission reduction plan and pathway in the GHG reduction program, available at: http://www.dongnanal.com/index/index/detailus.html?id=38</p> <p>This program addresses all Direct and Indirect GHG Emissions and was developed using the ASI GHG Pathways Calculation Tool.</p>
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	<p>The Entity has established a greenhouse gas information management program. This program stipulates that a greenhouse gas emission reduction plan should be established to ensure a greenhouse gas emission reduction pathway consistent with a 1.5°C warming scenario using the ASI endorsed methodology.</p> <p>The GHG Emission Reduction Plan with Intermediate Targets is available at: http://www.dongnanal.com/index/index/detailus.html?id=38</p> <p>The GHG Emission Reduction Plan is reviewed every year, and the GHG Emissions Pathway is reviewed when there are any changes to the Business that alter baselines or targets.</p> <p>The plan includes increasing the procurement proportion of ‘green electric’ Aluminium and ‘green’ ‘low-carbon’ Aluminium as planned, recycling the waste heat, continuing to invest in photovoltaic power generation equipment and purchasing Green Electricity.</p>
5.4 GHG Emissions Management	Conformance	<p>The Entity has established a Greenhouse Gas Information Management Program that requires an annual analysis and implementation of a greenhouse gas emissions report, according to ISO 14064-1:2018 Standard.</p>
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Minor Non-Conformance	<p>The Entity has quantified and reported Emissions to Air that have adverse effects on the environment. Annual Emissions to Air data are submitted to the National Pollutant Discharge Permit Management Information Platform, and relevant information is available via the disclosed version.</p> <p>The Entity has disclosed data on Emissions to Air and developed a 2025–2028 Emission Reduction Plan for Emissions to Air and Discharges to Water based on actual emissions data from 2024, available at: http://www.dongnanal.com/index/index/detailus.html?id=38</p> <p>The Entity conducts testing on air pollutant emissions according to the frequency required by the pollutant discharge permit, and the results show that the emissions meet the applicable emission standards.</p> <p>Air pollutant emissions were inspected at the required intervals according to the Pollutants Discharge Permit, and results indicated compliance with applicable standards. However, some factors, such as Nitrogen Oxides, Fluorides, and Hydrogen Chloride emissions from exhaust gas, were not covered in the inspections (e.g. no inspection results of the emission of nitrogen oxides, fluorides and hydrogen chloride from exhaust gas).</p>

CRITERION	RATING	COMMENT
6.2a-g Discharges to Waters	Conformance	<p>The Entity has quantified and reported Discharges to Water that have an adverse environmental impact. Annual Emissions to Water data are submitted to the National Pollutant Discharge Permit Management Information Platform and available at: https://permit.mee.gov.cn/perxxgkinfo/syssb/xkkg/xkkg!licenseinformation.action.action</p> <p>The Entity has developed a 2025-2028 Emission Reduction Plan for Emissions to Air and Discharges to Water based on the actual emissions data from 2024, available at: http://www.dongnanal.com/index/index/detailus.html?id=38</p> <p>The Entity tests Discharges to Water according to the frequency required by the Pollutant Discharge Permit, and results confirm the emissions meet the applicable discharge standards.</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has established an Environmental Emergency Response Plan where Spills and Leakage risks have been identified and evaluated. The emergency response actions have been determined according to the risk assessment results. The Environmental Emergency Response Plan was registered with the local environmental protection government.</p> <p>The reports on assessment and Management of Spills and Leakages are both available at: http://www.dongnanal.com/Index/index/detailus.html?id=36</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	<p>The Environmental Emergency Response Plan defines how to report environmental incidents to affected parties, including the Local Community, neighbours, and local government. Spill and Leakage incidents will be reported quarterly or annually and released as required. No Spills or Leakages were identified during the on-site visit.</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has established procedures for the treatment of hazardous and non-Hazardous Waste. The annual quantity of Hazardous and Non-Hazardous Wastes generated by Zhejiang Yongjie Aluminum Co., Ltd. is publicly disclosed on the Corporate Environmental Information Disclosure System in accordance with the Law.</p> <p>Annual quantities of Hazardous and Non-Hazardous Wastes generated, and the disposal methods are reported on the Annual Waste Discharge Report, available at: http://www.dongnanal.com/index/index/detailus.html?id=38</p> <p>The Environmental Impact Assessment Report includes the Entity's evaluation of waste impacts on human well-being and the environment. It has established procedures in line with the Waste Mitigation Hierarchy, including the classification and separate collection of industrial and household waste. The storage of general industrial solid waste complies with environmental protection standards. Hazardous Waste is collected and temporarily stored before being transferred to an authorised disposal body. Relevant documentation, such as disposal agreements and transfer manifests are maintained.</p> <p>The Entity has also developed a Solid Waste Reduction Plan for 2025-2028 that includes actions relating to education, sorting, recycling, process optimisation, and equipment improvements.</p>
6.6a-g Bauxite Residue	Not Applicable	<p>This Criterion is not applicable to the Entity's Certification Scope.</p>

