

ASI CERTIFICATION PERFORMANCE STANDARD



PRESENTED TO

Ball Beverage Packaging South America (BPSA)

CERTIFICATE NUMBER
154

ASI STANDARD
PERFORMANCE
STANDARD
(V3.1 2023)

CERTIFICATION LEVEL
FULL
CERTIFICATION

ASI ACCREDITED
AUDITING FIRM
DNV BUSINESS
ASSURANCE SERVICES
UK LTD.

DATE OF ISSUE
31 JULY 2025

DATE OF EXPIRY
30 JULY 2028

CERTIFIED SINCE
8 NOVEMBER 2021

AUTHORISED BY

A handwritten signature in black ink, appearing to be 'J. H.', followed by a long horizontal line.

Aluminium Stewardship Initiative Ltd
ACN 606 661 125, Australia
info@aluminium-stewardship.org

*Validity of this Certificate is subject to
continued conformance with the
applicable ASI Standard and can be
verified at
www.aluminium-stewardship.org*

CERTIFICATION SCOPE

Regional managing activities
conducted at the headquarters in
Sao Jose dos Campos (Brazil).

Manufacturing of beverage can
bodies at the Argentina
(Argentina), Aguas Claras (Brazil),
Brasilia (Brazil), Chile (Chile),
Extrema (Brazil), Frutal (Brazil) and,
Jacarei (Brazil) facilities.

Manufacturing of beverage can
ends at the Manaus (Brazil) facility.

Manufacturing of beverage can
bodies and ends at the Paraguay
Can Bodies and Can Ends
(Paraguay), Pouso Alegre (Brazil),
Recife Can Bodies and Can Ends
(Brazil) and Tres Rios (Brazil)
facilities.

AUDIT REPORT PERFORMANCE STANDARD

OVERVIEW

MEMBER NAME	Ball Corporation
ENTITY NAME	Ball Beverage Packaging South America (BPSA)
CERTIFICATION SCOPE	<p>Regional managing activities conducted at the headquarters in Sao Jose dos Campos (Brazil).</p> <p>Manufacturing of beverage can bodies at the Argentina (Argentina), Aguas Claras (Brazil), Brasilia (Brazil), Chile (Chile), Extrema (Brazil), Frutal (Brazil) and Jacarei (Brazil).</p> <p>Manufacturing of beverage can ends at the Manaus (Brazil) facility.</p> <p>Manufacturing of beverage can bodies and ends at the Paraguay Can Bodies and Can Ends (Paraguay), Pouso Alegre (Brazil), Recife Can Bodies and Can Ends (Brazil) and Tres Rios (Brazil) facilities.</p>
SUPPLY CHAIN ACTIVITIES	<ul style="list-style-type: none">Material Conversion
ASI STANDARD	<ul style="list-style-type: none">Performance Standard V3.1
AUDIT TYPE	<ul style="list-style-type: none">Initial Certification Audit (19 July – 13 August 2021)Surveillance Audit (11 – 23 August 2022)Re-Certification Audit and Scope Change (10 – 28 March 2025)
AUDIT FIRM	DNV Business Assurance Services UK Ltd.
AUDIT DATE	<ul style="list-style-type: none">19 July – 13 August 2021 (Initial Certification Audit)11 – 23 August 2022 (Surveillance Audit)10 – 28 March 2025 (Re-Certification Audit and Scope Change)
AUDIT REPORT SUBMISSION	<ul style="list-style-type: none">1 September 2021 (Initial Certification Audit)17 September 2022 (Surveillance Audit)4 June 2025 (Re-Certification Audit and Scope Change)
AUDIT SCOPE	<p><u>Initial Certification Audit (19 July – 13 August 2021)</u></p> <p>The Audit Scope covers Ball Beverage Packaging South America (BPSA) at headquarters in Sao Jose dos Campos (Brazil) with virtual site tours of Aguas Claras (Brazil), Brasilia (Brazil), Extrema (Brazil) and Recife Can Bodies (Brazil) for beverage can bodies manufacturing and Recife Can Ends (Brazil) for beverage can ends manufacturing.</p> <p>The ASI multi-site sampling approach was undertaken to include the Argentina (Argentina), Chile (Chile), Frutal (Brazil), Jacarei (Brazil), Manaus (Brazil), Paraguay Can Bodies and Can Ends (Paraguay), Pouso Alegre (Brazil), Santa Cruz (Brazil) and Tres Rios (Brazil) facilities.</p> <p>Supply chain activities included in the Audit Scope:</p>

- Material Conversion (Production and Transformation)

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

At the time of the Audit (July – August 2021), access to the site was not possible, due to COVID-19 related travel restrictions. The Audit has been undertaken as a ‘desktop’ exercise, in accordance with ASI Interim Policy regarding Audits, Audit-Related Travel and Coronavirus (v4), and included a remote review of relevant documentation and Webex Video Conferencing to provide a site tour and enable interviews.

Surveillance Audit (11 – 23 August 2022)

The Audit Scope covers Ball Beverage Packaging South America (BPSA) at Recife (Brazil) for the manufacture of can bodies and lids and the Águas Claras (Brazil), Brasília (Brazil) and Extrema (Brazil) facilities for the manufacture of beverage can bodies.

The Entity uses the ASI multi-site sampling approach and the Argentina (Argentina), Chile (Chile), Frutal (Brazil), Jacarei (Brazil), Manaus (Brazil), Paraguay Can Bodies and Can Ends (Paraguay), Pouso Alegre (Brazil), Santa Cruz (Brazil) and Tres Rios (Brazil) facilities were not included in the Audit Scope.

Re-Certification Audit and Scope Change (10 – 28 March 2025)

The Audit Scope covers Ball Beverage Packaging South America (BPSA) and used the ASI multi-site sampling approach, where Audited sites included the regional headquarters located in SJC Campos, plus sites in Ball BPSA Chile, Ball BPSA Jacarei, Ball BPSA Manaus, Ball BPSA Paraguay (Can Bodies), Ball BPSA Paraguay (Can Ends) and Ball BPSA Tres Rios.

Supply chain activities included in the Audit Scope:

- Material Conversion

All relevant criteria in the ASI Performance Standard were included in the Audit Scope.

