

ASI CERTIFICATION CHAIN OF CUSTODY STANDARD



PRESENTED TO

Aluminium Duffel BV

CERTIFICATE NUMBER

86

ASI STANDARD

CHAIN OF CUSTODY
(V2 2022)

DATE OF ISSUE

31 JULY 2025

CERTIFICATION LEVEL

FULL
CERTIFICATION

DATE OF EXPIRY

30 JULY 2028

ASI ACCREDITED AUDITOR

BUREAU VERITAS
CERTIFICATION

CERTIFIED SINCE

7 JULY 2020

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. de Vries', written over a horizontal line.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
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*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Sales, development, production and
dispatch of rolled products in Aluminium
and Aluminium alloys (Belgium).

AUDIT REPORT

CHAIN OF CUSTODY

STANDARD

OVERVIEW

MEMBER NAME	Aluminium Duffel BV
ENTITY NAME	Aluminium Duffel BV
CERTIFICATION SCOPE	Sales, development, production and dispatch of rolled products in Aluminium and Aluminium alloys (Belgium).
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse
ASI STANDARD	<ul style="list-style-type: none">Chain of Custody Standard V2
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (13 – 14 May 2020)Surveillance Audit (24 January 2022) (Following acquisition of the Entity (formerly Aleris Aluminium Duffel BVBA) by Alvalde Aluminium on 30 September 2020)Re-Certification Audit (18 – 19 May 2022)Surveillance Audit (31 January 2023) (Following acquisition of the Entity formerly Alvalde Aluminium Duffel BV) by Aluminium Duffel BV on 14 June 2022)Re-Certification Audit and Scope Change (19 – 22 May 2025)
AUDIT FIRM	Bureau Veritas Certification
AUDIT DATE	<ul style="list-style-type: none">13 – 14 May 2020 (Initial Certification Audit)24 January 2022 (Surveillance Audit)18 – 19 May 2022 (Re-Certification Audit)31 January 2023 (Surveillance Audit)19 – 22 May 2025 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">24 June 2020 (Initial Certification Audit)7 March 2022 (Surveillance Audit)5 July 2022 (Re-Certification Audit)28 March 2023 (Surveillance Audit)16 June 2025 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (13 – 14 May 2020)</u></p> <p>Aleris Aluminium Duffel BVBA is a mill in Belgium that provides automotive body sheet and general coil and sheet products.</p> <p>Supply chain activities included in the Audit Scope:</p> <ul style="list-style-type: none">Aluminium Re-melting/RefiningCasthousesPost-Casthouse <p>All relevant criteria in the ASI Chain of Custody Standard were included in the Audit Scope.</p>

Surveillance Audit (24 January 2022)

Alvance Aluminium Duffel BV is a mill in Belgium that provides automotive body sheet and general coil and sheet products.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

All relevant criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

The Surveillance Audit was undertaken as a 'desktop' exercise consistent with the Entity's overall maturity level and the Audit type and ongoing COVID-19 travel limitations present at the time of the Audit.

Re-Certification Audit (18 – 19 May 2022)

The Audit Scope covers the casting and mill production of semis at Alvance Aluminium Duffel BV for the automotive, transport, building and niche markets.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

All relevant criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

Surveillance Audit (31 January 2023)

The Audit Scope covers the casting and mill production of semis at Aluminium Duffel BV for the automotive, transport, building and niche markets.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

All relevant criteria in the ASI Chain of Custody Standard were included in the Audit Scope.

Re-Certification and Scope Change Audit (19 – 22 May 2025)

Aluminium Duffel BV is a mill in Belgium that provides automotive body sheet and general coil and sheet products.

Supply chain activities included in the Audit Scope:

- Aluminium Re-melting/Refining
- Casthouses
- Post-Casthouse

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME

Certification

AUDIT METHODOLOGY DECLARATION

The Auditors confirm that:

- ☒ The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this Report.
 - ☒ The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous.
 - ☒ The Audit Scope and Audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope.
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☑ The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.

CERTIFICATION PERIOD 31 July 2025 – 30 July 2028

NEXT AUDIT TYPE Re-Certification Audit

NEXT AUDIT DUE DATE 30 July 2028

CERTIFICATE NUMBER 86



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

The Aluminium Duffel facility (the ‘Entity’) is in Duffel, Belgium, and was established in 1946 and has since developed into a leading producer of high-quality rolled Aluminium products. The Entity specialises in the production of Aluminium sheet and coil for applications across the automotive, construction, and industrial sectors.

