

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

JIANGSU DINGSHENG NEW MATERIALS JOINT- STOCK CO., LTD

CERTIFICATE
NUMBER

51

ASI
STANDARD

PERFORMANCE
STANDARD
(V2 2017)

CERTIFICATION
LEVEL

FULL
CERTIFICATION

ASI
ACCREDITED
AUDITOR

SGS-CSTC
STANDARDS
TECHNICAL
SERVICES

DATE OF ISSUE

7 DECEMBER 2022

DATE OF EXPIRY

6 DECEMBER 2025

CERTIFIED SINCE

7 DECEMBER 2019

AUTHORISED BY

A stylized, handwritten signature in black ink, likely representing the authorised signatory of the Aluminium Stewardship Initiative Ltd.

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*Validity of this Certificate is subject to continued
conformance with the applicable ASI Standard
and can be verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Re-melting, continuous casting, cold rolling, coating, slitting and oxidation process for the manufacture of Aluminium alloy sheets, strips and Aluminium alloy foils at Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd (China), Hangzhou Five Star Aluminium Co., Ltd (China) and Hangzhou Teemful Aluminium Industry Co., Ltd (China).

SUMMARY AUDIT REPORT

PERFORMANCE

STANDARD

OVERVIEW

MEMBER NAME	Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd
ENTITY NAME	Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd
CERTIFICATION SCOPE	Re-melting, continuous casting, cold rolling, coating, slitting and oxidation process for the manufacture of aluminium alloy sheets, strips and aluminium alloy foils at Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd (China), Hangzhou Five Star Aluminium Co., Ltd (China) and Hangzhou Teemful Aluminium Industry Co., Ltd (China).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesSemi-FabricationMaterial Conversion (Production and Transformation)
ASI STANDARD	<ul style="list-style-type: none">Performance Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (5 – 15 August 2019)Surveillance Audit (28 – 30 June 2020)Surveillance Audit (9 – 14 September 2021)Re-Certification Audit (26 – 30 September 2022)Surveillance Audit (10 – 16 May 2024)
AUDIT FIRM	SGS-CSTC Standards Technical Services
AUDIT DATE	<ul style="list-style-type: none">5 – 15 August 2019 (Initial Certification Audit)28 – 30 June 2020 (Surveillance Audit)9 – 14 September 2021 (Surveillance Audit)26 – 30 September 2022 (Re-Certification Audit)10 – 16 May 2024 (Surveillance Audit)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">26 September 2019 (Initial Certification Audit)3 September 2020 (Surveillance Audit)23 November 2021 (Surveillance Audit)31 July 2023 (Re-Certification Audit)18 March 2025 (Surveillance Audit)

Initial Certification Audit (5 – 15 August 2019)

Re-melting, continuous casting, cold rolling, coating, slitting and oxidation process for the manufacture of aluminium alloy sheets, strips and aluminium alloy foils at Jiangsu Dingsheng New Materials Joint-Stock Co., Ltd (China), Hangzhou Five Star Aluminium Co., Ltd (China) and Hangzhou Teemful Aluminium Industry Co., Ltd (China).

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Surveillance Audit (28 – 30 June 2020)

Re-melting, continuous casting, cold rolling, coating, slitting and oxidation process for the manufacture of aluminium alloy sheets, strips and aluminium alloy foils at Jiangsu Dingsheng New Materials Joint-stock Co., Ltd (China), Hangzhou Five Star Aluminium Co., Ltd (China) and Hangzhou Teemful Aluminium Industry Co., Ltd (China)

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- Casthouses
- Semi-Fabrication
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

Re-Certification Audit (26 – 30 September 2022)

Re-melting, continuous casting, cold rolling, coating, slitting and oxidation process for the manufacture of aluminium alloy sheets, strips and aluminium alloy foils at Jiangsu Dingsheng New Materials Joint-stock Co., Ltd (China),

Hangzhou Five Star Aluminium Co., Ltd (China) and Hangzhou Teemful Aluminium Industry Co., Ltd (China).