AUDIT OUTCOME	<ul style="list-style-type: none"> • Certification
AUDIT METHODOLOGY DECLARATION	<p>The Auditors confirm that:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> The information provided by the Entity is true and accurate to the best knowledge of the Auditor(s) preparing this report. <input checked="" type="checkbox"/> The findings are based on verified Objective Evidence relevant to the time period for the Audit, traceable and unambiguous. <input checked="" type="checkbox"/> The Audit Scope and audit methodology are sufficient to establish confidence that the findings are indicative of the performance of the Entity's defined Certification Scope. <input checked="" type="checkbox"/> The Auditor(s) have acted in a manner deemed ethical, truthful, accurate professional, independent and objective.
CERTIFICATION PERIOD	31 July 2025 – 30 July 2028
NEXT AUDIT TYPE	Surveillance Audit
NEXT AUDIT DATE	31 July 2026
CERTIFICATE NUMBER	154



If you have an inquiry or complaint about this Certification, go to the third-party EthicsPoint portal at: <https://aluminium-stewardship.ethicspoint.com/>

EthicsPoint is a comprehensive and confidential reporting tool that enables complaints to be securely raised, managed and responded to. This enables anonymity to be maintained where desired by complainants, or as relevant to whistleblowing situations.

Information is available in five languages – English, French, Chinese, German and Portuguese (Brazil). Translation services provided by EthicsPoint enable complaints and correspondence to be managed in multiple languages.

ENTITY OVERVIEW

Ball Beverage Packaging South America (BPSA) (the 'Entity') is a division of Ball Corporation, a global packaging company for the production of Aluminium beverage cans. Ball Corporation is one of the largest packaging suppliers in the world and operates in several areas, including food and beverage packaging, as well as aerospace solutions. The Entity is responsible for operations in South America, offering sustainable packaging solutions for the beverage market in the region.

Ball began to establish its operations in South America during the 1990s, when the beverage market in the region began to expand considerably. In 2007, Ball created the Entity, with the aim of meeting the growing demand for Aluminium beverage packaging in the region and now offers a wide range of Aluminium packaging to meet the needs of beverage manufacturers. Its main products include:

- Aluminium cans for carbonated beverages (soft drinks and beer) in various sizes;
- Aluminium cans for juices and non-carbonated beverages;
- Water and non-carbonated beverages, including energy drinks and sports drinks;

Custom Aluminium Cans providing exclusive designs for customers looking to differentiate their products in the market. This includes cans with themed or promotional designs, meeting specific marketing demands.

MATURITY RATINGS

A rating of maturity (low, medium or high) determined by the Auditor that provides a general assessment in terms of systems, Residual Risk and performance assigned to a Sustainability Component.

Maturity ratings are not a direct assessment of conformance to the Standard.

	GOVERNANCE	ENVIRONMENT	SOCIAL	COMBINED RATING
SYSTEMS	High	High	High	HIGH
RISKS	High	High	High	HIGH
PERFORMANCE	High	High	High	HIGH
OVERALL	HIGH			

FINDINGS

CRITERION	RATING	COMMENT
1. BUSINESS INTEGRITY		
1.1 Legal Compliance	Conformance	The Entity has implemented systems to maintain knowledge and Compliance with Applicable Law to its business in the social, economic, governance and environmental spheres. The Entity has implemented a Global Corporate Compliance Policy to ensure legal compliance in all areas.
1.2 Anti-Corruption	Conformance	The Entity acts against Corruption in all its forms, including Extortion and Bribery, and maintains a Corporate Anti-Corruption Policy that describes compliance with anti-Corruption laws in Ball's operating countries and prohibits all forms of Bribery and Corruption. For more information, the Ball Responsible Sourcing principles are available at: https://www.ball.com/na/vision/sustainability/product-stewardship/supply-chain/responsible-sourcing-framework
1.3a-e Code of Conduct	Conformance	The Entity has implemented a Code of Conduct that includes relevant principles for environmental, social and governance performance. For more information, refer to: https://www.ball.com/codeofconduct
2. POLICY AND MANAGEMENT		
2.1a-f Environmental, Social, and Governance Policy	Conformance	<p>The Entity is committed to the sound management of its environmental aspects and social and governance processes through the implementation and maintenance of its various Policies. All global Policies are developed at the Denver headquarters and undergo periodic review, with regions and plants adding Policies and/or other documentation that apply at the local level. The Entity's Global Senior Leadership has endorsed Ball's Policies and procedures, as well as in South America with the local Integrated Management System Policy, which is regularly reviewed during the annual Critical Analysis and Risk Assessment.</p> <p>The Entity frequently communicates its Policies and engagement initiatives through various internal communication channels, including email, bulletin boards, events and 'Ball Connect'. The Entity also communicates externally its main Policies, including the Corporate Human Rights Policy, Prohibition of Discrimination, Harassment, & Retaliation Policy and Respect at Work Policy. Refer to: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf https://www.ball.com/getattachment/3dbae886-d10f-473c-bbdc-016466152e98/CP-02-016-006-Prohibition-of-Discrimination-Harassment-Retaliation.pdf https://www.ball.com/getattachment/87f48b9e-b5ef-44b5-81e1-fb8dd6222c0c/HR-Respect-in-the-Workplace-Policy.pdf</p>
2.2a-c Leadership	Conformance	The Entity has appointed the Vice President of Procurement as the senior leadership role with overall authority to ensure conformance with the requirements of the ASI Performance Standard.
2.3a Environmental and Social Management Systems – Environmental	Conformance	The Entity maintains a multi-site certification for all its sites, in accordance with ISO 14001:2015, and has documented and