Operations undertaken at the Entity include re-melting, Casting, hot and cold rolling, heat treatment, and surface treatment of Aluminium. The Entity supplies products to customers worldwide, with a strong emphasis on quality, innovation, and sustainability. The Entity covers an area of approximately 43 hectares (ha) and employs approximately 1,000 permanent personnel, who are collectively supported by several specialised Contractors. The Entity also includes extensive production installations, laboratories for quality control and co-engineering, administrative offices, and supporting services.

The Entity is located approximately two kilometres from the centre of Duffel, a municipality in the province of Antwerp. The immediate surroundings include both residential areas and local commercial zones. The nearest residential neighbourhoods are situated less than one kilometre from the site. Nearby sensitive areas include Nazareth (located to the west of the site) and the valley zone of the River Nete, which flows along the eastern boundary of Duffel. These areas are ecologically significant and are considered important for Biodiversity and water management.

The Entity maintains active engagement with local authorities, residents, and other Stakeholders through environmental permitting processes and sustainability reporting. Key external Stakeholders include the Municipality of Duffel, local residents, several Flemish Environmental Agencies, customers across Europe and beyond, and industry organizations such as the Aluminium Stewardship Initiative (ASI), of which Aluminium Duffel is a certified member under both the ASI Performance Standard and ASI Chain of Custody.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

OVERALL	
SYSTEMS	High
RISKS	High
PERFORMANCE	High
OVERALL	HIGH

FINDINGS

CRITERION	RATING	COMMENT
1. MANAGEMENT SYSTEM AND RESPONSIBILITIES		
1.1 ASI Membership	Conformance	The Entity is a member of the Aluminium Stewardship Initiative (ASI). The Duffel site was first Certified against the ASI Performance Standard in 2019. Both the ASI Performance Standard and ASI Chain of Custody Standard certificates are available at: https://aluminiumduffel.com/downloads
1.2 CoC Management System	Conformance	The Entity has an established Management System Certified against the ASI Performance Standard, the ASI Chain of Custody Standard, ISO 9001, ISO 14001 and ISO 45001 standards. The ASI requirements are addressed by both the existing Management System and additional policies and procedures that were elaborated to cover the ASI Chain of Custody Standard specific requirements. All documents are available through the internal data Management System. Employees and managers receive verifiable training on ASI-specific content.
1.3 CoC Management System Monitoring	Conformance	The Entity has conducted annual management reviews for its Management System. The goals and projects are established in relation to the United Nations Sustainable Development Goals (SDGs) and a double Materiality assessment. The ASI management review is incorporated with the ISO 14001 and ISO 45001 management review. Internal audits are undertaken regularly, at least annually, and potential improvements are identified where necessary in order to continuously improve the Management System.
1.4 Management Representative	Conformance	The Entity has established a multi-disciplinary team for the implementation of the ASI Chain of Custody Standard. The Vice President Business Planning is the nominated ASI representative. The responsibilities are documented in the Entity's organisational chart. Quarterly Steering Committee Sustainability meetings are held with the management team.
1.5 Communications and Training	Conformance	The Entity's new employees receive introduction training that includes specific content on the ASI Chain of Custody Standard. Staff involved in the Chain of Custody (CoC) related activities have received specific training on the procedures and instructions on CoC implementation. All training is registered and recorded by the Human Resources Department and relevant personnel are presented in the ASI management organisational chart.
1.6 Records Management	Conformance	The Entity's Material Accounting System is integrated within the Entity's SAP environment, and records are retained in the system for at least five years. Copies of the records are automatically created and stored. The general guideline for document control stipulates a retention period of at least five years for management documents, and management documents must also be reviewed annually.
1.7a Reporting to ASI (Inputs and Outputs of CoC Material)	Conformance	The Entity has defined in its process instructions that the reporting of CoC Material to the ASI Secretariat will be presented to the ASI Secretariat by the due date of 30 June of the following year. A detailed template was modified so that numbers are generated automatically from the SAP system. The Entity has reported data within the required timeframe.