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

The audit has been undertaken as a combined 'desktop' and on-site exercise, in accordance with ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4).

Surveillance Audit (10 – 16 May 2024)

Re-melting, continuous casting, cold rolling, coating, slitting and oxidation process for the manufacture of aluminium alloy sheets, strips and aluminium alloy foils at Jiangsu Dingsheng New Materials Joint-stock Co., Ltd (China), Hangzhou Five Star Aluminium Co., Ltd (China) and Hangzhou Teemful Aluminium Industry Co., Ltd (China).

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Semi-Fabrication
- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT
OUTCOME

- Certification

AUDIT
METHODOLOGY
DECLARATION

The Auditors confirm that:

- ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report.
- ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
- ☒ The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
- ☒ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION
PERIOD

7 December 2022 – 6 December 2025

NEXT AUDIT
TYPE

Re-Certification Audit

NEXT AUDIT
DUE DATE

6 December 2025

CERTIFICATE
NUMBER

51

SUMMARY OF FINDINGS

CRITERION	RATING	COMMENT
PRINCIPLE 1 BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	<p>The Entity has established a procedure to ensure awareness and Compliance with Applicable Law. Compliance information, including records of fines and Complaints, is available at: http://www.gsxt.gov.cn/</p> <p>The Entity has disclosed the fine issued by the local security regulatory bureau, along with the corresponding rectification measures at: https://www.sse.com.cn/disclosure/listedinfo/announcement/c/new/2022-07-21/603876_20220721_19_qAG4Cer8.pdf</p> <p>As of now, no additional fines or penalties have been reported on relevant official national websites.</p>
1.2 Anti-Corruption	Conformance	<p>The Entity has implemented a procedure to address Corruption, including measures such as a tip-off hotline, questionnaires, and investigations to monitor potential cases.</p>
1.3 Code of Conduct	Conformance	<p>The Entity has implemented a Code of Conduct including principles relevant to environmental, social and governance performance, available at: https://dingshengxc.oss-cn-shanghai.aliyuncs.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf</p>
PRINCIPLE 2 POLICY & MANAGEMENT		
2.1a Environmental, Social, and Governance Policy (implement and maintain)	Conformance	<p>The Entity has implemented and maintained an integrated Environmental, Social and Governance (ESG) Policy, which is displayed on-site at each Facility and available at: https://dingshengxc.oss-cnshanghai.aliyuncs.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf</p>
2.1b Environmental, Social, and Governance Policy (senior management)	Conformance	<p>The Entity's has an ESG Policy which is approved by its President and reviewed annually.</p>
2.1c Environmental, Social, and Governance Policy (communication)	Conformance	<p>The Entity has communicated its ESG Policy both internally and externally. The Policy is displayed at each Facility and available at: https://dingshengxc.oss-cnshanghai.aliyuncs.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf</p>
2.2 Leadership	Conformance	<p>The Entity has appointed a senior Management Representative with overall responsibility for ensuring</p>