CRITERION	RATING	COMMENT
		implemented integrated Environmental, Social and Quality Management Systems.
2.3b Environmental and Social Management Systems – Social	Conformance	The Entity maintains a structured Social Responsibility System, which is regularly evaluated by accredited bodies that undertake audits for the SMETA social protocol (Sedex Members Ethical Trade Audit), which is requested by the Entity's clients to assess its social performance.
2.4a-e Responsible Sourcing	Conformance	The Entity has implemented and communicated a Responsible Purchasing Policy and a Global Supplier Code of Conduct, which addresses environmental, social, governance and Anti-Corruption issues: Refer to: https://www.ball.com/getattachment/c71c8ea6-cd20-407d-b4c2-9a788ee19aeb/Supplier-Code-of-Conduct_Final_Nov2022.pdf
2.5a-g Environmental and Social Impact Assessments	Conformance	The Entity has conducted Environmental, Social, Cultural and Human Rights Impact Assessments, including a gender analysis, for New Projects or Major Changes (including a recently completed Neto existing Facilities, in accordance with the procedures of the Human Resources, Corporate Communications and Environment, Health and Safety (EHS) teams. For the construction of any new plant, for example, specific and multidisciplinary forums are also created to assess all aspects and impacts of the Entity's operations.
2.6a-h Human Rights Impact Assessment	Conformance	<p>The Entity has conducted Environmental, Social, Cultural and Human Rights Impact Assessments, including a gender analysis, for New Projects or Major Changes to existing Facilities, in accordance with the procedures of the Human Resources, Corporate Communications and EHS teams. For the construction of any new plant, for example, specific and multidisciplinary forums are also created to assess all aspects and impacts of the Entity's operations. For further information, refer to: https://www.ball.com/sustainability/social-impact/talent-development/human-rights and,</p> <p>2024 Human Rights Policy: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p> <p>2024 Combined Annual & Sustainability Report: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>GRI 2024 Content Index: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf</p>
2.7a-f Emergency Response Plan	Conformance	The Entity has developed a Global Emergency Response Policy and a Crisis Management Plan accordingly. In addition, it has implemented a specific Emergency Response Plan for each plant, which aims to identify, assess, avoid and mitigate impacts on key Stakeholders. The program includes employee orientation or instruction and specific emergency response training. Refer to the Ball SA Emergency Response Plan – South America: https://www.ball.com/getattachment/74fdb491-e0c3-442c-a9fc-d86281c8f2fa/Plano-de-resposta-a-Emergencias.pdf
2.8a-d Suspended Operations	Conformance	The Entity has developed a procedure entitled 'Aspects for Acquisition or Sale of New Businesses and Properties and P-EHS-8 Engineering,

CRITERION	RATING	COMMENT
		Construction, Start-up and Closure of Activities'. The Entity also has developed a Crisis Management Plan (CMP), with a corporate scope, containing possible scenarios in which it must suspend or significantly change operations due to factors beyond its control. The aforementioned plan (CMP) considers the Material environmental, social and governance impacts.
2.9a-b Mergers and Acquisitions	Conformance	<p>The Entity has a globally administered process to become familiar with the social, environmental and governance aspects of a potential acquisition that is treated confidentially and personalised for each merger and/or acquisition, as required.</p> <p>The Entity's Board has defined the continuity of the process, a specialised external consultancy is hired to assist in the Due Diligence process, forming a multidisciplinary internal team to assess all aspects and risks relevant to the business and report to Ball for review prior to acquisition.</p>
2.10a-b Closure, Decommissioning and Divestment	Conformance	The Entity analyses environmental, social and governance issues in any plant closure/decommissioning planning processes, in accordance with all applicable legislation, in collaboration with the Legal, EHS and Human Resources teams. Specialised consultants are hired to assess the local collective agreement, collective agreements in force at the time, among other relevant processes and documents to ensure the Entity leaves the place, equipment and employees in the best possible condition, free from liability, and with all necessary and/or appropriate referrals and socio-environmental agreements.
3. TRANSPARENCY		
3.1a-b Sustainability Reporting	Conformance	<p>The Entity publicly discloses its governance approach and its Material environmental, social and economic impacts, through the publication of the Combined Annual & Sustainability Report in accordance with the Global Reporting Initiative (GRI) Standard and details the Entity's progress towards sustainability goals. Refer to: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p>
3.2 Non-compliance and Liabilities	Conformance	<p>The Entity has defined the disclosure of fines, judgments, penalties and non-monetary sanctions for non-compliance with applicable legislation with specific thresholds (e.g. for environmental non-compliance, a value above USD10,000, as final judgment, was defined, as appointed in the GRI (Global Reporting Initiative) report.)</p> <p>These disclosures are available in the 2024 Combined Annual & Sustainability Report: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>https://investors.ball.com/sec-filings/all-sec-filings/content/0001558370-25-001190/0001558370-25-001190.pdf</p>
3.3a-c Payments to Governments	Conformance	The Entity has only made payments to governments on a legal and/or contractual basis, in accordance with internal Policies and the Code of Conduct. Payments are made by the Global Business Services (GBS) team after several internal approvals and documentation verification that adhere to the Competency Policy. Taxes are paid to the government on a legal basis and the entire payment stream is verified internally. Refer to:

CRITERION	RATING	COMMENT
		<p>https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p> <p>Disclosure of the amounts paid to governments is made through the GRI Report (Payments to Government Tax Authorities) at: https://www.ball.com/getattachment/318cdc87-5e97-4291-b42e-79bbad714665/GRI-REPORT-2024-March-Update.pdf</p> <p>https://investors.ball.com/sec-filings/all-sec-filings/content/0001558370-25-001190/0001558370-25-001190.pdf (page 58)</p>
3.4a-f Stakeholder Complaints, Grievances and Requests for Information	Conformance	<p>The Entity has implemented accessible, transparent, understandable and gender-sensitive and culturally sensitive grievance resolution mechanisms, adequate to handle the grievances, complaints and requests for information from interested parties related to its operations.</p> <p>Ball Corporation provides official channels for employees, suppliers, customers and anyone else to report violations of ethics, Human Rights, Corruption, safety and other issues in a secure and confidential manner, including anonymously.</p> <p>Any interested party may report to the Entity via the Compliance Alliance Hotline (available 24 hours a day, 7 days a week, with support in multiple languages), which is the primary channel for reporting issues related to Human rights, anti-Corruption practices, Discrimination or Harassment, Occupational Health and Safety (OH&S), Environment, Conflict of interest, Fraud, accounting or misuse of assets.</p> <p>Also refer to the Ethics Point/Compliance Alliance website at: https://www.ethicspoint.com</p> <p>https://app.convercent.com/en-us/Anonymous/IssueIntake/LandingPage/ea2d5425-6f27-e611-80c8-000d3ab06827</p>
4. MATERIAL STEWARDSHIP		
4.1a Environmental Life Cycle Assessment	Conformance	<p>The Entity has assessed the life cycle impacts of its main Product lines in conjunction with specialised consultants and using internal tools to identify opportunities. A Life Cycle Assessment (LCA) comparative analysis has been published and was reviewed by peers, for Aluminium beverage cans, PET and glass bottles, as well as carton packaging for beverages, in Brazil, Europe and the United States. This study was conducted independently by Sphera Consulting and the information, assumptions, system limits and LCA results are public and properly referenced when communicated externally.</p>
4.1b-c Environmental Life Cycle Assessment - Disclosure	Conformance	<p>The Entity has assessed the life cycle impacts of its main Product lines in conjunction with specialised consultants and using internal tools to identify opportunities. A LCA comparative analysis has been published and was reviewed by peers, for Aluminium beverage cans, PET and glass bottles, as well as carton packaging for beverages, in Brazil, Europe and the United States. This study was conducted independently by Sphera Consulting and the information, assumptions, system limits and LCA results are public and properly referenced when communicated externally.</p> <p>The Entity has published a LCA for Aluminium beverage cans, PET and glass bottles, as well as carton packaging for beverages, in Brazil,</p>