CRITERION	RATING	COMMENT
1.7b Reporting to ASI (Inputs and Outputs of Eligible Scrap)	Conformance	The Entity has defined in its process instructions that the reporting of CoC Material to the ASI Secretariat is required by the due date of 30 June of the following year. Quantities for Eligible Scrap are included in the reporting.
1.7c Reporting to ASI (Inflows and Outflows of Non-CoC Material)	Conformance	The Entity has defined in its process instructions that the reporting of Inflow and Outflow Quantities of Non-CoC Materials over the calendar year will be presented to the ASI secretariat by the due date of 30 June of the following year.
1.7d Reporting to ASI (Positive Balance carried over)	Conformance	The Entity defines in its process instruction that the reporting of ASI material is undertaken by the due date of 30 June of the following year. Reported data include any Positive Balance, if applicable. A daily balance is calculated automatically in the SAP system.
1.7e Reporting to ASI (Positive Balance used)	Conformance	The Entity defines in its process instruction that the reporting of ASI material is undertaken by the due date of 30 June of the following year. Reported data also include Positive Balance used, if applicable. A daily balance is calculated automatically in the SAP system.
1.7f Reporting to ASI (Internal Overdraw drawn down)	Conformance	The Entity defines in its process instruction that the reporting of Internal Overdraw drawn down from the subsequent Material Accounting Period is undertaken by the due date of 30 June of the following year.
1.7g Reporting to ASI (Intra-Entity Flows)	Conformance	The Entity defines in its process instruction that the reporting of Quantities of CoC Materials transferred between supply chain activities within the CoC Certified Entity (Intra-Entity Flows) over the calendar year is undertaken by the due date of 30 June of the following year. A daily balance is calculated automatically in the SAP system.

2. OUTSOURCING CONTRACTORS

2.1 Certification Scope	Not Applicable	This Criterion is not applicable to the Entity as it does not use Outsourcing Contractors for CoC Material.
2.2a Control of CoC Material (Legal ownership or control)	Not Applicable	This Criterion is not applicable to the Entity as it does not use Outsourcing Contractors for CoC Material.
2.2b Control of CoC Material (No further outsourcing)	Not Applicable	This Criterion is not applicable to the Entity as it does not use Outsourcing Contractors for CoC Material.
2.2c Control of CoC Material (Risk assessment)	Not Applicable	This Criterion is not applicable to the Entity as it does not use Outsourcing Contractors for CoC Material.
2.3 Information on Quantity of CoC Material Output and Returned	Not Applicable	This Criterion is not applicable to the Entity as it does not use Outsourcing Contractors for CoC Material.

CRITERION	RATING	COMMENT
2.4 Consistency in Inflow and Outflow Quantity of CoC Material to/from Outsourcing Contractor	Not Applicable	This Criterion is not applicable to the Entity as it does not use Outsourcing Contractors for CoC Material.
2.5 Error (Outsourcing Contractor)	Not Applicable	This Criterion is not applicable to the Entity as it does not use Outsourcing Contractors for CoC Material.
3. PRIMARY ALUMINIUM: CRITERIA FOR ASI BAUXITE, ASI ALUMINA AND ASI ALUMINIUM		
3.1a ASI Bauxite (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1b ASI Bauxite (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.1c ASI Bauxite (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2a ASI Alumina (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2b ASI Alumina (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.2c ASI Alumina (Bauxite sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3a ASI Aluminium (CoC Certification Scope)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3b ASI Aluminium (Performance Standard)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
3.3c ASI Aluminium (Alumina sourcing)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
4. RECYCLED ALUMINIUM: CRITERIA FOR ELIGIBLE SCRAP		
4.1a Recycled Aluminium (CoC Certification Scope)	Conformance	The Entity is engaged in Aluminium Re-melting/Refining at the Aluminium Duffel BV facility only.
4.1b Recycled Aluminium (Performance Standard)	Conformance	The Entity is Certified against the ASI Performance Standard and the ASI Chain of Custody Standard. Both Certificates are available at: https://aluminiumduffel.com/downloads
4.2a Eligible Scrap (Pre-Consumer)	Conformance	The Entity has implemented a Material Accounting System to control and account for all sources of the supply chain. A Due Diligence process and transparent traceability system addressing eligible Pre-Consumer Scrap are established.