CRITERION	RATING	COMMENT
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Conformance	The Entity maximises the recovery of Aluminium through the treatment of Dross and Dross residues, and recovery results are recorded. The Entity establishes a list of ingredients according to the designation of Aluminium alloy, the batching plan, and the actual feeding records to monitor the usage of materials, including Dross. All treated Dross residues are transferred to external parties for re-use. The annual Hazardous Waste management plan and sampled data from the manifest of Hazardous Waste transfers, confirmed that Dross residues are recycled.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity has publicly disclosed its annual Water Resource Usage and Management Report, available at: http://www.dongnana.com/Index/index/detailus.html?id=36.</p> <p>The Environmental Impact Assessment report includes water risk evaluation, and there were no negative findings. Wastewater is not directly discharged to any natural water bodies.</p>
7.2a-e Water Management	Not Applicable	This Criterion is not applicable to the Entity, as the Entity's risk assessment identified that water-related risks linked to water withdrawal and discharge are low.
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	The Entity has prepared an Environmental Impact Assessment report for New Projects. The Biodiversity survey report shows that the identified risks and potential impacts are assessed and documented as low. The Entity is located in the Jiangdong Industrial Zone. There is no IUCN threatened species within a five km radius, nor any national parks or nature reserves.
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts on Biodiversity and Ecosystem Services are assessed and documented as low.
8.2a-g Biodiversity Management	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts on Biodiversity and Ecosystem Services are assessed and documented as low.
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as it is located within an industrial zone and no Priority Ecosystem Services are identified.
8.4 Alien Species	Conformance	The Entity proactively prevents the accidental or deliberate introduction of Alien Species, by not importing materials on wooden pallets and by registering and verifying green plants to ensure they are not on the list of key managed invasive Alien Species.

CRITERION	RATING	COMMENT
8.5a-b Commitment to “No Go” in World Heritage Properties	Conformance	The Entity’s Management Policies include a commitment not to undertake activities within World Heritage sites. The Environmental Impact Assessment Report for New Projects indicated there are no World Heritage Properties near the Entity.
8.6a-d Protected Areas	Not Applicable	This Criterion is not applicable to the Entity, as it is located within an industrial area and there are no Protected Areas within its Area of Influence, as confirmed in the Environment Impact Assessment Report.
8.6e Protected Areas – Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity’s Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has developed a procedure with respect to Human Rights. According to site interviews and document review, the Entity had classified all affected Stakeholders, as it is located in the industrial area, they have identified suppliers as the highest regarding Human Rights and have implemented.</p> <p>The ASI Code of Conduct and Human Rights Policy is included in the Management Policies document and is disclosed at: http://www.dongnana.com/index/index/detailus.html?id=37</p> <p>The Entity has established a procedure in accordance with applicable Human Rights standards. Based on site interviews and document reviews, it has classified all affected Stakeholders. Since the Entity is located in an industrial area and has identified their suppliers who have the most impact on Human Rights issues and has implemented appropriate actions to mitigate the risks.</p>
9.2a-e Gender Equity and Women’s Empowerment	Conformance	<p>The Entity has implemented a program and related procedures that promote gender equity and women’s empowerment, including on-site protection of female employees, prohibits Discrimination against pregnant Workers, equal pay for men and women and equal employment. The Entity would review the program for at least five years, on any changes to the business, and on any indication of a control gap.</p> <p>On-site observation and interviews revealed that the program is being executed well without any negative feedback being received from Workers.</p> <p>Information on the effectiveness of the Entity’s effectiveness to promote gender equity is disclosed at: http://www.dongnana.com/index/index/detailus.html?id=37</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity’s Area of Influence. However, the Entity has established a ‘Regulations for the Protection and Management of Indigenous Peoples’ procedure.
9.4a Free, Prior, and Informed Consent (FPIC) –	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity’s Area of Influence.