CRITERION	RATING	COMMENT
		Conformance with the ASI Performance Standard. This role, communicated internally, is supported by other senior managers.
2.3a Environmental and Social Management Systems (environmental)	Conformance	The Entity has an integrated Management System certified to ISO 14001.
2.3b Environmental and Social Management Systems (social)	Conformance	The Entity has implemented an integrated Management System certified to ISO 9001, ISO 14001 and ISO 45001.
2.4 Responsible Sourcing	Conformance	The Entity has implemented a Responsible Sourcing Policy, conducted supplier evaluations, and established a supplier Audit plan.
2.5 Impact Assessments	Conformance	The Entity has conducted environmental, social, cultural and Human Rights Impact Assessments, including a gender analysis, for New Projects or Major Changes to existing Facilities. This process was applied to the ongoing installation of environmental protection Facilities for the Waste gas treatment.
2.6 Emergency Response Plan	Conformance	The Entity is certified to ISO 14001:2015 and ISO 45001:2018 and has site-specific Emergency Response Plans developed in collaboration with potentially affected Stakeholder groups, including communities, Workers and their representatives, and relevant agencies.
2.7 Mergers and Acquisitions	Conformance	The Entity has established a procedure for Mergers and Acquisitions, including Due Diligence.
2.8 Closure, Decommissioning and Divestment	Conformance	The Entity has established a procedure to review environmental, social and governance issues during the planning for Closure, Decommissioning and Divestment activities. Such activities have not yet occurred, and any future occurrences will be publicly announced on the Entity's website.
PRINCIPLE 3 TRANSPARENCY		
3.1 Sustainability Reporting	Conformance	The Entity has publicly disclosed its governance approach and its Material environmental, social and economic impacts. The Entity's Sustainability Report is available at: https://www.dingshengxincai.com/upload/files/2024/12/13/3f2ee20f5e9d0b.pdf
3.2 Non-compliance and liabilities	Conformance	The Entity has implemented a procedure to publicly disclose information through its website and the trading companies' information website (CN Info): www.dingshengxincai.com.cn and http://www.cninfo.com.cn

CRITERION	RATING	COMMENT
3.3a Payments to governments (legal and contractual)	Conformance	The Entity has implemented a procedure prohibiting improper payments to government officials. The Entity has only made legally required tax payments to the government.
3.3b Payments to governments (disclosure – bauxite mining)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.4 Stakeholder complaints, grievances and requests for information	Minor Non-Conformance	The Entity has published a Complaint hotline, however the complete Grievance procedures—detailing investigation and response processes—are not documented, limiting systematic, transparent and accountable issue resolution.
PRINCIPLE 4 MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment (life cycle impacts)	Conformance	The Entity has assessed the life cycle impacts of its major Products and conducted a Life Cycle Assessment (LCA) of its Aluminium alloy foils.
4.1b Environmental Life Cycle Assessment (cradle to gate)	Minor Non-Conformance	The Entity has completed a Life Cycle Assessment. However, the report lacks sufficient 'cradle-to-gate' information and does not specify how the data were obtained.
4.1c Environmental Life Cycle Assessment (public communication)	Conformance	The Entity has published the LCA report at: https://dingshengxc.oss-cn-shanghai.aliyuncs.com/upload/files/2023/7/7f97801b1cb2d09a.pdf
4.2 Product design	Conformance	The Entity has set objectives for Product development to improve sustainability, including minimising the End Product's environmental life cycle impacts.
4.3a Aluminium Process Scrap (targets)	Conformance	The Entity has established the rolling yield as a Key Performance Indicator (KPI). All Aluminium Process Scrap has been collected and reused as input into the Aluminium re-melting process.
4.3b Aluminium Process Scrap (alloy separation)	Conformance	The Entity has separated Aluminium alloys and grades for recycling.
4.4a Collection and recycling of products at end-of-life (strategy)	Conformance	The Entity has established a recycling strategy with objectives for the next three years. The Entity is supporting a program with the Aluminium Resource Reuse Research Institute to research and develop new application technology for recycled Aluminium.
4.4b Collection and recycling of products at end-of-life (engagement)	Conformance	The Entity has established a recycling strategy with objectives for the next three years. Having achieved its initial goals, it now aims to continuously increase the recycling rate.