CRITERION	RATING	COMMENT
		Europe and the United States. The information, assumptions, system limits and LCA results are public and properly referenced when communicated externally, at: https://www.ball.com/sustainability/real-circularity/life-cycle-assessment
4.2 Product Design	Conformance	<p>The Entity has defined the goal of producing 80% of global beverage cans with weight-optimised STARcan dome designs. Combined with Ball Aerosol lightweight ReAl® alloy goal, the Entity will save more than 320,000 metric tonnes of Greenhouse Gas emissions during the first five years of the 2020–2030 goal period.</p> <p>The Entity's goal of 30% energy efficiency improvement (electricity and natural gas) in can manufacturing (2020–2030) is disclosed at: https://www.ball.com/goals and https://www.ball.com/realcircularity</p>
4.3a–b Aluminium Process Scrap	Conformance	<p>The Entity allocates 100% of its Scrap for recycling and seeks to minimise the generation of Aluminium Process Scrap from its own operation through improvement projects and initiatives with the Engineering and Lean teams. The generated Scrap is sent to duly qualified suppliers for recycling and manufacturing of new Aluminium products.</p> <p>Additionally, each of the Entity's plants has its own segregation processes driven by supplier specifications as well as Aluminium availability and recycling requirements. The sale of Scrap is part of the contractual obligations for most of the metal suppliers that purchase Aluminium Scrap from Ball and provide the Entity their own Scrap segregation specifications: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p>
4.4a–c Collection and Recycling of Products at End of Life – Material Conversion and other Manufacturing	Conformance	The Entity has implemented a strategy to increase recycling rates in countries where rates are low through projects, activities and specific targets suitable for the market. For countries where recycling is already consolidated, the Entity has implemented and collaborated on projects to maintain this volume and engage consumers about conscious consumption.
4.4d Collection and Recycling of Products at End of Life	Conformance	<p>The Entity has implemented campaigns aimed at collecting and recycling Aluminium cans, including developing donation actions that benefit the community surrounding the factories.</p> <p>The Entity has implemented a strategy to increase recycling rates in countries where rates are low through projects, activities and specific targets suitable for the market. For countries where recycling is already consolidated, the Entity has implemented and collaborated on projects to maintain this volume and engage consumers about conscientious consumption.</p> <p>For more information, refer to: https://www.ball.com/sustainability/real-circularity/recycling</p>
5. GREENHOUSE GAS EMISSIONS		
5.1a–b Disclosure of GHG Emissions and Energy Use	Conformance	The Entity is committed to reducing its Greenhouse Gas (GHG) Emissions through various initiatives to mitigate its impact on the global climate. The Entity has disclosed its GHG Emissions reduction target together with its Science Based Targets initiative (SBTi).

CRITERION	RATING	COMMENT
		<p>The Corporate Sustainability team is responsible for disclosing GHG Emissions data (for Scopes 1, 2 and 3) annually through the Carbon Disclosure Project (CDP) report and via the Ball data centre. Further information is available in the 2024 Combined Annual & Sustainability Report, page 41:</p> <p>https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p>
5.2a Aluminium Smelter GHG Emissions Intensity – Started production after 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.2b Aluminium Smelter GHG Emissions Intensity – In production up to and including 2020	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
5.3a GHG Emissions Reduction Plans	Conformance	<p>The Entity has developed and launched its GHG Emissions reduction target aligned to a 1.5°C global warming scenario and has been approved by the Science Based Target initiative (SBTi). Ball has committed to achieving a 55% absolute reduction in GHG emissions (Scopes 1 and 2), based on the year 2017, by 2030. In addition, Ball is committed to reducing the absolute GHG emissions in its value chain by 16% from mining, refining, smelting, and rolling, to ball manufacturing, logistics and recycling. For more information, refer to the 2024 Combined Annual & Sustainability Report, page 27:</p> <p>https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports</p> <p>GHG data have been independently verified. Refer to:</p> <p>https://www.ball.com/getattachment/8ccd389c-d900-4890-adc4-5fbfcf2c7fe9/FY24-PwC-ESG-Assurance-Report-Management-Assertion-signed.pdf</p> <p>Also refer to the Entity's 2025 GRI Content Index, pages 19-21:</p> <p>https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>
5.3b-e GHG Emissions Reduction Plans – Targets, review and disclosure	Conformance	<p>The Entity has developed and launched its GHG Emissions reduction target aligned to a 1.5°C global warming scenario and has been approved by the Science Based Target initiative (SBTi). Ball has committed to achieving a 55% absolute reduction in GHG emissions (Scopes 1 and 2), based on the year 2017, by 2030. In addition, Ball is committed to reducing the absolute GHG emissions in its value chain by 16% from mining, refining, smelting, and rolling, to ball manufacturing, logistics and recycling. Refer to:</p> <p>https://www.ball.com/sustainability/climate-leadership and</p> <p>2024 Combined Annual & Sustainability Report, page 27:</p> <p>https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>Ball Corporation Climate-Related Risk and Opportunity Disclosure:</p> <p>https://www.ball.com/getattachment/880cde6f-1603-43ea-a0c7-defcdab25e94/2025-Climate-Related-Risk-and-Opportunity-Disclosure.pdf</p> <p>The Entity has developed an GHG Emissions Reduction Pathway commensurate to the ASI requirements (i.e. a 1.5°C scenario), has</p>