CRITERION	RATING	COMMENT
4.2b Eligible Scrap (Post-Consumer)	Conformance	The Entity has implemented a Material Accounting System to control and account for all sources of the supply chain. A Due Diligence process and transparent traceability system covering eligible Post-Consumer Scrap have been established.
4.2c Eligible Scrap (Dross)	Conformance	The Entity has implemented a Material Accounting System to control and account for all sources of the supply chain. A Due Diligence process and transparent traceability system addressing eligible Pre-Consumer Scrap (as Dross) are established.
4.3a Records Management for Direct Suppliers of Recyclable Scrap Material (Suppliers)	Conformance	The Entity's Material Accounting System is designed to control and account for all sources of Scrap and their origin coming into the supply chain. All suppliers are qualified and there is full traceability to control the identity, principals and place/s of operation of all direct suppliers of Recyclable Scrap Material.
4.3b Records Management for Direct Suppliers of Recyclable Scrap Material (Financial transactions)	Conformance	The Entity has implemented a Policy where cash payments are not allowed. Payments are checked internally and externally by third parties. This is confirmed by an external auditor on an annual basis.
5. CASTHOUSES: CRITERIA FOR ASI ALUMINIUM		
5.1a ASI Aluminium (CoC Certification Scope)	Conformance	The Entity produces Casthouse Products from Primary Aluminium and Recycled Aluminium within its Certification Scope, which comes either from the Entity's Casthouse or an external Casthouse that is Certified against the ASI Performance Standard and ASI Chain of Custody Standard.
5.1b ASI Aluminium (Performance Standard)	Conformance	The Entity produces Casthouse Products from Primary Aluminium and Recycled Aluminium. The Facility has been Certified against the ASI Performance Standard since 2019.
5.1c ASI Aluminium (Aluminium sourcing)	Conformance	The Entity engaged in producing Casthouse Products, ensures that ASI Aluminium is produced directly from another ASI CoC Certified Entity.
5.2 Unique Identification	Conformance	The Entity has a Material Accounting System to trace and link Casthouse Products to the Input Quantity of CoC Material.
6. POST-CASTHOUSE: CRITERIA FOR ASI ALUMINIUM		
6.1a Post-Casthouse ASI Aluminium (CoC Certification Scope)	Conformance	The Entity's Post-Casthouse activity is included in the Chain of Custody Certification Scope. Systems are in place to ensure that it is itself producing ASI Aluminium only from Facilities within the Entity's CoC Certification Scope.
6.1b Post-Casthouse ASI Aluminium (Performance Standard)	Conformance	The Entity only uses ASI Certified suppliers for the sourcing of ASI material.
6.1c Post-Casthouse ASI Aluminium (Aluminium sourcing)	Conformance	The Entity has implemented a material handling system that ensures the identification of CoC Material and Non-CoC Material.

CRITERION	RATING	COMMENT
7. DUE DILIGENCE FOR NON-COC MATERIAL, COC MATERIAL ACQUIRED THROUGH A TRADER AND RECYCLABLE SCRAP MATERIAL		
7.1a Responsible Sourcing Policy (Anti-corruption)	Conformance	The Entity has implemented a Code of Conduct and a Responsible Sourcing Policy to address anti-Corruption. Suppliers are required to sign the Code of Conduct. Both the Code of Conduct and the Responsible Sourcing Policy are available at: https://aluminiumduffel.com/downloads
7.1b Responsible Sourcing Policy (Responsible sourcing)	Conformance	The Entity has implemented a Code of Conduct and a Responsible Sourcing Policy to address anti-Corruption. Suppliers are required to sign the Code of Conduct. Both the Code of Conduct and the Responsible Sourcing Policy are available at: https://aluminiumduffel.com/downloads
7.1c Responsible Sourcing Policy (Human rights due diligence)	Conformance	The Entity has implemented a Code of Conduct and a Responsible Sourcing Policy, which address Human Rights. Suppliers are required to sign the Code of Conduct. Both the Code of Conduct and the Responsible Sourcing Policy are available at: https://aluminiumduffel.com/downloads
7.1d Responsible Sourcing Policy (Conflict-affected and high-risk areas)	Conformance	The Entity has implemented a Code of Conduct and a Responsible Sourcing Policy to address Conflict-Affected and High-Risk Areas (CAHRAs). Relevant suppliers are required to sign the Code of Conduct. A Human Rights risk assessment is conducted, and suppliers are rated according to international standards. Both the Code of Conduct and the Responsible Sourcing Policy are available at: https://aluminiumduffel.com/downloads
7.2 Risk Assessment and Mitigation	Conformance	The Entity has both a Code of Conduct and a Global Compliance Standard. Relevant suppliers are required to sign the Code of Conduct. A Due Diligence risk assessment is undertaken prior to commencing activities with new suppliers.
7.3 Complaints Resolution Mechanism	Conformance	The Entity has established a complaints mechanism and makes it possible to raise concerns through different channels (e.g., via email or by phone). The communication channels are communicated in the Sustainability Report 2024, page 31: https://aluminiumduffel.com/download
8. MASS BALANCE SYSTEM: COC MATERIAL AND ASI ALUMINIUM		
8.1 Material Accounting System	Conformance	The Entity has implemented a Material Accounting System that records all Inputs and Outputs of CoC and Non-CoC Material in its SAP system where they are traced. All ASI relevant purchases and sales are documented.
8.2 Material Accounting Period	Conformance	The Entity has defined in its Mass Balance System the Material Accounting Period to be one year, which starts with the calendar year on 1 st January and ends on 31 st December of each year.
8.3 Input and Inflow Quantities	Conformance	The Entity has implemented a Material Accounting System that records all Inputs and Outputs of CoC and Non-CoC Material in its SAP