CRITERION	RATING	COMMENT
PRINCIPLE 5 GREENHOUSE GAS EMISSIONS		
5.1 Disclosure of GHG emissions and energy use	Conformance	The Entity has published its GHG emissions and energy use report, which is publicly available at: https://www.dingshengxincai.com/upload/files/2024/12/13/3f2ee20f5e9d0b.pdf
5.2 GHG emissions reductions	Minor Non-Conformance	The Entity has disclosed actions to reduce GHG emissions in its ESG report and has implemented them. However, it has not yet published any time-bound GHG reduction targets.
5.3a Aluminium Smelting (management system)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3b Aluminium Smelting (up to and including 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3c Aluminium Smelting (after 2020)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 6 EMISSIONS, EFFLUENTS AND WASTE		
6.1 Emissions to Air	Conformance	The Entity has published a Sustainability Report detailing air emissions and outlining plans to minimise their adverse impacts. The Report is available at: https://www.dingshengxincai.com/upload/files/2024/12/13/3f2ee20f5e9d0b.pdf
6.2 Discharges to Water	Conformance	The Entity has published a Sustainability Report that includes information on water discharges. Treated sewage is released into the municipal sewage system. The Report is available at: https://www.dingshengxincai.com/upload/files/2024/12/13/3f2ee20f5e9d0b.pdf
6.3a Assessment and Management of Spills and Leakage (assessment)	Conformance	The Entity has an Emergency Response Plan that identifies and evaluates environmental events and Spills and Leakages risks. The Plan has been registered with the local government.
6.3b Assessment and Management of Spills and Leakage (management)	Conformance	The Entity has established an Emergency Response Plan, registered with the local authorities in Compliance with national laws. The Plan includes management actions for Spills and Leakages.
6.4a Reporting of Spills (immediate disclosure)	Conformance	The Entity's Emergency Response Plan for environmental events outlines the process for reporting to affected parties, including the local community, neighbours, and local government. Information about the events is available at:

CRITERION	RATING	COMMENT
		https://www.dingshengxincai.com/channels/123.html To date, no significant Spills have occurred.
6.4b Reporting of Spills (regular reporting)	Conformance	The Entity has a process to publicly disclose the Impact Assessments of any Spills and the remediation actions taken annually.
6.5a Waste management and reporting (strategy)	Conformance	The Entity has established a solid Waste management procedure, outlining the treatment process for Hazardous and Non-Hazardous Waste. The quantities of Waste and their disposal/treatment methods are published at the entrance of the Entity's Facilities each quarter.
6.5b Waste management and reporting (disclosure)	Conformance	The Entity reports the annual quantities of Hazardous and Non-Hazardous Waste generated, along with their disposal methods, on the solid waste section of its website at: https://www.dingshengxincai.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf
6.6a Bauxite Residue (storage construction)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6b Bauxite Residue (integrity checks and controls)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6c Bauxite Residue (water discharge)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6d Bauxite Residue (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6e Bauxite Residue (start of the art technologies)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.6f Bauxite Residue (remediation)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a Spent Pot Lining (SPL) (storage and management)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7b Spent Pot Lining (SPL) (recovery and recycling)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7c Spent Pot Lining (SPL) (Untreated SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7d Spent Pot Lining (SPL) (review of alternatives)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.

CRITERION	RATING	COMMENT
6.7e Spent Pot Lining (SPL) (marine and aquatic environments)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a Dross (recovery)	Conformance	The Entity has maximised the recovery of Aluminium by treatment of Dross and Dross Residues, with results documented. It has established a KPI to monitor the Production Department, aiming for a 100% recovery of Aluminium in the Casthouse process.
6.8b Dross (recycling)	Conformance	All treated Dross residues are transferred to external parties for re-use.
6.8c Dross (review of alternatives)	Not Applicable	The Criterion is not applicable to the Entity, as there is no landfilling of Dross residues, and therefore does not need to consider alternatives to current management practices.