CRITERION	RATING	COMMENT
New Projects or Major Changes		
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity's Area of Influence.
9.5a Cultural and Sacred Heritage - Identification	Not Applicable	This Criterion is not applicable to the Entity, as they are located in an industrial area, and there are no sites of cultural and sacred heritage, as confirmed in the Environment Impact Assessment Report.
9.5b Cultural and Sacred Heritage - Impacts	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples in the Entity's Area of Influence.
9.6a-i Displacement	Not Applicable	This Criterion is not applicable to the Entity as it is located in an industrial zone and does not have any New Projects or Major Changes that require resettlement. No displacements have occurred.
9.7a-h Affected Populations and Organisations	Conformance	The Entity is located in an industrial park planned by the local government. The Entity's Social Impact Assessment demonstrated Due Diligence regarding Human Rights in the surrounding areas, and interviews with local community members confirmed no negative impacts.
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	The Entity has established a Management System including a Supply Chain Policy, responsibilities and resources, information gathering and supplier engagement. The Entity has identified its suppliers, and none are located in Conflict-Affected or High-Risk Areas (CAHRAs) and has determined that the supply chain risk is low.
9.8b Conflict-Affected and High-Risk Areas - Identify and assess risks	Conformance	<p>The Entity has established a Management System including a Supply Chain Policy, responsibilities and resources, information gathering and supplier engagement.</p> <p>The Entity has identified the suppliers' information, and no suppliers are located in CAHRAs. All suppliers are located in China. According to the supplier declaration and material purchase records, there are no materials from high-risk or conflict areas.</p>
9.8c Conflict-Affected and High-Risk Areas - Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity, as the risk assessment determined that no conflict minerals are used and no materials are sourced from CAHRAs, and subsequently there are no critical Human Rights issues including Child Labour and Forced Labour.
9.8d Conflict-Affected and High-Risk Areas - Audit of due diligence	Conformance	The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit and addresses this requirement.

CRITERION	RATING	COMMENT
9.8e Conflict-Affected and High-Risk Areas - Report annually	Conformance	The Entity has reported annually on supply chain information in the 2023 Sustainable Development Report, section 5.4 Responsible Sourcing, page 28: http://www.dongnanal.com/index/index/detailus.html?id=37
9.9 Security practice	Conformance	The Entity respects Human Rights when working with private security providers according to recognised Standards and good practices. The Code of Conduct for Security Personnel clearly defines the responsibilities of security guards, and no Human Rights violations were identified on-site.
10. LABOUR RIGHTS		
10.1a-c Freedom of Association and Right to Collective Bargaining	Not Applicable	This Criterion is not applicable to the Entity, as the Entity complies with Applicable Law in China related to Freedom of Association and Collective Bargaining.
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Conformance	The Entity has joined the Trade Union according to Chinese law and ensured that Workers are free to form or join Labour Unions or other associations for Collective Bargaining through the Workers' representative election record.
10.2a Child Labour	Conformance	The Entity has established Personnel Recruitment Management Procedures and does not employ Workers under the age of 16. The Human Resources department performs identification checks on potential employees before hiring them.
10.3a-c Forced Labour	Conformance	The Entity neither engages in, nor supports Forced Labour or Human Trafficking. It does not charge Workers any fees or deposits, require security payments from Migrant Workers, or keep them in Debt Bondage. Additionally, the Entity does not restrict Workers' freedom of movement, withhold their identity or travel documents, or prevent them from terminating employment without penalty, as long as reasonable notice is given. The Entity's statement on Anti-Modern Slavery and Anti-Human Trafficking is available at: http://www.dongnanal.com/index/index/detailus.html?id=37
10.4a-c Non-Discrimination	Conformance	The Entity has established Anti-Discrimination Management Procedures to ensure equal opportunities and to not engage in, nor support Discrimination in Hiring, Salary, Promotion, Training, Advancement opportunities or termination of any Worker on the basis of gender, race, national or social origin, caste, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age, or any other condition that could give rise to Discrimination. All new employees receive training on Discrimination and all labour rights and are informed of the 'whistle-blowing' mechanism.
10.5 Communication and engagement	Conformance	The Entity has established several mechanisms to ensure communication and Engagement, including ways of reporting both with specific details or in an anonymous manner. Interviews with