CRITERION	RATING	COMMENT
		system, where they are traced. All ASI relevant purchases and sales are documented.
8.4 Output Quantities of CoC Material	Conformance	The Entity has implemented a Material Accounting System that records all Inputs and Outputs of CoC and Non-CoC Material in its SAP system, where they are traced. All ASI relevant purchases and sales are documented.
8.5 Indivisibility of CoC Material	Conformance	Based on the Material Accounting System, Material that is sold as ASI CoC Material is designated 100% ASI CoC Material.
8.6 Output Quantity of Eligible Scrap	Conformance	If the Entity designates Eligible Scrap, it uses the calculated Input Percentage. The ASI responsible person also checks this information.
8.7 Consistency Between Input Percentage and Total Output	Conformance	The Entity has programmed its Material Accounting System to ensure that the total Output does not exceed the Input Percentage. An automatic alert is programmed to provide a warning if the Output exceeds the Input and the necessary steps can then be taken to ensure it does not exceed the Input over the Material Accounting Period.
8.8a Internal Overdraw (Not exceed 20%)	Conformance	The Entity controls the Input-Output balance monthly as a minimum. To avoid an Internal Overdraw of more than 20%, the Entity has programmed an alert in its Material Accounting System that provides a warning when the total Output of CoC Material exceeds 20% of the total Input Quantity.
8.8b Internal Overdraw (Not exceed force majeure situation)	Conformance	The level of Internal Overdraw caused by an unforeseen or unexpected situation with respect to a contract for delivery is visible for each Material Accounting Period. The Entity controls the Input-Output balance monthly and has programmed an alert, to avoid an Overdraw and allow the necessary steps to correct the situation.
8.8c Internal Overdraw (Made up within subsequent Material Accounting Period)	Conformance	The Entity has specified in its process instructions that an Internal Overdraw shall be made up in the next Material Accounting Period.
8.9a Positive Balance (Carry over)	Conformance	The Entity has described in its annual reporting procedure that a Positive Balance of any unused CoC Material can be carried over into the next calendar year, however, this must be reported accordingly.
8.9b Positive Balance (Expiry)	Conformance	The Entity describes in its annual reporting procedure that a Positive Balance of unused CoC Material can be carried over into the next calendar year, but that it will expire at the end of that period.
9. ISSUING COC DOCUMENTS		
9.1 CoC Document	Conformance	The Entity generates a CoC Document in parallel to the invoice for CoC Material Certificates. These Certificates are submitted with the invoice. For customers that do not receive invoices, such as self-billing customers, the Certificates are gathered on a monthly basis and submitted to these customers.