PRINCIPLE 7 WATER STEWARDSHIP

7.1a Water assessment (mapping)	Conformance	<p>The Entity identifies and maps its water withdrawal and use by source and type in its Sustainability Report, available at: https://www.dingshengxincai.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf</p> <p>The water is sourced from the municipal water company, and sewage is discharged into the municipal sewage pipeline network.</p>
7.1b Water assessment (risk assessment)	Conformance	<p>The Entity has issued a Sustainability Report detailing water usage. The Report is available at: https://dingshengxc.oss-cn-shanghai.aliyuncs.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf</p>
7.2a Water management (management plans)	Conformance	<p>The Entity identifies and maps its water withdrawal and use by source and type in its Sustainability Report, which is publicly available at: https://www.dingshengxincai.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf</p> <p>The water is supplied by the municipal water company, and sewage is discharged into the municipal sewage pipeline network. The risk of water-related issues is low.</p>
7.2b Water management (monitoring)	Conformance	On-line monitoring devices have been installed at the outlet of wastewater treatment Facility to comply with local government requirements.
7.3 Disclosure of water usage and risks	Conformance	<p>The Entity identifies and maps its water withdrawal and use by source and type in its Sustainability Report, which is publicly available at: https://www.dingshengxincai.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf</p>

CRITERION	RATING	COMMENT
		The water is supplied by the municipal water company, and sewage is discharged into the municipal sewage pipeline network. The risk of water-related issues is low.
PRINCIPLE 8 BIODIVERSITY		
8.1 Biodiversity assessment	Conformance	The Entity is located in an industrial park developed by the local government, where an Environmental Impact Assessment (EIA) was conducted. Both the EIA and those for the Entity's construction projects have assessed the risks and Materiality of the impacts on Biodiversity from the land use and activities within the Entity's Area of Influence. The EIA reports indicates that no protected flora or fauna are present within the Entity's Area of Influence.
8.2a Biodiversity management (biodiversity action plans)	Not Applicable	This Criterion is not applicable to the Entity, as the EIA identified no protected flora or fauna within the Entity's Area of Influence.
8.2b Biodiversity management (consultation and mitigation hierarchy)	Not Applicable	This Criterion is not applicable to the Entity, as the EIA identified no protected flora or fauna within the Entity's Area of Influence.
8.2c Biodiversity management (reporting)	Not Applicable	This Criterion is not applicable to the Entity, as the EIA identified no protected flora or fauna within the Entity's Area of Influence.
8.3 Alien Species	Conformance	The Entity has established a procedure to prevent accidental or intentional introduction of Alien Species that could have significant adverse impacts on Biodiversity.
8.4a Commitment to "No Go" in World Heritage properties (exploration and new mines)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.4b Commitment to "No Go" in World Heritage properties (existing operations)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5a Mine rehabilitation (best available techniques)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.5b Mine rehabilitation (financial provisions)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
PRINCIPLE 9 HUMAN RIGHTS		
9.1a Human Rights Due Diligence (policy)	Conformance	The Entity has implemented a signed Policy commitment to respect Human Rights, available at: https://www.dingshengxincai.com/upload/files/2024/12/133f2ee20f5e9d0b.pdf

CRITERION	RATING	COMMENT
9.1b Human Rights Due Diligence (process)	Conformance	The Entity has implemented its social accountability procedure and conducted Human Rights Due Diligence. Reports from this process concludes that the Entity's Facilities have no adverse Human Rights impacts.
9.1c Human Rights Due Diligence (remediation)	Conformance	The Entity has established a Human Rights Due Diligence process, which identifies no adverse impacts on Human Rights at its Facilities.
9.2 Women's Rights	Conformance	The Entity has established a special protection procedure for female Workers that complies with all legal requirements. No negative feedback has been received from female Workers to date.
9.3 Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.4 Free, Prior, and Informed Consent (FPIC)	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence.
9.5 Cultural and sacred heritage	Not Applicable	This Criterion is not applicable to the Entity, as there are no Cultural or Sacred Heritage sites or values within the Entity's Area of Influence.
9.6a Resettlements (avoid or minimise)	Not Applicable	This Criterion is not applicable to the Entity, as no Resettlement has been required.
9.6b Resettlements (where unavoidable)	Not Applicable	This Criterion is not applicable to the Entity, as no Resettlement has been required.
9.7a Local Communities (rights and interests)	Conformance	The Environmental Impact Evaluation Report indicated that the Entity's activities do not impact the Local Communities or their use of natural resources. The Entity respects the legal and customary rights and interests of Local Communities regarding their lands, livelihoods, and their use of natural resources.
9.7b Local Communities (impacts)	Conformance	The Environmental Impact Evaluation Report indicated that the Entity's activities do not impact the Local Communities or their use of natural resources. The Entity respects the legal and customary rights and interests of Local Communities regarding their lands, livelihoods, and their use of natural resources.
9.7c Local Communities (livelihoods)	Conformance	The Entity has established a Community Communication Procedure to protect the customary rights and interests of Local Communities, and has employed Workers from these communities.