CRITERION	RATING	COMMENT
		Workers confirmed that there are currently no concerns relating to communication and engagement.
10.6a-g Violence and Harassment	Conformance	<p>The Entity's Management Policies address Violence and Harassment and are available at: http://www.dongnana.com/index/indexino/detailus.html?id=37</p> <p>The Entity has established management requirements for Violence and Harassment, and no negative findings were identified based on on-site observations and employee interviews.</p>
10.7a-c Remuneration	Conformance	<p>The Entity has signed the employment contract with Workers, which includes the conditions of employment in Chinese. Wages are paid directly to the employee's bank account before the 25th of each month.</p> <p>The Entity has established a procedure to determine salaries for all employees. The Audit noted that the Entity had defined the details for different types of employees, including the basic salary (basic wage plus job position wage), bonus, awards, and Overtime, minus tax/social insurance fee and leave fee, which were presented on the salary list. Overtime wages are 1.5 to 3 times the regular wage in accordance with local wage regulations.</p>
10.8a-c Working Time	Minor Non-Conformance	<p>The Entity has implemented a working hours management procedure to comply with legal requirements and has obtained approval from the local government for consolidated working hours, which includes quarterly monitoring. A sample of records found that the total Overtime is between 24 and 32 hours per month, which meets the requirements. However, the Audit identified that one inspector in the rolling workshop had worked for seven consecutive days.</p>
10.9a-b Informing Workers of Rights	Conformance	<p>Employees are informed of their rights and obligations when signing their labour contracts. They are also provided with an Employee Handbook to ensure they understand their rights and guarantees outlined in their contracts.</p>
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	<p>The Entity has established an ISO 45001:2018 certified Occupational Health and Safety (OH&S) Management System.</p>
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	<p>The OH&S Management System is reviewed annually, or after any changes to the Business that alter Material OH&S risk, or on any indication of a control gap.</p> <p>Leading and lagging indicators of OH&S performance for 2024 are publicly disclosed at: http://yongjiexc.com/upload/20250122/4822a48fe7218f1a365268d0cd53a54a/2024%E6%B0%B8%E6%9D%B0%E8%82%A1%E4%BB%BD%E8%81%8C%E4%B8%9A%E5%81%A5%E5%BA%B7%E5%AE%89%E5%85%A8%E7%9B%AE%E6%A0%87%E6%8C%87%E6%A0%87%E5%8F%8A%E8%BE%BE%E6%88%90%E6%83%85%E5%86%B5(1).pdf</p> <p>It was identified however that the Entity has not conducted or disclosed a comparative analysis of performance with peer businesses and leading practices.</p>

CRITERION	RATING	COMMENT
11.2 Employee engagement on Health and Safety	Conformance	<p>The Entity provides Workers with a mechanism by which they can raise, discuss and participate in the resolution of OH&S issues with management.</p> <p>The safety production leadership group includes the Entity's Chairman, Department Managers, safety management personnel, and Worker representatives. This group is responsible for collecting and summarising Workers' opinions and sharing them with the General Manager and various departments.</p>

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DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	23 July 2025	Initial Certification Audit – Full Certification