CRITERION	RATING	COMMENT
9.2a CoC Document Content (Date of issue)	Conformance	All CoC Documents include the date of issue of the CoC Document.
9.2b CoC Document Content (Reference number)	Conformance	All CoC Documents include the reference number of the CoC Document, which is linked to the Material Accounting System.
9.2c CoC Document Content (Issuing Entity)	Conformance	All CoC Documents include the identity, address and CoC Certification number of the Entity.
9.2d CoC Document Content (Receiving customer)	Conformance	All CoC Documents include the identity and address of the company receiving the CoC Material. If the receiving company is a CoC Certified Entity, the documents also carry the CoC Certification number of the receiving company.
9.2e CoC Document Content (Responsible employee)	Conformance	All CoC Documents include the name and contact details of the ASI responsible person who can verify the provided information.
9.2f CoC Document Content (Conformance statement)	Conformance	All CoC Documents include the claim 'The information provided in the CoC Document is in conformance with the ASI CoC Standard'.
9.2g CoC Document Content (Type of CoC Material)	Conformance	All CoC Documents specify the type of CoC Material in the shipment. This description is copied from the original shipping documents.
9.2h CoC Document Content (Mass of CoC Material)	Conformance	All CoC Documents include the Mass of CoC Material in the shipment.
9.2i CoC Document Content (Mass of total material)	Conformance	All CoC Documents include the Mass of total Material in the shipment.
9.3a Sustainability Data (optional) – Carbon footprint	Conformance	All shipping documents of the Entity contain information on the carbon footprint of the Chain of Custody Material.
9.3b Sustainability Data (optional) – Origin information	Not Applicable	This Criterion is not applicable to the Entity as it has decided not to provide additional Sustainability Data on CoC Documents.
9.3c Sustainability Data (optional) – Recycled content	Not Applicable	This Criterion is not applicable to the Entity as it has decided not to provide additional Sustainability Data relating to recycled content on CoC Documents.
9.3d Sustainability Data (optional) – Post-Casthouse ASI Certification status	Conformance	The Entity provides the ASI Certification number of the Entity in its CoC Documents.

CRITERION	RATING	COMMENT
9.4 Supplementary Information (optional) - Objective evidence	Conformance	Through the delivery number on the CoC Document, all information can be traced back to the used Material. Information including the Entity's Responsible Sourcing Policy, or the status of the ISO 14001 certification can be checked at: https://aluminiumduffel.com/download
9.5 Verification of Information	Conformance	The Entity defines in its mass balance procedure how to manage errors (if they occur in connection with issued CoC Documents). A responsible person is nominated on the Certificate and can be contacted to investigate the incident if required.
9.6 Error (Shipping)	Conformance	The Entity defines in its mass balance procedure, how to manage errors (if they occur). The ASI responsible person investigates incidents and issues in agreement with the customer a new or corrected CoC Document.
10. RECEIVING COC DOCUMENTS		
10.1 Verification of CoC Documents	Conformance	The Entity defines a procedure for receiving ASI CoC Documents, how to proceed with received CoC Documents. All relevant requirements are verified.
10.2 Verification of Consistency Between CoC Documents and CoC Material	Conformance	The Entity defines a procedure for receiving ASI CoC Documents, and the process to conduct a consistency check of the received CoC Material with the provided documents.
10.3 Verification of Supplier's ASI CoC Certification	Conformance	The Entity defines a procedure for receiving ASI CoC Documents, that the validity and scope of the supplier's CoC Certification shall be checked quarterly.
10.4 Error (Reception)	Conformance	The Entity defines in its complaints and evaluations procedure, how to manage and analyse any errors identified and to prevent the recurrence of the error.
11. CLAIMS AND COMMUNICATIONS		
11.1a Claims and Communications (ASI Claims Guide)	Conformance	The labelling of Certified Material (as an ASI CoC claim) is defined by the Entity in a managed document.
11.1b Claims and Communications (Verifiable evidence)	Conformance	The labelling of Certified Material (as an ASI CoC claim) is defined by the Entity in a managed document and has maintained related records regarding claims and communications.
11.1c Claims and Communications (Employee training)	Conformance	All responsible employees of the Entity have been trained in the communication rules of the ASI Claims Guide.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a

Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	7 July 2020	Initial Certification Audit – Full Certification
1	18 August 2021	Transfer of Certification to Alvanco Aluminium Duffel BV from Novelis Duffel (formerly Aleris Duffel) – Provisional Certification Next Audit Type and Next Audit Date revised to Surveillance Audit (29 December 2021)
2	10 March 2022	Surveillance Audit
3	15 August 2022	Re-Certification Audit – Full Certification Revised the Certification Scope to align with the Entity's ISO certifications Note: Following the Re-Certification Audit and prior to the issue of the Full Certification, the Entity was acquired by American Industrial Partners on 14 June 2022 and the facility name was changed to Aluminium Duffel BV.
4	16 August 2022	Transfer of Certification to Aluminium Duffel BV following acquisition of Alvanco Aluminium Duffel BV – Provisional Certification
5	25 May 2023	Surveillance Audit – Full Certification (revert to existing Certification Period as per ASI requirement for acquisitions), Audit Scope for 'Surveillance Audit (24 January 2022)' Entity corrected from Aleris Aluminum Duffel BVBA to Alvanco Aluminium Duffel BV.
6	31 July 2025	Re-Certification Audit and Scope Change to apply Chain of Custody Standard V2