CRITERION	RATING	COMMENT
9.8 Conflict-Affected and High-Risk Areas	Conformance	The Entity has established and documented a Policy to prevent involvement in armed conflict or Human Rights abuses in Conflict-Affected and High-Risk Areas (CAHRAs). It has conducted a risk assessment on select suppliers to verify their non-involvement in such issues. None of the Entity's Facilities or suppliers are located within CAHRAs.
9.9 Security practice	Conformance	The Entity's gatekeeper providers uphold Human Rights in Compliance with recognised standards and good practices. The Entity has implemented a security management procedure that defines the primary role of gatekeeper providers: protecting people, property and/or assets. Their responsibilities and obligations are specified in service contracts.
PRINCIPLE 10 LABOUR RIGHTS		
10.1a Freedom of Association and Right to Collective Bargaining (freedom of association)	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Chinese Applicable Law.
10.1b Freedom of Association and Right to Collective Bargaining (collective bargaining)	Not Applicable	This Criterion is not applicable to the Entity, as the right to Freedom of Association and Collective Bargaining is addressed in accordance with Chinese Applicable Law.
10.1c Freedom of Association and Right to Collective Bargaining (alternative means)	Conformance	The Entity follows a Labour Union management procedure and holds meetings with Workers in Compliance with Chinese laws.
10.2a Child Labour (minimum age)	Conformance	The Entity has implemented procedures to prevent Child Labour and regulate young Workers, including age verification at hiring. No Child Labour is present at the Entity, and the youngest Worker is over 18 years old.
10.2b Child Labour (hazardous)	Conformance	The Entity has implemented procedures to prevent Child Labour and regulate young Workers, including age verification at hiring. No Child Labour is present at the Entity and the youngest Worker is over 18 years old. Due Diligence with suppliers ensures Child Labour is not used.
10.2c Child Labour (worst forms)	Conformance	The Entity has implemented procedures to prevent Child Labour and regulate Young Workers, including age verification at hiring. Young Workers are neither exposed to Hazardous work environments, nor assigned night shifts. The Entity's youngest Worker is over 18 years old.
10.3a Forced Labour (human trafficking)	Conformance	The Entity has implemented a Forced Labour Management Procedure and does not engage in, nor