CRITERION	RATING	COMMENT
		developed a baseline year, Intermediate Targets and a five year review cycle. The Entity has also confirmed that the reduction pathway is compatible with ASI requirements.
5.4 GHG Emissions Management	Conformance	In conjunction with its parent corporation, the Entity has developed an integrated management system and associated mechanisms to monitor and manage its GHG emissions performance.
6. EMISSIONS, EFFLUENTS AND WASTE		
6.1a-f Emissions to Air	Conformance	<p>The Entity quantifies and reports emissions to the atmosphere that have adverse effects on human beings or the environment and has implemented plans with targets to minimise the emissions of these components according to the strategy in the region and/or in the most critical plants. The Entity periodically quantifies and reports atmospheric emissions through the control of VOCs (Volatile Organic Compounds) resulting from operations.</p> <p>For more information, refer to: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/our-approach/goals</p>
6.2a-g Discharges to Waters	Conformance	<p>The Entity quantifies and reports Discharges to Water that have adverse effects on human beings or the environment and has implemented plans to minimise these adverse impacts. The majority of water consumption at the plants is from operations and, to reduce the impact, the Entity established New Projects to install machinery with better environmental performance and cutting-edge technologies to reduce consumption and generate effluent water.</p> <p>For more information, refer to: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/our-approach/goals</p>
6.3a-g Assessment and Management of Spills and Leakages	Conformance	<p>The Entity has implemented a Crisis Management Plan, Emergency Response Plan and Environmental Impact and Assessment report at each plant, which includes risk assessment for the main risk areas of operations where leaks can contaminate air, water and/or soil. The containment of tanks to prevent leaks is part of mandatory and legal requirements, which are constantly reviewed by the Entity. Stakeholder communication and management plans, compliance controls and a local monitoring program are implemented to prevent and detect Spills and Leakages. These procedures follow the guidelines established by Ball's Global Emergency Response Plan.</p> <p>Refer to the Ball SA Emergency Response Plan – South America: https://www.ball.com/getattachment/74fdb491-e0c3-442c-a9fc-d86281c8f2fa/Plano-de-resposta-a-Emergencias.pdf</p> <p>2025 GRI Content Index, page 27: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>
6.4a-b Public Disclosure of Spills and Leakages	Conformance	The Entity has Stakeholder communication and management plans, compliance controls and a local monitoring program to prevent and detect Spills and Leakages. These procedures follow the guidelines established by Ball's Global Emergency Response Plan. In the case of

CRITERION	RATING	COMMENT
		<p>any event and/or Spill, the Entity has a reporting hierarchy to communicate the volume, type and potential impact immediately after an incident to all Stakeholders.</p> <p>These incidents are publicly reported in accordance with local law and, when significant (above \$10,000 or equivalent when converted from local currency), in Ball's GRI Content Index.</p> <p>Refer to the Ball SA Emergency Response Plan – South America: https://www.ball.com/getattachment/74fdb491-e0c3-442c-a9fc-d86281c8f2fa/Plano-de-resposta-a-Emergencias.pdf</p> <p>GRI 2025 Report, page 27: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>
6.5a-c Waste Management and Reporting	Conformance	<p>The Entity has defined waste generation reduction goals in all its plants, following action plans in accordance with the Waste Mitigation Hierarchy and solid waste management plans.</p> <p>This information is monitored internally, classified into types of waste and disposal methods, and publicly disclosed in the 2024 Combined Annual & Sustainability Report (page 41) and GRI Content Index. For more information, refer to: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf https://www.ball.com/data-center https://www.ball.com/na/vision/sustainability/our-approach/goals</p>
6.6a-g Bauxite Residue	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.7a-f Spent Pot Lining (SPL)	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
6.8a-d Dross	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
7. WATER STEWARDSHIP		
7.1a-b Water Assessment and Disclosure	Conformance	<p>The Entity is committed to responsibly collecting, using and managing the water resources of its Area of Influence, identifying and mapping its abstraction and use of water (by source and type), ensuring compliance and monitoring of local legislation, conditions and allocations granted, in addition to developing initiatives and projects for the management and reduction of water consumption.</p> <p>The Entity uses tools developed by the World Business Council for Sustainable Development (WBCSD) and the World Resources Institute (WRI) to map its Facilities in relation to the stress areas of Watersheds. Ball's Corporate Sustainability team conducts a high-level water risk analysis each year, covering all Ball locations, including the Entity.</p> <p>The Entity has assessed areas of water stress in order to make better water-related decisions. The Entity has also developed an assessment of local water-related risks in the hydrographic basins for each plant, using the WWF Water Risk Filter tool. The result was low risk for most of</p>

CRITERION	RATING	COMMENT
		<p>the Entity's plants and there is no major risk of water supply or to Watersheds.</p> <p>For more information and water related disclosures, refer to: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf https://www.ball.com/na/vision/sustainability/our-approach/goals</p>
7.2a-e Water Management	Conformance	<p>The Entity is committed to ensuring compliance and monitoring of local legislation, conditions and allocations granted, and to developing initiatives and projects for the management and reduction of water consumption.</p> <p>Ball's Corporate Sustainability team conducts a high-level water risk analysis each year, covering all Ball locations, including the Entity. The Entity uses the World Resources Institute (WRI) Aqueduct tool to assess areas of water stress and to make better water-related decisions. The Entity has additionally developed an assessment of local water-related risks in the hydrographic basins for each plant, using the WWF Water Risk Filter tool.</p> <p>The result was low risk for most of the Entity's plants and there is no major risk of water supply or to Watersheds. For more information, refer to: https://www.ball.com/na/vision/sustainability/reporting-hub/sustainability-reports https://www.ball.com/na/vision/sustainability/our-approach/goals</p>
8. BIODIVERSITY AND ECOSYSTEM SERVICES		
8.1a Biodiversity and Ecosystem Services Risk and Impact Assessment	Conformance	<p>The Entity has assessed the risks and Materiality of impacts on Biodiversity of land use and activities in the Entity's Area of Influence using the Integrated Biodiversity Assessment Tool (IBAT) and the Geospatial Data Management System (SIGEO) tool. As a result of the assessment, it was determined that none of the Entity's Facilities are located in nationally Protected Areas. Information on consideration of the Biodiversity Mitigation Hierarchy was highlighted, to prevent, reduce, restore and compensate for the impact on Biodiversity by implementing measures to compensate for the affected Biodiversity values. For more information, refer to: https://www.ball.com/sustainability/product-stewardship/resource-efficiency</p>
8.1b Biodiversity and Ecosystem Services Risk and Impact Assessment - Priority	Not Applicable	<p>This Criterion is not applicable to the Entity, as the risks and potential impacts on Biodiversity and Ecosystem Services are assessed and documented as low.</p>
8.2a-g Biodiversity Management	Conformance	<p>The Entity has implemented a Biodiversity Checklist at each Facility to undertake a qualitative survey regarding the permeable area, fauna and flora, and Biodiversity management, to encourage application of the Biodiversity Mitigation Hierarchy and to establish action plans and measure effectiveness.</p> <p>The Entity has developed and implemented action plans to provide benefits to Biodiversity and assessed the risks and Materiality of</p>

CRITERION	RATING	COMMENT
		<p>impacts on Biodiversity of land use and activities in the Entity's Area of Influence using IBAT.</p> <p>For more information, refer to: https://www.ball.com/getattachment/2bcb7dc7-423a-447d-b275-5488d487f2ed/One_Page_Biodiversidade_BallBPSA_ENG.pdf?lang=en-001</p>
8.3a-c Management of Priority Ecosystem Services	Not Applicable	This Criterion is not applicable to the Entity, as the risks and potential impacts on Biodiversity and Ecosystem Services are assessed and documented as low.
8.4 Alien Species	Conformance	<p>The Entity proactively prevents the accidental or deliberate introduction of exotic species that may have significant adverse impacts on fauna and flora by monitoring Biodiversity in its Area of Influence through IBAT. For New Projects, the change management tool and checklist are used to assess impacts on Biodiversity.</p> <p>For more information, refer to: https://www.ball.com/sustainability/product-stewardship/resource-efficiency</p>
8.5a-b Commitment to "No Go" in World Heritage Properties	Conformance	All the Entity's Facilities are located in already urbanised industrial areas, and as such, there is no overlap or proximity to World Heritage sites. The Entity undertakes not to explore or develop New Projects in World Heritage sites.
8.6a-d Protected Areas	Conformance	The Entity performed an internal Biodiversity assessment at several manufacturing Facilities in North and South America as part of its initial ASI certification processes. Using the Integrated Biodiversity Assessment Tool (IBAT) developed by the World Conservation Monitoring Center and the Geospatial Data Management System (SIGEO) tool developed by the Chico Mendes Institute for Biodiversity Conservation (ICMBio), the Entity has determined that none of the Entity's Facilities are located in, or adjacent to nationally Protected Areas.
8.6e Protected Areas - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
8.7a-i Mine Rehabilitation	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9. HUMAN RIGHTS		
9.1a-d Human Rights Due Diligence	Conformance	<p>The Entity has established a Human Rights Due Diligence process, addressed through the Code of Conduct and a baseline risk assessment, by its Integrated Management System Policy. It has also implemented a gender-responsive Human Rights Policy committing to respect Human Rights in all its activities.</p> <p>The seeks to identify, prevent, mitigate and account for how it addresses its actual and potential impacts on Human Rights, (based on the United Nations Guiding Principles on Business and Human Rights and the established principles endorsed in the Universal Declaration of Human Rights and the International Labor Organization (ILO)), as well as observing the rights of Indigenous Peoples, among</p>

CRITERION	RATING	COMMENT
		<p>others, based on respect for the dignity of the individual, without distinction of any kind.</p> <p>The Entity has defined its commitment to respecting Human Rights through a Corporate Human Rights Policy, available at: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p> <p>The Human Rights Due Diligence process determined the Entity did not cause or contribute to adverse impacts on Human Rights, without any need for redress through legitimate processes in their Area of Influence.</p>
9.2a-e Gender Equity and Women's Empowerment	Conformance	<p>The Entity has implemented Policies and processes to ensure respect for the rights and interests of women, in accordance with international standards, including the United Nations Convention on the Elimination of All Forms of Discrimination Against Women (CEDAW).</p> <p>The Entity has a commitment to diversity and inclusion and is developing initiatives and global and regional goals to promote the inclusion of women, persons of colour, LGBTQI+ persons and other under-represented groups. For more information, refer to: https://www.ball.com/na/vision/sustainability/our-approach/goals and https://www.ball.com/careers/diversity-inclusion</p> <p>2024 Combined Annual & Sustainability Report, page 43: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>2025 GRI Content Index, pages 36-37: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>
9.3a-i Indigenous Peoples	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence, as confirmed by public surveys.
9.4a Free, Prior, and Informed Consent (FPIC) - New Projects or Major Changes	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence, as confirmed by public surveys.
9.4b Free, Prior, and Informed Consent (FPIC) - Bauxite Mining	Not Applicable	This Criterion is not applicable to the Entity's Certification Scope.
9.4c Free, Prior, and Informed Consent (FPIC) - Demonstrate support	Not Applicable	This Criterion is not applicable to the Entity, as there are no Indigenous Peoples within the Entity's Area of Influence, as confirmed by public surveys.
9.5a Cultural and Sacred Heritage - Identification	Conformance	<p>The Entity has defined a Human Rights Due Diligence process that seeks to identify, prevent, mitigate and account for how it addresses its actual and potential impacts on Human Rights, as well as observing the rights of Indigenous Peoples, among others, based on respect for the dignity of the individual, without distinction of any kind.</p> <p>The Human Rights Due Diligence process determined the Entity did not identify it had caused or contributed to adverse impacts on Human Rights and that it cooperates to identify cultural or sacred heritage</p>