CRITERION	RATING	COMMENT
		support the use of Forced Labour, including Human Trafficking, either directly or through recruitment agencies. Worker interviews confirm there is no Forced Labour at the Entity.
10.3b Forced Labour (deposits, fees, advances)	Conformance	The Entity has implemented a Forced Labour Management Procedure and neither engages in, nor supports the use of Forced Labour. All Workers sign a labour contract, which is filed with the local government. The Entity does not require any deposits, Recruitment Fees, or equipment advances from Workers, either directly or through recruitment agencies. This was confirmed by Worker interviews.
10.3c Forced Labour (migrant workers)	Conformance	The Entity has implemented a Forced Labour Management Procedure and does not require Migrant Workers to pay deposits or security fees at any time. Occupational health check costs are covered by the Entity. Worker interviews confirm that no deposits or security payments are required.
10.3d Forced Labour (debt bondage)	Conformance	The Entity has implemented a Forced Labour Management Procedure. Worker interviews confirm that salaries are paid monthly, with no evidence of Debt Bondage or Forced Labour to repay debts.
10.3e Forced Labour (freedom of movement)	Conformance	The Entity does not engage in, nor supports the use of Forced Labour. The Entity does not unreasonably restrict the Workers' freedom of movement at the workplace or in on-site housing.
10.3f Forced Labour (retention of identity papers, permits, certificates)	Conformance	The Entity does not engage in, nor supports the use of Forced Labour. It does not retain original copies of Workers' Identity Cards or training certificates.
10.3g Forced Labour (freedom to terminate employment)	Conformance	The Entity does not engage in, nor supports the use of Forced Labour and allows Workers to terminate their employment at any time without penalty, provided they give reasonable notice.
10.4 Non-Discrimination	Conformance	The Entity has implemented an anti-Discrimination procedure, which defined that any kind of Discrimination is prohibited. Records on Discrimination training have been maintained. Worker interviews confirm that no Discrimination has occurred.
10.5 Communication and engagement	Conformance	A Labour Union has been established at the Entity and Worker representatives have been elected to engage with both Workers and management on matters related to working conditions and compensation. Workers can

CRITERION	RATING	COMMENT
		communicate with management regarding these issues, without fear of reprisal, intimidation or Harassment.
10.6 Disciplinary practices	Conformance	The Entity does not engage in, nor tolerate corporal punishment, mental or physical coercion, Harassment, and gender-based Violence including sexual Harassment, or verbal abuse of Workers. Worker interviews confirm the absence of Discrimination at the Entity.
10.7a Remuneration (living wage)	Conformance	The Entity pays wages monthly, which include basic wage, position wage, communication fees, and other allowances. The basic wage exceeds the local minimum and meets legal standards. Workers confirmed they receive payroll slips every month.
10.7b Remuneration (method of payment)	Conformance	Wages are paid monthly via bank transfer, with payroll slips issued to employees. Worker interviews confirmed timely payments.
10.8 Working Time	Conformance	The Entity complies with Applicable Law and industry standards on Working Time (including Overtime working hours), public holidays and paid annual leave.
PRINCIPLE 11 OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Policy (policy)	Conformance	The Entity has implemented an ISO 45001:2018 certified Occupational Health and Safety (OH&S) Management System, including its OH&S Policy.
11.1b Occupational Health and Safety (OH&S) Policy (workers and visitors)	Conformance	The Entity has implemented an OH&S Policy, applicable to both Workers and Visitors.
11.1c Occupational Health and Safety (OH&S) Policy (applicable law and standards)	Conformance	The Entity had implemented its OH&S Policy and Management System in Compliance with the Applicable Laws, international standards and relevant ILO Conventions.
11.1d Occupational Health and Safety (OH&S) Policy (right to stop unsafe work)	Conformance	The Entity had implemented an OH&S Policy, which includes the Workers' right to understand the hazards and safe practices, and the authority to stop unsafe work.
11.2 OH&S Management System	Conformance	The Entity has implemented Occupational Health and Safety (OH&S) Management System certified to ISO 45001:2018.
11.3 Employee engagement on health and safety	Conformance	The Entity has implemented an ISO 45001:2018 certified Occupational Health and Safety (OH&S) Management System, which addresses Worker participation and engagement on OH&S issues.

CRITERION	RATING	COMMENT
11.4 OH&S performance	Conformance	The Entity has implemented an ISO 45001:2018 certified Occupational Health and Safety (OH&S) Management System that establishes and monitors KPIs.

Document Control and Version History

Revision	Date	Notes
0	8 December 2019	Issued (Initial Certification)
1	1 October 2020	Surveillance Audit
2	28 January 2022	Surveillance Audit
3	7 February 2024	Re-Certification Audit – Full Certification
4	28 June 2025	Surveillance Audit Certification Period corrected Correction to the title of the supply chain activity 'Material Conversion (Production and Transformation)' included in the Audit Scope descriptions