CRITERION	RATING	COMMENT
		<p>sites and values within the Entity's Area of Influence and take measures to avoid or remedy impacts.</p> <p>The Entity, in consultation with affected Communities, cooperatively identifies cultural or sacred heritage sites and values within the Entity's Area of Influence and take appropriate measures to avoid or remedy impacts, as well as to ensure continued rights of access to such places or values.</p>
9.5b Cultural and Sacred Heritage - Impacts	Conformance	<p>The Entity's sites are primarily located in industrial districts in densely populated regions. As such, no interference from the Entity's operations has been identified in places with significant cultural and religious heritage. If new projects or major changes are implemented in the future, the company undertakes to verify the existence of such interference, together with its Social Impact Assessment protocol.</p>
9.6a-i Displacement	Conformance	<p>The Entity declares that it makes use of its Land and Property Policy, as informed by the International Finance Corporation (IFC) Performance Standard for land acquisition - FPIC (Free, Prior, Informed, Consent). For more information access the Conflict Minerals Report: https://www.ball.com/sustainability/product-stewardship/responsible-sourcing</p> <p>2024 Human Rights Policy: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p>
9.7a-h Affected Populations and Organisations	Conformance	<p>The Entity respects the legal and customary rights and interests of the Local Communities and interacts with local authorities and communities to provide wellbeing, quality of life, access to education, training and engagement with employees and Local Communities.</p> <p>The Entity has implemented social responsibility initiatives for the benefit of Local Communities, in line with the Ball's global Social Responsibility Guidelines and the Entity's Social Responsibility Policy. Projects are presented quarterly for evaluation by the Entity's executive committee and, after validation, are implemented together with specialised partners and the support of employees.</p> <p>For more information, refer to: https://www.ball.com/sustainability/our-approach</p> <p>https://alphalumen.org.br/projects/torneio-brasileiro-de-sustentabilidade/</p> <p>https://www.ball.com/na/vision/sustainability/community/ball-foundation</p>
9.8a Conflict-Affected and High-Risk Areas - Strong Management Systems	Conformance	<p>The Entity is committed to not contribute to armed conflicts or Human Rights violations in any area, including Conflict-Affected and High-Risk Areas (CAHRAs). The Entity has implemented the Conflict Minerals Policy, which restricts business in areas of conflict, violence and Human Rights violations due to the extraction of precious metals, as well as other Policies and the Supplier Guidance Principles to ensure that suppliers are not encouraging this type of practice in the value chain.</p> <p>For more information access the Ball Supplier Guiding Principles at: www.ball.com/responsible-sourcing-framework</p>

CRITERION	RATING	COMMENT
9.8b Conflict-Affected and High-Risk Areas – Identify and assess risks	Not Applicable	This Criterion is not applicable to the Entity as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.8c Conflict-Affected and High-Risk Areas – Strategy to respond to risks	Not Applicable	This Criterion is not applicable to the Entity as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.8d Conflict-Affected and High-Risk Areas – Audit of due diligence	Conformance	The Entity's Due Diligence processes were included in the scope of this ASI Performance Standard Certification Audit and addresses this requirement.
9.8e Conflict-Affected and High-Risk Areas – Report annually	Not Applicable	This Criterion is not applicable to the Entity as it does not directly or indirectly acquire any Bauxite, Alumina or Primary Aluminium.
9.9 Security practice	Conformance	<p>The Entity has implemented a series of security management programs with the requirement for security providers to implement security practices that respect Human Rights in accordance with the Human Rights Policy. All security guards had been trained to respect Human Rights requirements. To date, no Human Rights violations have occurred at the Entity that relate to security practices. Refer to:</p> <p>2024 Human Rights Policy: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p> <p>2024 Combined Annual & Sustainability Report: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>2025 GRI Content Index, page 39: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>
10.1a-c Freedom of Association and Right to Collective Bargaining	Conformance	<p>The Entity respects the rights of Workers to associate in Labour Unions freely, to seek representation, and to join Workers' Councils as defined in the Human Rights Policy. Freedom of Association and the right to Collective Bargaining are respected. The Entity's Code of Ethics and Conduct and Human Rights Policy ensures that employees can join a Trade Union and bargain collectively with their employer. This includes negotiations on wages, benefits, working conditions and other terms and conditions of employment.</p> <p>Business Ethics Code of Conduct: https://www.ball.com/getmedia/36abea1a-7490-400a-9f59-7478b544e41b/BallCorporation_2020_Update_1.pdf</p> <p>Global Supplier Code of Conduct: https://www.ball.com/getattachment/c71c8ea6-cd20-407d-b4c2-9a788ee19aeb/Supplier-Code-of-Conduct_Final_Nov2022.pdf</p> <p>2025 GRI Content Index, pages 8, 31 and 38: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>

CRITERION	RATING	COMMENT
10.1d Freedom of Association and Right to Collective Bargaining - Alternative means in context of Applicable Law	Not Applicable	This Criterion is not applicable to the Entity as the right to Freedom of Association and Collective Bargaining are not restricted in the countries where the Entity operates and its Facilities are located.
10.2a Child Labour	Conformance	<p>The Entity has implemented practices to ensure all employees are at least 18 years of age. They do not employ anyone under the age of 18 years. The Entity neither uses nor supports Child Labour as defined in ILO Conventions C138 and C182. The Entity complies with relevant national and international laws.</p> <p>For further information, refer to the 2025 GRI Content Index, page 39: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p>
10.3a-c Forced Labour	Minor Non-Conformance	<p>The Entity does not engage in, nor support the use of Forced Labour as defined in Brazilian labour laws and ILO Conventions C29 and C105. They do not use employment agencies for hiring, and do not retain Workers' official documents.</p> <p>However, at the time of the Audit, the Entity's (Corporate) Ball Slavery and Human Trafficking Statement made no specific reference to its operations in South America.</p>
10.4a-c Non-Discrimination	Conformance	<p>The Entity has developed, implemented and maintained systems, Policies, and procedures related to Discrimination, as defined in its Human Rights Policy and Code of Conduct.</p> <p>The Entity has established a Human Rights Policy and Code of Conduct, which guarantee equal opportunities and not to engage in or support acts of Discrimination based on gender, race, national or social origin, caste, religion, disability, political affiliation, sexual orientation, marital status, family responsibilities, age or any other condition in hiring processes. Refer to:</p> <p>Business Ethics Code of Conduct: https://www.ball.com/getmedia/36abea1a-7490-400a-9f59-7478b544e41b/BallCorporation_2020_Update_1.pdf</p> <p>Global Supplier Code of Conduct: https://www.ball.com/getattachment/c71c8ea6-cd20-407d-b4c2-9a788ee19aeb/Supplier-Code-of-Conduct_Final_Nov2022.pdf</p> <p>2024 Human Rights Policy: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p> <p>2024 Corporate Compliance Policy: https://www.ball.com/getattachment/e682547d-a484-4dfc-a5c5-bd1a28405799/GP-Comp-001-002_Corporate-Compliance.pdf</p> <p>2023 Prohibition of Discrimination, Harassment, & Retaliation Policy: https://www.ball.com/getattachment/3dbae886-d10f-473c-bbdc-016466152e98/CP-02-016-006-Prohibition-of-Discrimination-Harassment-Retaliation.pdf</p>
10.5 Communication and engagement	Conformance	The Entity ensures communication and direct engagement with Workers and their representatives regarding working conditions and resolution of workplace and compensation issues without the threat of reprisal, intimidation, or Harassment. Information on communication channels and procedures is widely disseminated across each of the

CRITERION	RATING	COMMENT
		<p>Entity's Facilities and internal platforms. This ensures that all Workers are aware of their options and feel comfortable reporting any issues.</p> <p>2024 Combined Annual & Sustainability Report, pages 28-31: https://www.ball.com/getattachment/802cde78-9dcc-4292-9a83-6e9a284b52db/Combined-Report-2024-1-1.pdf</p> <p>Business Ethics Code of Conduct: https://www.ball.com/getmedia/36abea1a-7490-400a-9f59-7478b544e41b/BallCorporation_2020_Update_1.pdf</p> <p>2024 Human Rights Policy: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p>
10.6a-g Violence and Harassment	Conformance	<p>The Entity has developed, implemented and maintained systems, Policies, and procedures to manage issues related to disciplinary practices. In consultation with Workers and their representatives, the Entity has established a Policy against Violence and Harassment in the workplace. For any issues, a communication channel involving Senior Management is available, ensuring resolutions are handled according to an internal procedure for managing complaints. Workers receive training on Violence and Harassment. For further information, refer to:</p> <p>2024 Human Rights Policy, page 3: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p>
10.7a-c Remuneration	Conformance	<p>The Entity respects Workers' rights to a minimum wage and an employment contract that ensures payment for a standard working day according to local legislation. The minimum wage offered by the Entity exceeds the legal minimum wage for a standard working month and includes additional benefits such as shift allowances, travel allowances, and bonuses.</p> <p>The Entity also guarantees that Workers are paid the wages defined in their employment contracts. Overtime is compensated at a premium rate in conformance with Applicable Law. Salaries are paid monthly by bank transfer and the Entity provides Workers with a summary of hours worked and payment calculations.</p>
10.8a-c Working Time	Conformance	<p>The Entity has Policies and procedures with associated methodologies relating to Workers' working hours, including payments, Overtime, vacations, and days off are defined in the collective work agreements signed with the Trade Union Entity. The Entity has demonstrated that working hours are adequate. All Workers have access to information on their working hours.</p>
10.9a-b Informing Workers of Rights	Conformance	<p>The Entity informs Workers of their rights when they sign their employment contracts and these are further explained in detail in the employee handbook. Social responsibility training for Workers includes the right to Freedom of Association.</p> <p>The Entity's Workers are informed on Human and Labour Rights during the onboarding process. All Workers receive documented training on key documents such as the Code of Conduct and other internal policies.</p> <p>Business Ethics Code of Conduct: https://www.ball.com/getmedia/36abea1a-7490-400a-9f59-7478b544e41b/BallCorporation_2020_Update_1.pdf</p>

CRITERION	RATING	COMMENT
		<p>Global Supplier Code of Conduct: https://www.ball.com/getattachment/c71c8ea6-cd20-407d-b4c2-9a788ee19aeb/Supplier-Code-of-Conduct_Final_Nov2022.pdf</p> <p>2024 Human Rights Policy: https://www.ball.com/getattachment/06ef799c-8a92-45b8-8f7d-078f8fc03b20/GP-Comp-012-004_Human-Rights.pdf</p>
11. OCCUPATIONAL HEALTH AND SAFETY		
11.1a Occupational Health and Safety (OH&S) Management System	Conformance	The Entity has implemented an ISO 45001:2018 certified Occupational Health and Safety (OH&S) Management System, which has also been implemented in accordance with applicable national Standards.
11.1b-e Occupational Health and Safety (OH&S) Management System - Reviews and disclosure	Minor Non-Conformance	<p>The Entity has developed an OH&S Management Manual and various Procedures. Training is provided to help employees and related parties understand the OH&S rules. The Entity conducts regular management reviews of its OH&S Management System and when there are indications of control gaps. Disclosure of the Entity's OH&S performance is available in the 2025 GRI Content Index, pages 31-33: https://www.ball.com/getattachment/c694baf1-30d3-4f86-9a20-12c4a9ba0420/Global-Reporting-Index-Report-2025.pdf</p> <p>Comparative analyses of performance with peer Businesses and leading practice. Incidence rates of non-fatal occupational injuries and illnesses by industry and case types, 2023 (Metal can manufacturing industry). Refer to: https://www.bls.gov/web/osh/table-1-industry-rates-national.htm</p> <p>The comparative analysis of the performance of the Entity's Health and Safety (OH&S) performance with similar companies however does not consider the specific regions it operates in, and specific sites included in the Certification Scope.</p>
11.2 Employee engagement on Health and Safety	Conformance	The Entity has provided Workers with various mechanisms, including a joint Health and Safety Committee, by which they can raise, discuss and participate in the resolution of OH&S issues with management.

ASI LIMITATION OF LIABILITY DISCLAIMER

Organisations that make ASI-related claims are each responsible for their own compliance with Applicable Law, including laws and regulations related to labelling, advertisement, and consumer protection, and competition or antitrust laws, at all times. ASI does not accept liability for any violations of Applicable Law or any infringement of third-party rights (each a Breach) by other organisations, even where such Breach arises in relation to, or in reliance upon, any ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI. ASI gives no undertaking, representation or warranty that compliance with an ASI Standard, document or other material, recommendation or directive issued by or on behalf of ASI will result in compliance with any Applicable Law, or will avoid any Breach from occurring.

DOCUMENT CONTROL AND VERSION HISTORY

REVISION	DATE	NOTES
0	8 November 2021	Initial Certification Audit – Provisional Certification

1	25 October 2022	Surveillance Audit – Full Certification
2	31 July 2025	Re-Certification and Scope Change Audit – Full Certification Scope Change to apply Performance Standard V3.1. Removal of Santa Cruz (Brazil) from Certification Scope. Extension of expiration date of previous Certification approved by ASI.
